Contents of the Block “Design”

(i) Introduction and Vocabulary

(ii) Principles of Design
   a) modularity
   b) separation of concerns
   c) information hiding and data encapsulation
   d) abstract data types, object orientation

(iii) Software Modelling
   a) views and viewpoints, the 4+1 view
   b) model-driven/based software engineering
   c) Unified Modelling Language (UML)
   d) modelling structure
      1. (simplified) class diagrams
      2. (simplified) object diagrams
      3. (simplified) object constraint logic (OCL)
   e) modelling behaviour
      1. communicating finite automata
      2. Uppaal query language
      3. basic state-machines
      4. an outlook on hierarchical state-machines

(iv) Design Patterns
Contents & Goals

Last Lecture:
• Requirements Engineering completed

This Lecture:
• Educational Objectives: Capabilities for following tasks/questions.
  • What’s the definition of ‘design’?
  • What are the basic principles of software design?
  • What is a view and viewpoint?
  • What is the signature of this class diagram?
  • Which system states does this class diagram denote?

• Content:
  • Introduction and vocabulary
  • Principles of design
  • Software modelling
  • Modelling structure

Design Modelling & Analysis: Introduction
Goals and Relevance of Design

(i) **structuring** the system into **manageable** units (yields software architecture),
(ii) **concretising** the approach to realise the required software,
(iii) **hierarchical structuring** into a manageable number of units at each hierarchy level.

- the **structure** of something is the set of **relations between its parts**.
- something not built from (recognisable) parts is called **unstructured**.

Oversimplified process model:

![Diagram of software engineering process](image)
**Vocabulary**

**system** — A collection of components organized to accomplish a specific function or set of functions.

*IEEE 1471 (2000)*

**software system** — A set of software units and their relations, if they together serve a common purpose. This purpose is in general complex; it usually includes, next to providing one (or more) executable program(s), also the organisation, usage, maintenance, and further development.

*(Ludewig and Lichter, 2013)*

**component** — One of the parts that make up a system. A component may be hardware or software and may be subdivided into other components.

*IEEE 610.12 (1990)*

**software component** — An architectural entity that (1) encapsulates a subset of the systems functionality and/or data, (2) restricts access to that subset via an explicitly defined interface, and (3) has explicitly defined dependencies on its required execution context.

*(Taylor et al., 2010)*

**module** — (1) A program unit that is discrete and identifiable with respect to compiling, combining with other units, and loading; for example, the input to, or output from an assembler, compiler, linkage editor, or executive routine. (2) A logically separable part of a program.

*IEEE 610.12 (1990)*

**module** — A set of operations and data which are visible from the outside only in so far as explicitly permitted by the programmers.

*(Ludewig and Lichter, 2013)*

**interface** — A boundary across which two independent entities meet and interact or communicate with each other.

*(Bachmann et al., 2002)*

**interface (of component)** — The boundary between two communicating components. The interface of a component provides the services of the component to the component’s environment and/or requires services needed by the component from the requirement.

*(Ludewig and Lichter, 2013)*

**Vocabulary Cont’d**

**system** — A collection of components organized to accomplish a specific function or set of functions.
Even More Vocabulary

design — (1) The process of defining the architecture, components, interfaces, and other characteristics of a system or component.
(2) The result of the process in (1).
IEEE 610.12 (1990)

architecture — The fundamental organization of a system embodied in its components, their relationships to each other and to the environment, and the principles guiding its design and evolution.
IEEE 1471 (2000)

software architecture — The software architecture of a program or computing system is the structure or structures of the system which comprise software elements, the externally visible properties of those elements, and the relationships among them.
(Bass et al., 2003)

architectural description — A model - document, product or other artifact - to communicate and record a system’s architecture. An architectural description conveys a set of views each of which depicts the system by describing domain concerns.
(Ellis et al., 1996)

Principles of (Architectural) Design
**Modularisation**

**modular decomposition** — The process of breaking a system into components to facilitate design and development; an element of modular programming.  
IEEE 610.12 (1990)

**modularity** — The degree to which a system or computer program is composed of discrete components such that a change to one component has minimal impact on other components.  
IEEE 610.12 (1990)

- So, modularity is a property of an architecture.
- Goals of modular decomposition:
  - The structure of each module should be simple and easily comprehensible.
  - The implementation of a module should be exchangeable; information on the implementation of other modules should not be necessary. The other modules should not be affected by implementation exchanges.
  - Modules should be designed such that expected changes do not require modifications of the module interface.
  - Bigger changes should be the result of a set of minor changes. As long as the interface does not change, it should be possible to test old and new versions of a module together.

