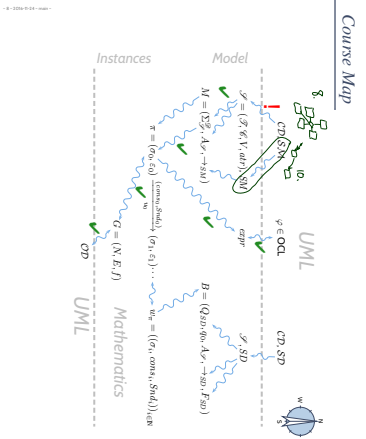


# Software Design, Modelling and Analysis in UML

## Lecture 8: Class Diagrams III

2016-11-24

Prof. Dr. Andreas Poddick, Dr. Bernd Westphal  
Albert-Ludwigs-Universität Freiburg, Germany



2/4

### Course Map

### Content

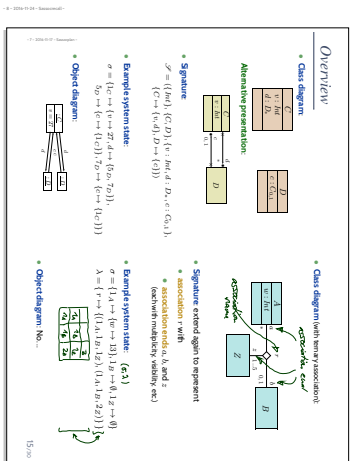
- Recall: Associations
  - Overview & Plan
  - (Temporary) Extended Signature
- From Class Diagrams to Signatures
  - What if things are missing?
  - Association Semantics
  - Links in System States
  - Associations and OCL
- The Rest
  - Visibility Notation
  - Multiplicity Properties
  - Overship: "Diamonds"
- Back to the Main Track

3/4

### Recall: Plain & Extended Signature

- Class diagram
  - Alternative presentation:
- Signature
  - Example system state
- Object diagram

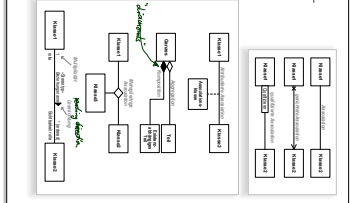
4/4



5/4

### So, What Do We (Have to) Cover?

- An association has
  - a name,
  - a reading direction, and
  - at least two ends.
- Each end has
  - a role name,
  - a multiplicity,
  - a set of properties, such as *range*, *ordered*, etc.
  - an equality,
  - an inequality,
  - an ownership,
  - and possibly a diamond
- Wanted: places in the signature to represent the information from the picture



6/4

### Temporality (Lecture 7 - 9) Extended Signature

Definition: An (Extended) Object System Signature (with Association) is a quadruple  $\mathcal{S} = (\mathcal{C}, \mathcal{R}, \mathcal{V}, \text{dir})$  where

- each element of  $\mathcal{V}$  is
- either a basic type attribute  $(v: T, \delta, \text{type}, R, \text{Yield } T \in \mathcal{P}^{\mathcal{C}})$
- or an association of the form

association  $(v: (C_1, \dots, C_n), \delta, \text{type}, R, \text{Yield } (S_1, \dots, S_n))$

Handwritten notes: "Association: basic names to role" and "The class does not own the association" with arrows pointing to the association definition.

In other words:

- only basic type attributes "belong" to a class (may appear in  $\text{dir}(C)$ )
- associations are not "owned" by a class (not in  $\text{dir}(C)$ ) but "lie on their own".

Handwritten note: "maps classes to basic type @ attributes" with an arrow pointing to the basic type attribute definition.

22/20

### Associations in Class Diagrams

8/14

### Association Example

Signature

$$\mathcal{S} = (\{A, B\}, \{C, D\}, \{c: A, l: B, r: A, d: B\}, \{r: \langle c: A, d: B, w: \{w: A, w: B\}, r: \langle 0, * \rangle \rangle, \langle c: C, a: A, b: B, w: A, w: B \rangle, \langle c: D, r: \langle 0, * \rangle \rangle\})$$

10/14

### What If Things Are Missing?

Most components of associations or association end may be omitted. For instance (OHG, 2011b, D), Section 9.4.2, proposes the following rules:

- Name: Use  $A_L(C_1, \dots, C_n)$  if the name is missing.
- Reading Direction: no default.
- Role Name: use the class name at that end in lower-case letters.

