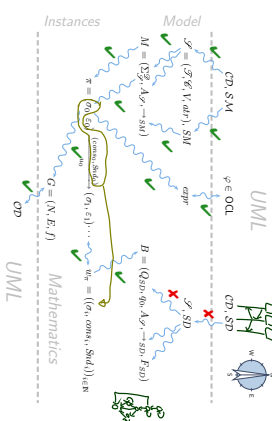


**Contents & Goals**

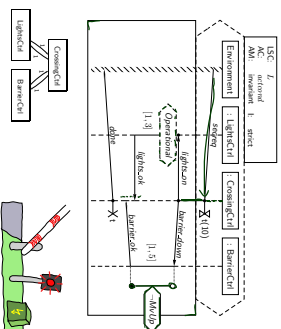
- Last Lecture:**
- Symbolic Bichi Automata (TBA) and its (accepted) language
  - Words of a model
- This Lecture:**
- Educational Objectives:** Capabilities for following tasks/questions
    - What does this LSC mean?
    - Are this UML model's state machines consistent with the interactions?
    - Please provide a UML model which is consistent with this LSC
    - What is: activation, hot/cold condition, pre-chart, etc.?
  - Content:**
    - LSC abstract syntax
    - LSC formal semantics

**Course Map**



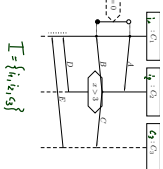
**Live Sequence Charts Abstract Syntax**

**Example**



**LSC Body: Abstract Syntax**

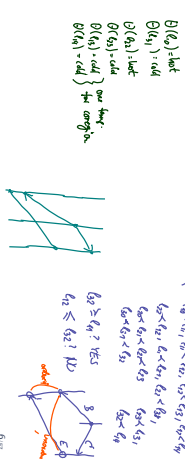
- Let  $\Theta = \{\text{hot}, \text{cold}\}$ . An LSC body is a tuple
- $$(I, \langle \mathcal{L}, \mathcal{S} \rangle, \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{Lodiv})$$
- $I$  is a finite set of instance lines.



### LSC Body: Abstract Syntax

Let  $\Theta = \{\text{hot}, \text{cold}\}$ . An LSC body is a tuple  $(I, \mathcal{L}, \mathcal{S}) \sim \sim \mathcal{S}$ ,  $\text{Msg}$ ,  $\text{Cond}$ ,  $\text{LocInv}$ )

- $I$  is a finite set of instance lines.
- $(\mathcal{L}, \mathcal{S})$  is a finite, non-empty, partially ordered set of locations.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- Not:** Instantaneous messages — could be linked to method/operation calls.

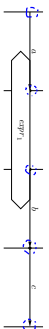


### Recall: Inuitive Semantics

(i) Strictly After



(ii) Simultaneously (Simultaneous region)



(iii) Explicitly Underdetermined (Co-region)

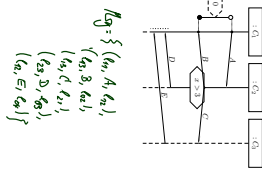


**Intuition:** A computation path **violates** an LSC if the occurrence of some events doesn't adhere to the partial order obtained as the **transitive closure** of (i) to (iii).  $\frac{\text{Msg}}{\text{LocInv}}$

### LSC Body: Abstract Syntax

Let  $\Theta = \{\text{hot}, \text{cold}\}$ . An LSC body is a tuple  $(I, \mathcal{L}, \mathcal{S}) \sim \sim \mathcal{S}$ ,  $\text{Msg}$ ,  $\text{Cond}$ ,  $\text{LocInv}$ )

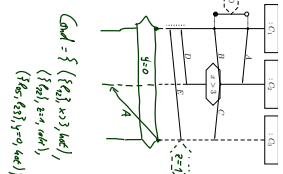
- $I$  is a finite set of instance lines.
- $(\mathcal{L}, \mathcal{S})$  is a finite, non-empty, partially ordered set of locations.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- $\sim \subseteq \mathcal{L} \times \mathcal{L}$  is an **equivalence relation** on locations, the **simultaneity relation**.
- $\mathcal{S} = (\mathcal{S} \setminus V, \text{dir}, \delta)$  is a signature.
- $\mathcal{S} = (\mathcal{S} \setminus V, \text{dir}, \delta)$  is a signature.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- Not:** Instantaneous messages — could be linked to method/operation calls.



### LSC Body: Abstract Syntax

Let  $\Theta = \{\text{hot}, \text{cold}\}$ . An LSC body is a tuple  $(I, \mathcal{L}, \mathcal{S}) \sim \sim \mathcal{S}$ ,  $\text{Msg}$ ,  $\text{Cond}$ ,  $\text{LocInv}$ )

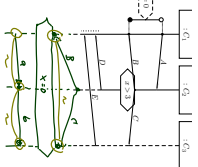
- $I$  is a finite set of instance lines.
- $(\mathcal{L}, \mathcal{S})$  is a finite, non-empty, partially ordered set of locations.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- $\sim \subseteq \mathcal{L} \times \mathcal{L}$  is an **equivalence relation** on locations, the **simultaneity relation**.
- $\mathcal{S} = (\mathcal{S} \setminus V, \text{dir}, \delta)$  is a signature.
- $\mathcal{S} = (\mathcal{S} \setminus V, \text{dir}, \delta)$  is a signature.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- Not:** Instantaneous messages — could be linked to method/operation calls.



### LSC Body: Abstract Syntax

Let  $\Theta = \{\text{hot}, \text{cold}\}$ . An LSC body is a tuple  $(I, \mathcal{L}, \mathcal{S}) \sim \sim \mathcal{S}$ ,  $\text{Msg}$ ,  $\text{Cond}$ ,  $\text{LocInv}$ )

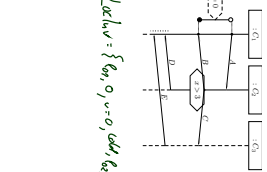
- $I$  is a finite set of instance lines.
- $(\mathcal{L}, \mathcal{S})$  is a finite, non-empty, partially ordered set of locations.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- $\sim \subseteq \mathcal{L} \times \mathcal{L}$  is an **equivalence relation** on locations, the **simultaneity relation**.



