

Software Design, Modelling and Analysis in UML

Lecture 14: Core State Machines IV

2014-12-18

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Contents & Goals

Last Lecture:

- System configuration
- Transformer
- Action language: skip, update

This Lecture:

- **Educational Objectives:** Capabilities for following tasks/questions.
 - What does this State Machine mean? What happens if I inject this event?
 - Can you please model the following behaviour.
 - What is: Signal, Event, Ether, Transformer, Step, RTC.

● **Content:**

- Action Language: send (create/destroy later)
- Run-to-completion Step
- Putting It All Together

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Transformer Cont'd

Transformer: Skip

| abstract syntax | concrete syntax |
|---------------------|---|
| skip | <i>skip</i> |
| intuitive semantics | <i>do nothing</i> |
| well-typedness | \cdot/\cdot |
| semantics | $t_{\text{skip}}[u_x](\sigma, \varepsilon) = \{(\sigma, \varepsilon)\}$ |
| observables | $Obs_{\text{skip}}[u_x](\sigma, \varepsilon) = \emptyset$ |
| (error) conditions | |

Transformer: Update

| | |
|---|------------------------|
| abstract syntax | concrete syntax |
| $\text{update}(expr_1, v, expr_2)$ | $expr_1.v = expr_2$ |
| intuitive semantics Update attribute v in the object denoted by $expr_1$ to the value denoted by $expr_2$. | |
| well-typedness $expr_1 : \tau_C$ and $v : \tau \in \text{atr}(C)$; $expr_2 : \tau$; $expr_1, expr_2$ obey visibility and navigability. | |
| semantics $t_{\text{update}(expr_1, v, expr_2)}[u_x](\sigma, \varepsilon) = \{(\sigma', \varepsilon)\}$ where $\sigma' = \sigma[u \mapsto \sigma(u)[v \mapsto I[expr_2](\sigma, u_x)]]$ with $u = I[expr_1](\sigma, u_x)$. | |
| observables $Obs_{\text{update}(expr_1, v, expr_2)}[u_x] = \emptyset$ | |
| (error) conditions Not defined if $I[expr_1](\sigma, \beta)$ or $I[expr_2](\sigma, \beta)$ not defined. | |

change local state of object u

ε does not change

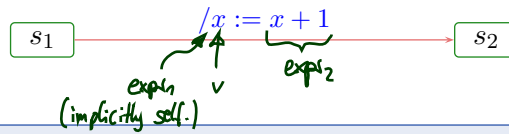
change value of v in $\sigma(u)$

object denoted by $expr_1$ (relative to u_x)

value denoted by $expr_2$ (relative to u_x)

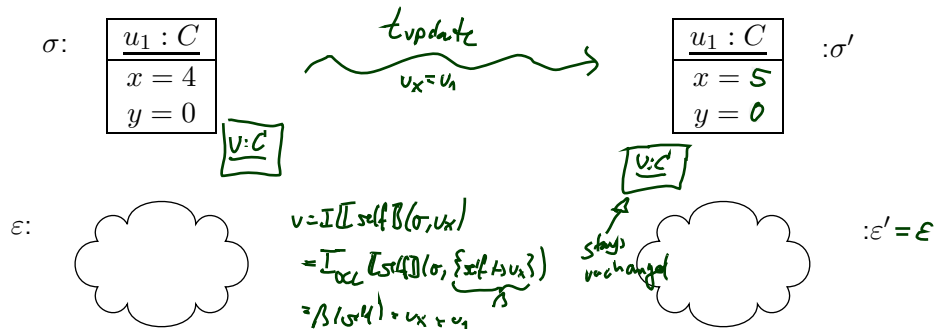
Update Transformer Example

SM_C :



$$t_{\text{update}(expr_1, v, expr_2)}[u_x](\sigma, \varepsilon) = (\sigma' = \sigma[u \mapsto \sigma(u)[v \mapsto I[expr_2](\sigma, u_x)]], \varepsilon), u = I[expr_1](\sigma, u_x)$$