Example:

Other convention: (used e.g. by modelling tool Rhapsody)

11/14

### From Association Lines to Extended Signatures

maps to

$$r: \langle \text{role}_1: C_1, \mu_1: P_1, \delta_1: \mu_1, \sigma_1 \rangle$$

multiplicity:

$$\mu_i = \begin{cases} \times & \text{if } \mu_i > 1 \\ - & \text{if } \mu_i = 1 \\ > & \text{if } \mu_i = 0 \end{cases}$$

9/14

### What If Things Are Missing?

- Multiplicity: 1. In my opinion, it's safe to assume 0..1 or \* (for 0..\*) if there are no labels written, agreed conventions ("expect the worst").
- Properties:  $\{c_1, \dots, c_{n+1}\}$ .
- Visibility: public.
- Navigability and Ownership: not so easy (OHG, 2011b, 43). Various options may be chosen for showing navigation arrows and diagram invariants. It is often convenient to suppress some of the arrows, and crosses and/or just show exceptional situations.
- Show all arrows and >: Navigation and its absence are not completely explicit.
- Suppress all arrows and >: No inference can be drawn about navigability. This is similar to any situation in which information is suppressed from a view.
- Suppress arrows for associations with navigability in both directions.

In this case, the navigability cannot be distinguished from diagrams where there is no navigability at all. However, the latter case occurs only in practice.

12/14

*Wait, If Omitting Things...*

- ...is causing so much trouble (e.g. leading to misunderstanding) why does the standard say "in practice, it is often convenient..."?
- Is it a good idea to note **convenience** for precision/ambiguity?
- It depends:
  - Convenience as such is a legitimate goal
  - In UML-As-Sketch mode, precision **Research Inmate**: so convenience (for writers) can even be a primary goal
  - In UML-As-Blueprint mode, **precision** is the **primary goal**. And misunderstandings are in most cases annoying. But, (even in UML-As-Blueprint mode) if all associations in your model have multiplicity  $n_1$ , then it's probably a good idea not to write all these  $n_1$ s. So tell the reader about your convention and leave out the  $n_1$ s.

Associations: Semantics

Associations in General

- Recall: We consider associations of the following form:
- $$(r : \langle role_1 : C_1, \dots, role_n : C_n, s_1 : s_1, o_1, \dots, role_m : C_m, s_m : s_m, o_m \rangle)$$
- Only these parts are relevant for extended system states
- $$(r : \langle role_1 : C_1, \dots, role_n : C_n, \dots, role_m : C_m, \dots, role_n : C_n, \dots, role_m : C_m \rangle)$$
- (recall we assume  $R_n = R_n = \{un3, que\}$ )
- The UML standard thinks of associations as **binary relations** which "live on their own" in a system state.
- That is, Link (= association instances)
- do not belong (in general) to certain objects (in contrast to pointers, e.g.)
  - are "first-class citizens" next to objects.
  - are (in general) **not directed** (in contrast to pointers).

Links in System States

$$(r : \langle role_1 : C_1, \dots, role_n : C_n, \dots, role_m : C_m, \dots, role_n : C_n, \dots, role_m : C_m \rangle)$$

Only for the course of lecture 7/8 we change the definition of system states:

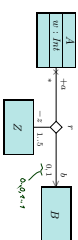
**Definition.** Let  $\mathcal{S}$  be a structure of the (extended) signature with associations  $\mathcal{S} = (\mathcal{S}, \delta, V, \text{obj})$ .

- a system state of  $\mathcal{S}$  w.r.t.  $\mathcal{S}$  is a pair  $(\alpha, \lambda)$  consisting of
  - a type-consistent mapping (as before)
  - a mapping (which maps each association  $(r : \langle role_1 : C_1, \dots, role_m : C_m \rangle) \in V$  to a relation  $\lambda(r) \subseteq \mathcal{S}(C_1) \times \dots \times \mathcal{S}(C_m)$  (i.e. a set of type-consistent  $n$ -tuples of identities).