### LSC Body: Abstract Syntax

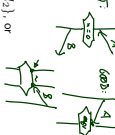
Let  $\Theta = \{\text{hot}, \text{cold}\}$ . An LSC body is a tuple  $(I, \mathcal{L}, \mathcal{S}) \sim \sim \mathcal{S}$ ,  $\text{Msg}$ ,  $\text{Cond}$ ,  $\text{LocInv}$ )

- $I$  is a finite set of instance lines.
- $(\mathcal{L}, \mathcal{S})$  is a finite, non-empty, partially ordered set of locations.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- $\sim \subseteq \mathcal{L} \times \mathcal{L}$  is an **equivalence relation** on locations, the **simultaneity relation**.
- $\mathcal{S} = (\mathcal{S} \setminus V, \text{dir}, \delta)$  is a signature.
- $\mathcal{S} = (\mathcal{S} \setminus V, \text{dir}, \delta)$  is a signature.
- $\text{Msg} \subseteq \mathcal{L} \times \mathcal{L} \times \mathcal{L} \times \mathcal{L}$  is a set of asynchronous messages with  $(l, h, l', h') \in \text{Msg}$  only if  $l \preceq l'$ .
- Not:** Instantaneous messages — could be linked to method/operation calls.



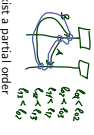
**Boundedness/ no floating conditions:** (could be relaxed a little if we wanted to)

- For each location  $l \in \mathcal{L}$ , if  $l$  is the location of a condition, i.e.  $\exists (l, \text{expr}; \theta) \in \text{Cond} : l \in L$ , or
- a local invariant, i.e.  $\exists (l, i, \text{expr}; \theta, l_2, i_2) \in \text{LocInv} : l \in \{l_1, l_2\}$ , or

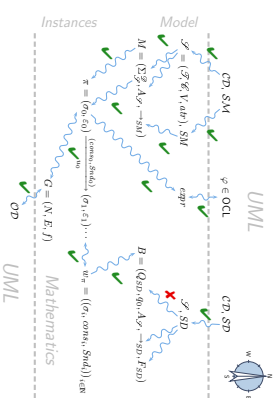


then there is a location  $l'$  equivalent to  $l$ , i.e.  $l \sim l'$ , which is the location of

- an instance head, i.e.  $l'$  is minimal wrt.  $\leq$  or
- a message, i.e.  $\exists (l_1, l_2) \in \text{Msg} : l \in \{l_1, l_2\}$ .



**Note:** if messages in a chart are cyclic, then there doesn't exist a partial order (so such charts don't even have an abstract syntax)



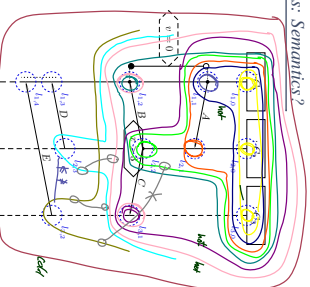
Live Sequence Charts Semantics

TBA-based Semantics of LSCs

**Plan:**

- Given an LSC  $L$  with body  $(L, (\mathcal{L}, \leq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv})$
- construct a TBA  $B_L$ , and
- define  $\mathcal{L}(L)$  in terms of  $\mathcal{L}(B_L)$ , in particular taking activation condition and activation mode into account.
- Then  $\mathcal{M} \models L$  (universal) iff and only if  $\mathcal{L}(\mathcal{M}) \subseteq \mathcal{L}(L)$ .

Examples: Semantics?



Formal LSC Semantics: It's in the Charts!

**Definition:**

Let  $(L, (\mathcal{L}, \leq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv})$  be an LSC body. A non-empty set  $\theta \neq \emptyset \subseteq \mathcal{L}$  is called a cut of the LSC body iff

- it is **downward closed**, i.e.  $\forall l, l' : l \in C \wedge l \leq l' \implies l' \in C$ ,
- it is **closed under simultaneity**, i.e.  $\forall l, l' : l \in C \wedge l \sim l' \implies l' \in C$ , and
- it comprises at least **one location per instance line**, i.e.  $\forall i \in I \exists l \in C : h = i$ .

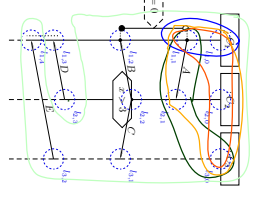
A cut  $C$  is called **hot**, denoted by  $\theta(C) = \text{hot}$ , if and only if at least one of its maximal elements is hot, i.e. if  $\exists l \in C : \theta(l) = \text{hot} \wedge \nexists l' \in C : l \prec l'$

Otherwise,  $C$  is called **cold**, denoted by  $\theta(C) = \text{cold}$ .

Examples: Cut or Not Cut? Hot/Cold?

- (i) non-empty set  $\emptyset \neq C \subseteq \mathcal{L}$
- (ii)  $\forall I, I' \in C: I \cap I' \neq \emptyset \implies I \in C$
- (iii) closed under simultaneity, i.e.  $\forall I, I' \in C: I \cap I' \neq \emptyset \implies I \in C$
- (iv) at least one location per instance line, i.e.  $\forall I \in I \exists l \in C: l \in I$

- $C_0 = \emptyset$  **NO**
- $C_1 = \{l_0, l_2, l_3, l_4\}$  ✓
- $C_2 = \{l_1, l_2, l_3, l_4\}$  **NO**
- $C_3 = \{l_0, l_1, l_1\}$  **NO**
- $C_4 = \{l_0, l_1, l_2, l_3, l_4\}$  ✓
- $C_5 = \{l_0, l_1, l_2, l_3, l_4, l_5\}$  ✓
- $C_6 = \mathcal{L} \setminus \{l_3, l_4, l_5\}$  ✓
- $C_7 = \mathcal{L}$  ✓



A Successor Relation on Cuts

The partial order  $(\mathcal{L}, \preceq)$  and the simultaneously relation  $\sim$  induce a **direct successor relation** on cuts of  $\mathcal{L}$  as follows:

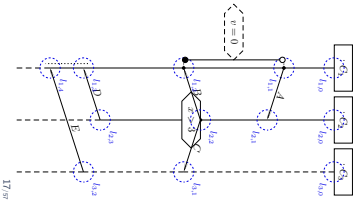
**Definition.** Let  $C, C' \subseteq \mathcal{L}$  be cuts of an LSC body with locations  $\{L_i\}$  and messages  $\text{Msg}$ .  $C'$  is called **direct successor** of  $C$  via **fixed-set**  $F$ , denoted by  $C \xrightarrow{F} C'$  if and only if

