

Software Design, Modelling and Analysis in UML

Lecture 8: Class Diagrams III

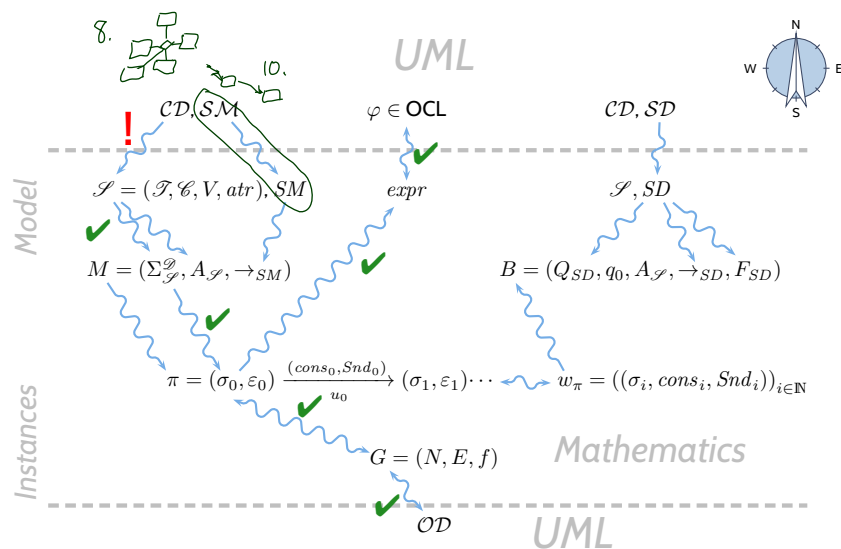
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Course Map



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Content

- **Recall: Associations**
 - Overview & Plan
 - (Temporarily) **Extend Signature**
- From **Class Diagrams** to **Signatures**
 - What if Things are Missing?
- **Association Semantics**
 - **Links** in System States
 - Associations and **OCL**
- **The Rest**
 - **Visibility, Navigability**
 - **Multiplicity, Properties,**
 - **Ownership, "Diamonds"**

- **Back to the Main Track**

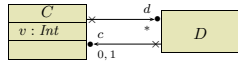
Recall: Plan & Extended Signature

Overview

- **Class diagram:**



- **Alternative presentation:**



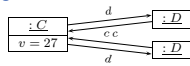
- **Signature:**

$$\mathcal{S} = (\{Int\}, \{C, D\}, \{v: Int, d: D^*, c: C_{0,1}\}, \{C \mapsto \{v, d\}, D \mapsto \{c\}\})$$

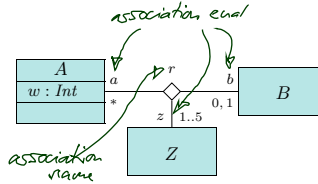
- **Example system state:**

$$\sigma = \{1_C \mapsto \{v \mapsto 27, d \mapsto \{5_D, 7_D\}\}, 5_D \mapsto \{c \mapsto \{1_C\}\}, 7_D \mapsto \{c \mapsto \{1_C\}\}\}$$

- **Object diagram:**



- **Class diagram (with ternary association):**



- **Signature:** extend again to represent

- **association r** with

- **association ends** a, b, and z (each with multiplicity, visibility, etc.)

- **Example system state:** (σ, λ)

$$\sigma = \{1_A \mapsto \{w \mapsto 13\}, 1_B \mapsto \emptyset, 1_Z \mapsto \emptyset\}$$

$$\lambda = \{r \mapsto \{(1_A, 1_B, 1_Z), (1_A, 1_B, 2_Z)\}\}$$



- **Object diagram:** No...

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So, What Do We (Have to) Cover?

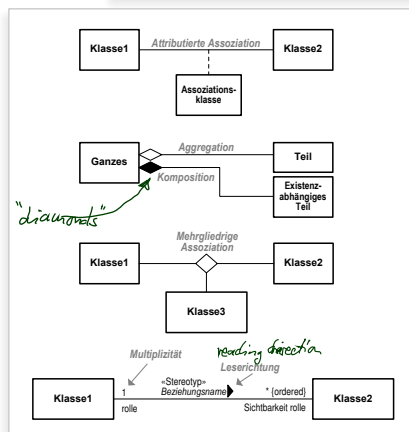
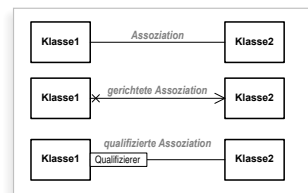
- An **association** has

- a **name**,
- a **reading direction**, and
- at least two **ends**.

