

# *Software Design, Modelling and Analysis in UML*

## *Lecture 16: Hierarchical State Machines III*

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# *Content*

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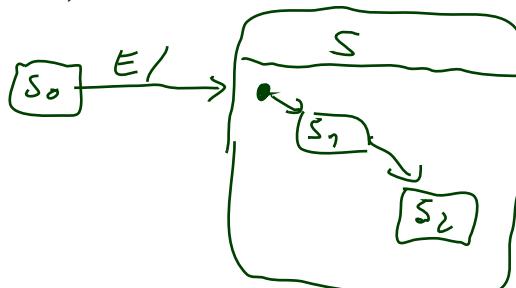
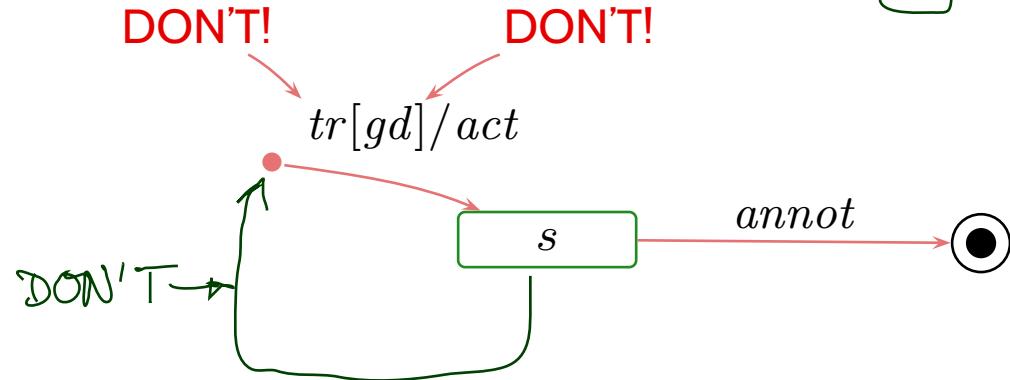
- **Hierarchical State Machines**
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  - ↳ ● An(other) **intuition** for hierarchical states
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# Additional Well-Formedness Constraints

- Each non-empty region has **exactly one** initial pseudo-state and **at least one** transition from there to a state of the region, i.e.
  - for each  $s \in S$  with  $\text{region}(s) = \{S_1, \dots, S_n\}$ ,
  - for each  $1 \leq i \leq n$ , there exists exactly one initial pseudo-state  $(s_1^i, \text{init}) \in S_i$  and at least one transition  $t \in \rightarrow$  with  $s_1^i$  as source,
- Initial pseudo-states are not targets of transitions.

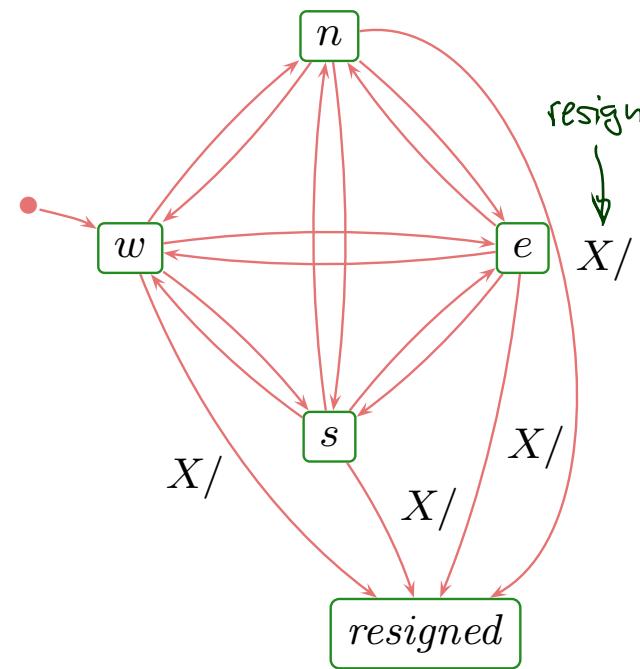
For simplicity:

- The target of a transition with initial pseudo-state source in  $S_i$  is (also) in  $S_i$ .
- Transitions from initial pseudo-states have no trigger or guard, i.e.  $t \in \rightarrow$  from  $s$  with  $\text{kind}(s) = \text{st}$  implies  $\text{annot}(t) = (\_, \text{true}, \text{act})$ .
- Final states are not sources of transitions.