- $F \neq \emptyset$
- $C' \setminus C = F$
- for each message reception in  $F$ , the corresponding sending is already in  $C$ ,
- $\forall (l, E, l') \in \text{Msg}: l' \in F \implies l \in C$ , and
- locations in  $F$  that lie on the same instance line, are pairwise unordered, i.e.  $\forall I, I' \in F: I \neq I' \wedge l_i = l'_i \implies I \not\prec I' \wedge I' \not\prec I$

Successor Cut Examples

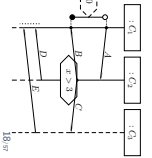
- (i)  $F \neq \emptyset$ , (ii)  $C' \setminus C = F$
- (iii)  $\forall I, I' \in C: I \cap I' \neq \emptyset \implies I \in C$  and
- (iv)  $\forall I, I' \in F: I \neq I' \wedge l_i = l'_i \implies I \not\prec I' \wedge I' \not\prec I$

Successor  $\succ$



Idea: Accept Timed Words by Advancing the Cut

- Let  $w = (a_0, \text{cons}_0, \text{Stid}_0), (a_1, \text{cons}_1, \text{Stid}_1), (a_2, \text{cons}_2, \text{Stid}_2), \dots$  be a word of a UML model and  $\beta$  a valuation of  $I \cup \{\text{self}\}$ .
- **Intuitively** (and for now **disregarding** cold conditions) an LSC body  $(L, (\mathcal{L}, \preceq), \sim, \mathcal{L}, \text{Msg}, \text{Cond}, \text{LocIn})$  is **supposed to accept**  $w$  if and only if there exists a sequence  $C_0 \xrightarrow{w_0} C_1 \xrightarrow{w_1} C_2 \xrightarrow{w_2} \dots \xrightarrow{w_{i-1}} C_i$  and indices  $0 = i_0 < i_1 < \dots < i_n < n$ , such that for all  $0 \leq j < n$ ,
  - for all  $i_j \leq k < i_{j+1}$ ,  $(a_k, \text{cons}_k, \text{Stid}_k), \beta$  satisfies the **hold condition** of  $C_j$ ,
  - $(a_{i_j}, \text{cons}_{i_j}, \text{Stid}_{i_j}), \beta$  satisfies the **transition condition** of  $F_j$ ,
  - $C_{i_j}$  is **cold**,
  - for all  $i_n < k$ ,  $(a_k, \text{cons}_k, \text{Stid}_k), \beta$  satisfies the **hold condition** of  $C_{i_n}$ .

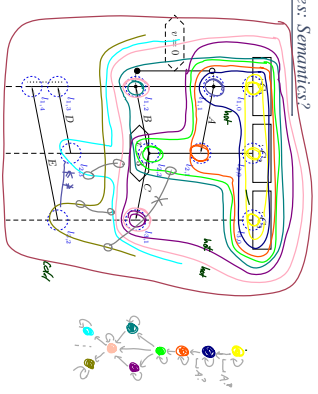


Properties of the Fixed-set

- $C \xrightarrow{F} C'$  if and only if
  - $F \neq \emptyset$ ,
  - $C' \setminus C = F$ ,
  - $\forall (l, E, l') \in \text{Msg}: l' \in F \implies l \in C$ , and
  - $\forall I \in F: I \neq I' \wedge l_i = l'_i \implies I \not\prec I' \wedge I' \not\prec I$

- **Note:**  $F$  is closed under simultaneity.
- **Note:** locations in  $F$  are direct  $\preceq$ -successors of locations in  $C$ , i.e.  $\forall I \in F \exists I' \in C: I \prec I' \wedge \exists I'' \in C: I' \prec I'' \prec I$

Examples: Semantics?



### Language of LSC Body

The language of the body

$(L, (\mathcal{L}^+, \mathcal{L}^-), \sim, \mathcal{L}, \text{Msg}, \text{Cond}, \text{LocIn})$   
 of LSC  $L$  is the language of the TBA

$$B_L = (\text{Expr}_R(X), X, Q, q_{\text{init}}, \rightarrow, Q_P)$$

with

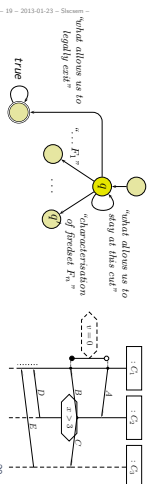
- $\text{Expr}_R(X) = \text{Expr}_R(\mathcal{L}, X)$
- $Q$  is the set of cuts of  $(\mathcal{L}^+, \mathcal{L}^-)$ ,  $q_{\text{init}}$  is the instance heads cut.
- $F = \{C \in Q \mid \theta(C) = \text{cold}\}$  is the set of cold cuts of  $(\mathcal{L}^+, \mathcal{L}^-)$ .
- $\rightarrow$  as defined in the following, consisting of
  - **loops**  $(q, \psi, q)$ ,
  - **progress transitions**  $(q, \psi, q')$  corresponding to  $q \rightsquigarrow_P q'$ , and
  - **legal exits**  $(q, \psi, \mathcal{L})$ .

19/97

### Language of LSC Body: Intuition

$B_L = (\text{Expr}_R(X), X, Q, q_{\text{init}}, \rightarrow, Q_P)$  with

- $\text{Expr}_R(X) = \text{Expr}_R(\mathcal{L}, X)$
- $Q$  is the set of cuts of  $(\mathcal{L}^+, \mathcal{L}^-)$ ,  $q_{\text{init}}$  is the instance heads cut.
- $F = \{C \in Q \mid \theta(C) = \text{cold}\}$  is the set of cold cuts.
- $\rightarrow$  consists of
  - **loops**  $(q, \psi, q)$ ,
  - **progress transitions**  $(q, \psi, q')$  corresponding to  $q \rightsquigarrow_P q'$ , and
  - **legal exits**  $(q, \psi, \mathcal{L})$ .