- Each **end** has

- a **role name**,
- a **multiplicity**,
- a set of **properties**, such as **unique**, **ordered**, etc.
- a **qualifier**, (not in lect.)
- a **visibility**,
- a **navigability**,
- an **ownership**,
- and possibly a **diamond**.

Wanted: places in the signature to represent the information from the picture.



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Temporarily (Lecture 7 – 9) Extended Signature

Definition. An (Extended) Object System **Signature** (with Associations) is a quadruple $\mathcal{S} = (\mathcal{T}, \mathcal{C}, V, atr)$ where

- ...
- each element of V is
 - either a **basic type attribute** $\langle v : T, \xi, expr_0, P_v \rangle$ with $T \in \mathcal{T}$
 - or an **association** of the form

$\langle r : \langle role_1 : C_1, \mu_1, P_1, \xi_1, \nu_1, o_1 \rangle, \dots, \langle role_n : C_n, \mu_n, P_n, \xi_n, \nu_n, o_n \rangle \rangle$
 (ends with multiplicity μ_i , properties P_i , visibility ξ_i , navigability ν_i , ownership o_i , $1 \leq i \leq n$)

association name (points to r)
association end (points to the tuple of roles)
the class where this end is located (points to C_n)

Rhapsody:
 assoc. names must be unique, so NOT

- ...
- $atr : \mathcal{C} \rightarrow 2^{\{v \in V \mid v : T, T \in \mathcal{T}\}}$ maps classes to **basic type (!) attributes**.

In other words:

- only **basic type attributes** “belong” to a class (may appear in $atr(C)$).
- **associations** are not “owned” by a class (not in any $atr(C)$), but “live on their own”.

$\mathcal{M} ::= \mathcal{N}..M \mid \mathcal{N}..* \mid \mu, \mu$

$\langle * := 0..* \rangle$
 $\mathcal{N} ::= \mathcal{N}..N$

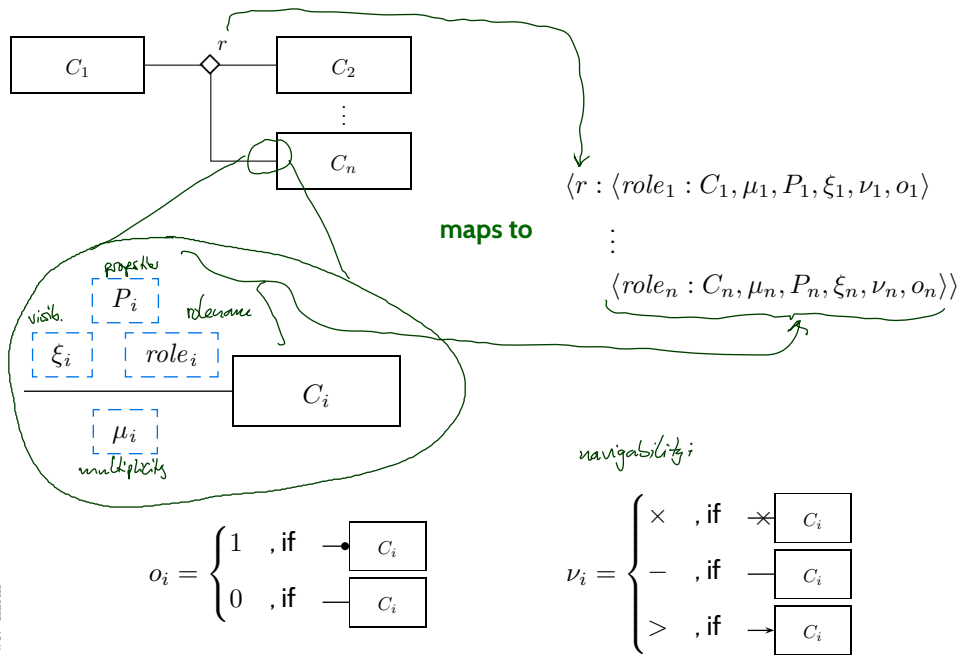
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Associations in Class Diagrams

