# Software Design, Modelling and Analysis in UML

## Lecture 19: Live Sequence Charts II

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## Contents & Goals

**Last Lecture:**

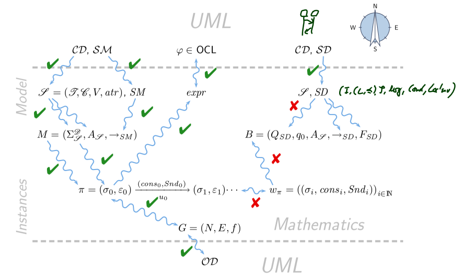
- LSC intuition
- LSC abstract syntax

**This Lecture:**

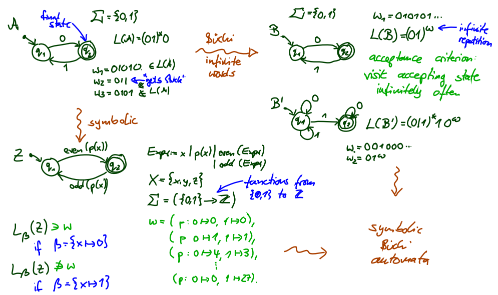
- **Educational Objectives:** Capabilities for following tasks/questions.
  - What does this LSC mean?
  - Are this UML model's state machines consistent with the interactions?
  - Please provide a UML model which is consistent with this LSC.
  - What is: activation, hot/cold condition, pre-chart, etc.?
- **Content:**
  - Symbolic Büchi Automata (TBA) and its (accepted) language.
  - Words of a model.
  - LSC formal semantics.



## Course Map








## Excursus: Symbolic Büchi Automata (over Signature)



## Symbolic Büchi Automata

**Definition.** A **Symbolic Büchi Automaton** (TBA) is a tuple

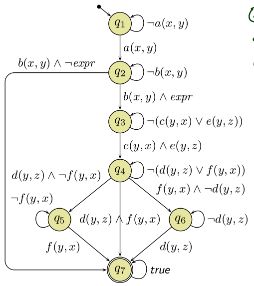
$$\mathcal{B} = (Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \to, Q_F)$$

where

- $X$ is a set of logical variables,
- $Expr_{\mathcal{B}}(X)$ is a set of Boolean expressions over $X$,
- $Q$ is a finite set of **states**,
- $q_{ini} \in Q$ is the initial state,
- $\to \subseteq Q \times Expr_{\mathcal{B}}(X) \times Q$ is the **transition relation**. Transitions $(q, \psi, q')$ from $q$ to $q'$ are labelled with an expression $\psi \in Expr_{\mathcal{B}}(X)$.
- $Q_F \subseteq Q$ is the set of **fair** (or accepting) states.

## TBA Example

$(Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \to, Q_F)$, $(q, \psi, q') \in \to$,




$Q = \{q_1, \dots, q_7\}$

$q_{ini} = q_1$

$Q_F = \{q_7\}$

$X = \{x, y, z\}$

$Expr(X)$: $a(x_1, x_2) \mid expr \mid \neg expr \mid \dots$

$\to = \{ (q_1, \neg a(x,y), q_1), \dots \}$





## Word

**Definition.** Let $X$ be a set of logical variables and let $Expr_{\mathcal{B}}(X)$ be a set of Boolean expressions over $X$.

A set $(\Sigma, \cdot \models . \cdot)$ is called an **alphabet** for $Expr_{\mathcal{B}}(X)$ if and only if

- for each $\sigma \in \Sigma$,
- for each expression $expr \in Expr_{\mathcal{B}}$, and
- for each valuation $\beta : X \to \mathscr{D}(X)$ of logical variables to domain $\mathscr{D}(X)$,

$$\text{either } \sigma \models_\beta expr \text{ or } \sigma \not\models_\beta expr.$$

An **infinite sequence**

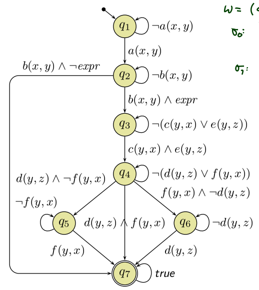
$$w = (\sigma_i)_{i \in \mathbb{N}_0} \in \Sigma^\omega$$

over $(\Sigma, \cdot \models . \cdot)$ is called **word** for $Expr_{\mathcal{B}}(X)$.





## Word Example




$w = ($ $a: (1,2) \mapsto 0,\ (2,1) \mapsto 1, \dots$

$\sigma_0$: $b: \dots$

$\vdots$

$f: \dots),$

$\sigma_1$:





## Run of TBA over Word

**Definition.** Let $\mathcal{B} = (Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \to, Q_F)$ be a TBA and

$$w = \sigma_1, \sigma_2, \sigma_3, \dots$$

a word for $Expr_{\mathcal{B}}(X)$.