$\sigma : \mathcal{S}(Q) \rightarrow \text{obj}(\mathcal{S})$  (with  $\delta \rightarrow \mathcal{S}$ )

*only basic type consistency here*

Association / Link Example



Signature

$\mathcal{S} = (\{A, B, Z\}, \{A, B, Z\}, \{w, z, z_1\})$

- $\leftarrow \{r : \langle A, A, w, s_1, s_1, w \rangle, r : \langle B, A, z, s_1, s_1, z \rangle, \langle A, B, z_1, s_1, s_1, z_1 \rangle, r : \langle B, B, z, s_1, s_1, z \rangle, \langle A, B, z_1, s_1, s_1, z_1 \rangle, r : \langle B, B, z, s_1, s_1, z \rangle\}$
- $\{A\} \rightarrow \{A, B, Z\}$
- $\{B\} \rightarrow \{A, B, Z\}$
- $\{Z\} \rightarrow \{A, B, Z\}$
- $\{A\} \rightarrow \{A, B, Z\}$
- $\{B\} \rightarrow \{A, B, Z\}$
- $\{Z\} \rightarrow \{A, B, Z\}$

System state  $\sigma = \{ \langle A, A, w, s_1, s_1, w \rangle, \langle A, B, z_1, s_1, s_1, z_1 \rangle, \langle B, A, z, s_1, s_1, z \rangle, \langle B, B, z, s_1, s_1, z \rangle \}$

1	2	3
1	1	1
1	1	1
1	1	1
1	1	1
1	1	1
1	1	1
1	1	1
1	1	1
1	1	1

Associations and OCL

Recall: OCL syntax as introduced in Lecture 3, interesting part

$expr ::= \dots$   
 $role(expr_1) : \tau_1 \rightarrow \tau_2$   
 $role(expr_2) : \tau_2 \rightarrow Set(D)$   
 $\tau_1 : D_1 \in \text{dom}(C)$   
 $\tau_2 : D_2 \in \text{ran}(C)$

Now becomes

$expr ::= \dots$   
 $role(expr_1) : \tau_1 \rightarrow \tau_2$   
 $role(expr_2) : \tau_2 \rightarrow Set(D)$   
 $\mu = 0, 1$  or  $\mu = 1, 1$   
 otherwise

if there is

$\{r : \dots (role : D_1, \dots, \dots, role' : C_1, \dots, \dots, \dots) \in V$  or  
 $\{r : \dots (role' : C_1, \dots, \dots, \dots, role : D_1, \dots, \dots, \dots) \in V$ ,  $role \neq role'$

Note

- Association type as such does not occur in OCL syntax; role names do
- $expr_1$  has to denote an object of a class which 'participates' in the association

Recall:

Assume  $expr_1 : \tau_1$  for some  $C \in \mathcal{C}$ . Set  $u_1 := \{expr_1 | \alpha, \beta \in \mathcal{P}(T_1)$   
 $I[\tau_1(expr_1)](\alpha, \beta) = \begin{cases} u_1 & \text{if } \alpha \in \text{dom}(C) \text{ and } \beta(u_1) = \{u_1\} \\ \perp & \text{otherwise} \end{cases}$   
 $I[\tau_2(expr_2)](\alpha, \beta) = \begin{cases} \sigma(u_1) \cap u_2 & \text{if } \alpha \in \text{dom}(C) \\ \perp & \text{otherwise} \end{cases}$

Now needed:

$I[role(expr_1)](\alpha, \lambda, \beta)$

- We cannot simply write  $\sigma(u_1) \cap u_2$ .
- Recall:  $role$  is (for the moment) not an attribute of object  $u_1$  (not in  $\text{att}(C)$ ).
- What we have is  $\lambda(\beta)$  with association name  $r$  not with role name  $role$ !

$\{r : \dots (role : D_1, \dots, \dots, role' : C_1, \dots, \dots, \dots)$

- But  $\tau_1$  yields a set of  $r$ -tuples of which some have  $r$  and some instances of  $D_1$ .
- $role$  denotes the position of the  $D_1$ s in the tuples containing the value of  $r$ .

Assume  $expr_1 : \tau_1$  for some  $C \in \mathcal{C}$ . Set  $u_1 := I[expr_1](\alpha, \lambda, \beta) \in \mathcal{P}(T_1)$ .