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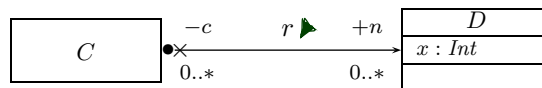
From Association Lines to Extended Signatures



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Association Example



Signature:

$$\mathcal{S} = \left(\{Int\}, \{C, D\}, \left\{ \langle x: Int, +, \times, \emptyset \rangle, \right. \right.$$

$$\left. \langle r: \langle n: D, *, \{unique\}, +, >, 0 \rangle, 0 \rangle, \right.$$

$$\left. \langle c: C, 0..*, \{unique\}, -, \times, \uparrow \rangle \right\}$$

$$\left. \begin{array}{l} \{C \mapsto \emptyset, D \mapsto \{x\}\} \\ D \mapsto \{x\} \end{array} \right)$$

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What If Things Are Missing?

Most components of associations or association end may be omitted.
For instance (OMG, 2011b, 17), Section 6.4.2, proposes the following rules:

- **Name:** Use

$$A_{-}(C_1)_{-}\dots_{-}(C_n)$$

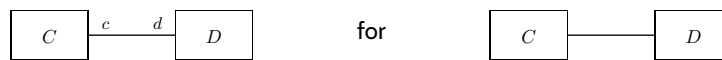
if the name is missing.

Example:

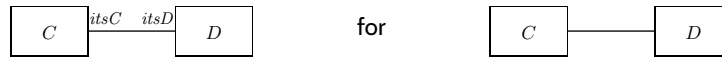


- **Reading Direction:** no default.
- **Role Name:** use the class name at that end in lower-case letters

Example:



Other convention: (used e.g. by modelling tool Rhapsody)



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What If Things Are Missing?

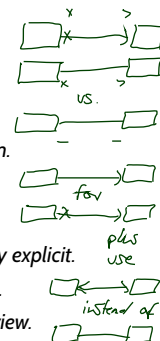
- **Multiplicity:** 1
In my opinion, it's safer to assume 0..1 or * (for 0..*)
if there are no fixed, written, agreed conventions ("expect the worst").
- **Properties:** \emptyset (in course: {unique})
- **Visibility:** public
- **Navigability and Ownership:** not so easy. (OMG, 2011b, 43)

"Various options may be chosen for showing navigation arrows on a diagram.

In practice, it is often convenient to suppress some of the arrows and crosses and just show exceptional situations:

- Show all arrows and \times 's: Navigation and its absence are made completely explicit.
- Suppress all arrows and \times 's: No inference can be drawn about navigation.
This is similar to any situation in which information is suppressed from a view.
- Suppress arrows for associations with navigability in both directions, and show arrows only for associations with one-way navigability.

In this case, the two-way navigability cannot be distinguished from situations where there is no navigation at all; however, the latter case occurs rarely in practice."



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Wait, If Omitting Things...

- ...is causing so much trouble (e.g. leading to misunderstanding), why does the standard say “In practice, it is often convenient...”?

Is it a good idea to trade convenience for precision/unambiguity?

It depends.

- Convenience as such is a legitimate goal.
- In UML-As-Sketch mode, precision “doesn't matter”, so convenience (for writer) can even be a primary goal.
- In UML-As-Blueprint mode, precision is the primary goal. And misunderstandings are in most cases annoying.

But: (even in UML-As-Blueprint mode)

If all associations in your model have multiplicity *, then it's probably a good idea not to write all these *'s.

So: tell the reader about your convention and leave out the *'s.

Associations: Semantics

Associations in General

Recall: We consider associations of the following form:

$$\langle r : \langle role_1 : C_1, \mu_1, P_1, \xi_1, \nu_1, o_1 \rangle, \dots, \langle role_n : C_n, \mu_n, P_n, \xi_n, \nu_n, o_n \rangle \rangle$$

Only these parts are relevant for extended system states:

$$\langle r : \langle role_1 : C_1, _, P_1, _, _, _ \rangle, \dots, \langle role_n : C_n, _, P_n, _, _, _ \rangle \rangle$$

(recall: we assume $P_1 = P_n = \{\text{unique}\}$).