**Separation of Concerns**

- separation of concerns is a fundamental principle in software engineering:
  - each component should be responsible for a particular area of tasks,
  - components which try to cover different task areas tend to be unnecessarily complex, thus hard to understand and maintain,
- criteria for separation/grouping:
  - in object oriented design, data and operations on that data are grouped into classes,
  - sometimes, functional aspects (features) like printing are realised as separate components,
  - separate functional and technical components,
    Example: the logical flow of (logical) messages in a communication protocol (functional) vs. the exchange of (physical) messages using a certain technology (technical).
  - assign flexible or variable functionality to own components.
    Example: different networking technology (wireless, etc.)
  - assign functionality which is expected to need extensions or changes later to own components.
  - separate system functionality and interaction
    Example: most prominently graphical user interfaces (GUI), also file input/output
Information Hiding and Data Encapsulation

- By now, we strictly speaking only discussed the grouping of data and operations.
- One should also consider accessibility, i.e. which component “sees” or has access to which data and operations of which other component.
- The "need to know principle" is known as information hiding in software engineering. (Parnas, 1972)

information hiding— A software development technique in which each modules interfaces reveal as little as possible about the modules inner workings, and other modules are prevented from using information about the module that is not in the modules interface specification. IEEE 610.12 (1990)

- Note: what is hidden is information which other components need not know (how data is stored and accessed, how operations are implemented).
- In other words: information hiding is about making explicit for one component what other components may use of this component.
- Advantages:
  - Solutions may be changed without other components noticing as long as the behaviour visible via the interface stays the same (e.g. the employed sorting algorithm).
  - IOW: other components cannot (unintentionally) depend on details they are not supposed to.
  - Components can be validated in isolation.

Data Encapsulation

- Similar direction: data encapsulation (examples later).
- Do not access data (variables, files, etc.) directly where needed, but encapsulate the data in a component which offers operations to access (read, write, etc.) the data.
- In other words: Information hiding and data encapsulation — when enforced technically (examples later) — usually come at the price of worse efficiency.
- It is more efficient to read a component’s data directly than calling an operation to provide the value: there is an overhead of one operation call.
- Knowing how a component works internally may enable more efficient operation.
- Example: if a sequence of data items is stored as a singly-linked list, accessing the data items in list-order may be more efficient than accessing them in reverse order by position.
  - Good modules give usage hints in their documentation (e.g. C++ standard library).
  - Example: if an implementation stores intermediate results at a certain place, it may be tempting to read that place when the intermediate results is needed in a different context. → maintenance nightmare; if needed in another context, an operation should be offered.
- Yet with today’s hardware and programming languages, this is hardly an issue any more; at the time of (Parnas, 1972), it clearly was.
Classification of Modules (Nagl, 1990)

- **functional modules**
  - group computations which belong together logically,
  - do not have “memory” or state, that is, behaviour of offered functionality does not depend on prior program evolution,
  - Examples: mathematical functions, transformations

- **data object modules**
  - realise encapsulation of data,
  - a data module hides kind and structure of data, interface offers operations to manipulate encapsulated data
  - Examples: modules encapsulating global configuration data, databases

- **data type modules**
  - implement a user-defined data type in form of an abstract data type (ADT)
  - allows to create and use as many exemplars of the data type
  - Example: game object

- In an object-oriented design,
  - classes are data type modules,
  - data object modules correspond to classes offering only class methods or singletons (→ later),
  - functional modules occur seldom, one example is Java’s class Math.

**Example**

(i) information hiding and data encapsulation not enforced,
(ii) negative effects when requirements change,
(iii) information hiding and data encapsulation by modules,
(iv) abstract data types,
(v) object oriented without information hiding and data encapsulation,
(vi) and with information hiding and data encapsulation,
Example: Collecting Names

- **Task**: store a list of names in \( N \).

- **Operations**:
  - **insert**: \( \text{string } n \)
    
    **pre-condition**:
    \( N = n_0, \ldots, n_i, n_{i+1}, \ldots, n_{m-1}, m \in \mathbb{N}_0, 0 \leq j < m \leftrightarrow n_j < \text{lex } n_{j+1} \)
    
    **post-condition**:
    \( N = n_0, \ldots, n_i, n_{i+1}, \ldots, n_{m-1} \) if \( n_i < \text{lex } n < \text{lex } n_{i+1}, N = \text{old}(N) \) otherwise.
  