$$I[x+1](\sigma, u_x) = I[x+1](\sigma, u_x) = 5$$



Transformer: Send

| | | | |
|----------------------------|---|------------------------|--|
| abstract syntax | $\text{send}(E(\text{expr}_1, \dots, \text{expr}_n), \text{expr}_{dst})$ | concrete syntax | $\text{expl}_{dst} ! E(\text{expl}_1, \dots, \text{expl}_n)$ |
| intuitive semantics | Object $u_x : C$ sends event E to object expr_{dst} , i.e. create a fresh signal instance, fill in its attributes, and place it in the ether. | | |
| well-typedness | $\text{expr}_{dst} : \tau_D, C, D \in \mathcal{C} \setminus \mathcal{E}; E \in \mathcal{E}; \text{atr}(E) = \{v_1 : \tau_1, \dots, v_n : \tau_n\}; \text{expr}_i : \tau_i, 1 \leq i \leq n;$ all expressions obey visibility and navigability in C | | |
| semantics | $(\sigma', \varepsilon') \in t_{\text{send}(E(\text{expr}_1, \dots, \text{expr}_n), \text{expr}_{dst})[u_x]}(\sigma, \varepsilon)$ iff $\sigma' = \sigma \dot{\cup} \{u \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}; \varepsilon' = \varepsilon \oplus (u_{dst}, u);$ if $u_{dst} = I[\text{expr}_{dst}](\sigma, u_x) \in \text{dom}(\sigma); d_i = I[\text{expr}_i](\sigma, u_x)$ for $1 \leq i \leq n;$ $u' \in \mathcal{D}(E)$ a fresh identity, i.e. $u' \notin \text{dom}(\sigma),$ and where $(\sigma', \varepsilon') = (\sigma, \varepsilon)$ if $u_{dst} \notin \text{dom}(\sigma).$ | | |
| observables | $\text{Obs}_{\text{send}[u_x]} = \{(u_x, u, (E, d_1, \dots, d_n), u_{dst})\}$ | | |
| (error) conditions | $I[\text{expr}](\sigma, u_x)$ not defined for any $\text{expr} \in \{\text{expr}_{dst}, \text{expr}_1, \dots, \text{expr}_n\}$ | | |

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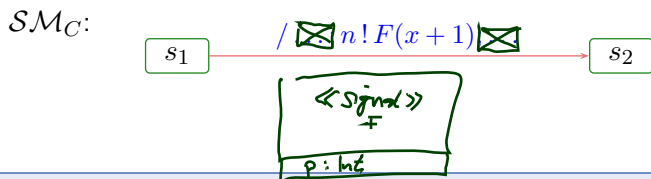
our choice!
we could also just send, or consider it an error condition

disjoint union

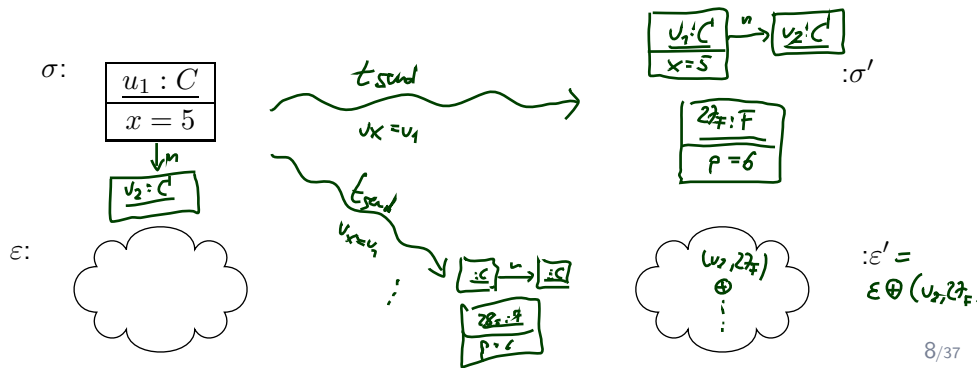
new signal instance

do nothing if u_{dst} not alive in σ

Send Transformer Example



$t_{\text{send}(\text{expr}_{src}, E(\text{expr}_1, \dots, \text{expr}_n), \text{expr}_{dst})[u_x]}(\sigma, \varepsilon) \ni (\sigma', \varepsilon')$ iff $\varepsilon' = \varepsilon \oplus (u_{dst}, u);$
 $\sigma' = \sigma \dot{\cup} \{u \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}; u_{dst} = I[\text{expr}_{dst}](\sigma, u_x) \in \text{dom}(\sigma);$
 $d_i = I[\text{expr}_i](\sigma, u_x), 1 \leq i \leq n; u \in \mathcal{D}(E)$ a fresh identity;



