

Formal Methods for Java

Lecture 11: ESC/Java

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Runtime vs. Static Checking

Runtime Checking

- finds bugs at run-time,
- tests for violation during execution,
- can check most of the JML,
- is done by `jmlrac`.

Static Checking

- finds bugs at compile-time,
- proves that there is no violation,
- can check only parts of the JML,
- is done by `ESC/Java`.

- Developed by the DEC Software Research Center (now HP Research),
- Extended by David Cok and Joe Kiniry (Kind Software)
- **Proves** correctness of specification,
- Is neither **sound** nor **complete** (but this will improve),
- Is useful to find bugs.

- Homepage:
`http://kindsoftware.com/products/opensource/ESCJava2`
- Download link: [ESCJava2.0.5](#)
- Works with Java-1.5.0 (1.6.0 does not work).

Example

Consider the following code:

```
Object[] a;  
void m(int i) {  
    a[i] = "Hello";  
}
```

- Is *a* a null-pointer? ([NullPointerException](#))
- Is *i* nonnegative? ([ArrayIndexOutOfBoundsException](#))
- Is *i* smaller than the array length?
([ArrayIndexOutOfBoundsException](#))
- Is *a* an array of *Object* or *String*?
([ArrayStoreException](#))

ESC/Java warns about these issues. ([Demo](#))

ESC/Java checks that no undeclared run-time exceptions occur.

- `NullPointerException`
- `ClassCastException`
- `ArrayIndexOutOfBoundsException`
- `ArrayStoreException`
- `ArithmeticException`
- `NegativeArraySizeException`
- other run-time exception, e.g., when calling library functions.

ESC/Java also checks the JML specification:

- **ensures** in method contract,
- **requires** in called methods,
- **assert** statements,
- **signals** clause,
- **invariant** (loop invariant and class invariant).

ESC/Java assumes that some formulae hold:

- **requires** in method contract,
- **ensures** in called methods,
- **assume** statements,
- **invariant** (loop invariant and class invariant).

NullPointerException

```
public void put(Object o) {  
    int hash = o.hashCode();  
    ...  
}
```

results in Possible null dereference.

Solutions:

- Declare *o* as `non_null`.
- Add `o != null` to precondition.
- Add `throws NullPointerException`.
(Also add `signals (NullPointerException) o == null`)
- Add Java code that handles null pointers.
`int hash = (o == null ? 0 : o.hashCode());`

ClassCastException

```
class Priority implements Comparable {  
    public int compareTo(Object other) {  
        Priority o = (Priority) other;  
        ...  
    }  
}
```

results in Possible type cast error.

Solutions:

- Add `throws ClassCastException`.

(Also add

```
signals (ClassCastException) !(other instanceof Priority))
```

- Add Java code that handles differently typed objects:

```
if (!(other instanceof Priority))  
    return -other.compareTo(this)  
Priority o = ...
```

This results in a Possible null dereference.

ArrayIndexOutOfBoundsException

```
void write(/*@non_null*/ byte[] what, int offset, int len) {
    for (int i = 0; i < len; i++) {
        write(what[offset+i]);
    }
}
```

results in Possible negative array index

Solution:

- Add $offset \geq 0$ to pre-condition, this results in Array index possibly too large.
- Add $offset + len \leq what.length$.
- ESC/Java does not complain but there is still a problem. If $offset$ and len are very large numbers, then $offset + len$ can be negative. The code would throw an ArrayIndexOutOfBoundsException at run-time.
- The correct pre-condition is:

```
/*@ requires offset >= 0 && offset + len >= offset
   @       && offset + len <= what.length;
   @*/
```

ArrayStoreException

```
public class Stack {
    /*@non_null@*/ Object[] elems;
    int top;
    /*@invariant 0 <= top && top <= elems.length @*/

    /*@ requires top < elems.length;
       @*/
    void add(Object o) {
        elems[top++] = o;
    }
}
```

results in Type of right-hand side possibly not a subtype of array element type (ArrayStore).

Solutions:

- Add an invariant `\typeof(elems) == \type(Object[])`.
- Add a precondition `\typeof(o) <: \elementype(\typeof(elems))`.

