

Software Design, Modelling and Analysis in UML

Lecture 13: Core State Machines IV

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Contents & Goals

Last Lecture:

- System configuration
- Transformer

This Lecture:

- **Educational Objectives:** Capabilities for following tasks/questions.
 - What does this State Machine mean? What happens if I inject this event?
 - Can you please model the following behaviour.
 - What is: Signal, Event, Ether, Transformer, Step, RTC.
- **Content:**
 - Transformer cont'd
 - Examples for transformer
 - Run-to-completion Step
 - Putting It All Together

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System Configuration, Ether, Transformer

System Configuration

Definition. Let $\mathcal{S}_0 = (\mathcal{T}_0, \mathcal{E}_0, V_0, atr_0, \mathcal{E})$ be a signature with signals, \mathcal{D}_0 a structure of \mathcal{S}_0 , $(Eth, ready, \oplus, \ominus, [\cdot])$ an ether over \mathcal{S}_0 and \mathcal{D}_0 . Furthermore assume there is one core state machine M_C per class $C \in \mathcal{C}$.

A **system configuration** over \mathcal{S}_0 , \mathcal{D}_0 , and Eth is a pair

a type name for the set of states in C 's state machine $(\sigma, \varepsilon) \in \Sigma_{\mathcal{D}_0} \times Eth$

where

- $\mathcal{S} = (\mathcal{T}_0 \dot{\cup} \{S_{M_C} \mid C \in \mathcal{C}\}, \mathcal{E}_0,$

$$V_0 \dot{\cup} \{ \langle stable : Bool, -, true, \emptyset \rangle \}$$

$$\dot{\cup} \{ \langle st_C : S_{M_C}, +, s_0, \emptyset \rangle \mid C \in \mathcal{C} \}$$

$$\dot{\cup} \{ \langle params_E : E_{0,1}, +, \emptyset, \emptyset \rangle \mid E \in \mathcal{E}_0 \},$$

$$\{ C \mapsto atr_0(C) \}$$

$$\cup \{ stable, st_C \} \cup \{ params_E \mid E \in \mathcal{E}_0 \} \mid C \in \mathcal{C}, \mathcal{E}_0)$$

- $\mathcal{D} = \mathcal{D}_0 \dot{\cup} \{ S_{M_C} \mapsto S(M_C) \mid C \in \mathcal{C} \},$ and

- $\sigma(u)(r) \cap \mathcal{D}(\mathcal{E}_0) = \emptyset$ for each $u \in \text{dom}(\sigma)$ and $r \in V_{0,a,x}$ (e.g. $r : C_{a1}$)

Handwritten notes:

- If Bool $\notin \mathcal{T}_0$ then add it here, and have $\mathcal{D}(Bool) = \mathbb{B}$
- initial state of C 's state machine
- each object can refer to signal instances (at most one at a time) in order to access signal attributes
- states of state machine M_C of C
- $\varepsilon \in \mathcal{D}(C)$ if $r : C_{a1}$ or $r : C_u$

C

x: nat
y: nat

(c, st_C = 1)

ε

(c', st_C = 0)

ε

a: nat

$\mathcal{D}_0(\text{nat}) = \mathbb{Z}$

$\mathcal{D}_0 = (\{\text{nat}\}, \{C, E, F\}, \{x: \text{nat}, y: \text{nat}\}, \{C \mapsto \{x, y\}, E \mapsto \emptyset, F \mapsto \{a\}\}, \{E, F\})$
a: nat

$\mathcal{D} = (\{\text{nat}, S_{MC}\}, \{C, E, F\}, \{x, y, a: \text{nat}, \text{stable}: \text{Bool}, st_C: S_{MC}, \text{params}_E: E_{0,1}, \text{params}_F: F_{0,1}\}, \{C \mapsto \{x, y, \text{stable}, st_C, \text{params}_E, \text{params}_F\}, E \mapsto \emptyset, F \mapsto \{a\}\}, \{E, F\})$

$\mathcal{D}(\text{nat}) = \mathcal{D}_0(\text{nat})$
 $\mathcal{D}(S_{MC}) = \{s_0, s_1, s_2, \varnothing, s_{23}\}$

if Eth is shared FIFO queue

0:

v: C

x=0
y=1
stable=0
st_C=s₂₃

params_F →

v: F

a=3

params_E →

w: C

x=2
y=0
stable=1
st_C=ϕ

params_E →

v': E

params_F →

v': F

ε:

u|v'

↑ TOP

w|v''

← "v'' (an instance of F) is ready for w"

Where are we?

```

    graph LR
      s1((s1)) -- "E[n ≠ 0] / x := x + 1; n! F" --> s2((s2))
      s1 -- "F / x := 0" --> s3((s3))
      s2 -- "n := 0" --> s3
      s3 -- "here" --> s1
      
```

- Wanted:** a labelled transition relation

$$(\sigma, \varepsilon) \xrightarrow[\nu]{(\text{cons}, \text{Snd})} (\sigma', \varepsilon')$$

on system configuration, labelled with the **consumed** and **sent** events, (σ', ε') being the result (or effect) of **one object** u_x taking a transition of **its** state machine from the current state mach. state $\sigma(u_x)(st_C)$.
- Have:** system configuration (σ, ε) comprising current state machine state and stability flag for each object, and the ether.
- Plan:**
 - Introduce **transformer** as the semantics of action annotations. **Intuitively**, (σ', ε') is the effect of applying the transformer of the taken transition.
 - Explain how to choose transitions depending on ε and when to stop taking transitions — the **run-to-completion "algorithm"**.

abbrev. for this: n ≠ 0 (same rules as with "self")

abbrev. this: x + 1

here

Transformer

Definition.

Let $\Sigma_{\mathcal{C}}$ the set of system configurations over some $\mathcal{S}_0, \mathcal{D}_0, Eth$.