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Sequential Composition of Transformers

- **Sequential composition** $t_1 \circ t_2$ of transformers t_1 and t_2 is canonically defined as

$$(t_2 \circ t_1)[u_x](\sigma, \varepsilon) = t_2[u_x](t_1[u_x](\sigma, \varepsilon))$$

with observation

$$Obs_{(t_2 \circ t_1)}[u_x](\sigma, \varepsilon) = Obs_{t_1}[u_x](\sigma, \varepsilon) \cup Obs_{t_2}[u_x](t_1(\sigma, \varepsilon)).$$

- **Clear:** not defined if one the two intermediate “micro steps” is not defined.

Transformers And Denotational Semantics

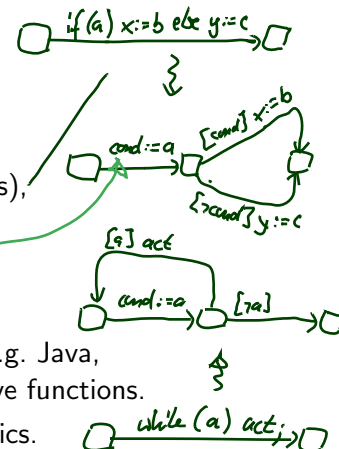
Observation: our transformers are in principle the **denotational semantics** of the actions/action sequences. The trivial case, to be precise.

Note: with the previous examples, we can capture

- empty statements, skips,
- assignments,
- conditionals (by normalisation and auxiliary variables),
- create/destroy,

but not **possibly diverging loops**.

add cond: Bool
to act (c)
if this is SM_c



Our (Simple) Approach: if the action language is, e.g. Java, then (**syntactically**) forbid loops and calls of recursive functions.

Other Approach: use full blown denotational semantics.

No show-stopper, because loops in the action annotation can be converted into transition cycles in the state machine.

Step and Run-to-completion Step

Transition Relation, Computation

Definition. Let A be a set of **actions** and S a (not necessarily finite) set of **states**.

We call

$$\rightarrow \subseteq S \times A \times S$$

a (labelled) **transition relation**.

Let $S_0 \subseteq S$ be a set of **initial states**. A sequence

$$s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} s_2 \xrightarrow{a_2} \dots$$

with $s_i \in S$, $a_i \in A$ is called **computation** of the **labelled transition system** (S, \rightarrow, S_0) if and only if

- **initiation:** $s_0 \in S_0$
- **consecution:** $(s_i, a_i, s_{i+1}) \in \rightarrow$ for $i \in \mathbb{N}_0$.

Active vs. Passive Classes/Objects

- **Note:** From now on, assume that all classes are **active** for simplicity.
We'll later briefly discuss the Rhapsody framework which proposes a way how to integrate non-active objects.
- **Note:** The following RTC "algorithm" follows [?] (i.e. the one realised by the Rhapsody code generation) where the standard is ambiguous or leaves choices.

From Core State Machines to LTS

Definition. Let $\mathcal{S}_0 = (\mathcal{I}_0, \mathcal{C}_0, V_0, atr_0, \mathcal{E})$ be a signature with signals (all classes **active**), \mathcal{D}_0 a structure of \mathcal{S}_0 , and $(Eth, ready, \oplus, \ominus, [\cdot])$ an ether over \mathcal{S}_0 and \mathcal{D}_0 .

Assume there is one core state machine M_C per class $C \in \mathcal{C}$.

We say, the state machines **induce** the following labelled transition relation on states $S := \left(\Sigma_{\mathcal{C}} \cup \{\#\} \times Eth \right)$ with actions $A := \left(2^{\mathcal{D}(\mathcal{C})} \times (\mathcal{D}(\mathcal{E}) \cup \{\perp\}) Evs(\mathcal{E}, \mathcal{D}) \times \mathcal{D}(\mathcal{C}) \right)^2 \times \mathcal{D}(\mathcal{C})$. Handwritten: $(\Sigma_{\mathcal{C}} \times Eth) \cup \{\#\}$

- $(\sigma, \varepsilon) \xrightarrow[\underbrace{u}]{(cons, Snd)} (\sigma', \varepsilon')$ if and only if
 - an event with destination u is discarded,
 - an event is dispatched to u , i.e. stable object processes an event, or
 - run-to-completion processing by u commences, i.e. object u is not stable and continues to process an event,
 - the environment interacts with object u ,
- $s \xrightarrow{(cons, \emptyset)} \#$ if and only if
 - $s = \#$ and $cons = \emptyset$, or an error condition occurs during consumption of $cons$.