Types in assertions

- `\typeof` gets the run-time `type` of an expression
`\typeof(obj) ~ obj.getClass()`.
- `\elementype` gets the base type from an array type.
`\elementype(t1) ~ t1.getComponentType()`.
- `\type` gets the type representing the given Java type.
`\type(Foo) ~ Foo.class`
- `<`: means is sub-type of.
`t1 <: t2 ~ t2.isAssignableFrom(t1)`

ArithmeticException

```
class HashTable {  
    /*@non_null@*/ Bucket[] buckets;  
    void put(/*@non_null@*/Object key, Object val) {  
        int hash = key.hashCode() % buckets.length;  
        ...  
    }  
}
```

results in [Possible division by zero](#).

Solution:

- Add invariant `buckets.length > 0`.
- Run [ESC/Java](#) again to check that this invariant holds.
- It probably warns about a [Possible negative array index](#).

Exceptions in Library Functions

```
class Bag {
  /*@ non_null @*/ Object[] elems;

  void sort() {
    java.util.Arrays.sort(elems);
  }
}
```

results in Possible unexpected exception.

- Look in `escjava/specs/java/util/Arrays.refines-spec!`
- `Array.sort()` has pre-condition:
`elems[i] instanceof Comparable` for all `i`.
- Solution: Add similar condition as class invariant.

Assume and Assert

The basic specifications in ESC/Java are `assume` and `assert`.

```
/*@ assume this.next != null; */  
this.next.prev = this;  
/*@ assert this.next.prev == this; */
```

- ESCJava proves that if the assumption holds in the pre-state, the assertion holds in the post-state.
- This is a [Hoare triple](#).

Requires and Ensures

The method specification is just translated into `assume` and `assert`:

```
/*@ requires n > 0;
   @ ensures \result == (int) Math.sqrt(n);
   @*/
int m() {
    ...
    return x;
}
```

is treated as:

```
/*@ assume n > 0; @*/
...
/*@ assert x == (int) Math.sqrt(n); @*/
```

Calling Methods

And if $m()$ is called the assumption and assertion is the other way round:

```
...  
y = m(x);  
...
```

is treated as

```
...  
/*@ assert x > 0; @*/  
y = m(x);  
/*@ assume y == (int) Math.sqrt(x); @*/  
...
```


Checking for Exceptions

To check for run-time exceptions ESC/Java automatically inserts asserts:

```
a[x] = "Hello";
```

is treated as:

```
/*@ assert a != null && x >= 0 && x < a.length  
   @      && \typeof("Hello") <: \elementype(\typeof(a));  
   @*/  
a[x] = "Hello";
```

Assume is Considered Harmful

Never assume something wrong. This enables ESC/Java to prove everything:

```
Object o = null;
/*@ assume o != null; @*/
Object[] a = new String[-5];
a[-3] = new Integer(2);
```

```
> escjava2 -q AssumeFalseTest.java
0 warnings
```

ESC/Java is Not Complete

ESC/Java can only do limited reasoning:

```
/*@ requires i == 5 && j == 3;  
   @ ensures \result == 15;  
   @*/  
int m(int i, int j) {  
    return i*j;  
}
```

Test.java:19: Warning: Postcondition possibly not established (Post)

```
}  
^
```

Associated declaration is "Test.java", line 14, col 8:

```
@ ensures \result == 15;
```

A good assumption can help, e.g.

```
int m(int i, int j) {  
    /*@ assume 15 == 5 * 3; @*/  
    return i*j;  
}
```

But this may introduce unsoundness if not used carefully.

Loops in ESC/Java

```
int a[] = new int[6];
for (int i = 0; i <= 6; i++) {
    a[i] = i;
}
```

```
> escjava2 -q Test.java
0 warnings
```

```
> escjava2 -Loop 7 -q Test.java
Test.java:15: Warning: Array index possibly too large (IndexTooBig)
        a[i] = i;
          ^
1 warning
```

```
> escjava2 -LoopSafe -q Test.java
Test.java:15: Warning: Array index possibly too large (IndexTooBig)
        a[i] = i;
          ^
1 warning
```

Demo