We call a relation

$$t \subseteq \mathcal{D}(\mathcal{C}) \times (\Sigma_{\mathcal{C}} \times Eth) \times (\Sigma_{\mathcal{C}} \times Eth)$$

a (system configuration) **transformer**.

- In the following, we assume that each application of a transformer t to some system configuration (σ, ε) for object u_x is associated with a set of **observations**

$$Obs_t[u_x](\sigma, \varepsilon) \in 2^{\mathcal{D}(\mathcal{C}) \times (\mathcal{D}(\mathcal{C}) \times Evs(\mathcal{E} \cup \{*, +\}, \mathcal{D}) \times \mathcal{D}(\mathcal{C}))}$$

- An observation $(u_{src}, u_e, (E, \vec{d}), u_{dst}) \in Obs_t[u_x](\sigma, \varepsilon)$ represents the information that, as a "side effect" of u_x executing t , an event (!) (E, \vec{d}) has been sent from u_{src} to u_{dst} .

Special cases: creation/destruction.

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In the following, we consider:

$$Act_{\mathcal{C}} ::= \{ skip \}$$

$$\cup \{ update(expr_1, v, expr_2) \mid expr_1, expr_2 \in OCLExpr, v \in V \}$$

$$\cup \{ send(expr_1, E, expr_2) \mid expr_1, expr_2 \in OCLExpr, E \in \mathcal{E} \}$$

$$\cup \{ create(C, expr, v) \mid expr \in OCLExpr, C \in \mathcal{C}, v \in V \}$$

$$\cup \{ destroy(expr) \mid expr \in OCLExpr \}$$

$$Expr_{\mathcal{C}}: OCL expressions over $\mathcal{Y}$$$

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Transformer: Skip

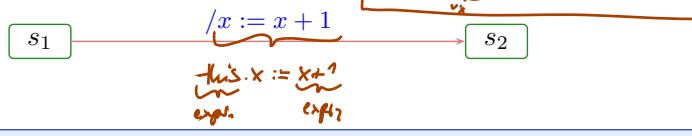
abstract syntax	concrete syntax
skip	<i>skip</i>
intuitive semantics	<i>do nothing</i>
well-typedness	<i>./.</i>
semantics	$t[u_x](\sigma, \varepsilon) = \{(\sigma, \varepsilon)\}$
observables	$Obs_{\text{skip}}[u_x](\sigma, \varepsilon) = \emptyset$
(error) conditions	

Transformer: Update

abstract syntax	concrete syntax
update($expr_1, v, expr_2$)	<i>$expr_1, v := expr_2$</i>
intuitive semantics	<i>Update attribute v in the object denoted by $expr_1$ to the value denoted by $expr_2$.</i>
well-typedness	<i>$expr_1 : \tau_C$ and $v : \tau \in \text{atr}(C)$; $expr_2 : \tau$; $expr_1, expr_2$ obey visibility and navigability</i>
semantics	$t_{\text{update}(expr_1, v, expr_2)}[u_x](\sigma, \varepsilon) = \{(\sigma', \varepsilon)\}$ where $\sigma' = \sigma[u \mapsto \sigma(u)[v \mapsto I[\![expr_2]\!]](\sigma, \beta)]$ with $u = I[\![expr_1]\!]](\sigma, \beta)$, $\beta = \{\text{this} \mapsto u_x\}$.
observables	$Obs_{\text{update}(expr_1, v, expr_2)}[u_x] = \emptyset$
(error) conditions	Not defined if $I[\![expr_1]\!]](\sigma, \beta)$ or $I[\![expr_2]\!]](\sigma, \beta)$ not defined.

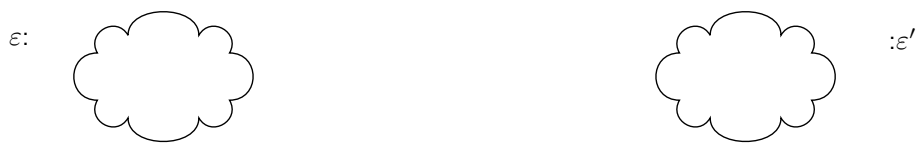
Update Transformer Example

SMC:



update($expr_1, v, expr_2$)

$$t_{\text{update}(expr_1, v, expr_2)}[u_x](\sigma, \varepsilon) = (\sigma[u \mapsto \sigma(u)[v \mapsto I[expr_2](\sigma, \beta)]], \varepsilon),$$

$$u = I[expr_1](\sigma, \beta)$$


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Transformer: Send

abstract syntax	concrete syntax
send($E(expr_1, \dots, expr_n), expr_{dst}$)	exp1: $E(expr_1, \dots, expr_n)$
intuitive semantics	
Object $u_x : C$ sends event E to object $expr_{dst}$, i.e. create a fresh signal instance, fill in its attributes, and place it in the ether.	
well-typedness	
$expr_{dst} : \tau_D, C, D \in \mathcal{C} \setminus \mathcal{E}; E \in \mathcal{E};$ $atr(E) = \{v_1 : \tau_1, \dots, v_n : \tau_n\}; expr_i : \tau_i, 1 \leq i \leq n;$ all expressions obey visibility and navigability in C	
semantics	
$t_{\text{send}(E(expr_1, \dots, expr_n), expr_{dst})}[u_x](\sigma, \varepsilon) \ni (\sigma', \varepsilon')$	
where $\sigma' = \sigma \dot{\cup} \{u \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}; \varepsilon' = \varepsilon \oplus (u_{dst}, u);$ if $u_{dst} = I[expr_{dst}](\sigma, \beta) \in \text{dom}(\sigma); d_i = I[expr_i](\sigma, \beta)$ for $1 \leq i \leq n;$ $u \in \mathcal{D}(E)$ a fresh identity, i.e. $u \notin \text{dom}(\sigma),$ and where $(\sigma', \varepsilon') = (\sigma, \varepsilon)$ if $u_{dst} \notin \text{dom}(\sigma); \beta = \{\text{this} \mapsto u_x\}.$	
observables	
$Obs_{\text{send}}[u_x] = \{(u_x, u, (E, d_1, \dots, d_n), u_{dst})\}$	
(error) conditions	
$I[expr](\sigma, \beta)$ not defined for any $expr \in \{expr_{dst}, expr_1, \dots, expr_n\}$	