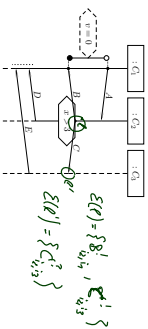


20/97

### Some Helper Functions

Message expressions of a location

$$\delta^l() := \{E_{i_1, i_2}^l \mid (l, E, l') \in \text{Msg} \cup \{E_{i_1, i_2}^l \mid (l', E, l) \in \text{Msg}\}, \\ \delta^l(\{i_1, \dots, i_n\}) := \delta^l(l) \cup \dots \cup \delta^l(i_n), \\ \bigvee \theta := \text{true} \bigvee \{E_{i_1, i_2, i_3}^l, \dots, E_{i_1, i_2, i_3}^l, \dots\} := \bigvee_{i_1 < i_2} E_{i_1, i_2}^l \bigvee \bigvee_{i_1 < i_2 < i_3} E_{i_1, i_2, i_3}^l$$



22/97

### Loops

- How long may we legally stay at a cut  $q$ ?
- **Intuition:** those  $(\sigma_i, \text{cons}_i, \text{Stid}_i)$  are allowed to fire the selfloop  $(q, \psi, q)$  where
  - $\text{cons}_i \cup \text{Stid}_i$  comprises only irrelevant messages;
  - **weak mode:** messages from a direct successor cut is in;
  - **strict mode:** no message occurring in the LSC is in;
- **And nothing else.**

**Formally:** Let  $F := F_1 \cup \dots \cup F_n$  be the union of the freshets of  $q'$

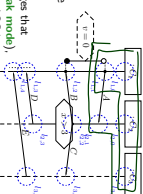
$$\psi := \underbrace{\bigwedge_{i \in F} \delta^l(i)}_{\text{strict mode}}$$

23/97

### Step I: Only Messages

### Progress

- When do we move from  $q$  to  $q'$ ?
- **Intuition:** those  $(\sigma_i, \text{cons}_i, \text{Stid}_i)$  fire the progress transition  $(q, \psi, q')$  for which there exists a freshet  $F$  such that  $q \rightsquigarrow_P q'$  and
  - $\text{cons}_i \cup \text{Stid}_i$  comprises exactly the message that distinguish  $F$  from other freshets of  $q'$  (weak mode), and
  - $\text{cons}_i \cup \text{Stid}_i$  comprises exactly the message that distinguish  $F$  from other messages occurring in the LSC is in  $\text{cons}_i \cup \text{Stid}_i$  (strict mode).

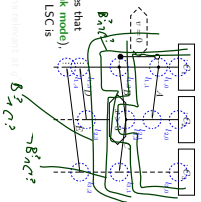


24/97

24/97

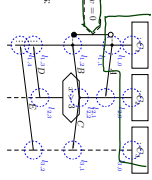
Progress

- When do we move from  $q$  to  $q'$ ?
- **Intuition:** those  $(q', \text{cons}, \text{Stid}, J)$  fine the progress transition  $(q, \psi, q')$  for which there exists a freiset  $F$  such that  $q \xrightarrow{F} q'$  and
  - $\text{cons} \cup \text{Stid}$  comprises exactly the messages that distinguish  $F$  from other messets of  $q$  (weak mesd), and in addition messages occurring in the LSC is in  $\text{cons} \cup \text{Stid}$  (strict mesd).
- **Formally:** Let  $F, F_1, \dots, F_n$  be the freisets of  $q$  and let  $q \xrightarrow{F} q'$  (unique)
  - $\psi := \bigwedge_{i=1}^n \Delta \delta(F_i) \wedge \neg \bigvee_{i=1}^n (\delta(F_i) \cup \dots \cup \delta(F_n)) \setminus \delta(F)$



Step II: Conditions and Local Invariants

- How long may we legally stay at a cut  $q'$ ?
- **Intuition:** those  $(q', \text{cons}, \text{Stid}, J)$  are allowed to fire the self-loop  $(q', \psi, q')$  where
  - $\text{cons} \cup \text{Stid}$  comprises only irrelevant messages
  - weak mesd from a direct successor cut in in.
  - strict mesd.
- **Q:** *Satisfies the local invariant relevant of  $q'$*  no message occurring in the LSC is in.
- And nothing else.
- **Formally:** Let  $F := F_1 \cup \dots \cup F_n$  be the union of the freisets of  $q'$ 
  - $\psi := \neg \bigwedge_{i=1}^n \Delta \delta(F_i) \wedge \bigwedge_{i=1}^n \psi(q)$

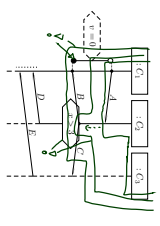


Loops with Conditions

- **Constraints relevant when moving from  $q$  to cut  $q'$ :**
  - $\psi(q, q') := \{ \psi \mid \exists L \subseteq \mathcal{D} \cdot (L, \psi, \theta) \in \text{Cond} \wedge L \cap (q' \setminus q) \neq \emptyset \}$
  - $\psi(q, q') := \{ \psi \mid \exists L \in \mathcal{D} \cdot (L, \bullet, \text{expr}, \theta, J) \in \text{Loctiv} \vee (L, \text{expr}, \theta, \alpha, J) \in \text{Loctiv} \wedge \{ \psi \mid \exists L \in \mathcal{D} \cdot (L, \bullet, \text{expr}, \theta, J) \in \text{Loctiv} \vee (L, \text{expr}, \theta, \alpha, J) \in \text{Loctiv} \} \cup \{ \psi \mid \exists L \in \mathcal{D} \cdot (L, \bullet, \text{expr}, \theta, J) \in \text{Loctiv} \vee (L, \text{expr}, \theta, \alpha, J) \in \text{Loctiv} \}$
  - $\psi(q, q') := \psi(q, q') \cup \psi(q, q')$

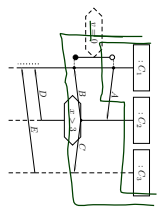
Even More Helper Functions

- **Constraints relevant at cut  $q'$ :**
  - $\psi(q) := \psi(q, q')$
  - $\psi(q) := \psi(q, q')$



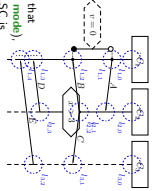
Some More Helper Functions

- **Constraints relevant at cut  $q'$ :**
  - $\psi(q) := \{ \psi \mid \exists L \in q, L' \notin q \cdot (L, \psi, \theta, J) \in \text{Loctiv} \vee (L', \psi, \theta, J) \in \text{Loctiv} \}$
  - $\psi(q) := \psi(q, q') \cup \psi(q, q')$
  - $\bigwedge_{1 \leq i \leq n} \psi_i := \bigwedge_{1 \leq i \leq n} \psi_i$