  - **remove**: \( \text{int } i \)
    
    **pre-condition**:
    \( N = n_0, \ldots, n_{i-1}, n_i, n_{i+1}, \ldots, n_{m-1}, m \in \mathbb{N}_0, 0 \leq i < m \)
    
    **post-condition**:
    \( N = n_0, \ldots, n_{i-1}, n_{i+1}, \ldots, n_{m-1} \).
  
  - **get**: \( \text{int } i \) \( : \) \( \text{string} \)
    
    **pre-condition**:
    \( N = n_0, \ldots, n_{i-1}, n_i, n_{i+1}, \ldots, n_{m-1}, m \in \mathbb{N}_0, 0 \leq i < m \)
    
    **post-condition**:
    \( N = \text{old}(N), \text{retval} = n_i \).
  
  - **dump**;
    
    **pre-condition**:
    \( N = n_0, \ldots, n_{m-1}, m \in \mathbb{N}_0 \)
    
    **post-condition**:
    \( N = \text{old}(N) \).
    
    **side-effect**: \( n_0, \ldots, n_{m-1} \) printed to standard output in this order.

Implementations: Plain

```cpp
#include <algorithm>
#include <iostream>
#include <string>
#include <vector>

std::vector<std::string> names;

void insert(std::string n) {
    std::vector<std::string>::iterator it =
        lower_bound(names.begin(), names.end(), n);
    if (it != names.end()) ++it;
    names.insert(it, n);
}

void remove(int i) {
    names.erase(names.begin() + i);
}

std::string get(int i) {
    return names[i];
}

int main() {
    insert("Berger");
    insert("Schulz");
    insert("Neumann");
    insert("Mayer");
    insert("Wernersen");
    insert("Neumann");

    dump();
    remove(1);
    insert("Mayer");
    dump();
    names[2] = "Naumann";
    dump();

    return 0;
}
```

Implementations: Multi-List

```cpp
#include <vector>
void insert(const std::string &n) {
    std::vector<std::string> names;
    void insert(const std::string &n) {
        std::vector<std::string>::iterator it = lower_bound(names.begin(), names.end(), n);
        if (it == names.end()) {
            names.insert(it, n);
        } else {
            if (*it != n) {
                count.insert(count.begin() + (it - names.begin()) + 1);
            } else {
                ++(count.begin() + (it - names.begin()));
            }
        }
    }
    std::string get(int i) {
        return names[i];
    }
    main() {
        insert("Bergert");
        insert("Schulz");
        insert("Neumann");
        insert("Meyer");
        insert("Wernersen");
        insert("Neumann");
        count.erase(count.begin() + 1);
        names.erase(names.begin() + 1);
        remove(1);
        insert("Mayer");
        dump();
        names[2] = "Naumann";
        dump();
        return 0;
    }
}
```

Data Encapsulation + Information Hiding

```cpp
#include <string>
#include <algorithm>
#include <iostream>
#include <vector>

// header
void main() {
    insert("Bergert");
    insert("Schulz");
    insert("Neumann");
    insert("Mayer");
    insert("Wernersen");
    insert("Neumann");
    dump();
    remove(1);
    insert("Mayer");
    dump();
    names[2] = "Naumann";
    dump();
    return 0;
}

// source
#include "mod_deih.h"
#include <iostream>
#include <algorithm>
#include <vector>

// mod_deih_main.cpp: In function void main() :
// mod_deih_main.cpp:20:3: error: names was not declared in this scope
Abstract Data Type

```cpp
define <string>

void dump();
void insert( std::string n );
void remove( int i );
std::string get( int i );
```

```cpp
#include <algorithm>
#include <iostream>
#include "mod_deih.h"

std::vector<int> count;
std::vector<std::string> names;

void remove( Name names, std::string n);
void insert( Name names, std::string n );
void remove( Name names, int i );
void insert( Name names, int i );
std::string get( Name names, int i );
```

```cpp
#include "mod_deih.h"

int main() {
    Names newNames();
    void dump( Names names );
    void insert( Names names, std::string n );
    void remove( Names names, int i );
    std::string get( Names names, int i );
    return newNames();
}
```

```cpp
#include "mod_deih.h"

int main() {
    Names names = newNames();
    insert( names, "Berger" );
    insert( names, "Schulz" );
    insert( names, "Neumann" );
    insert( names, "Mayer" );
    insert( names, "Wernersen" );
    insert( names, "Naumann" );
    dump();
    remove( 1 );
    insert( "Mayer" );
    dump();
    #ifndef AVOID_PROBLEM
    names[2] = "Naumann";
    #endif
    remove( 2 );
    insert( "Naumann" );
    #endif
    dump();
    return 0;
}
```
Abstract Data Type

