

Softwaretechnik / Software-Engineering

Lecture 17: Software Verification

2016-07-14

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Topic Area Code Quality Assurance: Content

- VL 15 ● Introduction and Vocabulary
- VL 16 ● Limits of Software Testing
 - Glass-Box Testing
 - Statement-, branch-, term-coverage.
 - Other Approaches
 - Model-based testing.
 - Runtime verification.
- VL 17 ● Software quality assurance in a larger scope.
 - Program Verification
 - partial and total correctness,
 - Proof System PD.
- VL 18 ● Review

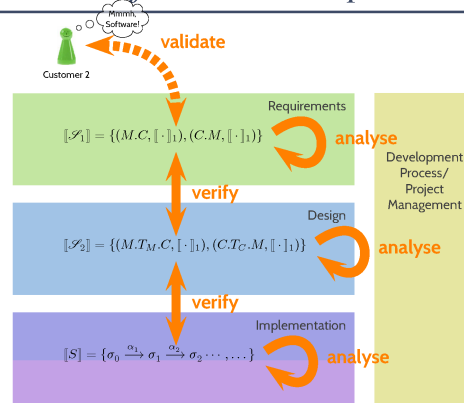
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Content

- Software quality assurance in a **larger scope**:
 - vocabulary,
 - fault, error, failure,
 - concepts of software quality assurance (next to testing)
- **Formal Program Verification**
 - **Deterministic Programs**
 - **Syntax**
 - **Semantics**
 - Termination, Divergence
 - **Correctness** of deterministic programs
 - **partial** correctness,
 - **total** correctness.
 - **Proof System PD**
- **The Verifier for Concurrent C**

Software Quality Assurance

Formal Methods in the Software Development Process



validation-

The process of evaluating a system or component during or at the end of the development process to determine whether it satisfies specified requirements. Contrast with: **verification**.

IEEE 610.12 (1990)

verification-

- (1) The process of evaluating a system or component to determine whether the products of a given development phase satisfy the conditions imposed at the start of that phase. Contrast with: **validation**.

- (2) Formal proof of program correctness. IEEE 610.12 (1990)

Vocabulary

software quality assurance – See: quality assurance. IEEE 610.12 (1990)

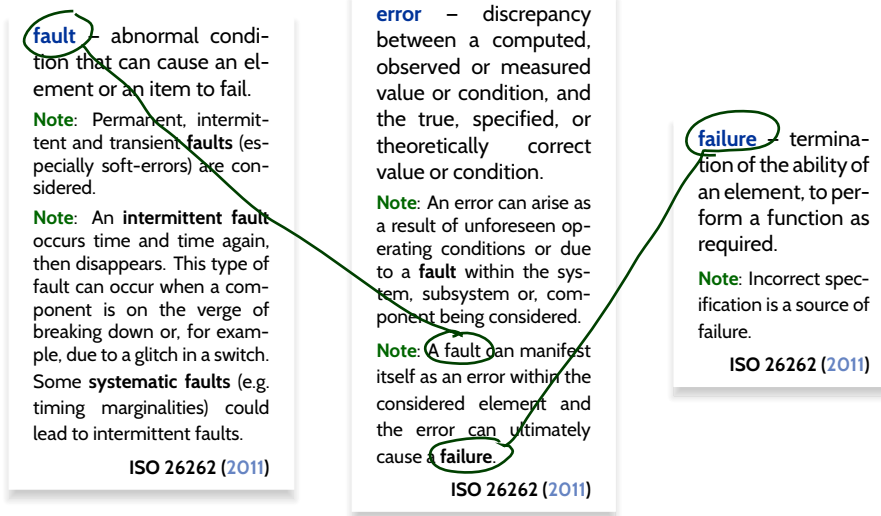
quality assurance –

- (1) A planned and systematic pattern of all actions necessary to provide adequate confidence that an item or product conforms to established technical requirements.
- (2) A set of activities designed to evaluate the process by which products are developed or manufactured.

IEEE 610.12 (1990)

Note: in order to trust a product, it can be **built well**, or **proven to be good** (at best: both) – both is QA in the sense of (1).

Fault, Error, Failure

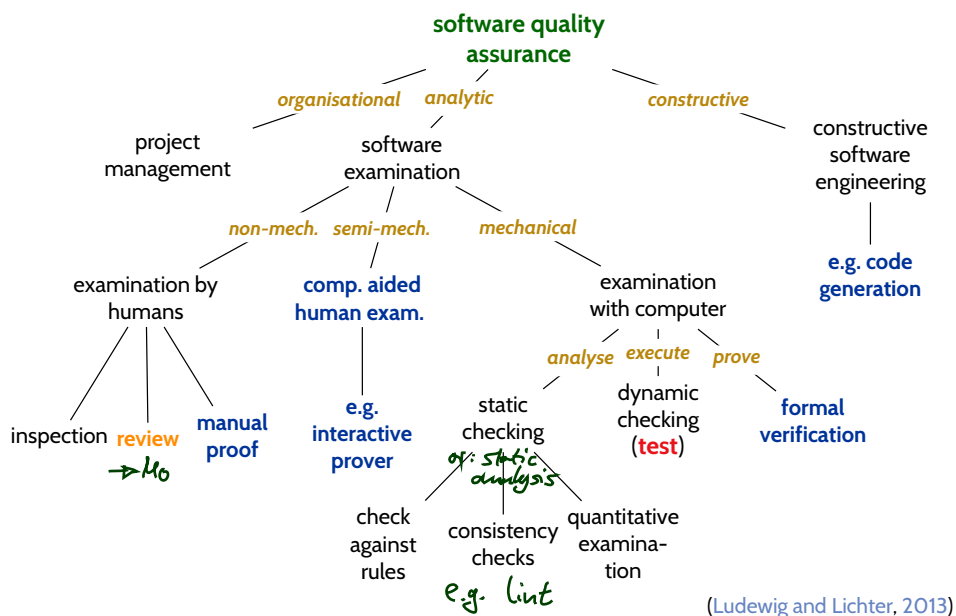


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We want to avoid **failures**, thus we try to detect **faults** and **errors**.