(i) Discarding An Event

$$(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon')$$

if

- an E -event (instance of signal E) is ready in ε for object u of a class \mathcal{C} , i.e. if

$$u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \wedge \exists u_E \in \mathcal{D}(E) : u_E \in \text{ready}(\varepsilon, u)$$

- u is stable and in state machine state s , i.e. $\sigma(u)(\text{stable}) = 1$ and $\sigma(u)(\text{st}) = s$,
- but there is no corresponding transition enabled (all transitions incident with current state of u either have other triggers or the guard is not satisfied)

$$\forall (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) : F \neq E \vee I[\text{expr}](\sigma, u) = 0$$

← current state assumed above
← see (ii)

and

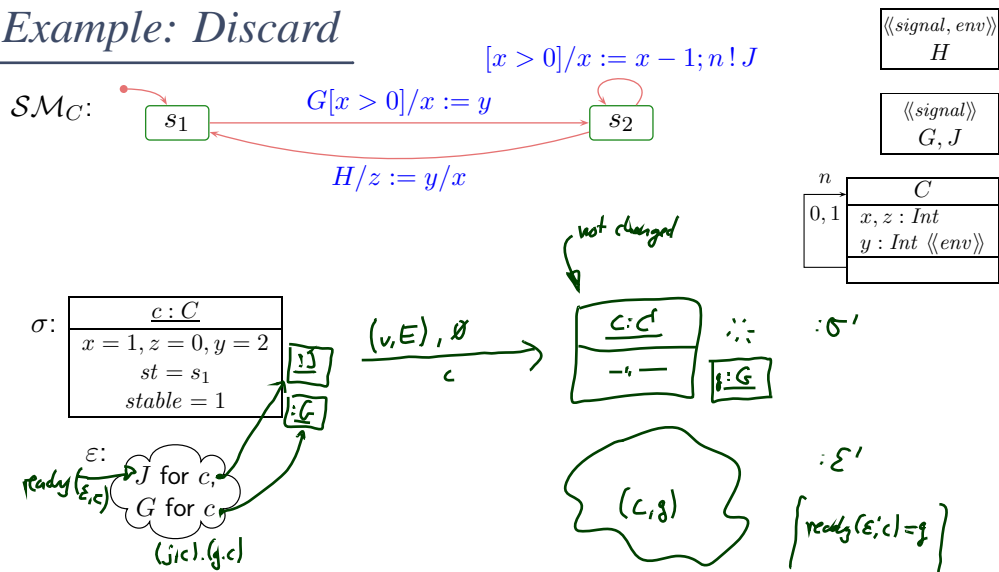
- the system configuration ~~doesn't~~ changes, i.e. $\sigma' = \sigma \setminus \{u_E \mapsto \sigma(u_E)\}$
- the event u_E is removed from the ether, i.e.

$$\varepsilon' = \varepsilon \ominus u_E,$$

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Example: Discard



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- $\exists u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \checkmark$
- $\exists u_E \in \mathcal{D}(E) : u_E \in \text{ready}(\varepsilon, u) \checkmark$
- $\forall (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) : F \neq E \vee I[\text{expr}](\sigma) = 0 \checkmark$
- $\sigma(u)(\text{stable}) = 1 \checkmark$
- $\sigma(u)(\text{st}) = s \checkmark$
- $\sigma' = \sigma, \varepsilon' = \varepsilon \ominus u_E$
- $cons = \{(u, (E, \sigma(u_E)))\}, Snd = \emptyset$

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(ii) Dispatch

$$(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon') \text{ if}$$

- $u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \wedge \exists u_E \in \mathcal{D}(E) : u_E \in \text{ready}(\varepsilon, u)$
- u is stable and in state machine state s , i.e. $\sigma(u)(\text{stable}) = 1$ and $\sigma(u)(st) = s$,
- a transition is enabled, i.e.