our choice - we could also consider it to be an error

don't send to signal instances

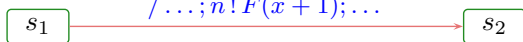
the new signal instance

an event

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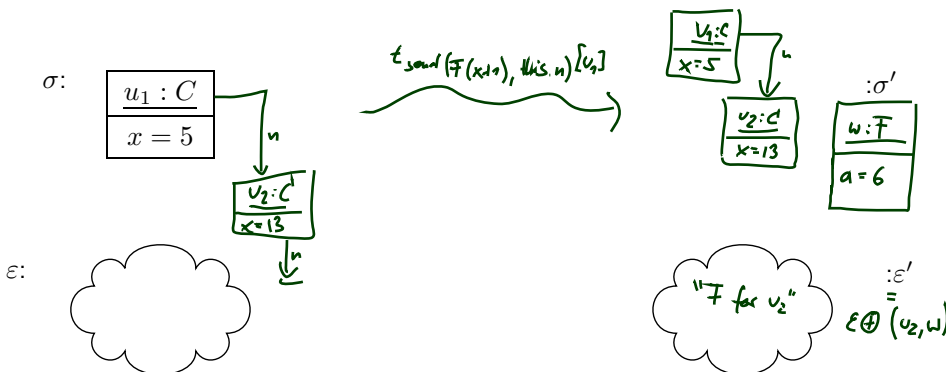
Send Transformer Example

SM_C:



send($E(expr_1, \dots, expr_n), expr_{dst}$)
 $t_{send}(E(expr_1, \dots, expr_n), expr_{dst})[u_x](\sigma, \varepsilon) = \dots$

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Transformer: Create

()* so wof: $x := (\text{new } C), x + (\text{new } C).y$ if needed:

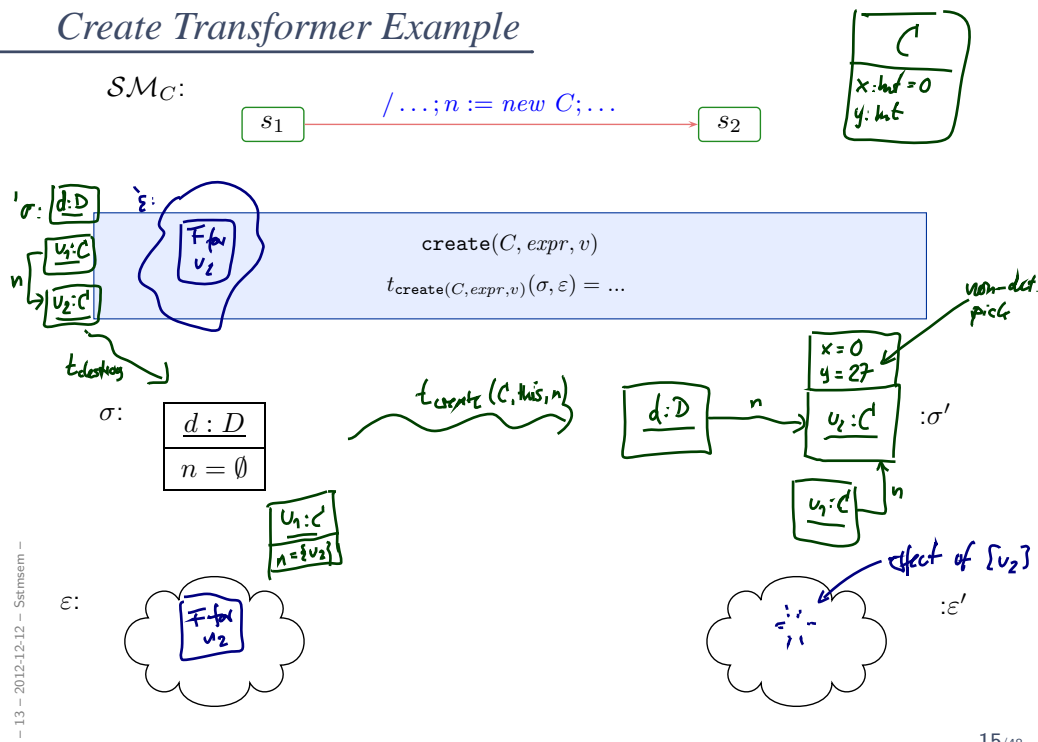
abstract syntax create($C, expr, v$)	concrete syntax $expr.v := \text{new } C$
intuitive semantics Create an object of class C and assign it to attribute v of the object denoted by expression $expr$.	
well-typedness $expr : \tau_D, v \in \text{atr}(D), \text{atr}(C) = \{ \langle v_1 : \tau_1, expr_i^0 \rangle \mid 1 \leq i \leq n \}$	
semantics ...	
observables ...	
(error) conditions $I[expr](\sigma, \beta)$ not defined.	

$tmp_1 := \text{new } C;$
 $tmp_2 := \text{new } C;$
 $x := tmp_1.x + tmp_2.y;$
 $tmp_1 := \text{NULL};$
 $tmp_2 := \text{NULL};$

- We use an "and assign"-action for simplicity — it doesn't add or remove expressive power, but moving creation to the expression language raises all kinds of other problems such as order of evaluation (and thus creation).
- Also for simplicity: no parameters to construction (\sim parameters of constructor). Adding them is straightforward (but somewhat tedious).

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Create Transformer Example



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How To Choose New Identities?