Progress with Conditions

- When do we move from  $q$  to  $q'$ ?
- **Intuition:** those  $(q', \text{cons}, \text{Stid}, J)$  fine the progress transition  $(q, \psi, q')$  for which there exists a freiset  $F$  such that  $q \xrightarrow{F} q'$  and
  - $\text{cons} \cup \text{Stid}$  comprises exactly the messages that distinguish  $F$  from other messets of  $q$  (weak mesd), and in addition messages occurring in the LSC is in  $\text{cons} \cup \text{Stid}$  (strict mesd).
- **Q:** *Satisfies the local inv and condition relevant of  $q'$*
- **Formally:** Let  $F, F_1, \dots, F_n$  be the freisets of  $q$  and let  $q \xrightarrow{F} q'$  (unique)
  - $\psi := \bigwedge_{i=1}^n \Delta \delta(F_i) \wedge \neg \bigvee_{i=1}^n (\delta(F_i) \cup \dots \cup \delta(F_n)) \wedge \bigwedge_{i=1}^n \psi(q, q')$



### Step III: Cold Conditions and Cold Local Invariants

30/17

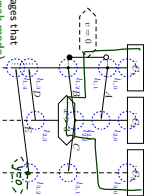
Back to UML: Interactions

34/17

#### Legal Exits

- When do we take a legal exit from  $q^i$ ?
- Intuition:** those  $(F_i, \text{cons}_i, \text{Stnd}_i)$  fire the legal exit transition  $(q_i, \delta_i, \mathcal{E}_i)$  for which there exists a frinder  $F$  and some  $q^j$  such that:  $q_i \xrightarrow{F} q^j$  and
  - $\text{cons}_i \cup \text{Stnd}_i$  comprises exactly the messages that distinguish  $F$  from other frinders of  $q^i$  (weak mode)
  - in  $\text{cons}_i \cup \text{Stnd}_i$  (strict mode) and
  - at least one cold condition or local invariant relevant when moving to  $q^j$  is violated, or
  - for which there is no matching frinder and at least one cold local invariant relevant at  $q^i$  is violated.
- Formally:** Let  $F_1, \dots, F_n$  be the frinders of  $q$  with  $q \xrightarrow{F_i} q^i$ .
 
$$\psi = \bigvee_{i=1}^n \Delta \delta(F_i) \wedge \neg (\bigvee_{\ell \in (F_i) \cup \dots \cup \delta(F_n)} \delta(F_i) \wedge \bigvee_{\text{local}(q, q^i)} \bigvee_{\ell \in (F_i)} \Delta \psi_{\text{local}}(q))$$

31/17



#### Model Consistency wrt. Interaction

- We assume that the set of interactions  $\mathcal{I}$  is partitioned into two (possibly empty) sets of **universal** and **existential** interactions, i.e.
 
$$\mathcal{I} = \mathcal{I}_U \cup \mathcal{I}_E.$$

**Definition.** A model  $\mathcal{M} = (\mathcal{O}, \mathcal{S}, \mathcal{K}, \mathcal{O}_{\mathcal{S}}, \mathcal{I})$  is called **consistent** (more precise: the constructive description of behaviour is consistent with the reflective one) if and only if

$$\forall I \in \mathcal{I}_U : \mathcal{C}(\mathcal{M}) \subseteq \mathcal{C}(I)$$

and

$$\forall I \in \mathcal{I}_E : \mathcal{C}(\mathcal{M}) \cap \mathcal{C}(I) \neq \emptyset$$

35/17

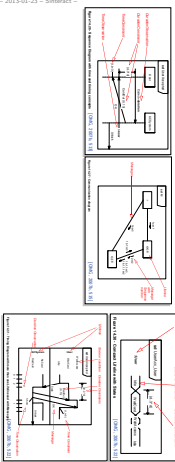
#### Finally: The LSC Semantics

- A full LSC  $L$  consists of
- a body  $L \langle \mathcal{I}, \mathcal{S} \rangle \sim \sim \mathcal{I}, \mathcal{S}$  (Msg. Cond Lockw),
  - an **activation condition** (here: event)  $ac = E_{i_1, i_2}^E, E \in \mathcal{E}, i_1, i_2 \in I$ ,
  - an **activation mode**, either **inital** or **invariant**,
  - a **chart mode**, either **existential** (cold) or **universal** (hot).
- A set  $W$  of words over  $\mathcal{I}$  and  $\mathcal{O}$  satisfies  $L$ , denoted  $W \models L$ , iff  $L$
- universal** (= hot), **inital**, and  $\forall w \in W \forall \beta : I \rightarrow \text{dom}(c(w^\beta)) \bullet w$  activates  $L \implies w \in \mathcal{C}_L(B_1)$ .
  - existential** (= cold), **inital**, and  $\exists w \in W \exists \beta : I \rightarrow \text{dom}(c(w^\beta)) \bullet w$  activates  $L \wedge w \in \mathcal{C}_L(B_2)$ .
  - universal** (= hot), **invariant**, and  $\forall w \in W \forall \beta \in \mathbb{N}_0 \forall \beta' : I \rightarrow \text{dom}(c(w^\beta)) \bullet w/k$  activates  $L \implies w/k \in \mathcal{C}_L(B_1)$ .
  - existential** (= cold), **invariant**, and  $\exists w \in W \exists k \in \mathbb{N}_0 \exists \beta : I \rightarrow \text{dom}(c(w^\beta)) \bullet w/k$  activates  $L \wedge w/k \in \mathcal{C}_L(B_2)$ .

33/17

#### Interactions as Reflective Description

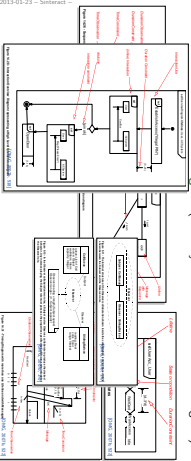
- In UML, reflective (temporal) descriptions are subsumed by **interactions**.
- A UML model  $\mathcal{M} = (\mathcal{O}, \mathcal{S}, \mathcal{K}, \mathcal{O}_{\mathcal{S}}, \mathcal{I})$  has a set of interactions  $\mathcal{I}$ .
- An interaction  $I \in \mathcal{I}$  can be (OMG claim: equivalently) **diagrammed as**
  - sequence diagram**, **timing diagram**, or
  - communication diagram** (formerly known as collaboration diagram).