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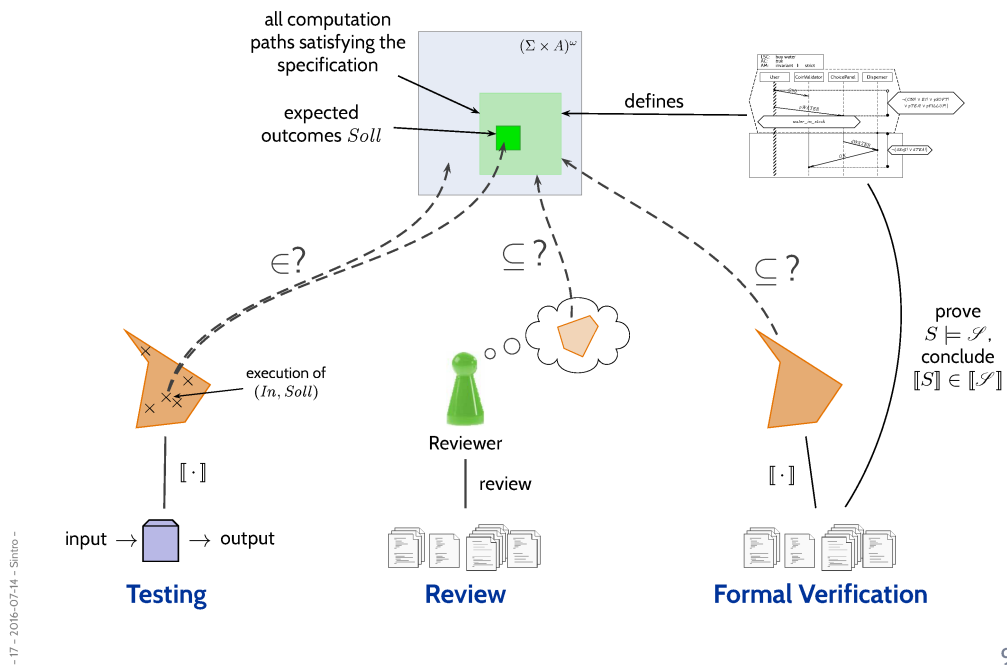
Concepts of Software Quality Assurance



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Three Basic Approaches



Sequential, Deterministic While-Programs

Deterministic Programs

Syntax:

$$S ::= \text{skip} \mid u := t \mid S_1; S_2 \mid \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi} \mid \text{while } B \text{ do } S_1 \text{ od}$$

where $u \in V$ is a **variable**, t is a type-compatible **expression**, B is a Boolean **expression**.

Semantics: (is induced by the following transition relation) – $\sigma : V \rightarrow \mathcal{D}(V)$

- (i) $\langle \text{skip}, \sigma \rangle \rightarrow \langle E, \sigma \rangle$ *empty program*
- (ii) $\langle u := t, \sigma \rangle \rightarrow \langle E, \sigma[u := \sigma(t)] \rangle$
- (iii) $\frac{\langle S_1, \sigma \rangle \rightarrow \langle S_2, \tau \rangle}{\langle S_1; S, \sigma \rangle \rightarrow \langle S_2; S, \tau \rangle}$
- (iv) $\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$, if $\sigma \models B$,
- (v) $\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$, if $\sigma \not\models B$,
- (vi) $\langle \text{while } B \text{ do } S \text{ od}, \sigma \rangle \rightarrow \langle S; \text{while } B \text{ do } S \text{ od}, \sigma \rangle$, if $\sigma \models B$,
- (vii) $\langle \text{while } B \text{ do } S \text{ od}, \sigma \rangle \rightarrow \langle E, \sigma \rangle$, if $\sigma \not\models B$,

E denotes the **empty program**; define $\underline{E}; S \equiv S; \underline{E} \equiv \underline{S}$.

Note: the first component of $\langle S, \sigma \rangle$ is a program (**structural operational semantics** (SOS)).

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Example

(i) $\langle \text{skip}, \sigma \rangle \rightarrow \langle E, \sigma \rangle$	$E; S \equiv S; E \equiv S$
(ii) $\langle u := t, \sigma \rangle \rightarrow \langle E, \sigma[u := \sigma(t)] \rangle$	
(iii) $\frac{\langle S_1, \sigma \rangle \rightarrow \langle S_2, \tau \rangle}{\langle S_1; S, \sigma \rangle \rightarrow \langle S_2; S, \tau \rangle}$	
(iv) $\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle$, if $\sigma \models B$,	
(v) $\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle$, if $\sigma \not\models B$,	
(vi) $\langle \text{while } B \text{ do } S \text{ od}, \sigma \rangle \rightarrow \langle S; \text{while } B \text{ do } S \text{ od}, \sigma \rangle$, if $\sigma \models B$,	
(vii) $\langle \text{while } B \text{ do } S \text{ od}, \sigma \rangle \rightarrow \langle E, \sigma \rangle$, if $\sigma \not\models B$,	

Consider **program**

$$S \equiv \underbrace{a[0] := 1}_{(i)}; \underbrace{a[1] := 0}_{(ii)}; \underbrace{\text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}}_{(iii)}$$

and a **state** σ with $\sigma \models x = 0$.

$$\begin{aligned} \langle S, \sigma \rangle &\xrightarrow{(ii), (iii)} \langle a[1] := 0; \text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma[a[0] := 1] \rangle \\ &\xrightarrow{(i), (iii)} \langle \text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma' \rangle \\ &\xrightarrow{(vi)} \langle x := x + 1; \text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma' \rangle \\ &\xrightarrow{(ii), (iii)} \langle \text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma'[x := 1] \rangle \\ &\xrightarrow{(vii)} \langle E, \sigma'[x := 1] \rangle \end{aligned}$$

where $\sigma' = \sigma[a[0] := 1][a[1] := 0]$.