- **Re-use**: choose any identity that is not alive **now**, i.e. not in $\text{dom}(\sigma)$.
 - Doesn't depend on history. ✓
 - May "undangle" dangling references – may happen on some platforms.
- **Fresh**: choose any identity that has not been alive **ever**, i.e. not in $\text{dom}(\sigma)$ and any predecessor in current run.
 - Depends on history.
 - Dangling references remain dangling – could mask "dirty" effects of platform.

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Transformer: Create

abstract syntax	concrete syntax
$\text{create}(C, \text{expr}, v)$	
intuitive semantics	
Create an object of class C and assign it to attribute v of the object denoted by expression expr .	
well-typedness	
$\text{expr} : \tau_D, v \in \text{atr}(D), \text{atr}(C) = \{ \langle v_1 : \tau_1, \text{expr}_i^0 \rangle \mid 1 \leq i \leq n \}$, $C \in \mathcal{E}$	
semantics	
$((\sigma, \varepsilon), (\sigma', \varepsilon')) \in t$	
$\text{iff } \sigma' = \sigma[u_0 \mapsto \sigma(u_0)[v \mapsto u]] \cup \{u \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\},$	
$\varepsilon' = [u](\varepsilon); u \in \mathcal{D}(C) \text{ fresh, i.e. } u \notin \text{dom}(\sigma);$	
$u_0 = I[\text{expr}](\sigma, \beta); d_i = I[\text{expr}_i^0](\sigma, \beta) \text{ if } \text{expr}_i^0 \neq "" \text{ and arbitrary value from } \mathcal{D}(\tau_i) \text{ otherwise; } \beta = \{\text{this} \mapsto u_x\}.$	
observables	
$\text{Obs}_{\text{create}}[u_x] = \{(u_x, \perp, (*, \emptyset), u)\}$	
(error) conditions	
$I[\text{expr}](\sigma)$ not defined.	

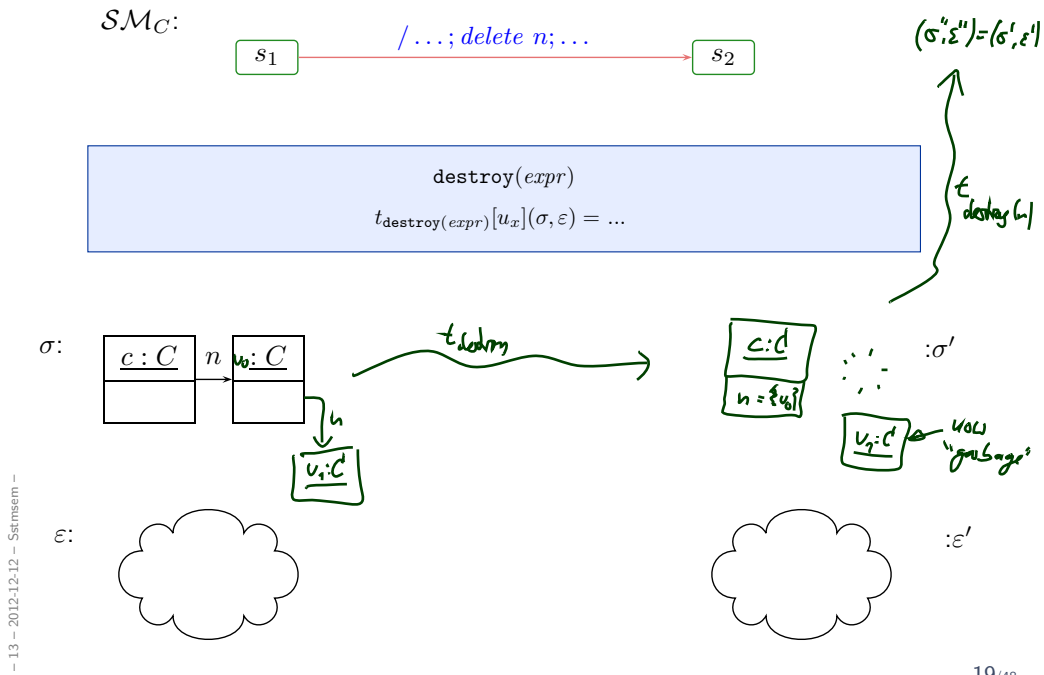
cleanup operation

updating v in v_0 in σ add new object to σ

Transformer: Destroy

abstract syntax	concrete syntax
$\text{destroy}(\text{expr})$	delete expr
intuitive semantics	
Destroy the object denoted by expression expr .	
well-typedness	
$\text{expr} : \tau_C, C \in \mathcal{C}$	
semantics	
...	
observables	
$\text{Obs}_{\text{destroy}}[u_x] = \{(u_x, \perp, (+, \emptyset), u)\}$	
(error) conditions	
$I[\text{expr}](\sigma, \beta)$ not defined.	

Destroy Transformer Example



What to Do With the Remaining Objects?

Assume object u_0 is destroyed. . .

- object u_1 may still refer to it via association r :
 - allow dangling references?
 - or remove u_0 from $\sigma(u_1)(r)$?
- object u_0 may have been the last one linking to object u_2 :
 - leave u_2 alone?
 - or remove u_2 also?
- Plus: (temporal extensions of) OCL may have dangling references.

Our choice: Dangling references and no garbage collection!

This is in line with "expect the worst", because there are target platforms which don't provide garbage collection — and models shall (in general) be correct without assumptions on target platform.

But: the more "dirty" effects we see in the model, the more expensive it often is to analyse. Valid proposal for simple analysis: monotone frame semantics, no destruction at all.

Transformer: Destroy

abstract syntax	concrete syntax
destroy(<i>expr</i>)	
intuitive semantics	
Destroy the object denoted by expression <i>expr</i> .	
well-typedness	
$expr : \tau_C, C \in \mathcal{C}$	
semantics	
$t[u_x](\sigma, \varepsilon) = \{(\sigma', \varepsilon)\}$	
where $\sigma' = \sigma _{\text{dom}(\sigma) \setminus \{u\}}$ with $u = I[\text{expr}](\sigma, \beta)$. <i>function restriction</i>	
observables	
$Obs_{\text{destroy}}[u_x] = \{(u_x, \perp, (+, \emptyset), u)\}$	
(error) conditions	
$I[\text{expr}](\sigma, \beta)$ not defined.	