- $I[role(expr_1)](\alpha, \lambda, \beta) := \begin{cases} u_1 & \text{if } \alpha \in \text{dom}(C) \text{ and } I[role](\alpha, \lambda) = \{u_1\} \\ \perp & \text{otherwise} \end{cases}$
- $I[role(expr_2)](\alpha, \lambda, \beta) := \begin{cases} \sigma(u_1) \cap u_2 & \text{if } \alpha \in \text{dom}(C) \\ \perp & \text{otherwise} \end{cases}$

where

$I[role](\alpha, \lambda) = \{ (u_1, \dots, u_n) \in X(\sigma) \mid u_i \in \{u_1, \dots, u_n\} \}$   
 if  $\{r : (role_1 : \dots, \dots, role_n : \dots, \dots, \dots)\}$ ,  $role = role_i$

Given a set of  $r$ -tuples  $A$ ,  $A \uparrow i$  denotes the element-wise projection onto the  $i$ -th component.

OCL and Associations Semantics: Example

$I[role(expr_1)](\alpha, \lambda, \beta) := \begin{cases} u_1 & \text{if } \alpha \in \text{dom}(C) \text{ and } I[role](\alpha, \lambda) = \{u_1\} \\ \perp & \text{otherwise} \end{cases}$   
 $I[role(expr_2)](\alpha, \lambda, \beta) := \begin{cases} L[role](\alpha, \lambda) & \text{if } \alpha \in \text{dom}(C) \\ \perp & \text{otherwise} \end{cases}$   
 $L[role](\alpha, \lambda) = \{ (u_1, \dots, u_n) \in X(\sigma) \mid u_i \in \{u_1, \dots, u_n\} \}$



$I\tau \neq \exists! (\alpha, \lambda, \beta) = \{u_1\}$   
 $I\tau \neq \exists! (\alpha, \lambda) = \{u_1\}$   
 $u_1 = \{ (s, e) \mid s \in S, e \in E, s \text{ has } e \}$   
 $L[role](\alpha, \lambda) = \{ (s, e, s, e) \mid s \in S, e \in E, s \text{ has } e \}$   
 $L[role](\alpha, \lambda) = \{ (s, e, s, e) \mid s \in S, e \in E, s \text{ has } e \}$

Associations: The Rest

$\lambda(\text{role}(group)) = \{ (s, e, s, e) \mid s \in S, e \in E, s \text{ has } e \}$   
 $\{ (s, e, s, e) \mid s \in S, e \in E, s \text{ has } e \}$

The Rest

Reception: Consider the following association

$\{r : (role_1 : C_1, \mu_1, r, \xi_1, u_1, o_1), \dots, (role_n : C_n, \mu_n, r, \xi_n, u_n, o_n)\}$

- Association name  $r$  and role names / types  $role_i / C_i$  induce extended system states  $(\sigma, \lambda)$
- Multiplicity  $\mu_i$  is considered in OCL syntax
- Visibility  $\xi_i$  / Navigability  $\nu_i$ : well-typedness (in a minute)

Now the rest:

- Multiplicity  $\mu_i$ : we propose to view them as constraints
- Properties  $P_i$ : even more typing
- Ownership  $\omega_i$ : getting closer to pointers/references
- Diamonds exercise

## Navigability

Navigability is treated similar to visibility.

Using names of non-navigable association ends ( $v = x$ ) are **forbidden**.

**Example Given**



the following OCL expression is **not well-typed** wrt. navigability.

context  $D$  inv:  $mlc.a > 0$

The **standard says**: navigability is..

- $-$ : possible
- $X^+$ : not possible



See in general, UML associations are **different** from pointers / references in general. But pointers / references can faithfully be modeled by UML associations.

25/11

## Multiplicities as Constraints

**Recall:** Multiplicity is a term of the form  $N_1..N_2$ ,  $N_1 \dots N_2$ ,  $N_1 \dots N_2$  where  $N_i \leq N_{i+1}$  for  $1 \leq i \leq 2k$ ,  $N_1, \dots, N_{k-1} \in \mathbb{N}$ ,  $N_k \in \mathbb{N} \cup \{*\}$

**Define**  $f_{CD}(mlc) :=$

context  $C$  inv:  $(N_1 \leq mlc \rightarrow \text{size}(l) \leq N_1)$  or  $\dots$  or  $(N_{k-1} \leq mlc \rightarrow \text{size}(l) \leq N_{k-1})$   
 until  $N_k = *$

for each  $\{r : \dots (mlc : D, \dots) \dots (mlc' : C, \dots) \dots\} \in V$  or

$\{r : \dots (mlc : C, \dots) \dots (mlc' : D, \dots) \dots\} \in V$ ,  
 with  $mlc \neq mlc'$ , if  $\mu \neq 0, 1, \mu \neq 1, 1$ , and

$f_{CD}(mlc) := \text{context } C$  inv:  $\text{not}(\text{undefined}(mlc))$

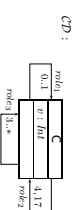
if  $\mu = 1, 1$ .