36/17

### Interactions as Reflective Description

- In UML, reflective (temporal) descriptions are subsumed by **interactions**.
- A UML model  $M = \langle \mathcal{C}, \mathcal{S}, \mathcal{M}, \mathcal{O}, \mathcal{S} \rangle$  has a set of interactions  $\mathcal{I}$ .
- An interaction  $I \in \mathcal{I}$  can be (OMG claim: equivalently) **diagrammed** as
  - sequence diagram**,
  - timing diagram**, or
  - communication diagram** (formerly known as collaboration diagram).



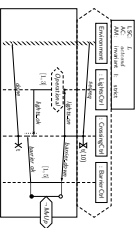
### Why Sequence Diagrams?

**Most Prominent:** Sequence Diagrams — with **long history**:

- Message Sequence Charts**, standardized by the ITU in different versions, often accused to lack a formal semantics.
- Sequence Diagrams** of UML 1.x

Most severe drawbacks of these formalisms:

- unclear interpretation: example scenario or invariant?
- unclear activation: what triggers the requirement?
- unclear progress requirement: must all messages be observed?
- conditions merely comments
- no means to express forbidden scenarios



### Thus: Live Sequence Charts

- SDs of UML 2.x** address some issues, yet the standard exhibits uncertainties and even contradictions [Harel and Mazi, 2007; Sterte, 2003]
- For the lecture, we consider **Live Sequence Charts** (LSCs) [Damm and Harel, 2001; Klose, 2003; Harel and Marelli, 2003], who have a common fragment with UML 2.x SDs [Harel and Mazi, 2007]
- Modelling guideline:** stick to that fragment.

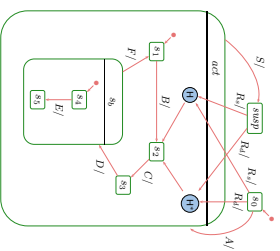
### Side Note: Protocol StateMachines

Same direction: **call orders on operators**

- "for each  $C$  instance, method  $f()$  shall only be called after  $g()$  but before  $h()$ "

Can be formalised with protocol state machines.

### The Concept of History, and Other Pseudo-States



What happens on...

- $R_1?$
- $R_2?$
- $R_3?$
- $A_1, B_1, C_1, S_1, R_1?$
- $A_2, B_2, S_2, R_2?$
- $A_3, B_3, C_3, D_3, E_3, R_3?$
- $A_4, B_4, C_4, D_4, R_4?$



### Junction and Choice

- Junction ("static conditional branch"):



- Choice: ("dynamic conditional branch")



Note: not so sure about naming and symbols, e.g.,  
**I'd guessed** it was just the other way round...

42/07

43/07

### Junction and Choice

- Junction ("static conditional branch"):



- **good**: abbreviation
- unfolds to so many similar transitions with different guards, the unfolded transitions are then checked for enabledness
- at best, start with trigger, branch into conditions, then apply actions

- Choice: ("dynamic conditional branch")



Note: not so sure about naming and symbols, e.g.,  
**I'd guessed** it was just the other way round...

42/07

43/07

### Junction and Choice

- Junction ("static conditional branch"):



- **good**: abbreviation
- unfolds to so many similar transitions with different guards, the unfolded transitions are then checked for enabledness
- at best, start with trigger, branch into conditions, then apply actions

- Choice: ("dynamic conditional branch")



- **evil**: may get stuck
- enters the transition **without knowing** whether there's an enabled path
- at best, use "else" and convince yourself that it cannot get stuck
- maybe even better: **avoid**

Note: not so sure about naming and symbols, e.g.,  
**I'd guessed** it was just the other way round...

42/07

43/07

### Entry and Exit Point, Submachine State, Terminate

- Hierarchical states can be "**folded**" for readability (but: this can also hinder readability)
- Can even be taken from a different state-machine for re-use: **S : s**

43/07

### Entry and Exit Point, Submachine State, Terminate

- Hierarchical states can be "**folded**" for readability (but: this can also hinder readability)
- Can even be taken from a different state-machine for re-use: **S : s**
- **Entry/exit points**
  - Provide connection points for finer integration into the current level, than just via initial state.
  - Semantically a bit tricky:
    - **First** the exit action of the exiting state,
    - **then** the actions of the transition,
    - **then** the entry actions of the entered state,
    - **then** action of the transition from the entry point to an internal state,
    - **and then** that internal state's entry action.

43/07

### Entry and Exit Point, Submachine State, Terminate

- Hierarchical states can be "**folded**" for readability (but: this can also hinder readability)
- Can even be taken from a different state-machine for re-use: **S : s**
- **Entry/exit points**
  - Provide connection points for finer integration into the current level, than just via initial state.
  - Semantically a bit tricky:
    - **First** the exit action of the exiting state,
    - **then** the actions of the transition,
    - **then** the entry actions of the entered state,
    - **then** action of the transition from the entry point to an internal state,
    - **and then** that internal state's entry action.
- **Terminate Pseudo-State**
  - When a terminate pseudo-state is reached, the object taking the transition is immediately killed.

43/07

## Deferred Events in State-Machines

— 19 — 2013-01-23 — main —

44/57

### Deferred Events: Idea

For ages, UML state machines comprises the feature of **deferred events**.

The idea is as follows:

- Consider the following state machine:



- Assume we're stable in  $s_1$ , and  $F$  is ready in the ether.
- In the **framework of the course**,  $F$  is discarded.
- But we may find it a pity to discard the poor event and may want to remember it for later processing, e.g. in  $s_2$ , in other words, **defer** it.

General options to satisfy such needs:

- Provide a pattern how to "program" this (use self-loops and helper attributes).
- Turn it into an original language concept.

45/57

### Deferred Events: Idea

For ages, UML state machines comprises the feature of **deferred events**.

The idea is as follows:

- Consider the following state machine:



- Assume we're stable in  $s_1$ , and  $F$  is ready in the ether.
- In the **framework of the course**,  $F$  is discarded.

— 19 — 2013-01-23 — Söfker —

45/57

### Deferred Events: Idea

For ages, UML state machines comprises the feature of **deferred events**.

The idea is as follows:

- Consider the following state machine:



- Assume we're stable in  $s_1$ , and  $F$  is ready in the ether.
- In the **framework of the course**,  $F$  is discarded.
- But we may find it a pity to discard the poor event and may want to remember it for later processing, e.g. in  $s_2$ , in other words, **defer** it.