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Sequential Composition of Transformers

- **Sequential composition** $t_1 \circ t_2$ of transformers t_1 and t_2 is canonically defined as

$$(t_2 \circ t_1)[u_x](\sigma, \varepsilon) = t_2[u_x](t_1[u_x](\sigma, \varepsilon))$$

with observation

$$Obs_{(t_2 \circ t_1)}[u_x](\sigma, \varepsilon) = Obs_{t_1}[u_x](\sigma, \varepsilon) \cup Obs_{t_2}[u_x](t_1(\sigma, \varepsilon)).$$

- **Clear:** not defined if one the two intermediate "micro steps" is not defined.

$$\begin{array}{c}
 x := x + 1; \quad n.y := 2; \quad n! \bar{F} \\
 \vdots \quad \quad \quad \vdots \\
 t_{\text{update}}(t_{\text{update}}(t_{\text{send}(\cdot)}(\sigma, \varepsilon)))
 \end{array}$$

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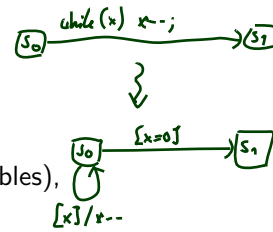
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Transformers And Denotational Semantics

Observation: our transformers are in principle the **denotational semantics** of the actions/action sequences. The trivial case, to be precise.

Note: with the previous examples, we can capture

- empty statements, skips,
- assignments,
- conditionals (by normalisation and auxiliary variables),
- create/destroy,



but not **possibly diverging loops**.

Our (Simple) Approach: if the action language is, e.g. Java, then (**syntactically**) forbid loops and calls of recursive functions.

Other Approach: use full blown denotational semantics.

No show-stopper, because loops in the action annotation can be converted into transition cycles in the state machine.

Run-to-completion Step

Transition Relation, Computation

Definition. Let A be a set of **actions** and S a (not necessarily finite) set of **states**.

We call

$$\rightarrow \subseteq S \times A \times S$$

a (labelled) **transition relation**.

Let $S_0 \subseteq S$ be a set of **initial states**. A sequence

$$s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} s_2 \xrightarrow{a_2} \dots$$

with $s_i \in S$, $a_i \in A$ is called **computation** of the **labelled transition system** (S, \rightarrow, S_0) if and only if

- **initiation:** $s_0 \in S_0$
- **consecution:** $(s_i, a_i, s_{i+1}) \in \rightarrow$ for $i \in \mathbb{N}_0$.

Note: for simplicity, we only consider infinite runs.

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Active vs. Passive Classes/Objects

- **Note:** From now on, assume that all classes are **active** for simplicity.

We'll later briefly discuss the Rhapsody framework which proposes a way how to integrate non-active objects.

- **Note:** The following RTC "algorithm" follows [Harel and Gery, 1997] (i.e. the one realised by the Rhapsody code generation) where the standard is ambiguous or leaves choices.

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From Core State Machines to LTS

Definition. Let $\mathcal{S}_0 = (\mathcal{T}_0, \mathcal{C}_0, V_0, atr_0, \mathcal{E})$ be a signature with signals (all classes **active**), \mathcal{D}_0 a structure of \mathcal{S}_0 , and $(Eth, ready, \oplus, \ominus, [\cdot])$ an ether over \mathcal{S}_0 and \mathcal{D}_0 . Assume there is one core state machine M_C per class $C \in \mathcal{C}$.

We say, the state machines **induce** the following labelled transition relation on states

$S := (\Sigma_{\mathcal{D}} \dot{\cup} \{\#\} \times Eth)$ with actions $A := (2^{\mathcal{D}(\mathcal{C}) \times \mathcal{D}(\mathcal{E})} \dot{\cup} \{\perp\})^{Evs(\mathcal{E}, \mathcal{D}) \times \mathcal{D}(\mathcal{C})}$:

- $(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon')$
if and only if
 - (i) an event with destination u is discarded,
 - (ii) an event is dispatched to u , i.e. stable object processes an event, or
 - (iii) run-to-completion processing by u commences,
i.e. object u is not stable and continues to process an event,
 - (iv) the environment interacts with object u ,
- $s \xrightarrow{(cons, \emptyset)} \#$ *error*
if and only if
 - (v) $s = \#$ and $cons = \emptyset$, or an error condition occurs during consumption of $cons$.

(i) Discarding An Event

$$(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon')$$

if

- an E -event (instance of signal E) is ready in ε for object u of a class \mathcal{C} , i.e. if

$$u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \wedge \exists u_E \in \mathcal{D}(\mathcal{E}) : u_E \in \text{ready}(\varepsilon, u)$$