$$\exists (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) : F = E \wedge I[\text{expr}](\tilde{\sigma}) = 1$$

where $\tilde{\sigma} = \sigma[u.\text{params}_E \mapsto u_E]$.

and

- (σ', ε') results from applying t_{act} to (σ, ε) and removing u_E from the ether, i.e.

$$(\sigma'', \varepsilon') \in t_{act}(\tilde{\sigma}, \varepsilon \ominus u_E),$$

$$\sigma' = (\sigma''[u.st \mapsto s', u.stable \mapsto b, u.params_E \mapsto \emptyset])|_{\mathcal{D}(C) \setminus \{u_E\}}$$

where b **depends**:

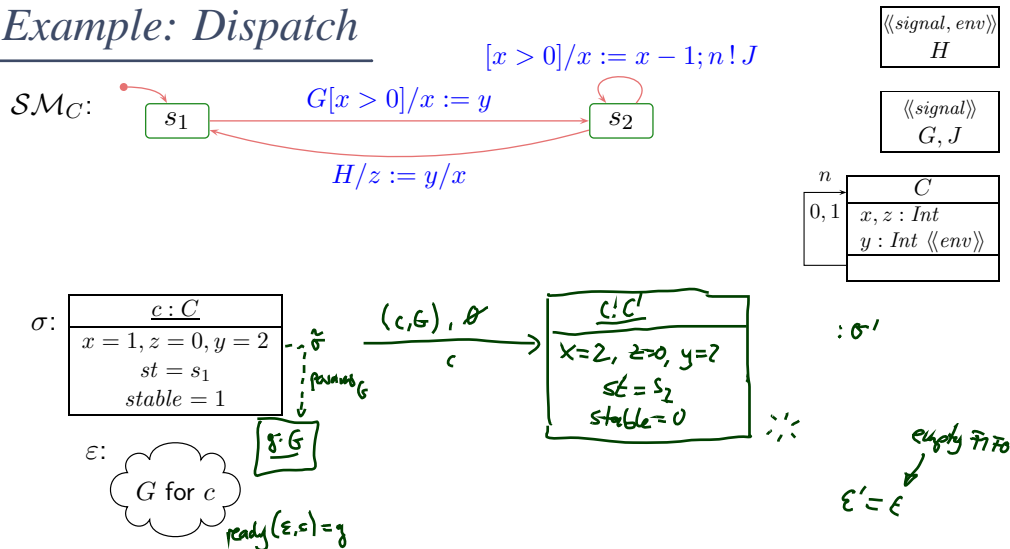
- If u becomes stable in s' , then $b = 1$. It **does** become stable if and only if there is no transition **without trigger** enabled for u in (σ', ε') .
- Otherwise $b = 0$.
- Consumption of u_E and the side effects of the action are observed, i.e.

$$cons = \{(u, (E, \sigma(u_E)))\}, Snd = Obs_{t_{act}}(\tilde{\sigma}, \varepsilon \ominus u_E).$$

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Example: Dispatch



- $\exists u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \checkmark$
- $\exists u_E \in \mathcal{D}(E) : u_E \in \text{ready}(\varepsilon, u) \checkmark$
- $\exists (s, F, \text{expr}, \text{act}, s') \in \rightarrow (SM_C) :$
- $F = E \wedge I[\text{expr}](\tilde{\sigma}) = 1 \checkmark$
- $\tilde{\sigma} = \sigma[u.\text{params}_E \mapsto u_E].$
- $\sigma(u)(\text{stable}) = 1 \checkmark$
- $\sigma(u)(st) = s_2 \checkmark$
- $(\sigma'', \varepsilon') = t_{act}(\tilde{\sigma}, \varepsilon \ominus u_E)$
- $\sigma' = (\sigma''[u.st \mapsto s', u.stable \mapsto b, u.params_E \mapsto \emptyset])|_{\mathcal{D}(C) \setminus \{u_E\}}$
- $cons = \{(u, (E, \sigma(u_E)))\}, Snd = Obs_{t_{act}}(\tilde{\sigma}, \varepsilon \ominus u_E)$

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(iii) Commence Run-to-Completion

$$(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon')$$

if

- there is an unstable object u of a class \mathcal{C} , i.e.

$$u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \wedge \sigma(u)(stable) = 0$$

- there is a transition without trigger enabled from the current state $s = \sigma(u)(st)$, i.e.

$$\exists (s, _, expr, act, s') \in \rightarrow (SM_C) : I[[expr]](\sigma) = 1$$

and

- (σ', ε') results from applying t_{act} to (σ, ε) , i.e.

$$(\sigma'', \varepsilon') \in t_{act}[u](\sigma, \varepsilon), \quad \sigma' = \sigma''[u.st \mapsto s', u.stable \mapsto b]$$

where b **depends** as before.