The UML standard thinks^y of associations as **n-ary relations** which “**live on their own**” in a system state.

That is, **links** (= association instances)

- **do not** belong (in general) to certain objects (in contrast to pointers, e.g.)
- are “first-class citizens” **next to objects**,
- are (in general) **not** directed (in contrast to pointers).

Links in System States

$$\langle r : \langle role_1 : C_1, _, P_1, _, _, _ \rangle, \dots, \langle role_n : C_n, _, P_n, _, _, _ \rangle \rangle$$

Only for the course of lectures ~~7~~ 8 / 9 we change the definition of system states:

Definition. Let \mathcal{D} be a structure of the (extended) signature with associations $\mathcal{S} = (\mathcal{T}, \mathcal{C}, V, \text{atr})$.

A **system state** of \mathcal{S} wrt. \mathcal{D} is a pair (σ, λ) consisting of

- a type-consistent mapping (as before)

$$\sigma : \mathcal{D}(\mathcal{C}) \rightarrow (\text{atr}(\mathcal{C}) \rightarrow \mathcal{D}(\mathcal{T})),$$

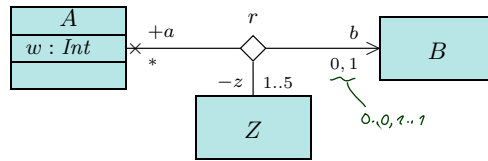
only basic type attributes here

- a mapping λ which maps each association $\langle r : \langle role_1 : C_1 \rangle, \dots, \langle role_n : C_n \rangle \rangle \in V$ to a **relation**

$$\lambda(r) \subseteq \mathcal{D}(C_1) \times \dots \times \mathcal{D}(C_n)$$

(i.e. a set of type-consistent n -tuples of identities).

Association / Link Example



Signature:

$$\mathcal{S} = (\{Int\}, \{A, Z, B\}, \{w: Int, \\ \langle r: \langle a: A, 0..*, +, \{unique\}, x, 0 \rangle, \\ \langle z: Z, 1..5, -, \{unique\}, -, 0 \rangle, \\ \langle b: B, 0..1, +, \{unique\}, >, 0 \rangle \}, \\ \{A \mapsto \{\omega\}, Z \mapsto \emptyset, B \mapsto \emptyset\})$$

System state:

$$\sigma = \{ \\ 1_A \mapsto \{w \mapsto 2\}, \\ 2_A \mapsto \{w \mapsto 13\}, \\ 4_Z \mapsto \emptyset, \\ 3_B \mapsto \emptyset, \\ 7_B \mapsto \emptyset, \\ 8_B \mapsto \emptyset, \\ 3_A \mapsto \emptyset$$

$$\lambda = \{ r \mapsto \{ \\ \in \mathcal{D}(A) \times \mathcal{D}(Z) \times \mathcal{D}(B) \\ (1_A, 4_Z, 3_B), \\ (1_A, 4_Z, 7_B), \\ (1_A, 4_Z, 5_B), \\ (2_A, 4_Z, 3_B) \} \}$$

$$\underbrace{\lambda \circ \sigma}_R: (4_Z, 3_B, 2_A) \\ \underbrace{\lambda \circ \sigma}_S: (1_A, 4_Z)$$

a	z	b
1 _A	4 _Z	3 _B
1 _A	4 _Z	7 _B
1 _A	4 _Z	5 _B
2 _A	4 _Z	3 _B

Associations and OCL

OCL and Associations: Syntax

Recall: OCL syntax as introduced in Lecture 3, interesting part:

$$\begin{array}{l|l|l}
 expr ::= \dots & r_1(expr_1) : \tau_C \rightarrow \tau_D & r_1 : D_{0..1} \in atr(C) \\
 & r_2(expr_1) : \tau_C \rightarrow Set(\tau_D) & r_2 : D_* \in atr(C)
 \end{array}$$