An infinite sequence

states!

$$\varrho = q_0, q_1, q_2, \dots \in Q^\omega$$

is called **run** of $\mathcal{B}$ over $w$ under valuation $\beta : X \to \mathscr{D}(X)$ if and only if

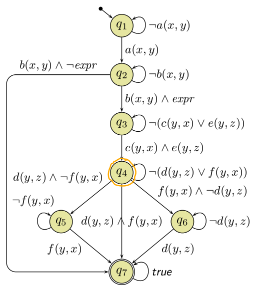
- $q_0 = q_{ini}$,
- for each $i \in \mathbb{N}_0$ there is a transition $(q_i, \psi_i, q_{i+1}) \in \to$ of $\mathcal{B}$ such that $\sigma_i \models_\beta \psi_i$.





## Run Example

$\varrho = q_0, q_1, q_2, \dots \in Q^\omega$ s.t. $\sigma_i \models_\beta \psi_i$, $i \in \mathbb{N}_0$.



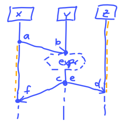

$q_1$ $\sigma_0 \not\models_\beta a(x,y)$

$q_1$ $\sigma_1 \models a(x,y)$

$q_2$ $\sigma_3 \models b(x,y) \wedge \neg expr$

$q_7$ $\sigma_4$

$q_7$ $\vdots$








## The Language of a TBA

**Definition.**
We say $\mathcal{B}$ **accepts** word $w$ (under $\beta$) if and only if $\mathcal{B}$ **has a run**

$$\varrho = (q_i)_{i \in \mathbb{N}_0}$$

over $w$ such that fair (or accepting) states are **visited infinitely often** by $\varrho$, i.e., such that

$$\forall i \in \mathbb{N}_0\ \exists j > i : q_j \in Q_F.$$

We call the set $\mathcal{L}_\beta(\mathcal{B}) \subseteq \Sigma^\omega$ of words for $Expr_{\mathcal{B}}(X)$ that are accepted by $\mathcal{B}$ the **language of** $\mathcal{B}$.

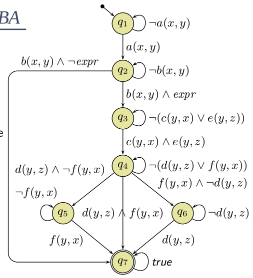
## Language of the Example TBA

$\mathcal{L}_\beta(\mathcal{B})$ consists of the words

$$w = (\sigma_i)_{i \in \mathbb{N}_0}$$

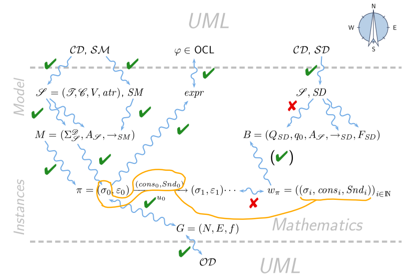
where for $0 \leq n < m < k < \ell$ we have

- for $0 \leq i < n$, $\sigma_i$
- $\sigma_n$
- for $n < i < m$, $\sigma_i$
- $\sigma_m$
- for $m < i < k$, $\sigma_i$
- $\sigma_k$
- for $k < i < \ell$, $\sigma_i$
- …






## Course Map






## Back to Main Track: Language of a Model



## Words over Signature

**Definition.** Let $\mathscr{S} = (\mathscr{T}, \mathscr{C}, V, atr, \mathscr{E})$ be a signature and $\mathscr{D}$ a structure of $\mathscr{S}$. A **word** over $\mathscr{S}$ and $\mathscr{D}$ is an infinite sequence

$$(\sigma_i, cons_i, Snd_i)_{i \in \mathbb{N}_0}$$
$$\in \left(\Sigma_{\mathscr{S}}^{\mathscr{D}} \times 2^{\mathscr{D}(\mathscr{C}) \times Evs(\mathscr{E}, \mathscr{D}) \times \mathscr{D}(\mathscr{C})} \times 2^{\mathscr{D}(\mathscr{C}) \times Evs(\mathscr{E}, \mathscr{D}) \times \mathscr{D}(\mathscr{C})}\right)^\omega.$$



## The Language of a Model

**Recall:** A UML model $\mathcal{M} = (\mathscr{CD}, \mathscr{SM}, \mathscr{OD})$ and a structure $\mathscr{D}$ denotes a set $[\![\mathcal{M}]\!]$ of (initial and consecutive) **computations** of the form

$$(\sigma_0, \varepsilon_0) \xrightarrow{a_0} (\sigma_1, \varepsilon_1) \xrightarrow{a_1} (\sigma_2, \varepsilon_2) \xrightarrow{a_2} \dots \text{ where}$$

$$a_i = (cons_i, Snd_i, u_i) \in \underbrace{2^{\mathscr{D}(\mathscr{C}) \times Evs(\mathscr{E}, \mathscr{D}) \times \mathscr{D}(\mathscr{C})} \times 2^{\mathscr{D}(\mathscr{C}) \times Evs(\mathscr{E}, \mathscr{D}) \times \mathscr{D}(\mathscr{C})}}_{=: \tilde{A}} \times \mathscr{D}(\mathscr{C}).$$

For the connection between models and interactions, we **disregard** the configuration of **the ether** and **who** made the step, and define as follows:

**Definition.** Let $\mathcal{M} = (\mathscr{CD}, \mathscr{SM}, \mathscr{OD})$ be a UML model and $\mathscr{D}$ a structure. Then

$$\mathcal{L}(\mathcal{M}) := \{(\sigma_i, cons_i, Snd_i)_{i \in \mathbb{N}_0} \in (\Sigma_{\mathscr{S}}^{\mathscr{D}} \times \tilde{A})^\omega \mid$$
$$\exists (\varepsilon_i, u_i)_{i \in \mathbb{N}_0} : (\sigma_0, \varepsilon_0) \xrightarrow[u_0]{(cons_0, Snd_0)} (\sigma_1, \varepsilon_1) \cdots \in [\![\mathcal{M}]\!]\}$$

is the **language** of $\mathcal{M}$.



## Example: The Language of a Model

$$\mathcal{L}(\mathcal{M}) := \{(\sigma_i, cons_i, Snd_i)_{i \in \mathbb{N}_0} \in (\Sigma_{\mathscr{S}}^{\mathscr{D}} \times \tilde{A})^\omega \mid$$
$$\exists (\varepsilon_i, u_i)_{i \in \mathbb{N}_0} : (\sigma_0, \varepsilon_0) \xrightarrow[u_0]{(cons_0, Snd_0)} (\sigma_1, \varepsilon_1) \cdots \in [\![\mathcal{M}]\!]\}$$

## *Signal and Attribute Expressions*

- Let $\mathscr{S} = (\mathscr{T}, \mathscr{C}, V, atr, \mathscr{E})$ be a signature and $X$ a set of logical variables,

- The signal and attribute expressions $Expr_{\mathscr{S}}(\mathscr{E}, X)$ are defined by the grammar:

$$\psi ::= \textit{true} \mid expr \mid E^{!}_{x,y} \mid E^{?}_{x,y} \mid \neg\psi \mid \psi_1 \vee \psi_2,$$

where $expr : Bool \in Expr_{\mathscr{S}}$, $E \in \mathscr{E}$, $x, y \in X$.



## *Satisfaction of Signal and Attribute Expressions*

- Let $(\sigma, cons, Snd) \in \Sigma^{\mathscr{D}}_{\mathscr{S}} \times \tilde{A}$ be a triple consisting of **system state**, **consume set**, and **send set**.
- Let $\beta : X \to \mathscr{D}(\mathscr{C})$ be a valuation of the logical variables.

Then

- $(\sigma, cons, Snd) \models_\beta \textit{true}$
- $(\sigma, cons, Snd) \models_\beta \neg\psi$ if and only if not $(\sigma, cons, Snd) \models_\beta \psi$
- $(\sigma, cons, Snd) \models_\beta \psi_1 \vee \psi_2$ if and only if $(\sigma, cons, Snd) \models_\beta \psi_1$ or $(\sigma, cons, Snd) \models_\beta \psi_2$
- $(\sigma, cons, Snd) \models_\beta expr$ if and only if $I[\![expr]\!](\sigma, \beta) = 1$
- $(\sigma, cons, Snd) \models_\beta E^{!}_{x,y}$ if and only if $\exists \vec{d} \bullet (\beta(x), (E, \vec{d}), \beta(y)) \in Snd$
- $(\sigma, cons, Snd) \models_\beta E^{?}_{x,y}$ if and only if $\exists \vec{d} \bullet (\beta(x), (E, \vec{d}), \beta(y)) \in cons$

**Observation**: semantics of models **keeps track** of sender and receiver at sending and consumption time. We disregard the event identity.
**Alternative**: keep track of event identities.



## *TBA over Signature*

**Definition.** A TBA

$$\mathcal{B} = (Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \to, Q_F)$$

where $Expr_{\mathcal{B}}(X)$ is the set of **signal and attribute expressions** $Expr_{\mathscr{S}}(\mathscr{E}, X)$ over signature $\mathscr{S}$ is called **TBA over** $\mathscr{S}$.

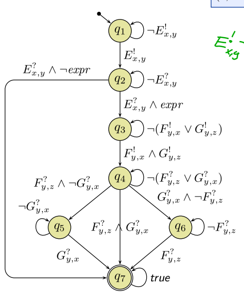
- Any word over $\mathscr{S}$ and $\mathscr{D}$ is then a word for $\mathcal{B}$.
  (By the satisfaction relation defined on the previous slide; $\mathscr{D}(X) = \mathscr{D}(\mathscr{C})$.)

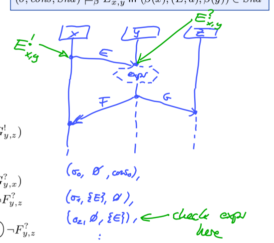
- Thus a TBA over $\mathscr{S}$ accepts words of models with signature $\mathscr{S}$.
  (By the previous definition of TBA.)