General options to satisfy such needs:

- Provide a pattern how to "program" this (use self-loops and helper attributes).
- Turn it into an original language concept. (**— OMG's choice**)

— 19 — 2013-01-23 — Söfker —

45/57

### Deferred Events: Idea

For ages, UML state machines comprises the feature of **deferred events**.

The idea is as follows:

- Consider the following state machine:



- Assume we're stable in  $s_1$ , and  $F$  is ready in the ether.
- In the **framework of the course**,  $F$  is discarded.
- But we may find it a pity to discard the poor event and may want to remember it for later processing, e.g. in  $s_2$ , in other words, **defer** it.

— 19 — 2013-01-23 — Söfker —

45/57

### Deferred Events: Syntax and Semantics

- **Syntactically**,
  - Each state has (in addition to the name) a set of deferred events
  - **Default**: the empty set.

— 19 — 2013-01-23 — Söfker —

46/57

- **Syntactically,**
- Each state has (in addition to the name) a set of deferred events.
- **Default:** the empty set.
- The semantics is a bit intricate, something like
  - if an event  $E$  is dispatched,
  - and there is no transition enabled to consume  $E$ ,
  - and  $E$  is in the deferred set of the current state configuration,
  - then stuff  $E$  into some "deferred events space" of the object. (e.g. into the ether (= extend  $\tau$ ) or into the local state of the object (= extend  $\sigma$ ))
  - and turn attention to the next event.

- **Syntactically,**
  - Each state has (in addition to the name) a set of deferred events.
  - **Default:** the empty set.
  - The semantics is a bit intricate, something like
    - if an event  $E$  is dispatched,
    - and there is no transition enabled to consume  $E$ ,
    - and  $E$  is in the deferred set of the current state configuration,
    - then stuff  $E$  into some "deferred events space" of the object. (e.g. into the ether (= extend  $\tau$ ) or into the local state of the object (= extend  $\sigma$ ))
    - and turn attention to the next event.
  - **Not so obvious:**
  - Is there a priority between deferred and regular events?
  - Is the order of deferred events preserved?
  - ...
- [Fischer and Schonhorn, 2007], e.g. claim to provide semantics for the complete Hierarchical State Machine language, including deferred events.

Active and Passive Objects [Harel and Gery, 1997]

What about non-Active Objects?

- Recall:**
- We're **still** working under the assumption that all classes in the class diagram (and thus all objects) are **active**.
  - That is, each object has its own thread of control and is (if stable) at any time ready to process an event from the ether.

What about non-Active Objects?

- Recall:**
- We're **still** working under the assumption that all classes in the class diagram (and thus all objects) are **active**.
  - That is, each object has its own thread of control and is (if stable) at any time ready to process an event from the ether.
- But the world doesn't consist of only active objects.  
For instance, in the crossing controller from the exercises we could wish to have the whole system live in one thread of control.

Active and Passive Objects: Nomenclature

- [Harel and Gery, 1997] propose the following (orthogonal) notions:
- A class (and thus the instances of this class) is either **active** or **passive** as declared in the class diagram.
  - An **active** object has (in the operating system sense) an own thread: an own program counter, an own stack, etc.
  - A **passive** object doesn't.

[Haral and Gery, 1997] propose the following (orthogonal) notions:

- A class (and thus the instances of this class) is either **active** or **passive** as declared in the class diagram.
- An **active** object has (in the operating system sense) an own thread: an own program counter, an own stack, etc.
- A **passive** object doesn't.
- A class is either **reactive** or **non-reactive**.
- A **reactive** class has a (non-trivial) state machine.
- A **non-reactive** one hasn't.

[Haral and Gery, 1997] propose the following (orthogonal) notions:

- A class (and thus the instances of this class) is either **active** or **passive** as declared in the class diagram.
- An **active** object has (in the operating system sense) an own thread: an own program counter, an own stack, etc.
- A **passive** object doesn't.
- A class is either **reactive** or **non-reactive**.
- A **reactive** class has a (non-trivial) state machine.
- A **non-reactive** one hasn't.

Which combinations do we understand?

	active	passive
reactive	✓	✓
non-reactive	✓	✓

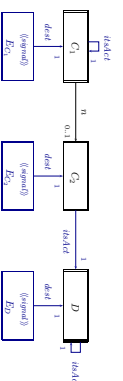
- So why don't we understand passive/reactive?
- Assume passive objects  $u_1$  and  $u_2$ , and active object  $u_3$ , and that there are events in the ether for all three.
- Which of them (can) start a run-to-completion step...?
- Do run-to-completion steps still interleave...?

- So why don't we understand passive/reactive?
- Assume passive objects  $u_1$  and  $u_2$ , and active object  $u_3$ , and that there are events in the ether for all three.
- Which of them (can) start a run-to-completion step...?
- Do run-to-completion steps still interleave...?

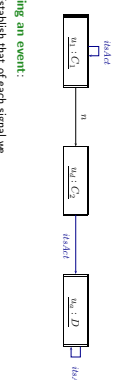
Reasonable Approaches:

- **Avoid** — for instance, by
- require that **reactive implies active** for model well-formedness.
- requiring for model well-formedness that events are **never sent** to instances of non-reactive classes.
- **Explain** — here: (following [Haral and Gery, 1997])
- Delegate all dispatching of events to the active objects.

- Firstly, establish that each object  $u$  knows, via (implicit) link  $linkAid$ , the **active object**  $u_{act}$  which is responsible for dispatching events to  $u$ .
- If  $u$  is an instance of an active class, then  $u_{act} = u$ .



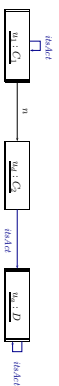
- Firstly, establish that each object  $u$  knows, via (implicit) link  $linkAid$ , the **active object**  $u_{act}$  which is responsible for dispatching events to  $u$ .
- If  $u$  is an instance of an active class, then  $u_{act} = u$ .



**Sending an event:**

- Establish that of each signal we have a version  $E_C$ , with an association  $link : C_1, C \in \mathcal{C}$ .
- Then  $n \in E$  in  $u_1 : C_1$  becomes:
- Create an instance  $u_2$  of  $E_C$ , and set  $u_2.s_{link}$  to  $u_1 := \sigma(u_1)(n)$ .
- Send to  $u_2 := \sigma(u_2)(n)(link)$ , i.e.,  $e = e \oplus (u_{a_2}, u_2)$ .