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Another Example

(i)	$\langle skip, \sigma \rangle \rightarrow \langle E, \sigma \rangle$	$E; S \equiv S; E \equiv S$
(ii)	$\langle u := t, \sigma \rangle \rightarrow \langle E, \sigma[u := \sigma(t)] \rangle$	
(iii)	$\frac{\langle S_1, \sigma \rangle \rightarrow \langle S_2, \tau \rangle}{\langle S_1; S, \sigma \rangle \rightarrow \langle S_2; S, \tau \rangle}$	
(iv)	$\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \sigma \rangle \rightarrow \langle S_1, \sigma \rangle, \text{ if } \sigma \models B,$	
(v)	$\langle \text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi}, \sigma \rangle \rightarrow \langle S_2, \sigma \rangle, \text{ if } \sigma \not\models B,$	
(vi)	$\langle \text{while } B \text{ do } S \text{ od}, \sigma \rangle \rightarrow \langle S; \text{while } B \text{ do } S \text{ od}, \sigma \rangle, \text{ if } \sigma \models B,$	
(vii)	$\langle \text{while } B \text{ do } S \text{ od}, \sigma \rangle \rightarrow \langle E, \sigma \rangle, \text{ if } \sigma \not\models B,$	

Consider **program**

$$S_1 \equiv y := x; y := (x - 1) \cdot x + y$$

and a **state** σ with $\sigma \models x = 3$.

$$\begin{aligned} \langle S_1, \sigma \rangle &\xrightarrow{(ii),(iii)} \langle y := (x - 1) \cdot x + y, \{x \mapsto 3, y \mapsto 3\} \rangle \\ &\xrightarrow{(ii)} \langle E, \{x \mapsto 3, y \mapsto 9\} \rangle \end{aligned}$$

Consider **program** $S_3 \equiv y := x; y := (x - 1) \cdot x + y; \text{ while } 1 \text{ do } skip \text{ od}.$

$$\begin{aligned} \langle S_3, \sigma \rangle &\xrightarrow{(ii),(iii)} \langle y := (x - 1) \cdot x + y; \text{ while } 1 \text{ do } skip \text{ od}, \{x \mapsto 3, y \mapsto 3\} \rangle \\ &\xrightarrow{(ii),(iii)} \langle \text{while } 1 \text{ do } skip \text{ od}, \{x \mapsto 3, y \mapsto 9\} \rangle \\ &\xrightarrow{(vi)} \langle skip; \text{ while } 1 \text{ do } skip \text{ od}, \{x \mapsto 3, y \mapsto 9\} \rangle \\ &\xrightarrow{(i),(iii)} \langle \text{while } 1 \text{ do } skip \text{ od}, \{x \mapsto 3, y \mapsto 9\} \rangle \\ &\xrightarrow{(vi)} \dots \end{aligned}$$

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Computations of Deterministic Programs

Definition. Let S be a deterministic program.

(i) A **transition sequence** of S (starting in σ) is a finite or infinite sequence

$$\langle S, \sigma \rangle = \langle S_0, \sigma_0 \rangle \rightarrow \langle S_1, \sigma_1 \rangle \rightarrow \dots$$

(that is, $\langle S_i, \sigma_i \rangle$ and $\langle S_{i+1}, \sigma_{i+1} \rangle$ are in transition relation for all i).

(ii) A **computation (path)** of S (starting in σ) is a **maximal** transition sequence of S (starting in σ), i.e. infinite or not extendible.

(iii) A computation of S is said to

- terminate** in τ if and only if it is finite and ends with $\langle E, \tau \rangle$,
- diverge** if and only if it is infinite.

S **can diverge from** σ if and only if a diverging computation starts in σ .

(iv) We use \rightarrow^* to denote the transitive, reflexive closure of \rightarrow .

Lemma. For each **deterministic** program S and each state σ , there is **exactly one computation** of S which starts in σ .

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Input/Output Semantics of Deterministic Programs

Definition.

Let S be a deterministic program.

- (i) The semantics of partial correctness is the function

$$\mathcal{M}[[S]] : \Sigma \rightarrow 2^\Sigma$$

with $\mathcal{M}[[S]](\sigma) = \{\tau \mid \langle S, \sigma \rangle \rightarrow^* \langle E, \tau \rangle\}$.

- (ii) The semantics of total correctness is the function

$$\mathcal{M}_{tot}[[S]] : \Sigma \rightarrow 2^\Sigma \cup \{\infty\}$$

with $\mathcal{M}_{tot}[[S]](\sigma) = \mathcal{M}[[S]](\sigma) \cup \{\infty \mid S \text{ can diverge from } \sigma\}$.

∞ is an error state representing **divergence**.

Note: $\mathcal{M}_{tot}[[S]](\sigma)$ has exactly one element, $\mathcal{M}[[S]](\sigma)$ at most one.

Example: $\mathcal{M}[[S_1]](\sigma) = \mathcal{M}_{tot}[[S_1]](\sigma) = \{\tau \mid \tau(x) = \sigma(x) \wedge \tau(y) = \sigma(x)^2\}$, $\sigma \in \Sigma$.

(Recall: $S_1 \equiv y := x; y := (x - 1) \cdot x + y$)

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Correctness of While-Programs

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Correctness of Deterministic Programs

Definition. Let S be a program over variables V , and p and q Boolean expressions over V .