- u is stable and in state machine state s , i.e. $\sigma(u)(\text{stable}) = 1$ and $\sigma(u)(st) = s$,
- but there is no corresponding transition enabled (all transitions incident with current state of u either have other triggers or the guard is not satisfied)

$$\forall (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) : F \neq E \vee I[\text{expr}](\vec{\sigma}) = 0$$

and

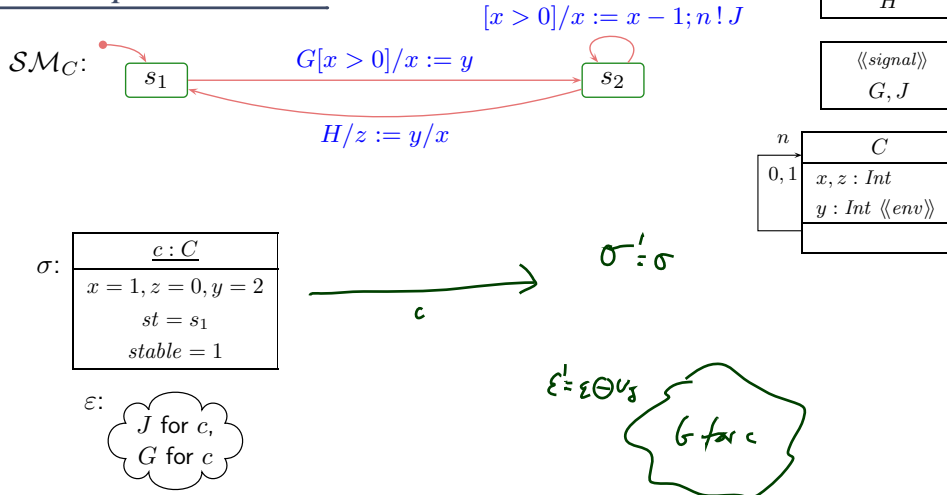
- the system configuration doesn't change, i.e. $\sigma' = \sigma$
- the event u_E is removed from the ether, i.e.

$$\varepsilon' = \varepsilon \ominus u_E,$$

- consumption of u_E is observed, i.e.

$$cons = \{(u, (E, \sigma(u_E)))\}, Snd = \emptyset.$$

Example: Discard



- $\exists u \in \text{dom}(\sigma) \cap \mathcal{D}(C)$
 $\exists u_E \in \mathcal{D}(\mathcal{E}) : u_E \in \text{ready}(\varepsilon, u)$
- $\forall (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) :$
 $F \neq E \vee I[\text{expr}](\sigma) = 0$
- $\sigma(u)(\text{stable}) = 1, \sigma(u)(st) = s,$
- $\sigma' = \sigma, \varepsilon' = \varepsilon \ominus u_E$
- $\text{cons} = \{(u, (E, \sigma(u_E)))\}, \text{Snd} = \emptyset$

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(ii) Dispatch

$$(\sigma, \varepsilon) \xrightarrow[u]{(\text{cons}, \text{Snd})} (\sigma', \varepsilon') \text{ if}$$

- $u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \wedge \exists u_E \in \mathcal{D}(\mathcal{E}) : u_E \in \text{ready}(\varepsilon, u)$
- u is stable and in state machine state s , i.e. $\sigma(u)(\text{stable}) = 1$ and $\sigma(u)(st) = s$,
- a transition is enabled, i.e.

$$\exists (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) : F = E \wedge I[\text{expr}](\tilde{\sigma}) = 1$$

where $\tilde{\sigma} = \sigma[u.\text{params}_E \mapsto u_E]$.

and

- (σ', ε') results from applying t_{act} to (σ, ε) and removing u_E from the ether, i.e.

$$(\sigma'', \varepsilon') = t_{act}(\tilde{\sigma}, \varepsilon \ominus u_E),$$

$$\sigma' = (\sigma''[u.st \mapsto s', u.stable \mapsto b, u.params_E \mapsto \emptyset])|_{\mathcal{D}(\mathcal{E}) \setminus \{u_E\}}$$

remove signal instance

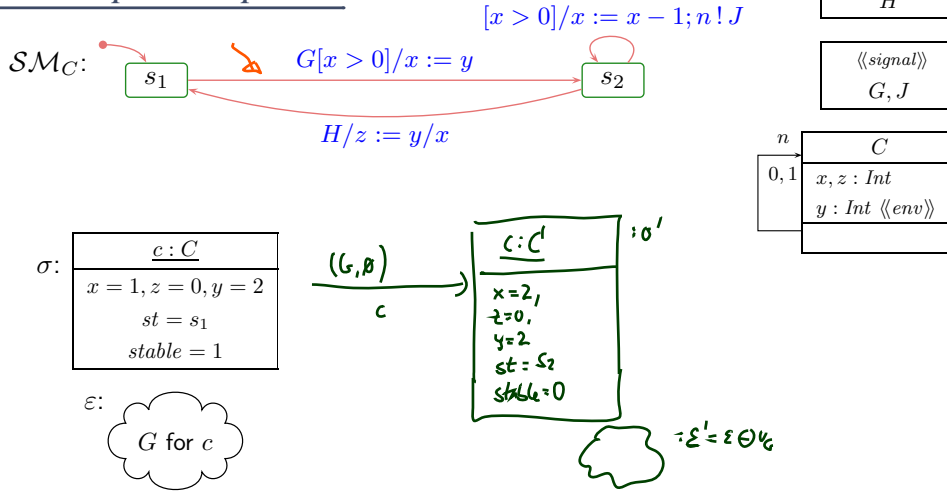
where b depends:

- If u becomes stable in s' , then $b = 1$. It **does** become stable if and only if there is no transition **without trigger** enabled for u in (σ', ε') .
- Otherwise $b = 0$.
- Consumption of u_E and the side effects of the action are observed, i.e.

$$\text{cons} = \{(u, (E, \sigma(u_E)))\}, \text{Snd} = \text{Obs}_{t_{act}}(\tilde{\sigma}, \varepsilon \ominus u_E).$$

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Example: Dispatch



- $\exists u \in \text{dom}(\sigma) \cap \mathcal{D}(C)$
 $\exists u_E \in \mathcal{D}(\mathcal{E}) : u_E \in \text{ready}(\varepsilon, u)$
- $\exists (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) :$
 $F = E \wedge I[\llbracket \text{expr} \rrbracket](\tilde{\sigma}) = 1$
- $\tilde{\sigma} = \sigma[u.\text{params}_E \mapsto u_E]$.
- $\sigma(u)(\text{stable}) = 1, \sigma(u)(st) = s,$
- $(\sigma'', \varepsilon') = t_{act}(\tilde{\sigma}, \varepsilon \ominus u_E)$
- $\sigma' = (\sigma''[u.st \mapsto s', u.\text{stable} \mapsto b, u.\text{params}_E \mapsto \emptyset])|_{\mathcal{D}(\mathcal{E}) \setminus \{u_E\}}$
- $\text{cons} = \{(u, (E, \sigma(u_E)))\}, \text{Snd} = \text{Obs}_{t_{act}}(\tilde{\sigma}, \varepsilon \ominus u_E)$