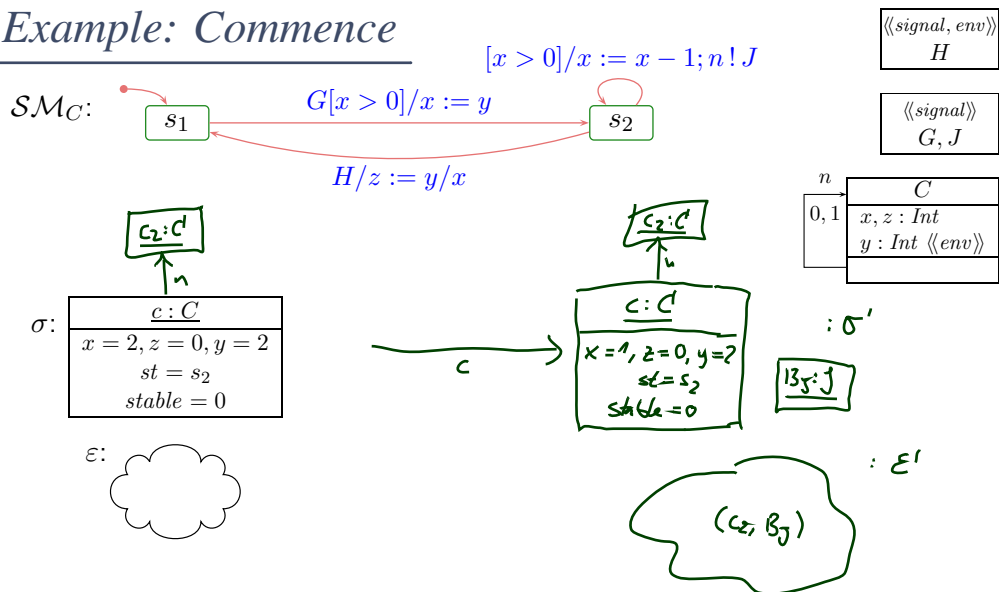
- Only the side effects of the action are observed, i.e.

$$cons = \emptyset, Snd = Obs_{t_{act}}(\sigma, \varepsilon).$$

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Example: Commence



- $\exists u \in \text{dom}(\sigma) \cap \mathcal{D}(C) : \sigma(u)(stable) = 0 \checkmark$
- $\exists (s, _, expr, act, s') \in \rightarrow (SM_C) : I[[expr]](\sigma) = 1 \checkmark$
- $\sigma(u)(stable) = 1 \checkmark \quad \sigma(u)(st) = s, \checkmark$
- $(\sigma', \varepsilon') = t_{act}(\sigma, \varepsilon), \quad \sigma' = \sigma''[u.st \mapsto s', u.stable \mapsto b]$
- $cons = \emptyset, Snd = Obs_{t_{act}}(\sigma, \varepsilon)$

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(iv) Environment Interaction

Assume that a set $\mathcal{E}_{env} \subseteq \mathcal{E}$ is designated as **environment events** and a set of attributes $v_{env} \subseteq V$ is designated as **input attributes**.

Then

$$(\sigma, \varepsilon) \xrightarrow[env]{(cons, Snd)} (\sigma', \varepsilon')$$

if

- environment event $E \in \mathcal{E}_{env}$ is spontaneously sent to an alive object $u \in \mathcal{D}(\sigma)$, i.e.

$$\sigma' = \sigma \dot{\cup} \{u_E \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}, \quad \varepsilon' = \varepsilon \oplus u_E$$

where $u_E \notin \text{dom}(\sigma)$ and $\text{atr}(E) = \{v_1, \dots, v_n\}$.