(i) The **correctness formula** $\{p\} S \{q\}$ ("Hoare triple")
 holds in the sense of **partial correctness**, denoted by $\models \{p\} S \{q\}$, if and only if $\mathcal{M}[[S]](\llbracket p \rrbracket) \subseteq \llbracket q \rrbracket$.
 We say S is **partially correct** wrt. p and q .
 Handwritten notes: "pre-condition" points to p , "post-condition" points to q . $\llbracket q \rrbracket := \{\sigma \mid \sigma \models q\}$. $\{\sigma \mid \exists \sigma' \in \llbracket p \rrbracket \cdot \mathcal{M}[[S]](\sigma) = \{\tau\}\}$.

(ii) A **correctness formula** $\{p\} S \{q\}$ holds in the sense of **total correctness**, denoted by $\models_{tot} \{p\} S \{q\}$, if and only if $\mathcal{M}_{tot}[[S]](\llbracket p \rrbracket) \subseteq \llbracket q \rrbracket$.
 We say S is **totally correct** wrt. p and q .
 Handwritten note: " ∞ is never in here!" points to the total correctness definition.



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Example: Computing squares (of numbers $0, \dots, 27$)

- **Pre-condition:** $p \equiv 0 \leq x \leq 27$.
- **Post-condition:** $q \equiv y = x^2$.

Program S_1 :

```
1 int y = x;
2 y = (x - 1) * x + y;
```

$\models^? \{p\} S_1 \{q\}$ ✓
 $\models_{tot}^? \{p\} S_1 \{q\}$ ✓

Program S_3 :

```
1 int y = x;
2 y = (x - 1) * x + y;
3 while (1);
```

$\models^? \{p\} S_3 \{q\}$ ✓
 $\models_{tot}^? \{p\} S_3 \{q\}$ ✗

Program S_2 :

```
1 int y = x;
2 int z; // uninitialised
3 y = ((x - 1) * x + y) + z;
```

$\models^? \{p\} S_2 \{q\}$ ✗
 $\models_{tot}^? \{p\} S_2 \{q\}$ ✗

Program S_4 :

```
1 int x = read_input();
2 int y = x + (x-1) * x;
```

$\models^? \{p\} S_4 \{q\}$ ✗
 $\models_{tot}^? \{p\} S_4 \{q\}$ ✗

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Example: Correctness

- By the example, we have shown

$$\models \{x = 0\} S \{x = 1\}$$

and

$$\models_{tot} \{x = 0\} S \{x = 1\}.$$

(because we only assumed $\sigma \models x = 0$ for the example, which is exactly the precondition.)

Example

\Box $\{skip, \sigma\} \rightarrow \{E, \sigma\}$ $E; S = S; E = S$
 \Box $\{x := t, \sigma\} \rightarrow \{E, \sigma[x := \sigma(t)]\}$
 \Box $\{S_1; \sigma\} \rightarrow \{S_2; \sigma\}$
 \Box $\{S_1; S_2; \sigma\} \rightarrow \{S_2; S_1; \sigma\}$
 \Box $\{if B then S_1 else S_2; \sigma\} \rightarrow \{S_1, \sigma\}, \text{ if } \sigma \models B$
 \Box $\{if B then S_1 else S_2; \sigma\} \rightarrow \{S_2, \sigma\}, \text{ if } \sigma \not\models B$
 \Box $\{while B do S od, \sigma\} \rightarrow \{S; while B do S od, \sigma\}, \text{ if } \sigma \models B$
 \Box $\{while B do S od, \sigma\} \rightarrow \{E, \sigma\}, \text{ if } \sigma \not\models B$

Consider program

$$S \equiv a[0] := 1; a[1] := 0; \text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}$$

and a state σ with $\sigma \models x = 0$.

$$\begin{array}{l} (S, \sigma) \xrightarrow{\langle iv \rangle, \langle iv \rangle} (a[1] := 0; \text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma[a[0] := 1]) \\ \xrightarrow{\langle iv \rangle, \langle iv \rangle} (\text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma') \\ \xrightarrow{\langle iv \rangle} (x := x + 1; \text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma') \\ \xrightarrow{\langle iv \rangle, \langle iv \rangle} (\text{while } a[x] \neq 0 \text{ do } x := x + 1 \text{ od}, \sigma'[x := 1]) \\ \xrightarrow{\langle iv \rangle} (E, \sigma'[x := 1]) \end{array}$$

where $\sigma' = \sigma[a[0] := 1][a[1] := 0]$.

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- We have also shown (= proved (!)):

$$\models \{x = 0\} S \{x = 1 \wedge a[x] = 0\}.$$

- The correctness formula $\{x = 2\} S \{true\}$ does not hold for S . (For example, if $\sigma \models a[i] \neq 0$ for all $i > 2$.)
- In the sense of **partial correctness**, $\{x = 2 \wedge \forall i \geq 2 \bullet a[i] = 1\} S \{false\}$ also holds.

Proof-System PD

Proof-System PD (for sequential, deterministic programs)

Axiom 1: Skip-Statement

$$\{p\} \text{ skip } \{p\}$$

Axiom 2: Assignment

$$\{p[u := t]\} u := t \{p\}$$

Rule 3: Sequential Composition

$$\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$$

Rule 4: Conditional Statement

$$\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$$

Rule 5: While-Loop

$$\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$$

Rule 6: Consequence

$$\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$$

Theorem. PD is correct (“sound”) and (relative) complete for partial correctness of deterministic programs, i.e. $\vdash_{PD} \{p\} S \{q\}$ if and only if $\models \{p\} S \{q\}$.

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Example Proof

$$DIV \equiv \overbrace{a := 0; b := x}^{=:S_0^D}; \text{ while } \overbrace{b \geq y}^{=:B^D} \text{ do } \overbrace{b := b - y; a := a + 1}^{=:S_1^D} \text{ od}$$

(The first (textually represented) program that has been formally verified (Hoare, 1969).