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(iii) Commence Run-to-Completion

$$(\sigma, \varepsilon) \xrightarrow[u]{(\text{cons}, \text{Snd})} (\sigma', \varepsilon')$$

if

- there is an unstable object u of a class \mathcal{C} , i.e.

$$u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \wedge \sigma(u)(\text{stable}) = 0$$

- there is a transition without trigger enabled from the current state $s = \sigma(u)(st)$, i.e.

$$\exists (s, _, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) : I[\llbracket \text{expr} \rrbracket](\sigma) = 1$$

and

- (σ', ε') results from applying t_{act} to (σ, ε) , i.e.

$$(\sigma'', \varepsilon') \in t_{act}[u](\sigma, \varepsilon), \quad \sigma' = \sigma''[u.st \mapsto s', u.\text{stable} \mapsto b]$$

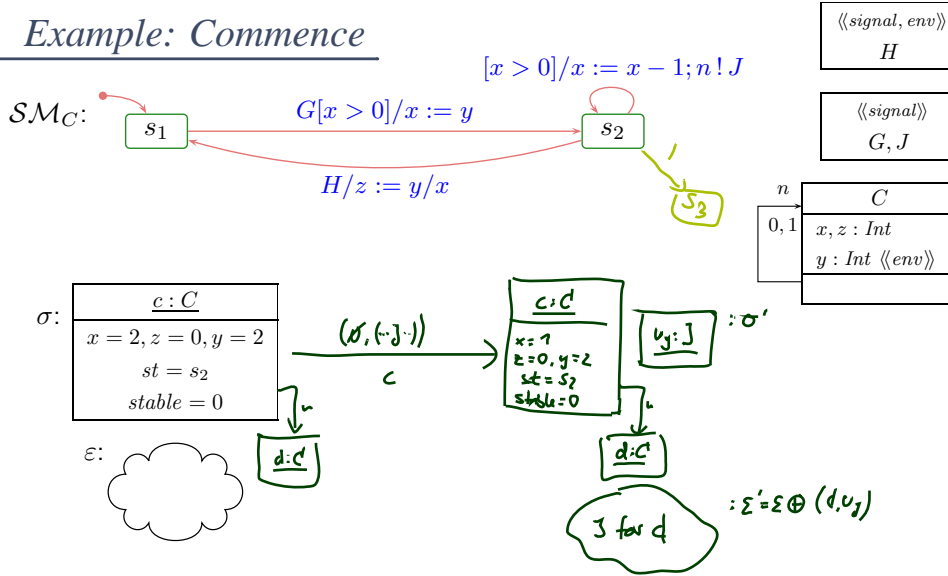
where b depends as before.

- Only the side effects of the action are observed, i.e.

$$\text{cons} = \emptyset, \text{Snd} = \text{Obs}_{t_{act}}(\sigma, \varepsilon).$$

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Example: Commence



- $\exists u \in \text{dom}(\sigma) \cap \mathcal{D}(C) : \sigma(u)(stable) = 0$
- $\exists (s, \cdot, expr, act, s') \in \rightarrow (SM_C) : I[expr](\sigma) = 1$
- $\sigma(u)(stable) = 1, \sigma(u)(st) = s,$
- $(\sigma'', \varepsilon') = t_{act}(\sigma, \varepsilon),$
 $\sigma' = \sigma''[u.st \mapsto s', u.stable \mapsto b]$
- $cons = \emptyset, Snd = Obs_{t_{act}}(\sigma, \varepsilon)$

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(iv) Environment Interaction

Assume that a set $\mathcal{E}_{env} \subseteq \mathcal{E}$ is designated as **environment events** and a set of attributes $v_{env} \subseteq V$ is designated as **input attributes**.

Then

$$(\sigma, \varepsilon) \xrightarrow[env]{(cons, Snd)} (\sigma', \varepsilon')$$

if

- an environment event $E \in \mathcal{E}_{env}$ is spontaneously sent to an alive object $u \in \mathcal{D}(\sigma)$, i.e.

$$\sigma' = \sigma \dot{\cup} \underbrace{\{u_E \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}}_{\text{one new instance of } E}, \quad \varepsilon' = \varepsilon \oplus u_E$$

where $u_E \notin \text{dom}(\sigma)$ and $\text{atr}(E) = \{v_1, \dots, v_n\}$.

- Sending of the event is observed, i.e. $cons = \emptyset, Snd = \{(env, E(\vec{d}))\}$.

or

- Values of input attributes change freely in alive objects, i.e.

$$\forall v \in V \forall u \in \text{dom}(\sigma) : \sigma'(u)(v) \neq \sigma(u)(v) \implies v \in V_{env}.$$