- Firstly, establish that each object  $u$  knows, via (implicit) link  $link^u$ , the active object  $u_{act}$ , which is responsible for dispatching events to  $u$ .
- If  $u$  is an instance of an active class, then  $u_{act} = u$ .

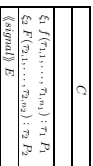


- Sending an event:**
- Establish that of each signal we have a version  $E_i$  with an association  $dest : C_{n1}, C \in \mathcal{C}$ .
  - Then  $n1E$  in  $u_1 : C_1$  becomes:
  - Create an instance  $u_2$  of  $E_2$  and set  $u_2$ 's  $dest$  to  $u_2 := cr(u_1)(u)$ .
  - Send to  $u_2 := cr(u_1)(u)(dest)$ , i.e.,  $e = cr(u_1)(u)$ .
- Dispatching an event:**
- Observation: the ether only has events for active objects.
  - Say  $u_2$  is ready in the ether for  $u_2$ .
  - Then  $u_2$  asks  $cr(u_2)(dest) := u_2$  to process  $u_2$ 's  $dest$  and upon completion of corresponding RTC.
  - $u_2$  may in particular discard event.

### And What About Methods?

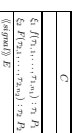
### And What About Methods?

- In the current setting, the (local) state of objects is only modified by actions of transitions, which we abstract to transformers.
- In general, there are also **methods**.
- UML follows an approach to separate
  - the **interface declaration** from
  - the **implementation**.
- In C++ lingo: distinguish **declaration** and **definition** of method.
- In UML, the former is called **behavioural feature** and can (roughly) be
  - a **call interface**  $f(\tau_1, \dots, \tau_n) : \tau$
  - a **signal name**  $E$



### Behavioural Features

- Semantics:**
- The **implementation** of a behavioural feature can be provided by:
  - An **operation**.



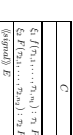
- The class **state-machine** ("triggered operation")

### And What About Methods?

- In the current setting, the (local) state of objects is only modified by actions of transitions, which we abstract to transformers.
- In general, there are also **methods**.
- UML follows an approach to separate
  - the **interface declaration** from
  - the **implementation**.
- In C++ lingo: distinguish **declaration** and **definition** of method.

### Behavioural Features

- Semantics:**
- The **implementation** of a behavioural feature can be provided by:
  - An **operation**.
- In our setting, we simply assume a transformer like  $T_f$ . It is, then, a clear how to adapt method calls as actions on transitions: function composition of transformers (clear but tedious: non-termination).
- In a setting with Java as action language: operation is a method body.
- The class **state-machine** ("triggered operation")



$C$
$C(F_1, \dots, F_n), T, R$
$(S, F, C, \dots, S_2), T, R$
<i>(Optional) E</i>

**Semantics:**

- The **implementation** of a behavioural feature can be provided by:

- An operation.

In our setting, we simply assume a transformer like  $T'$ .

It is then, e.g. clear how to admit method calls as actions on transitions: function composition of transformers (clear but tedious: non-termination).

In a setting with Java as action language, operation is a method body.

- The class' **state machines** ("triggered operation")
- Calling  $F'$  with  $r_1, 2$  parameters for a stable instance of  $C$  creates an auxiliary event  $F'$  and dispatches it (bypassing the ether).
- Transition actions may fill in the return value.
- On completion of the RTC step, the call returns.
- For a non-stable instance, the caller blocks until stability is reached again.

54/97

$C$
$C(F_1, \dots, F_n), T, R$
$(S, F, C, \dots, S_2), T, R$
<i>(Optional) E</i>

**Visibility:**

- Extend typing rules to sequences of actions such that a well-typed action sequence only calls visible methods.

55/97

$C$
$C(F_1, \dots, F_n), T, R$
$(S, F, C, \dots, S_2), T, R$
<i>(Optional) E</i>

**Visibility:**

- Extend typing rules to sequences of actions such that a well-typed action sequence only calls visible methods.

**Useful properties:**

- **concurrency**
    - **concurrent** — is thread safe
    - **guarded** — some mechanism ensures/should ensure mutual exclusion
    - **sequential** — is not thread safe, users have to ensure mutual exclusion
  - **isQuery** — doesn't modify the state space (thus thread safe)
  - For simplicity, we leave the notion of steps unbounded, we construct our semantics around state machines.
- Yet we could explain pre/post in OCL (if we wanted to).

55/97

**References**

- [Damm and Harel, 2001] Damm, W. and Harel, D. (2001). LSCs: Breathing life into Message Sequence Charts. *Formal Methods in System Design*, 19(1):35–50.
- [Fecher and Schönborn, 2007] Fecher, H. and Schönborn, J. (2007). UML 2.0 state machines: extended state machines. *PNDCS/PDMC*, volume 4346 of *LNICST*, pages 244–260. Springer, and/or: hp-Pol.
- [Harel and Gery, 1997] Harel, D. and Gery, E. (1997). Executable object modeling with statecharts. *IEEE Computer*, 30(7):31–42.
- [Harel and Katz, 2007] Harel, D. and Katz, S. (2007). Assert and negate enriched: Modal semantics for UML sequence diagrams. *Software and System Modeling (SSoM)*. To appear. (Early version in SCE3M'06, 2006, pp. 13-20)
- [Harel and Marely, 2003] Harel, D. and Marely, R. (2003). *Come, Let's Play: Scenario Based Programming Using LSCs and the Phys-Engine*. Springer-Verlag.
- [Katz, 2003] Katz, J. (2003). *LSCs: A Graphical Formalism for the Specification of Communication Behavior*. PhD thesis, Carl von Ossietzky Universität Oldenburg.
- [OMG, 2009a] OMG (2009a). Unified modeling language: infrastructure, version 2.1.2. Technical Report, version 2.1.2 (2009).
- [OMG, 2009b] OMG (2009b). Unified modeling language: Superstructure, version 2.1.2. Technical Report, version 2.1.2 (2009).
- [Sistric, 2003] Sistric, H. (2003). Assert, negate, and refinement in UML-2 interactions. In *UJEPm*, pages 1–11. Fernat, 07:1-102.
- [Rumpe, 2003] Rumpe, R., Franke, R., and Fernandez, E. B., editors. *CSD/UML 2003*, number TUM-0323. Technische Universität München.

56/97

**References**