```cpp
#include <string>

typedef void* Names;

Names newNames();
void dump( Names names );
void insert( Names names, std::string n );
void remove( Names names, int i );
std::string get( Names names, int i );
```

```cpp
#include "modstrt.h"

int main() {
  Names names = newNames();
  insert( names, "Berger" );
  insert( names, "Schulz" );
  insert( names, "Neumann" );
  insert( names, "Mayer" );
  insert( names, "Wernersen" );
  names = newNames();
  insert( names, "Naumann" );
  dump( names );
  remove( names, 1 );
  insert( names, "Mayer" );
  dump( names );
  return 0;
}
```

Object Oriented

```cpp
#include <vector>
#include <string>

struct Names {
  std::vector<int> count;
  std::vector<std::string> names;
};

Names();

void dump();

void insert( std::string n );
void remove( int i );
std::string get( int i );
```

```cpp
#include "modstr.h"

int main() {
  Names names = newNames();
  names.insert( "Berger" );
  names.insert( "Schulz" );
  names.insert( "Neumann" );
  names.insert( "Mayer" );
  names.insert( "Wernersen" );
  names = newNames();
  names.insert( "Naumann" );
  names.dump();
  names.remove( 1 );
  names.insert( "Mayer" );
  names.dump();
  return 0;
}
```
#include <vector>
#include <string>

class Names {
    private:
    std::vector<int> count;
    std::vector<std::string> names;
    public:
    Names();
    void dump();
    void insert(std::string n);
    void remove(int i);
    std::string get(int i);
};

void insert(std::string n) {
    int count;
    count = names.size();
    names[count] = n;
    dump();
}

void Names::insert(std::string n) {
    int count;
    count = names.size();
    names[count] = n;
    dump();
}

int main() {
    Names names = new Names();
    names->insert("Berger");
    names->insert("Schula");
    names->insert("Neumann");
    names->insert("Wernersen");
    names->insert("Mayer");
    names->insert("Naumann");
    return 0;
}

#define AVOIDPROBLEM

#include "mod\obj\deh.h"

void Names::insert(std::string n) {
    int count;
    count = names.size();
    names[count] = n;
    dump();
}

int main() {
    Names names = new Names();
    names->insert("Berger");
    names->insert("Schula");
    names->insert("Neumann");
    names->insert("Wernersen");
    names->insert("Mayer");
    names->insert("Naumann");
    return 0;
}

#define AVOIDPROBLEM

#include "mod\obj\deh.h"

void Names::insert(std::string n) {
    int count;
    count = names.size();
    names[count] = n;
    dump();
}

int main() {
    Names names = new Names();
    names->insert("Berger");
    names->insert("Schula");
    names->insert("Neumann");
    names->insert("Wernersen");
    names->insert("Mayer");
    names->insert("Naumann");
    return 0;
}
“Tell Them What You’ve Told Them”

(i) information hiding and data encapsulation **not enforced**,
(ii) **negative effects** when requirements change,
(iii) **information hiding** and **data encapsulation** by modules,
(iv) **abstract data types**,
(v) **object oriented** **without** information hiding and data encapsulation,
(vi) and **with** information hiding and data encapsulation,
Recall: Model

Definition. [Folk] A model is an abstract, formal, mathematical representation or description of structure or behaviour of a (software) system.

Definition. (Ginz, 2008, 425)
A model is a concrete or mental image (Abbild) of something or a concrete or mental archetype (Vorbild) for something.

Three properties are constituent:
(i) the image attribute (Abbildungsmerkmal), i.e. there is an entity (called original) whose image or archetype the model is.
(ii) the reduction attribute (Verkürzungsmerkmal), i.e. only those attributes of the original that are relevant in the modelling context are represented.
(iii) the pragmatic attribute, i.e. the model is built in a specific context for a specific purpose.
Recall: Model

Model Example: Floorplan

1. Requirements
- Shall fit on given piece of land.
- Each room shall have a door.
- Furniture shall fit into living room.
- Bathroom shall have a window.
- Coat shall be in budget.

2. Designmodel

3. System

Observation: Floorplan abstracts from certain system properties, e.g.,
- kind, number, and placement of bricks.
- water pipes/wiring, and
- subsystem details (e.g., window style), wall decoration

→ architects can efficiently work on appropriate level of abstraction.
**Views and Viewpoints**

**view** — A representation of a whole system from the perspective of a related set of concerns.

IEEE 1471 (2000)

**viewpoint** — A specification of the conventions for constructing and using a view. A pattern or template from which to develop individual views by establishing the purposes and audience for a view and the techniques for its creation and analysis.

IEEE 1471 (2000)