## *TBA over Signature Examp*

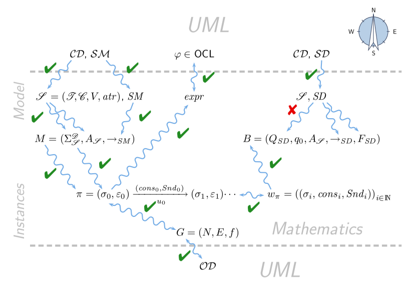
$(\sigma, cons, Snd) \models_\beta expr$ iff $I[\![expr]\!](\sigma, \beta) = 1$;
$(\sigma, cons, Snd) \models_\beta E^{!}_{x,y}$ iff $(\beta(x), (E, \vec{d}), \beta(y)) \in Snd$






## *Course Map*






## *Live Sequence Charts Semantics*

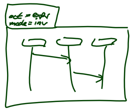
## TBA-based Semantics of LSCs

**Plan:**

- Given an LSC $L$ with body

$$(I, (\mathscr{L}, \preceq), \sim, \mathscr{S}, \mathsf{Msg}, \mathsf{Cond}, \mathsf{LocInv}),$$

- construct a TBA $\mathcal{B}_L$, and
- define $\mathcal{L}(L)$ **in terms of** $\mathcal{L}(\mathcal{B}_L)$,
  in particular taking activation condition and activation mode into account.
- Then $\mathcal{M} \models L$ (universal) if and only if $\mathcal{L}(\mathcal{M}) \subseteq \mathcal{L}(L)$.

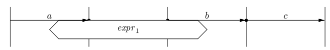




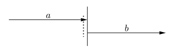
## Recall: Intuitive Semantics

(i) **Strictly After:**


(ii) **Simultaneously:** (simultaneous region)



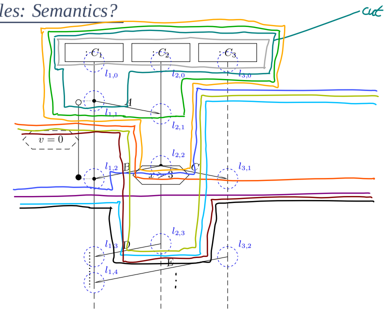

(iii) **Explicitly Unordered:** (co-region)




**Intuition:** A computation path **violates** an LSC if the occurrence of some events doesn't adhere to the partial order obtained as the **transitive closure** of (i) to (iii).



## Examples: Semantics?






## Formal LSC Semantics: It's in the Cuts!

**Definition.**
Let $(I, (\mathscr{L}, \preceq), \sim, \mathscr{S}, \mathsf{Msg}, \mathsf{Cond}, \mathsf{LocInv})$ be an LSC body.
A non-empty set $\emptyset \neq C \subseteq \mathscr{L}$ is called a **cut** of the LSC body iff

- it is **downward closed**, i.e.

$$\forall\, l, l' : l' \in C \wedge l \preceq l' \implies l \in C,$$

- it is **closed** under **simultaneity**, i.e.

$$\forall\, l, l' : l' \in C \wedge l \sim l' \implies l \in C, \text{ and}$$

- it comprises at least **one location per instance line**, i.e.

$$\forall\, i \in I\ \exists\, l \in C : i_l = i.$$

A cut $C$ is called **hot**, denoted by $\theta(C) = \mathit{hot}$, if and only if at least one of its maximal elements is hot, i.e. if

$$\exists\, l \in C : \theta(l) = \mathit{hot} \wedge \nexists\, l' \in C : l \prec l'$$

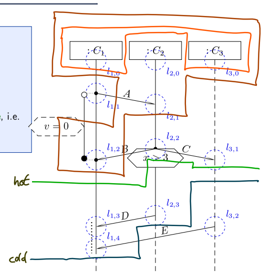
Otherwise, $C$ is called **cold**, denoted by $\theta(C) = \mathit{cold}$.



## Examples: Cut or Not Cut? Hot/Cold?

(i) **non-empty** set $\emptyset \neq C \subseteq \mathscr{L}$,
(ii) **downward closed**, i.e.
$\forall\, l, l' : l' \in C \wedge l \preceq l' \implies l \in C$
(iii) **closed** under **simultaneity**, i.e.
$\forall\, l, l' : l' \in C \wedge l \sim l' \implies l \in C$
(iv) at least **one location per instance line**, i.e.
$\forall\, i \in I\ \exists\, l \in C : i_l = i,$

- $C_0 = \emptyset$
- $C_1 = \{l_{1,0}, l_{2,0}, l_{3,0}\}$
- $C_2 = \{l_{1,1}, l_{2,1}, l_{3,0}\}$
- $C_3 = \{l_{1,0}, l_{1,1}\}$
- $C_4 = \{l_{1,0}, l_{1,1}, l_{2,0}, l_{3,0}\}$
- $C_5 = \{l_{1,0}, l_{1,1}, l_{2,0}, l_{2,1}, l_{3,0}\}$
- $C_6 = \mathscr{L} \setminus \{l_{1,3}, l_{2,3}\}$
- $C_7 = \mathscr{L}$






## A Successor Relation on Cuts

The partial order of $(\mathscr{L}, \preceq)$ and the simultaneity relation "$\sim$" induce a **direct successor relation** on cuts of $\mathscr{L}$ as follows:

**Definition.** Let $C, C' \subseteq \mathscr{L}$ bet cuts of an LSC body with locations $(\mathscr{L}, \preceq)$ and messages $\mathsf{Msg}$.
$C'$ is called **direct successor** of $C$ **via fired-set** $F$, denoted by $C \rightsquigarrow_F C'$, if and only if

- $F \neq \emptyset$,
- $C' \setminus C = F$,
- for each message reception in $F$, the corresponding sending is already in $C$,

$$\forall\, (l, E, l') \in \mathsf{Msg} : l' \in F \implies l \in C, \text{ and}$$

- locations in $F$, that lie on the same instance line, are pairwise unordered, i.e.

$$\forall\, l, l' \in F : l \neq l' \wedge i_l = i_{l'} \implies l \not\preceq l' \wedge l' \not\preceq l$$

## Properties of the Fired-set

$C \rightsquigarrow_F C'$ if and only if
- $F \neq \emptyset$,
- $C' \setminus C = F$,
- $\forall (l, E, l') \in$ Msg $: l' \in F \implies l \in C$, and
- $\forall l, l' \in F : l \neq l' \wedge i_l = i_{l'} \implies l \not\preceq l' \wedge l' \not\preceq l$

- **Note**: $F$ is closed under simultaneity.
- **Note**: locations in $F$ are direct $\preceq$-successors of locations in $C$, i.e.

$$\forall l' \in F\ \exists l \in C : l \prec l' \wedge \nexists l'' \in C : l' \prec l'' \prec l$$



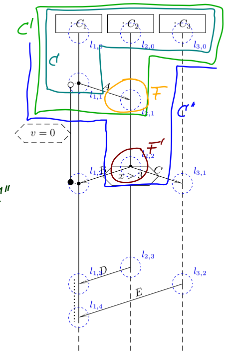


## Successor Cut Examples

(i) $F \neq \emptyset$, (ii) $C' \setminus C = F$,
(iii) $\forall (l, E, l') \in$ Msg $: l' \in F \implies l \in C$, and
(iv) $\forall l, l' \in F : l \neq l' \wedge i_l = i_{l'} \implies l \not\preceq l' \wedge l' \not\preceq l$

$C' \rightsquigarrow_F C'$

$C' \rightsquigarrow_{F'} C''$







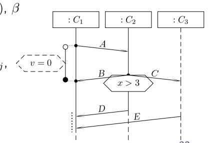

## Idea: Accept Timed Words by Advancing the Cut

- Let $w = (\sigma_0, cons_0, Snd_0), (\sigma_1, cons_1, Snd_1), (\sigma_2, cons_2, Snd_2), \ldots$ be a word of a UML model and $\beta$ a valuation of $I \cup \{self\}$.
- **Intuitively** (and for now **disregarding** cold conditions), an LSC body $(I, (\mathscr{L}, \preceq), \sim, \mathscr{S},$ Msg, Cond, LocInv$)$ is **supposed** to **accept** $w$ if and only if there exists a sequence

$$C_0 \rightsquigarrow_{F_1} C_1 \rightsquigarrow_{F_2} C_2 \cdots \rightsquigarrow_{F_n} C_n$$

and indices $0 = i_0 < i_1 < \cdots < i_n$ such that for all $0 \leq j < n$,

- for all $i_j \leq k < i_{j+1}$, $(\sigma_k, cons_k, Snd_k), \beta$ satisfies the **hold condition** of $C_j$,
- $(\sigma_{i_j}, cons_{i_j}, Snd_{i_j}), \beta$ satisfies the **transition condition** of $F_j$,
- $C_n$ is cold,
- for all $i_n < k$, $(\sigma_k, cons_{i_j}, Snd_{i_j}), \beta$ satisfies the **hold condition** of $C_n$.








## Language of LSC Body

The **language** of the body

$$(I, (\mathscr{L}, \preceq), \sim, \mathscr{S}, \text{Msg}, \text{Cond}, \text{LocInv})$$

of LSC $L$ is the language of the TBA

$$\mathcal{B}_L = (Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \rightarrow, Q_F)$$

with

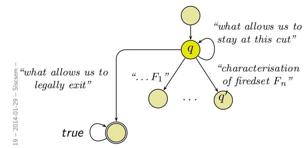
- $Expr_{\mathcal{B}}(X) = Expr_{\mathscr{S}}(\mathscr{S}, X)$
- $Q$ is the set of cuts of $(\mathscr{L}, \preceq)$, $q_{ini}$ is the **instance heads** cut,
- $F = \{C \in Q \mid \theta(C) = \text{cold}\}$ is the set of cold cuts of $(\mathscr{L}, \preceq)$,
- $\rightarrow$ as defined in the following, consisting of
  - **loops** $(q, \psi, q)$,
  - **progress transitions** $(q, \psi, q')$ corresponding to $q \rightsquigarrow_F q'$, and
  - **legal exits** $(q, \psi, \mathscr{L})$.