**Note:** in  $n$ -ary associations with  $n > 2$  there is redundancy.

26/11

## Multiplicities as Constraints Example

$f_{CD}(mlc) = \text{context } C$  inv:  $(N_1 \leq mlc \rightarrow \text{size}(l) \leq N_1)$  or  $\dots$  or  $(N_{k-1} \leq mlc \rightarrow \text{size}(l) \leq N_{k-1})$



- context  $C$  inv:  $1 \leq mlc \rightarrow \text{size}(l) \leq 1$  or  $17 \leq mlc \rightarrow \text{size}(l) \leq 17$
- context  $C$  inv:  $mlc \rightarrow \text{size}(l) = 1$  or  $mlc \rightarrow \text{size}(l) = 17$
- context  $C$  inv:  $3 \leq mlc \rightarrow \text{size}(l)$

27/11

## Properties

We don't want to cover association properties in detail, only some observations (assume binary associations):

Property	Intuition	Semantical Effect
<b>unique</b>	one object has <b>at most one</b> $r$ -link to a single one object	<b>current setting</b>
<b>bag</b>	one object may have <b>multiple</b> $r$ -links to a single other object	have $X(r)$ yield multiple sets
<b>ordered</b>	an $r$ -link is a <b>sequence</b> of object identities (possibly including duplicates)	have $X(r)$ yield set-sequences

Property	OCL Typing of expression $mlc$ ( $capr$ )
<b>unique</b>	$!m \rightarrow \text{Set}(C)$
<b>bag</b>	$?m \rightarrow \text{Bag}(C)$
<b>ordered sequence</b>	$?m \rightarrow \text{Seq}(C)$

For subsets, redefines, union, etc. see (1.17)

28/11

## Ownership

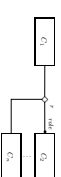
Intuitively it says:

Association  $r$  is **not** a "thing on its own" (ie. provided by  $\lambda$ ), but association end  $mlc$  is **owned** by  $C$  (i).  
 (That is, its source node  $C$  object and provided by  $\rho$ .)

So if multiplicity of  $mlc$  is 0, 1, or 1..1, then the picture above is **very close** to concepts of pointers/references.

Actually, ownership is seldom seen in UML diagrams. Again: if target platform is clear, one may well live without it (OMG, 2011b, 4.3) for more details).

**Not clear to me:**



29/11

Back to the Main Track

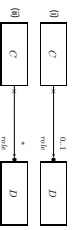
30/11

*Back to the main track:*

Recall on some earlier slides we said the extension of the signature is **only** to study the semantics of the model. For the remainder of the course, we should look for something simpler...

**Proposal:**

- from now on, we only use associations of the form



(And we may omit the non-navigability and ownership symbols)

- Form (i) introduces *role* :  $C_0,1$ , and form (ii) introduces *role* :  $C_1$  in  $V$ .
- In both cases, *role*  $\in \text{dir}(C)$
- We drop  $\lambda$  and go back to our nice  $\sigma$  with  $\sigma(C) = \text{role} \in \mathcal{A}(D)$ .

*Tell Them What You've Told Them...*

- From class diagrams with (general) associations, we obtain extended signatures ✓
- Links (instances of associations) "live on their own" in the  $\lambda$  in extended system states  $(\sigma, \lambda)$  ✓
- OCL considers role names, the semantics is (more or less) **straightforward** ✓
- **The Rest**
  - navigability is treated like visibility ✓
  - view multiplicities as shorthand for constraints ✓
  - properties ownership "diamonds" exist ✓

• **Back to the main track**

For simplicity, lets restrict the following discussion to  $C_0,1$  and  $C_1$  as before (now viewed as abbreviations for particular associational)



*References*

*References*

OMG (2011). Unified modeling language Infrastructure, version 2.4.1. Technical Report formal/2011-08-05.  
 OMG (2013). Unified modeling language Superstructure, version 2.4.1. Technical Report formal/2011-08-06.