Now becomes

$$\begin{array}{l|l|l}
 expr ::= \dots & role(expr_1) : \tau_C \rightarrow \tau_D & \mu = 0..1 \text{ or } \mu = 1..1 \\
 & role(expr_1) : \tau_C \rightarrow Set(\tau_D) & \text{otherwise}
 \end{array}$$

if there is

$$\langle r : \dots, \langle role : D, \mu, _ , _ , _ \rangle, \dots, \langle role' : C, _ , _ , _ , _ \rangle, \dots \rangle \in V \text{ or} \\
 \langle r : \dots, \langle role' : C, _ , _ , _ , _ \rangle, \dots, \langle role : D, \mu, _ , _ , _ \rangle, \dots \rangle \in V, \quad role \neq role'.$$

Note:

- Association name as such **does not occur** in OCL syntax, role names do.
- $expr_1$ has to denote an object of a class which "participates" in the association.

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OCL and Associations: Semantics

Recall:

Assume $expr_1 : \tau_C$ for some $C \in \mathcal{C}$. Set $u_1 := I[expr_1](\sigma, \beta) \in \mathcal{D}(T_C)$.

- $I[r_1(expr_1)](\sigma, \beta) := \begin{cases} u & , \text{ if } u_1 \in \text{dom}(\sigma) \text{ and } \sigma(u_1)(r_1) = \{u\} \\ \perp & , \text{ otherwise} \end{cases}$
- $I[r_2(expr_1)](\sigma, \beta) := \begin{cases} \sigma(u_1)(r_2) & , \text{ if } u_1 \in \text{dom}(\sigma) \\ \perp & , \text{ otherwise} \end{cases}$

Now needed:

$$I[role(expr_1)]((\sigma, \lambda), \beta)$$

- We cannot simply write $\sigma(u)(role)$.
- **Recall:** $role$ is (for the moment) not an attribute of object u (not in $atr(C)$).

- What we have is $\lambda(r)$ (with association name r , not with role name $role$!).

$$\langle r : \dots, \langle role : D, \mu, _ , _ , _ \rangle, \dots, \langle role' : C, _ , _ , _ , _ \rangle, \dots \rangle$$

But it yields a set of n -tuples, of which **some** relate u and some instances of D .

- $role$ denotes the position of the D 's in the tuples constituting the value of r .

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OCL and Associations: Semantics Cont'd

Assume $expr_1 : \tau_C$ for some $C \in \mathcal{C}$. Set $u_1 := I[expr_1](\sigma, \lambda, \beta) \in \mathcal{D}(T_C)$.

- $I[role(expr_1)](\sigma, \lambda, \beta) := \begin{cases} u & , \text{if } u_1 \in \text{dom}(\sigma) \text{ and } L(role)(u_1, \lambda) = \{u\} \\ \perp & , \text{otherwise} \end{cases}$
- $I[role(expr_1)](\sigma, \lambda, \beta) := \begin{cases} L(role)(u_1, \lambda) & , \text{if } u_1 \in \text{dom}(\sigma) \\ \perp & , \text{otherwise} \end{cases}$

where

$$L(role)(u, \lambda) = \left\{ \{ (u_1, \dots, u_n) \in \lambda(r) \mid u \in \{u_1, \dots, u_n\} \} \right\} \downarrow_i$$

if $\langle r : \langle role_1 : _ , _ , _ , _ , _ \rangle, \dots, \langle role_n : _ , _ , _ , _ , _ \rangle \rangle, \text{ role} = role_i$

project onto i-th component

Given a set of n -tuples A ,

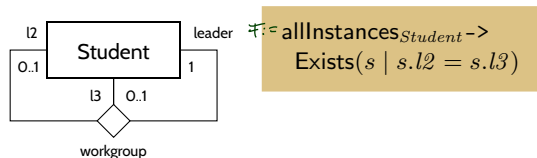
$A \downarrow_i$ denotes the element-wise projection onto the i -th component.