We can prove $\models \{x \geq 0 \wedge y \geq 0\} DIV \{a \cdot y + b = x \wedge b < y\}$

by showing $\vdash_{PD} \underbrace{\{x \geq 0 \wedge y \geq 0\}}_{=:p^D} DIV \underbrace{\{a \cdot y + b = x \wedge b < y\}}_{=:q^D}$, i.e., derivability in PD:

$$\frac{\frac{\frac{\checkmark}{(1)} \quad P \rightarrow P, \quad \frac{\frac{(2)}{\{P \wedge (B^D)\} S_1^D \{P\}}{\{P\} \text{ while } B^D \text{ do } S_1^D \text{ od } \{P \wedge \neg(B^D)\}}, \quad (R5) \quad \frac{(3)}{P \wedge \neg(B^D) \rightarrow q^D}}{\{p^D\} S_0^D \{P\}, \quad \{P\} \text{ while } B^D \text{ do } S_1^D \text{ od } \{q^D\}} \quad (R6)}{\{p^D\} S_0^D; \text{ while } B^D \text{ do } S_1^D \text{ od } \{q^D\}} \quad (R3)}$$

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(A1) $\{p\} \text{ skip } \{p\}$	(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

Example Proof

$$DIV \equiv \overbrace{a := 0; b := x}^{=:S_0^D}; \text{ while } \overbrace{b \geq y}^{=:B^D} \text{ do } \overbrace{b := b - y; a := a + 1}^{=:S_1^D} \text{ od}$$

(The first (textually represented) program that has been formally verified (Hoare, 1969).

We can prove $\models \{x \geq 0 \wedge y \geq 0\} DIV \{a \cdot y + b = x \wedge b < y\}$

by showing $\vdash_{PD} \underbrace{\{x \geq 0 \wedge y \geq 0\}}_{=:p^D} DIV \underbrace{\{a \cdot y + b = x \wedge b < y\}}_{=:q^D}$, i.e., derivability in PD:

$$\frac{\frac{(1) \quad \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}, \quad \frac{\frac{(2) \quad \{P \wedge (b \geq y)\} b := b - y; a := a + 1 \{P\}}{P \rightarrow P, \{P\} \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{P \wedge \neg(b \geq y)\}}, \quad (R5) \quad \frac{(3) \quad P \wedge \neg(b \geq y) \rightarrow a \cdot y + b = x \wedge b < y}{P \wedge \neg(b \geq y) \rightarrow a \cdot y + b = x \wedge b < y}}{\{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}, \quad \{P\} \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{a \cdot y + b = x \wedge b < y\}} \quad (R6)}{\{x \geq 0 \wedge y \geq 0\} a := 0; b := x; \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{a \cdot y + b = x \wedge b < y\}} \quad (R3)$$

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(A1) $\{p\} \text{ skip } \{p\}$	(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

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Example Proof Cont'd

$$\frac{\frac{(1) \quad \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}, \quad \frac{\frac{(2) \quad \{P \wedge (b \geq y)\} b := b - y; a := a + 1 \{P\}}{P \rightarrow P, \{P\} \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{P \wedge \neg(b \geq y)\}}, \quad (R5) \quad \frac{(3) \quad P \wedge \neg(b \geq y) \rightarrow a \cdot y + b = x \wedge b < y}{P \wedge \neg(b \geq y) \rightarrow a \cdot y + b = x \wedge b < y}}{\{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}, \quad \{P\} \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{a \cdot y + b = x \wedge b < y\}} \quad (R6)}{\{x \geq 0 \wedge y \geq 0\} a := 0; b := x; \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{a \cdot y + b = x \wedge b < y\}} \quad (R3)$$

In the following, we show

- (1) $\vdash_{PD} \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}$,
- (2) $\vdash_{PD} \{P \wedge b \geq y\} b := b - y; a := a + 1 \{P\}$,
- (3) $\models P \wedge \neg(b \geq y) \rightarrow a \cdot y + b = x \wedge b < y$.

As **loop invariant**, we choose (**creative act!**):

$$P \equiv a \cdot y + b = x \wedge b \geq 0$$

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Proof of (1)

(A1) $\{p\} \text{ skip } \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

- (1) claims:

$$\vdash_{PD} \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}$$

$$\text{where } P \equiv a \cdot y + b = x \wedge b \geq 0.$$

- $\vdash_{PD} \{0 \cdot y + x = x \wedge x \geq 0\} a := 0 \{a \cdot y + x = x \wedge x \geq 0\}$ by (A2),
 $\rho[u := t]$
 $\rho[a := 0]$

Proof of (1)

(A1) $\{p\} \text{ skip } \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

- (1) claims:

$$\vdash_{PD} \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}$$

$$\text{where } P \equiv a \cdot y + b = x \wedge b \geq 0.$$

- $\vdash_{PD} \{0 \cdot y + x = x \wedge x \geq 0\} a := 0 \{a \cdot y + x = x \wedge x \geq 0\}$ by (A2),
- $\vdash_{PD} \{a \cdot y + x = x \wedge x \geq 0\} b := x \{a \cdot y + b = x \wedge b \geq 0\}$ by (A2),
 $\rho[b := x]$
 $\rho \equiv P$

Proof of (1)

(A1) $\{p\} \text{ skip } \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

- (1) claims:

$$\vdash_{PD} \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}$$

where $P \equiv a \cdot y + b = x \wedge b \geq 0$.

- $\vdash_{PD} \{0 \cdot y + x = x \wedge x \geq 0\} a := 0 \{a \cdot y + x = x \wedge x \geq 0\}$ by (A2).
- $\vdash_{PD} \{a \cdot y + x = x \wedge x \geq 0\} b := x \underbrace{\{a \cdot y + b = x \wedge b \geq 0\}}_{\equiv P}$ by (A2).
- thus, $\vdash_{PD} \{0 \cdot y + x = x \wedge x \geq 0\} a := 0; b := x \{P\}$ by (R3).
- using $x \geq 0 \wedge y \geq 0 \rightarrow 0 \cdot y + x = x \wedge x \geq 0$ and $P \rightarrow P$, we obtain

$$\vdash_{PD} \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}$$

by (R6).