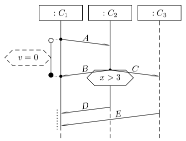




## Language of LSC Body: Intuition

$\mathcal{B}_L = (Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \rightarrow, Q_F)$ with
- $Expr_{\mathcal{B}}(X) = Expr_{\mathscr{S}}(\mathscr{S}, X)$
- $Q$ is the set of cuts of $(\mathscr{L}, \preceq)$, $q_{ini}$ is the **instance heads** cut,
- $F = \{C \in Q \mid \theta(C) = \text{cold}\}$ is the set of cold cuts,
- $\rightarrow$ consists of
  - **loops** $(q, \psi, q)$,
  - **progress transitions** $(q, \psi, q')$ corresponding to $q \rightsquigarrow_F q'$, and
  - **legal exits** $(q, \psi, \mathscr{L})$.








## Step I: Only Messages

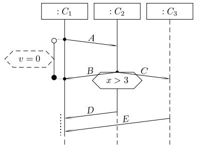
## Some Helper Functions

- **Message-expressions of a location**:

$$\mathscr{E}(l) := \{E^!_{i_l,i_{l'}} \mid (l,E,l') \in \mathsf{Msg}\} \cup \{E^?_{i_{l'},i_l} \mid (l',E,l) \in \mathsf{Msg}\},$$

$$\mathscr{E}(\{l_1,\dots,l_n\}) := \mathscr{E}(l_1) \cup \dots \cup \mathscr{E}(l_n).$$

$$\bigvee \emptyset := \mathit{true}; \bigvee \{E1^!_{i_{11},i_{12}}, \dots F^?_{k_{k1},i_{k2}}, \dots\} := \bigvee_{1 \le j < k} E^!_{j_{i_{j1},i_{j2}}} \vee \bigvee_{k \le j} F^?_{j_{i_{j1},i_{j2}}}$$

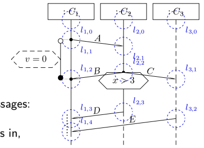





## Loops

- How long may we **legally** stay at a cut $q$?
- **Intuition**: those $(\sigma_i, cons_i, Snd_i)$ are allowed to fire the self-loop $(q, \psi, q)$ where
  - $cons_i \cup Snd_i$ comprises only irrelevant messages:
    - **weak mode**: no message from a direct successor cut is in,
    - **strict mode**: no message occurring in the LSC is in,
  - sigma_i satisfies the local invariants active at q

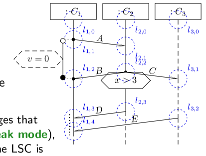
  And nothing else.
- **Formally**: Let $F := F_1 \cup \dots \cup F_n$ be the union of the firedsets of $q$.
  - $\psi := \underbrace{\neg(\bigvee \mathscr{E}(F))}_{= \mathit{true} \text{ if } F = \emptyset}$






## Progress

- When do we move from $q$ to $q'$?
- **Intuition**: those $(\sigma_i, cons_i, Snd_i)$ fire the progress transition $(q, \psi, q')$ for which there exists a firedset $F$ such that $q \rightsquigarrow_F q'$ and
  - $cons_i \cup Snd_i$ comprises exactly the messages that distinguish $F$ from other firedsets of $q$ (**weak mode**), and in addition no message occurring in the LSC is in $cons_i \cup Snd_i$ (**strict mode**),
  - sigma_i satisfies the local invariants and conditions relevant at q
- **Formally**: Let $F, F_1, \dots, F_n$ be the firedsets of $q$ and let $q \rightsquigarrow_F q'$ (unique).
  - $\psi := \bigwedge \mathscr{E}(F) \wedge \neg(\bigvee(\mathscr{E}(F_1) \cup \dots \cup \mathscr{E}(F_n)) \setminus \mathscr{E}(F))$






## Step II: Conditions and Local Invariants



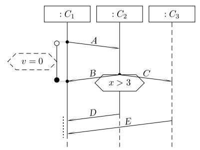
## Some More Helper Functions

- **Constraints** relevant **at** cut $q$:

$$\psi_\theta(q) = \{\psi \mid \exists\, l \in q, l' \notin q \mid (l, \psi, \theta, l') \in \mathsf{LocInv} \vee (l', \psi, \theta, l) \in \mathsf{LocInv}\},$$

$$\psi(q) = \psi_{\mathsf{hot}}(q) \cup \psi_{\mathsf{cold}}(q)$$

$$\bigwedge \emptyset := \mathit{false}; \quad \bigwedge \{\psi_1, \dots, \psi_n\} := \bigwedge_{1 \le i \le n} \psi_i$$

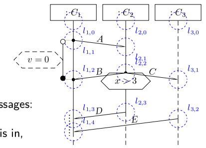





## Loops with Conditions

- How long may we **legally** stay at a cut $q$?
- **Intuition**: those $(\sigma_i, cons_i, Snd_i)$ are allowed to fire the self-loop $(q, \psi, q)$ where
  - $cons_i \cup Snd_i$ comprises only irrelevant messages:
    - **weak mode:** no message from a direct successor cut is in,
    - **strict mode:** no message occurring in the LSC is in,
  - $\sigma_i$ satisfies the local invariants active at $q$