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OCL and Associations Semantics: Example

$$I[role(expr_1)](\sigma, \lambda, \beta) := \begin{cases} u & , \text{if } u_1 \in \text{dom}(\sigma) \text{ and } L(role)(u_1, \lambda) = \{u\} \\ \perp & , \text{otherwise} \end{cases}$$

$$I[role(expr_1)](\sigma, \lambda, \beta) := \begin{cases} L(role)(u_1, \lambda) & , \text{if } u_1 \in \text{dom}(\sigma) \\ \perp & , \text{otherwise} \end{cases} \quad L(role)(u, \lambda) = \{ (u_1, \dots, u_n) \in \lambda(r) \mid u \in \{u_1, \dots, u_n\} \} \downarrow_i$$



$$\lambda(\text{workgroup}) = \{ (1s, 2s, 3s), (1s, 3s, 4s), (5s, 1s, 1s) \}$$

1. 2. 3. leader l2 l3

$$\begin{aligned} I[\exists] (\sigma, \lambda, \beta) &= \beta_1 \\ I[s.l2] (\sigma, \lambda, \beta) &= \beta_2 \\ u_1 = I[s] (\sigma, \lambda, \beta) &= \beta_1(s) = 5s \\ L(l2)(u_1, \lambda) &= \{ (5s, 1s, 1s) \} \downarrow_2 = \{ 1s \} \end{aligned}$$

$$\begin{aligned} I[s.l2] (\sigma, \lambda, \beta) &= \perp \\ u_1 &= 1s \\ L(l2)(u_1, \lambda) &= \{ (1s, 2s, 3s), (1s, 3s, 4s) \} \downarrow_2 \\ &= \{ 2s, 3s \} \end{aligned}$$

Associations: *The Rest*

The Rest

Recapitulation: Consider the following association:

$$\langle r : \langle role_1 : C_1, \mu_1, P_1, \xi_1, \nu_1, o_1 \rangle, \dots, \langle role_n : C_n, \mu_n, P_n, \xi_n, \nu_n, o_n \rangle \rangle$$

- **Association name** r and **role names / types** $role_i / C_i$ induce extended system states (σ, λ) .
- **Multiplicity** μ is considered in OCL syntax.
- **Visibility** ξ / **Navigability** ν : well-typedness (in a minute).

Now the rest:

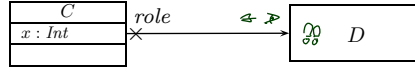
- **Multiplicity** μ : we propose to view them as constraints.
- **Properties** P_i : even more typing.
- **Ownership** o : getting closer to pointers/references.
- **Diamonds**: exercise.

Navigability

Navigability is treated similar to visibility:

Using names of non-navigable association ends ($\nu = \times$) are **forbidden**.

Example: Given



the following OCL expression is **not well-typed** wrt. navigability,

context D inv : role.x > 0

The standard says: navigation is...

- '—': ...possible
- 'x': ...not possible
- '>': ...efficient



So: In general, UML associations are **different** from pointers / references in general!

But: Pointers / references can **faithfully** be modelled by UML associations.

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Multiplicities as Constraints

Recall: Multiplicity is a term of the form $N_1..N_2, \dots, N_{2k-1}..N_{2k}$

where $N_i \leq N_{i+1}$ for $1 \leq i \leq 2k$, $N_1, \dots, N_{2k-1} \in \mathbb{N}$, $N_{2k} \in \mathbb{N} \cup \{*\}$.

Define $\mu_{\text{OCL}}^C(\text{role}) :=$

context C inv : $(N_1 \leq \text{role} \rightarrow \text{size}() \leq N_2)$ or ... or $(N_{2k-1} \leq \text{role} \rightarrow \text{size}() \leq N_{2k})$
omit if $N_{2k} = *$

for each $\langle r : \dots, \langle \text{role} : D, \mu, _ , _ , _ \rangle, \dots, \langle \text{role}' : C, _ , _ , _ , _ \rangle, \dots \rangle \in V$ or

$\langle r : \dots, \langle \text{role}' : C, _ , _ , _ , _ \rangle, \dots, \langle \text{role} : D, \mu, _ , _ , _ \rangle, \dots \rangle \in V,$

with $\text{role} \neq \text{role}'$, if $\mu \neq 0..1, \mu \neq 1..1$, and

$\mu_{\text{OCL}}^C(\text{role}) := \text{context C inv : not}(\text{ocllsUndefined}(\text{role}))$

if $\mu = 1..1$.

Note: in n -ary associations with $n > 2$, there is redundancy.