□

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Substitution

The rule 'Assignment' uses (syntactical) **substitution**: $\{p[u := t]\} u := t \{p\}$

(In formula p , replace all (free) occurrences of (program or logical) variable u by term t .)

Defined as usual, only **indexed** and **bound** variables need to be treated specially:

Expressions:

- plain variable x : $x[u := t] \equiv \begin{cases} t & , \text{ if } x = u \\ x & . \text{ otherwise} \end{cases}$

- constant c :

$$c[u := t] \equiv c.$$

- constant op , terms s_i :

$$\begin{aligned} op(s_1, \dots, s_n)[u := t] \\ \equiv op(s_1[u := t], \dots, s_n[u := t]). \end{aligned}$$

- conditional expression:

$$\begin{aligned} (B ? s_1 : s_2)[u := t] \\ \equiv (B[u := t] ? s_1[u := t] : s_2[u := t]) \end{aligned}$$

- **indexed variable**, u plain or $u \equiv b[t_1, \dots, t_m]$ and $a \neq b$:

$$(a[s_1, \dots, s_n])[u := t] \equiv a[s_1[u := t], \dots, s_n[u := t]]$$

- **indexed variable**, $u \equiv a[t_1, \dots, t_m]$:

$$(a[s_1, \dots, s_n])[u := t] \equiv (\bigwedge_{i=1}^n s_i[u := t] = t_i ? t : a[s_1[u := t], \dots, s_n[u := t]])$$

Formulae:

- boolean expression $p \equiv s$:
 $p[u := t] \equiv s[u := t]$

- negation:

$$(\neg q)[u := t] \equiv \neg(q[u := t])$$

- conjunction etc.:

$$\begin{aligned} (q \wedge r)[u := t] \\ \equiv q[u := t] \wedge r[u := t] \end{aligned}$$

- **quantifier**:

$$\begin{aligned} (\forall x : q)[u := t] &\equiv \forall y : q[x := y][u := t] \\ y \text{ fresh (not in } q, t, u), \text{ same type as } x. \end{aligned}$$

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Proof of (2)

(A1) $\{p\} \text{ skip } \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

- (2) claims:

$$\vdash_{PD} \{P \wedge b \geq y\} b := b - y; a := a + 1 \{P\}$$

where $P \equiv a \cdot y + b = x \wedge b \geq 0$.

- $\vdash_{PD} \{(a+1) \cdot y + (b-y) = x \wedge (b-y) \geq 0\} b := b - y \{(a+1) \cdot y + \underbrace{b}_{\text{by (A2), } \checkmark} = x \wedge \underbrace{b}_{\text{by (A2), } \checkmark} \geq 0\}$

Proof of (2)

(A1) $\{p\} \text{ skip } \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

- (2) claims:

$$\vdash_{PD} \{P \wedge b \geq y\} b := b - y; a := a + 1 \{P\}$$

where $P \equiv a \cdot y + b = x \wedge b \geq 0$.

- $\vdash_{PD} \{(a+1) \cdot y + (b-y) = x \wedge (b-y) \geq 0\} b := b - y \{(a+1) \cdot y + b = x \wedge b \geq 0\}$
by (A2),

- $\vdash_{PD} \{(a+1) \cdot y + b = x \wedge b \geq 0\} a := a + 1 \underbrace{\{a \cdot y + b = x \wedge b \geq 0\}}_{\equiv P} \text{ by (A2), } \checkmark$

Proof of (2)

(A1) $\{p\} \text{ skip } \{p\}$	(R4) $\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$
(A2) $\{p[u := t]\} u := t \{p\}$	(R5) $\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(R3) $\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$	(R6) $\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

- (2) claims:

$$\vdash_{PD} \{P \wedge b \geq y\} b := b - y; a := a + 1 \{P\}$$

where $P \equiv a \cdot y + b = x \wedge b \geq 0$.

- $\vdash_{PD} \{(a + 1) \cdot y + (b - y) = x \wedge (b - y) \geq 0\} b := b - y \{(a + 1) \cdot y + b = x \wedge b \geq 0\}$
by (A2),

- $\vdash_{PD} \{(a + 1) \cdot y + b = x \wedge b \geq 0\} a := a + 1 \underbrace{\{a \cdot y + b = x \wedge b \geq 0\}}_{\equiv P}$ by (A2),

- $\vdash_{PD} \{(a + 1) \cdot y + (b - y) = x \wedge (b - y) \geq 0\} b := b - y; a := a + 1 \{P\}$ by (R3),

- using $(P \wedge b \geq y) \rightarrow ((a + 1) \cdot y + (b - y) = x \wedge (b - y) \geq 0)$ and $P \rightarrow P$ we obtain,

$$\vdash_{PD} \{P \wedge b \geq y\} b := b - y; a := a + 1 \{P\}$$

by (R6).

□
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Proof of (3)

- (3) claims

$$\models (P \wedge \neg(b \geq y)) \rightarrow (a \cdot y + b = x \wedge b < y.)$$

where $P \equiv a \cdot y + b = x \wedge b \geq 0$.

Proof: easy.