  And nothing else.
- **Formally**: Let $F := F_1 \cup \dots \cup F_n$ be the union of the firedsets of $q$.
  - $\psi := \underbrace{\neg(\bigvee \mathscr{E}(F))}_{= \mathit{true} \text{ if } F = \emptyset} \wedge \bigwedge \psi(q).$



## Even More Helper Functions

- **Constraints** relevant when moving from $q$ **to** cut $q'$:

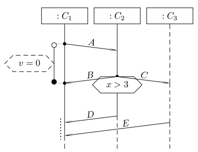
$\psi_\theta(q, q') = \{\psi \mid \exists L \subseteq \mathscr{L} \mid (L, \psi, \theta) \in \mathsf{Cond} \wedge L \cap (q' \setminus q) \neq \emptyset\}$

$\cup\, \psi_\theta(q')$

$\setminus \{\psi \mid \exists l \in q' \setminus q, l' \in \mathscr{L} \mid (l, \circ, expr, \theta, l') \in \mathsf{LocInv} \vee (l', expr, \theta, \circ, l) \in \mathsf{LocInv}\}$

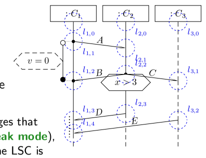
$\cup \{\psi \mid \exists l \in q' \setminus q, l' \in \mathscr{L} \mid (l, \bullet, expr, \theta, l') \in \mathsf{LocInv} \vee (l', expr, \theta, \bullet, l) \in \mathsf{LocInv}\}$

$$\psi(q, q') = \psi_{\mathsf{hot}}(q, q') \cup \psi_{\mathsf{cold}}(q, q')$$






## Progress with Conditions



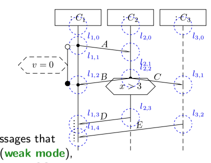
- When do we move from $q$ to $q'$?
- **Intuition**: those $(\sigma_i, cons_i, Snd_i)$ fire the progress transition $(q, \psi, q')$ for which there exists a firedset $F$ such that $q \rightsquigarrow_F q'$ and
  - $cons_i \cup Snd_i$ comprises exactly the messages that distinguish $F$ from other firedsets of $q$ (**weak mode**), and in addition no message occurring in the LSC is in $cons_i \cup Snd_i$ (**strict mode**),
  - $\sigma_i$ satisfies the local invariants and conditions relevant at $q'$.
- **Formally**: Let $F, F_1, \dots, F_n$ be the firedsets of $q$ and let $q \rightsquigarrow_F q'$ (unique).
  - $\psi := \bigwedge \mathscr{E}(F) \wedge \neg(\bigvee(\mathscr{E}(F_1) \cup \dots \cup \mathscr{E}(F_n)) \setminus \mathscr{E}(F)) \wedge \bigwedge \psi(q, q')$.



## Step III: Cold Conditions and Cold Local Invariants



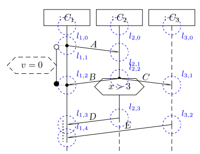
## Legal Exits



- When do we take a legal exit from $q$?
- **Intuition**: those $(\sigma_i, cons_i, Snd_i)$ fire the legal exit transition $(q, \psi, \mathscr{L})$
  - for which there exists a firedset $F$ and some $q'$ such that $q \rightsquigarrow_F q'$ and
    - $cons_i \cup Snd_i$ comprises exactly the messages that distinguish $F$ from other firedsets of $q$ (**weak mode**), and in addition no message occurring in the LSC is in $cons_i \cup Snd_i$ (**strict mode**) and
    - at least one cold condition or local invariant relevant when moving to $q'$ is violated, or
  - for which there is no matching firedset and at least one cold local invariant relevant at $q$ is violated.
- **Formally**: Let $F_1, \dots, F_n$ be the firedsets of $q$ with $q \rightsquigarrow_{F_i} q'_i$.
  - $\psi := \bigvee_{i=1}^{n} \bigwedge \mathscr{E}(F_i) \wedge \neg(\bigvee(\mathscr{E}(F_1) \cup \dots \cup \mathscr{E}(F_n)) \setminus \mathscr{E}(F_i)) \wedge \bigvee \psi_{\mathsf{cold}}(q, q'_i)$
  $\vee \neg(\bigvee \mathscr{E}(F_i)) \wedge \bigvee \psi_{\mathsf{cold}}(q)$



## Example





## Finally: The LSC Semantics

A **full LSC** $L$ consist of

- a **body** $(I, (\mathscr{L}, \preceq), \sim, \mathscr{S}, \mathsf{Msg}, \mathsf{Cond}, \mathsf{LocInv})$,
- an **activation condition** (here: event) $ac = E^?_{i_1,i_2}$, $E \in \mathscr{E}$, $i_1, i_2 \in I$,
- an **activation mode**, either **initial** or **invariant**,
- a **chart mode**, either **existential** (cold) or **universal** (hot).