- Sending of the event is observed, i.e. $cons = \emptyset$, $Snd = \{(env, E(\vec{d}))\}$.

or

- Values of input attributes change freely in alive objects, i.e.

$$\forall v \in V \forall u \in \text{dom}(\sigma) : \sigma'(u)(v) \neq \sigma(u)(v) \implies v \in V_{env}.$$

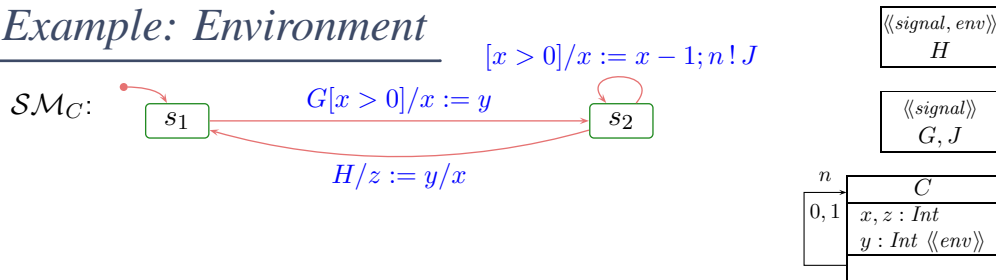
and no objects appear or disappear, i.e. $\text{dom}(\sigma') = \text{dom}(\sigma)$.

- $\varepsilon' = \varepsilon$.

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Example: Environment



$$\sigma: \begin{array}{|l} \hline c: C \\ \hline x = 0, z = 0, y = 2 \\ st = s_2 \\ stable = 1 \\ \hline \end{array}$$


- | | |
|--|---|
| <ul style="list-style-type: none"> $\sigma' = \sigma \dot{\cup} \{u_E \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}$ $\varepsilon' = \varepsilon \oplus u_E$ where $u_E \notin \text{dom}(\sigma)$ and $\text{atr}(E) = \{v_1, \dots, v_n\}$. | <ul style="list-style-type: none"> $u \in \text{dom}(\sigma)$ $cons = \emptyset$, $Snd = \{(env, E(\vec{d}))\}$. |
|--|---|

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(v) Error Conditions

$$s \xrightarrow[u]{(cons, Snd)} \#$$

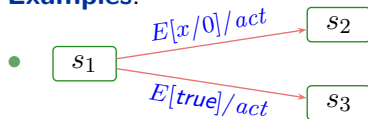
if, in ⁽ⁱ⁾ (ii) or (iii),

- $I[[expr]]$ is not defined for σ , or
- t_{act} is not defined for (σ, ε) ,

and

- consumption **is observed** according to ⁽ⁱ⁾ (ii) or (iii), but $Snd = \emptyset$.

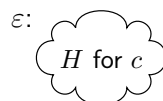
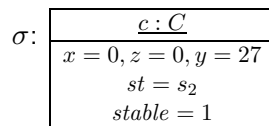
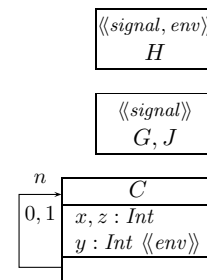
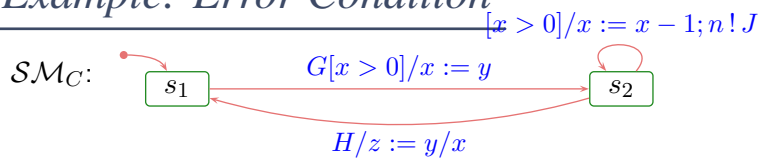
Examples:



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Example: Error Condition



- | | |
|--|--|
| <ul style="list-style-type: none"> • $I[[expr]]$ not defined for σ, or • t_{act} is not defined for (σ, ε) | <ul style="list-style-type: none"> • consumption according to (ii) or (iii) • $Snd = \emptyset$ |
|--|--|

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Notions of Steps: The Step

Note: we call one evolution $(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon')$ a **step**.

Thus in our setting, a **step directly corresponds** to

one object (namely u) takes a **single transition** between regular states.

(We have to extend the concept of “single transition” for hierarchical state machines.)

That is: We’re going for an interleaving semantics without true parallelism.

Notions of Steps: The Step

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(We have to extend the concept of “single transition” for hierarchical state machines.)

That is: We’re going for an interleaving semantics without true parallelism.