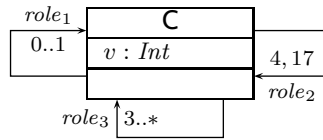
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Multiplicities as Constraints Example

$$\mu_{\text{OCL}}^C(\text{role}) = \text{context } C \text{ inv :} \\ (N_1 \leq \text{role} \rightarrow \text{size}() \leq N_2) \text{ or } \dots \text{ or } (N_{2k-1} \leq \text{role} \rightarrow \text{size}() \leq N_{2k})$$

\mathcal{CD} :



- $\{\text{context } C \text{ inv : } 4 \leq \text{role}_2 \rightarrow \text{size}() \leq 4 \text{ or } 17 \leq \text{role}_2 \rightarrow \text{size}() \leq 17\}$
 $= \{\text{context } C \text{ inv : } \text{role}_2 \rightarrow \text{size}() = 4 \text{ or } \text{role}_2 \rightarrow \text{size}() = 17\}$
- $\cup \{\text{context } C \text{ inv : } 3 \leq \text{role}_3 \rightarrow \text{size}()\}$

Properties

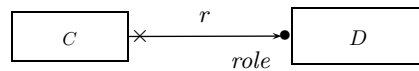
We don't want to cover association **properties** in detail,
 only some observations (assume binary associations):

Property	Intuition	Semantical Effect
unique	one object has at most one r -link to a single other object	current setting
bag	one object may have multiple r -links to a single other object	have $\lambda(r)$ yield multi-sets
ordered, sequence	an r -link is a sequence of object identities (possibly including duplicates)	have $\lambda(r)$ yield sequences

Property	OCL Typing of expression $\text{role}(\text{expr})$
unique	$T_D \rightarrow \text{Set}(T_C)$
bag	$T_D \rightarrow \text{Bag}(T_C)$
ordered, sequence	$T_D \rightarrow \text{Seq}(T_C)$

For **subsets**, **redefines**, **union**, etc. see (? , 127).

Ownership



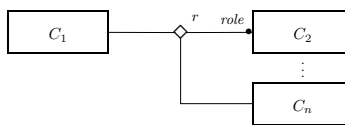
Intuitively it says:

Association r is **not a “thing on its own”** (i.e. provided by λ),
but association end ‘ $role$ ’ is **owned** by C (!).
(That is, it’s stored inside C object and provided by σ).

So: if multiplicity of $role$ is 0..1 or 1..1, then the picture above is very close to concepts of pointers/references.

Actually, ownership is seldom seen in UML diagrams. Again: if target platform is clear, one may well live without (cf. [\(OMG, 2011b, 42\)](#) for more details).

Not clear to me:



Back to the Main Track

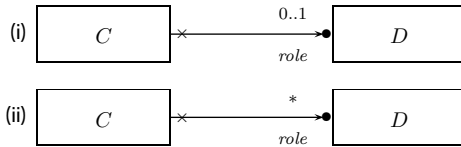
Back to the main track:

Recall: on some earlier slides we said, the extension of the signature is **only** to study associations in “full beauty”.

For the remainder of the course, we should look for something simpler...

Proposal:

- **from now on**, we only use associations of the form



(And we may omit the non-navigability and ownership symbols.)

- Form (i) introduces $role : C_{0..1}$, and form (ii) introduces $role : C_*$ in V .
- In both cases, $role \in atr(C)$.
- We drop λ and go back to our nice σ with $\sigma(u)(role) \subseteq \mathcal{D}(D)$.

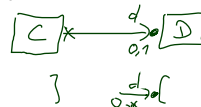
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Tell Them What You've Told Them...

- From class diagrams with (general) **associations**, we obtain **extended signatures**. ✓
- Links (instances of associations) “live on their own” in the λ in extended system states (σ, λ) . ✓
- OCL considers **role names**, the **semantics** is (more or less) **straightforward**. ✓
- **The Rest:**
 - **navigability** is treated like visibility. ✓
 - view **multiplicities** as shorthand for **constraints**. ⚠
 - properties, ownership, “diamonds”: exist ✓
- **Back to the main track:**

For simplicity, let's restrict the following discussion to $C_{0..1}$ and C_* as before (now viewed as abbreviations for particular associations).



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References

References

OMG (2011a). Unified modeling language: Infrastructure, version 2.4.1. Technical Report formal/2011-08-05.

OMG (2011b). Unified modeling language: Superstructure, version 2.4.1. Technical Report formal/2011-08-06.