A set $W$ of words over $\mathscr{S}$ and $\mathscr{D}$ **satisfies** $L$, denoted $W \models L$, iff $L$

- **universal** (= hot), **initial**, and

  $\forall w \in W\ \forall \beta : I \to \mathrm{dom}(\sigma(w^0)) \bullet w$ activates $L \implies w \in \mathcal{L}_\beta(\mathcal{B}_L)$.
- **existential** (= cold), **initial**, and

  $\exists w \in W\ \exists \beta : I \to \mathrm{dom}(\sigma(w^0)) \bullet w$ activates $L \wedge w \in \mathcal{L}_\beta(\mathcal{B}_L)$.
- **universal** (= hot), **invariant**, and

  $\forall w \in W\ \forall k \in \mathbb{N}_0\ \forall \beta : I \to \mathrm{dom}(\sigma(w^k)) \bullet w/k$ activates $L \implies w/k \in \mathcal{L}_\beta(\mathcal{B}_L)$.
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  $\exists w \in W\ \exists k \in \mathbb{N}_0\ \exists \beta : I \to \mathrm{dom}(\sigma(w^k)) \bullet w/k$ activates $L \wedge w/k \in \mathcal{L}_\beta(\mathcal{B}_L)$.

## Back to UML: Interactions



## Model Consistency wrt. Interaction

- We assume that the set of interactions $\mathscr{I}$ is partitioned into two (possibly empty) sets of **universal** and **existential** interactions, i.e.

$$\mathscr{I} = \mathscr{I}_\forall \mathbin{\dot\cup} \mathscr{I}_\exists.$$

**Definition.** A model

$$\mathcal{M} = (\mathscr{CD}, \mathscr{SM}, \mathscr{OD}, \mathscr{I})$$

is called **consistent** (more precise: the constructive description of behaviour is consistent with the reflective one) if and only if

$$\forall \mathcal{I} \in \mathscr{I}_\forall : \mathcal{L}(\mathcal{M}) \subseteq \mathcal{L}(\mathcal{I})$$

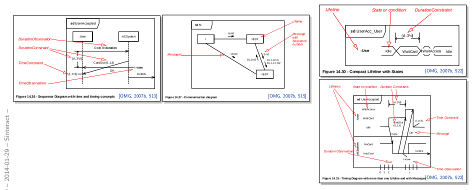
and

$$\forall \mathcal{I} \in \mathscr{I}_\exists : \mathcal{L}(\mathcal{M}) \cap \mathcal{L}(\mathcal{I}) \neq \emptyset.$$




## Interactions as Reflective Description

- In UML, reflective (temporal) descriptions are subsumed by **interactions**.
- A UML model $\mathcal{M} = (\mathscr{CD}, \mathscr{SM}, \mathscr{OD}, \mathscr{I})$ has a set of interactions $\mathscr{I}$.
- An interaction $\mathcal{I} \in \mathscr{I}$ can be (OMG claim: equivalently) **diagrammed** as
  - **sequence diagram**, **timing diagram**, or
  - **communication diagram** (formerly known as collaboration diagram).



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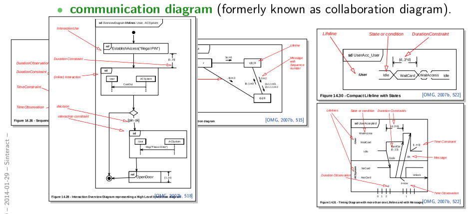




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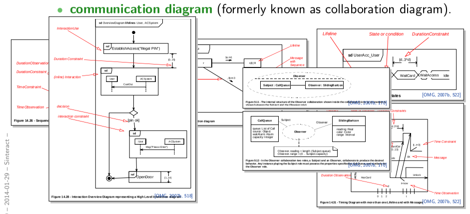




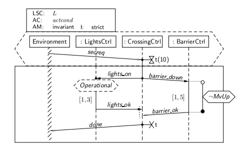

## Why Sequence Diagrams?

**Most Prominent**: Sequence Diagrams — with **long history**:

- **Message Sequence Charts**, standardized by the ITU in different versions, often accused to lack a formal semantics.
- **Sequence Diagrams** of UML 1.x

Most severe **drawbacks** of these formalisms:

- unclear **interpretation**: example scenario or invariant?
- unclear **activation**: what triggers the requirement?
- unclear **progress** requirement: must all messages be observed?
- **conditions** merely comments
- no means to express **forbidden scenarios**



## *Thus: Live Sequence Charts*

- **SDs of UML 2.x** address **some** issues, yet the standard exhibits unclarities and even contradictions [Harel and Maoz, 2007, Störrle, 2003]
- For the lecture, we consider **Live Sequence Charts** (LSCs) [Damm and Harel, 2001, Klose, 2003, Harel and Marelly, 2003], who have a common fragment with UML 2.x SDs [Harel and Maoz, 2007]
- **Modelling guideline**: stick to that fragment.



## *Side Note: Protocol Statemachines*

Same direction: **call orders** on operations

- **"for each $C$ instance, method $f()$ shall only be called after $g()$ but before $h()$"**

Can be formalised with protocol state machines.



## *References*



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