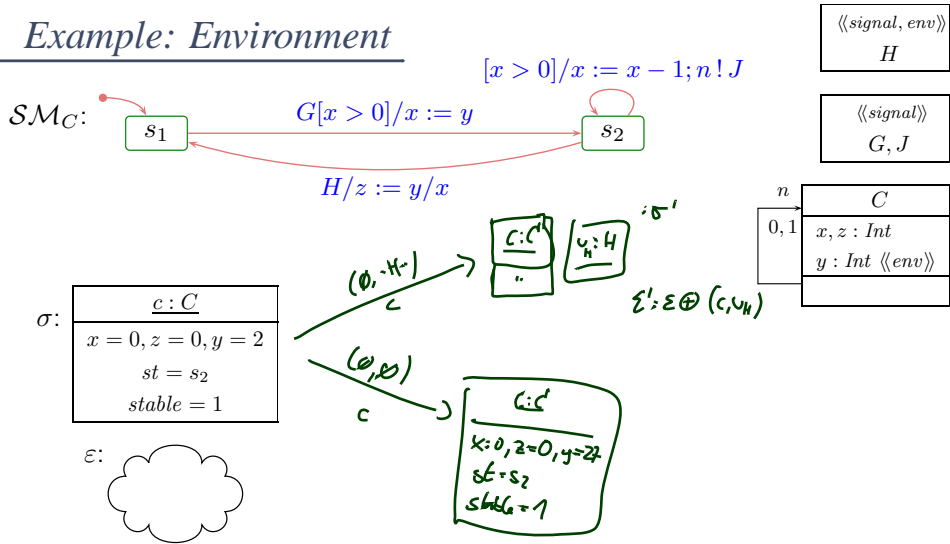
and no objects appear or disappear, i.e. $\text{dom}(\sigma') = \text{dom}(\sigma)$.

- $\varepsilon' = \varepsilon$.

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Example: Environment



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- $\sigma' = \sigma \cup \{u_E \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}$
- $\varepsilon' = \varepsilon \oplus u_E$ where $u_E \notin \text{dom}(\sigma)$ and $\text{atr}(E) = \{v_1, \dots, v_n\}$.
- $u \in \text{dom}(\sigma)$
- $\text{cons} = \emptyset, \text{Snd} = \{(\text{env}, E(\vec{d}))\}$.

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(v) Error Conditions

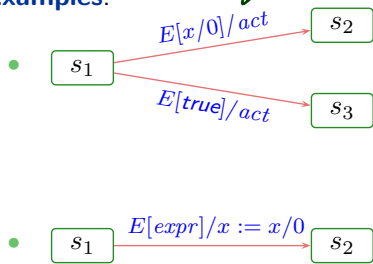
$s \xrightarrow[u]{(\text{cons}, \text{Snd})} \#$
 if, in (ii) or (iii),

- $I[\text{expr}]$ is not defined for σ , or
- t_{act} is not defined for (σ, ε) , i.e. $t_{act}(u)(\sigma, \varepsilon) = \emptyset$

and

- consumption **is observed** according to (ii) or (iii), but $\text{Snd} = \emptyset$.

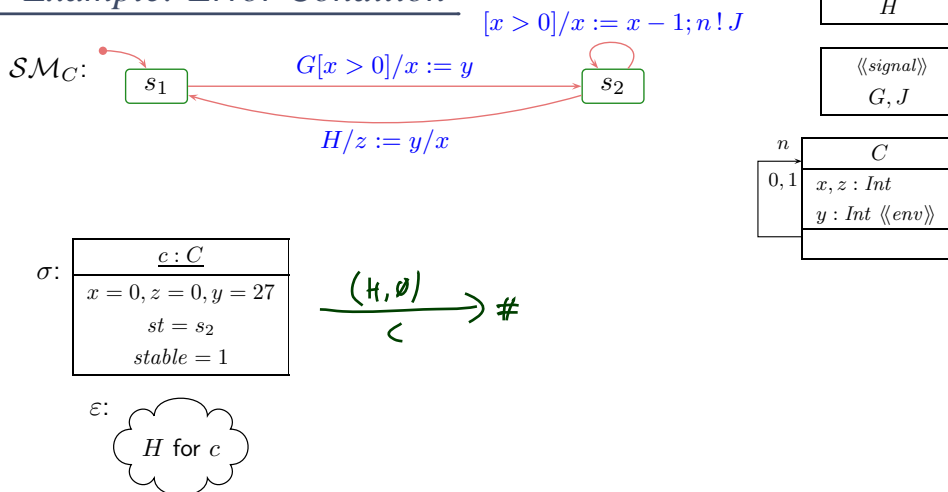
Examples:



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Example: Error Condition



- $I[expr]$ not defined for σ , or
- t_{act} is not defined for (σ, ε)
- consumption according to (ii) or (iii)
- $Snd = \emptyset$

Notions of Steps: The Step

Note: we call one evolution $(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon')$ a **step**.

Thus in our setting, a **step directly corresponds** to

one object (namely u) takes a **single transition** between regular states.

(We have to extend the concept of "single transition" for hierarchical state machines.)

That is: We're going for an interleaving semantics without true parallelism.

Remark: With only methods (later), the notion of step is not so clear.

For example, consider

- c_1 calls $f()$ at c_2 , which calls $g()$ at c_1 which in turn calls $h()$ for c_2 .
- Is the completion of $h()$ a step?
- Or the completion of $f()$?
- Or doesn't it play a role?

It does play a role, because **constraints/invariants** are typically (= by convention) assumed to be evaluated at step boundaries, and sometimes the convention is meant to admit (temporary) violation in between steps.

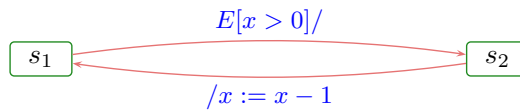
Notions of Steps: The Run-to-Completion Step

What is a **run-to-completion** step...?

- **Intuition:** a maximal sequence of steps, where the first step is a **dispatch** step and all later steps are **commence** steps.
- **Note:** one step corresponds to one transition in the state machine.

A run-to-completion step is in general not syntactically definable — one transition may be taken multiple times during an RTC-step.

Example:



σ :

\mathcal{C}
$x = 2$

ε :

References

References

- [Harel and Gery, 1997] Harel, D. and Gery, E. (1997). Executable object modeling with statecharts. *IEEE Computer*, 30(7):31–42.
- [OMG, 2007a] OMG (2007a). Unified modeling language: Infrastructure, version 2.1.2. Technical Report formal/07-11-04.
- [OMG, 2007b] OMG (2007b). Unified modeling language: Superstructure, version 2.1.2. Technical Report formal/07-11-02.