Remark: With only methods (later), the notion of step is not so clear.
For example, consider

- c_1 calls $f()$ at c_2 , which calls $g()$ at c_1 which in turn calls $h()$ for c_2 .

- Is the completion of $h()$ a step?
- Or the completion of $f()$?
- Or doesn't it play a role?

It does play a role, because **constraints/invariants** are typically (= by convention) assumed to be evaluated at step boundaries, and sometimes the convention is meant to admit (temporary) violation in between steps.

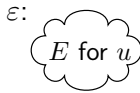
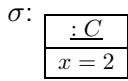
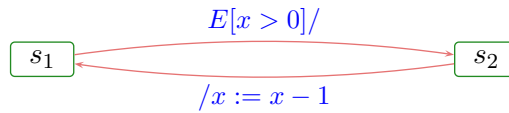
Notions of Steps: The Run-to-Completion Step

What is a **run-to-completion** step...?

- **Intuition:** a maximal sequence of steps, where the first step is a **dispatch** step and all later steps are **commence** steps.
- **Note:** one step corresponds to one transition in the state machine.

A run-to-completion step is in general not syntactically definable — one transition may be taken multiple times during an RTC-step.

Example:



Notions of Steps: The RTC Step Cont'd

Proposal: Let

$$(\sigma_0, \varepsilon_0) \xrightarrow[u_0]{(cons_0, Snd_0)} \dots \xrightarrow[u_{n-1}]{(cons_{n-1}, Snd_{n-1})} (\sigma_n, \varepsilon_n), \quad n > 0,$$

be a finite (!), non-empty, maximal, consecutive sequence such that

- object u is alive in σ_0 ,
- $u_0 = u$ and $(cons_0, Snd_0)$ indicates dispatching to u , i.e. $cons = \{(u, \vec{v} \mapsto \vec{d})\}$,
- there are no receptions by u in between, i.e.

$$cons_i \cap \{u\} \times Evs(\mathcal{E}, \mathcal{D}) = \emptyset, i > 1,$$

- $u_{n-1} = u$ and u is stable only in σ_0 and σ_n , i.e.

$$\sigma_0(u)(stable) = \sigma_n(u)(stable) = 1 \text{ and } \sigma_i(u)(stable) = 0 \text{ for } 0 < i < n,$$

Notions of Steps: The RTC Step Cont'd

Proposal: Let

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- $u_{n-1} = u$ and u is stable only in σ_0 and σ_n , i.e.

$$\sigma_0(u)(stable) = \sigma_n(u)(stable) = 1 \text{ and } \sigma_i(u)(stable) = 0 \text{ for } 0 < i < n,$$

Let $0 = k_1 < k_2 < \dots < k_N = n$ be the maximal sequence of indices such that $u_{k_i} = u$ for $1 \leq i \leq N$.

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Notions of Steps: The RTC Step Cont'd

Proposal: Let

$$(\sigma_0, \varepsilon_0) \xrightarrow[u_0]{(cons_0, Snd_0)} \dots \xrightarrow[u_{n-1}]{(cons_{n-1}, Snd_{n-1})} (\sigma_n, \varepsilon_n), \quad n > 0,$$

be a finite (!), non-empty, maximal, consecutive sequence such that

- object u is alive in σ_0 ,
- $u_0 = u$ and $(cons_0, Snd_0)$ indicates dispatching to u , i.e. $cons = \{(u, \vec{v} \mapsto \vec{d})\}$,
- there are no receptions by u in between, i.e.

$$cons_i \cap \{u\} \times Evs(\mathcal{E}, \mathcal{D}) = \emptyset, i > 1,$$

- $u_{n-1} = u$ and u is stable only in σ_0 and σ_n , i.e.

$$\sigma_0(u)(stable) = \sigma_n(u)(stable) = 1 \text{ and } \sigma_i(u)(stable) = 0 \text{ for } 0 < i < n,$$

Let $0 = k_1 < k_2 < \dots < k_N = n$ be the maximal sequence of indices such that $u_{k_i} = u$ for $1 \leq i \leq N$. Then we call the sequence

$$(\sigma_0(u) =) \sigma_{k_1}(u), \sigma_{k_2}(u) \dots, \sigma_{k_N}(u) \quad (= \sigma_{n-1}(u))$$

a (!) **run-to-completion computation** of u (from (local) configuration $\sigma_0(u)$).

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