- **perspective** is determined by concerns and information needs:
  - team leader, e.g., needs to know which team is working on what component,
  - operator, e.g., needs to know which component is running on which host,
  - developer, e.g., needs to know interfaces of other components.
  - etc.
An Early Proposal: The 4+1 View (Kruchten, 1995)

- **Logical View**
  - end-user functionality
- **Development View**
  - programmers, software management
- **Process View**
  - scenarios
- **Physical View**
  - integrators, performance, scalability

(Ludewig and Lichter, 2013):

**system view**: how is the system under development integrated into (or seen by) its environment; with which other systems (including users) does it *interact* how.

**static view** (~ developer view): components of the architecture, their interfaces and relations. Possibly: assignment of development, test, etc. onto teams.

**dynamic view** (~ process view): how and when are components instantiated and how do they work together at runtime.

**deployment view** (~ physical view): how are component instances mapped onto infrastructure and hardware units.

"Purpose of architecture: *support* functionality; functionality is not *part* of the architecture."
**Example:** modern cars

- large number of electronic control units (ECUs) spread all over the car,
- which part of the overall software is running on which ECU?
- which function is used when? Event triggered, time triggered, continuous, etc.?

For, e.g., a simple smartphone App, process and physical view may be trivial or determined by framework (→ later) — so no need for (extensive) particular documentation.
**Other Views**

- Form of the states $\sigma$: **structure of $S$**
- Computation paths $\pi$: **behaviour of $S$**

---

**Definition.** Software is a finite description $S$ of a (possibly infinite) set $[S]$ of (finite or infinite) computation paths of the form

$$\sigma_0 \xrightarrow{\alpha_1} \sigma_1 \xrightarrow{\alpha_2} \sigma_2 \cdots$$

where

- $\sigma_i \in \Sigma$, $i \in \mathbb{N}_0$, is called state (or configuration), and
- $\alpha_i \in A$, $i \in \mathbb{N}_0$, is called action (or event).

The (possibly partial) function $[\cdot] : S \mapsto [S]$ is called interpretation of $S$.

---

(Harel, 1997) proposes to distinguish **constructive** and **reflective** descriptions of behaviour:

- **constructive:**
  "constructs [of description] contain information needed in executing the model or in translating it into executable code." → **how things are computed.**

- **reflective** (or **assertive**):
  "[description used] to derive and present views of the model, statically or during execution, or to set constraints on behavior in preparation for verification." → **what should (not) be computed.**

**Note:** No sharp boundaries! (would be too easy...)

---

**Model-Driven Software Engineering**

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- (Jacobson et al., 1992): “System development is model building.”
- Model **driven** software engineering (MDSE): **everything** is a model.
- Model **based** software engineering (MBSE): **some** models are used.
A Brief History of the Unified Modelling Language (UML)

- Boxes/lines and finite automata are used to visualise software for ages.

1970’s, Software Crisis™
   — Idea: learn from engineering disciplines to handle growing complexity.
   Modelling languages: Flowcharts, Nassi-Shneiderman, Entity-Relation Diagrams

- Mid 1980’s: Statecharts (Harel, 1987), StateMate™

- Early 1990’s, advent of Object-Oriented Analysis/Design/Programming
   — Inflation of notations and methods, most prominent:
   - Object-Modeling Technique (OMT) (Rumbaugh et al., 1990)
   - Booch Method and Notation (Booch, 1993)
• Boxes/lines and finite automata are used to visualise software for ages.

• 1970’s, Software Crisis™
  — Idea: learn from engineering disciplines to handle growing complexity.
  Modelling languages: Flowcharts, Nassi-Shneiderman, Entity-Relation Diagrams

• Mid 1980’s: Statecharts (Harel, 1987), StateMate™ (Harel et al., 1990)

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  • Object-Modeling Technique (OMT)
    (Rumbaugh et al., 1990)
  • Booch Method and Notation
    (Booch, 1993)
  • Object-Oriented Software Engineering (OOSE)
    (Jacobson et al., 1992)

Each “persuasion” selling books, tools, seminars…

• Late 1990’s: joint effort of “the three amigos” UML 0.x, 1.x
  Standards published by Object Management Group (OMG), "international, open membership, not-for-profit computer industry consortium". Much criticised for lack of formality.

• Since 2005: UML 2.x, split into infra- and superstructure documents.
Figure A.5 - The taxonomy of structure and behavior diagram

References
References