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Back to the Example Proof

We have shown:

- (1) $\vdash_{PD} \{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}$,
- (2) $\vdash_{PD} \{P \wedge b \geq y\} b := b - y; a := a + 1 \{P\}$,
- (3) $\models P \wedge \neg(b \geq y) \rightarrow a \cdot y + b = x \wedge b < y$.

and

$$\frac{\frac{\frac{\frac{\frac{\frac{}{\{x \geq 0 \wedge y \geq 0\} a := 0; b := x \{P\}}{(1)} \quad P \rightarrow P, \quad \{P\} \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{P \wedge \neg(b \geq y)\}}{(R5)} \quad \{P \wedge \neg(b \geq y)\} \rightarrow a \cdot y + b = x \wedge b < y (R6)}}{\{x \geq 0 \wedge y \geq 0\} a := 0; b := x; \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{a \cdot y + b = x \wedge b < y\}}{(R3)}}{\{x \geq 0 \wedge y \geq 0\} a := 0; b := x; \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{a \cdot y + b = x \wedge b < y\}}{(R3)}$$

thus

$$\vdash_{PD} \{x \geq 0 \wedge y \geq 0\} a := 0; b := x; \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od } \{a \cdot y + b = x \wedge b < y\} \equiv_{DIV}$$

and thus (since PD is sound) *DIV* is partially correct wrt.

- pre-condition: $x \geq 0 \wedge y \geq 0$,
- post-condition: $a \cdot y + b = x \wedge b < y$.

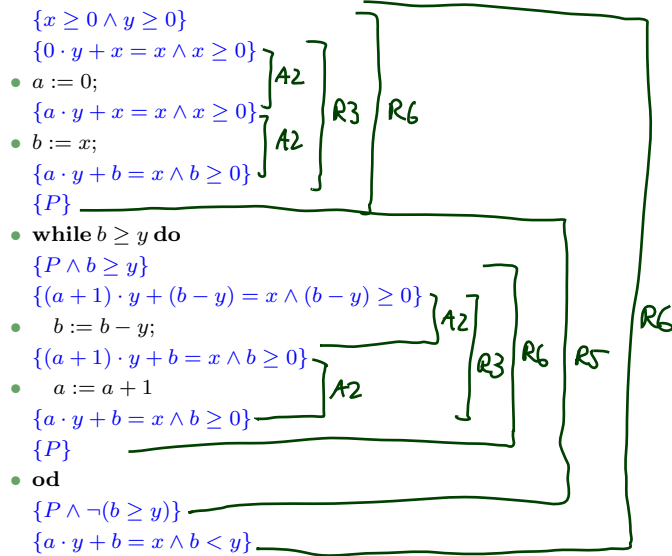
IOW: whenever *DIV* is called with x and y such that $x \geq 0 \wedge y \geq 0$, then (if *DIV* terminates) $a \cdot y + b = x \wedge b < y$ will hold.

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Once Again

- $P \equiv a \cdot y + b = x \wedge b \geq 0$

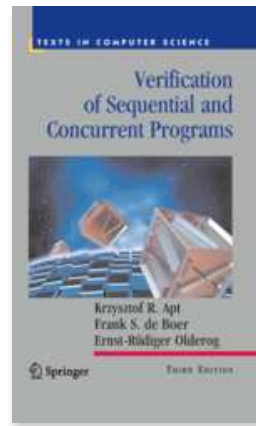
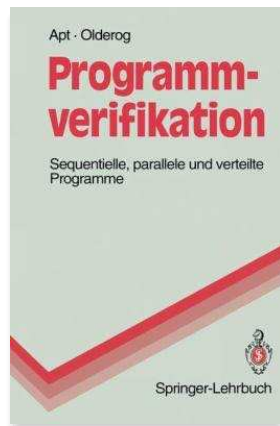


(A1)	$\{p\} \text{ skip } \{p\}$
(A2)	$\{p[u := t]\} u := t \{p\}$
(R3)	$\frac{\{p\} S_1 \{r\}, \{r\} S_2 \{q\}}{\{p\} S_1; S_2 \{q\}}$
(R4)	$\frac{\{p \wedge B\} S_1 \{q\}, \{p \wedge \neg B\} S_2 \{q\}}{\{p\} \text{ if } B \text{ then } S_1 \text{ else } S_2 \text{ fi } \{q\}}$
(R5)	$\frac{\{p \wedge B\} S \{p\}}{\{p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}}$
(R6)	$\frac{p \rightarrow p_1, \{p_1\} S \{q_1\}, q_1 \rightarrow q}{\{p\} S \{q\}}$

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Literature Recommendation



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Assertions

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Assertions

- Extend the **syntax** of **deterministic programs** by

$$S := \dots \mid \text{assert}(B)$$

- and the **semantics** by rule

$$\langle \text{assert}(B), \sigma \rangle \rightarrow \langle E, \sigma \rangle \text{ if } \sigma \models B.$$

(If the asserted boolean expression B does not hold in state σ , the empty program is not reached; otherwise the assertion remains in the first component: **abnormal** program termination).

Extend PD by axiom:

$$(A7) \{p\} \text{assert}(p) \{p\}$$

- That is, if p holds **before** the assertion, then we can **continue** with the derivation in PD. If p does not hold, we **“get stuck”** (and cannot complete the derivation).
- So we **cannot** derive $\{true\} x := 0; \text{assert}(x = 27) \{true\}$ in PD.

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Modular Reasoning

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Modular Reasoning

We can add another rule for calls of functions $f : F$ (simplest case: only global variables):

$$(R7) \frac{\{p\} F \{q\}}{\{p\} f() \{q\}}$$

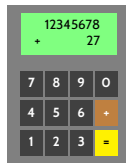
“If we have $\vdash \{p\} F \{q\}$ for the **implementation** of function f , then if f is **called** in a state satisfying p , the state after return of f will satisfy q .”

p is called **pre-condition** and q is called **post-condition** of f .

Example: if we have

- $\{true\} \text{read_number} \{0 \leq \text{result} < 10^8\}$
- $\{0 \leq x \wedge 0 \leq y\} \text{add} \{(old(x) + old(y) < 10^8 \wedge \text{result} = old(x) + old(y)) \vee \text{result} < 0\}$
- $\{true\} \text{display} \{(0 \leq old(x) < 10^8 \implies \text{"old(x)"}) \wedge (old(x) < 0 \implies \text{"-E-"})\}$

we may be able to prove our pocket calculator correct.



```

1 int main() {
2
3   while (true) {
4     int x = read_number();
5     int y = read_number();
6
7     int sum = add( x, y );
8
9     display(sum);
10  }
11 }

```

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Return Values and Old Values

- For **modular reasoning**, it's often useful to refer in the post-condition
 - to the **return value** as *result*,
 - the **values** of variable x **at calling time** as $old(x)$.

- Can be defined using **auxiliary variables**:

- Transform function

$$T f() \{ \dots; \text{return } expr; \}$$

(over variables $V = \{v_1, \dots, v_n\}$, $result, v_i^{old} \notin V$) to

$$T f() \{$$

$$v_1^{old} := v_1; \dots; v_n^{old} := v_n;$$

$$\dots;$$

$$result := expr;$$

$$\text{return } result;$$

$$\}$$

over $V' = V \cup \{v^{old} \mid v \in V\} \cup \{result\}$.

- Then $old(x)$ is just an abbreviation for x^{old} .

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The Verifier for Concurrent C

VCC

- The **Verifier for Concurrent C** (VCC) basically implements Hoare-style reasoning.
- **Special syntax:**
 - `#include <vcc.h>`
 - `_(requires p)` – **pre-condition**, *p* is (basically) a C expression
 - `_(ensures q)` – **post-condition**, *q* is (basically) a C expression
 - `_(invariant expr)` – **loop invariant**, *expr* is (basically) a C expression
 - `_(assert p)` – **intermediate invariant**, *p* is (basically) a C expression
 - `_(writes &v)` – VCC considers **concurrent** C programs; we need to declare for each procedure which global variables it is allowed to write to (also checked by VCC)
- **Special expressions:**
 - `\thread_local(&v)` – no other thread writes to variable *v* (in pre-conditions)
 - `\old(v)` – the value of *v* when procedure was called (useful for post-conditions)
 - `\result` – return value of procedure (useful for post-conditions)

VCC Syntax Example

```
1 #include <vcc.h>
2
3 int a, b;
4
5 void div( int x, int y )
6   _(requires x >= 0 && y >= 0)
7   _(ensures a * y + b == x && b < y)
8   _(writes &a)
9   _(writes &b)
10 {
11   a = 0;
12   b = x;
13   while (b >= y)
14     _(invariant a * y + b == x && b >= 0)
15     {
16       b = b - y;
17       a = a + 1;
18     }
19 }
```

pre-cond. p (pointing to line 6)
post-cond. q (pointing to line 7)
loop invariant p (pointing to line 14)

$DIV \equiv a := 0; b := x; \text{ while } b \geq y \text{ do } b := b - y; a := a + 1 \text{ od}$

$\{x \geq 0 \wedge y \geq 0\} DIV \{x \geq 0 \wedge y \geq 0\}$

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VCC Web-Interface

The screenshot shows a web browser window with the URL `rise4fun.com/Vcc/4Kqe`. The page title is "VCC" and the main heading is "Does this C program always work?". The C code is displayed in a monospaced font, identical to the example above. Below the code, there are navigation buttons for "home", "video", and "permalink". A play button icon is visible. The page footer includes "samples", "hello", "research", "safestring", "bozosort", "spinlock", "tools", "developer", "about", and "rise4fun © 2016 Microsoft Corporation - terms of use - privacy & cookies - code of conduct".

Example program *DIV*: <http://rise4fun.com/Vcc/4Kqe>

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Interpretation of Results

- VCC says: “**verification succeeded**”

We can **only** conclude that the tool

– under its interpretation of the C-standard, under its platform assumptions (32-bit), etc. – “thinks” that it can prove $\models \{p\} DIV \{q\}$.

Can be due to an error in the tool! (That’s a **false negative** then.)

Yet we can ask for a **printout of the proof** and check it manually

(hardly possible in practice) or with other tools like interactive theorem provers.

Note: $\models \{false\} f \{q\}$ **always** holds.

That is, a **mistake** in writing down the pre-condition can make errors in the program go undetected.

- VCC says: “**verification failed**”

- May be a **false positive**.

The tool **does not provide counter-examples** in the form of a computation path, it (only) gives **hints on input values** satisfying p and causing a violation of q .

→ try to construct a (true) counter-example from the hints.

or: → make pre-condition p or loop-invariant(s) stronger, and try again.

- Other case: “**timeout**” etc. – completely **inconclusive** outcome.

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VCC Features

- For the exercises, we use VCC only for **sequential, single-thread programs**.

- VCC checks a number of **implicit assertions**:

- **no arithmetic overflow** in expressions (according to C-standard),
- **array-out-of-bounds access**,
- **NULL-pointer dereference**,
- and many more.

- VCC also supports:

- **concurrency**:
different threads may write to shared global variables; VCC can check whether concurrent access to shared variables is properly managed;
- **data structure invariants**:
we may declare invariants that have to hold for, e.g., records (e.g. the length field l is always equal to the length of the string field str); those invariants may **temporarily** be violated when updating the data structure.
- and much more.

- Verification **does not always succeed**:

- The backend SMT-solver may not be able to discharge proof-obligations (in particular non-linear multiplication and division are challenging);
- In many cases, we need to provide **loop invariants** manually.

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Tell Them What You've Told Them...

- There are **more approaches** to software quality assurance than just testing.
- For example, program verification.
- **Proof System PD** can be used
 - to **prove**
 - that a given program is
 - **correct** wrt. its specification.

This approach considers **all inputs** inside the specification!
- Tools like **VCC** implement this approach.

References

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