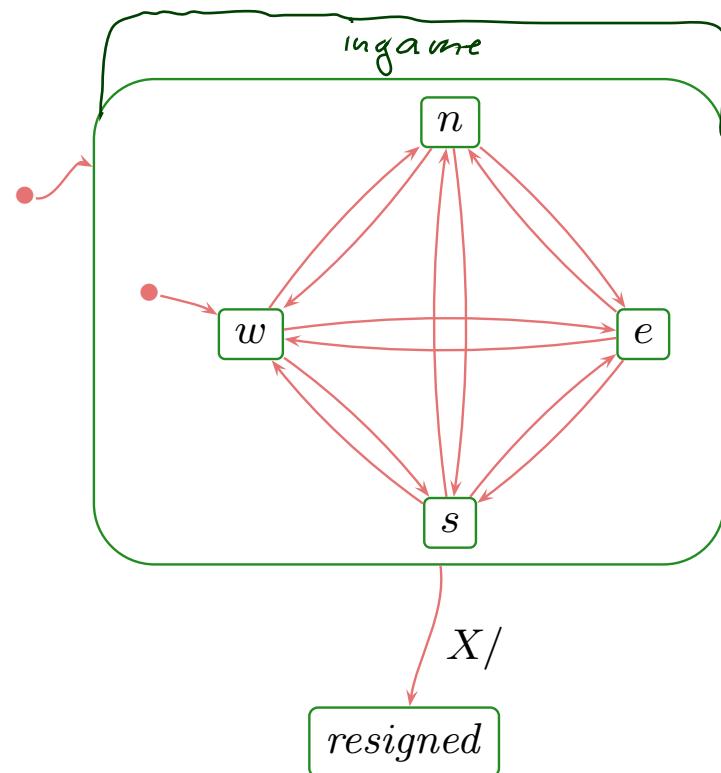


# An Intuition for “Or-States”

- In a sense, composite states are about
  - abbreviation,
  - structuring, and
  - avoiding redundancy.
- Idea: instead of

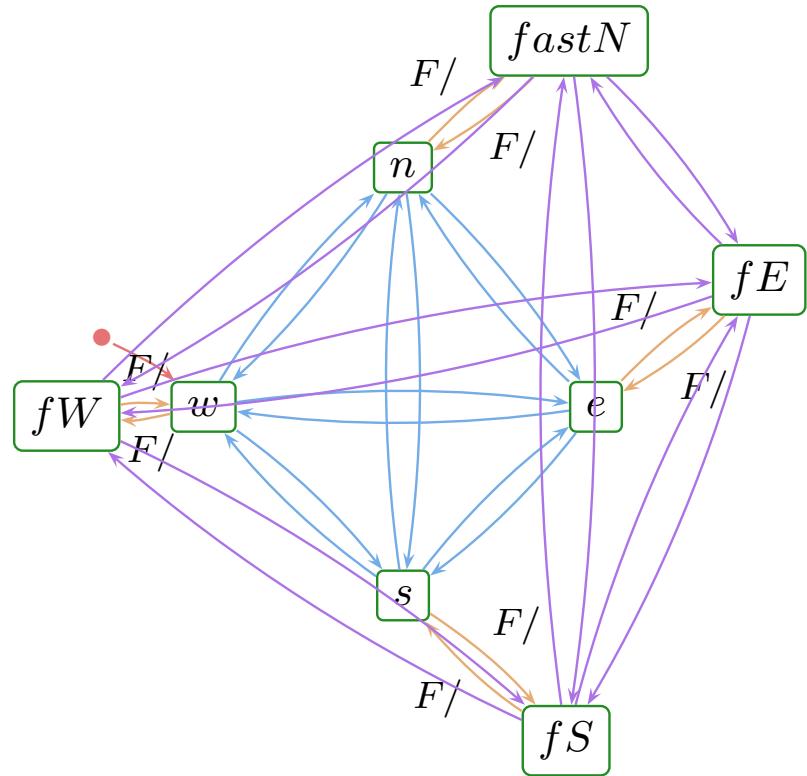


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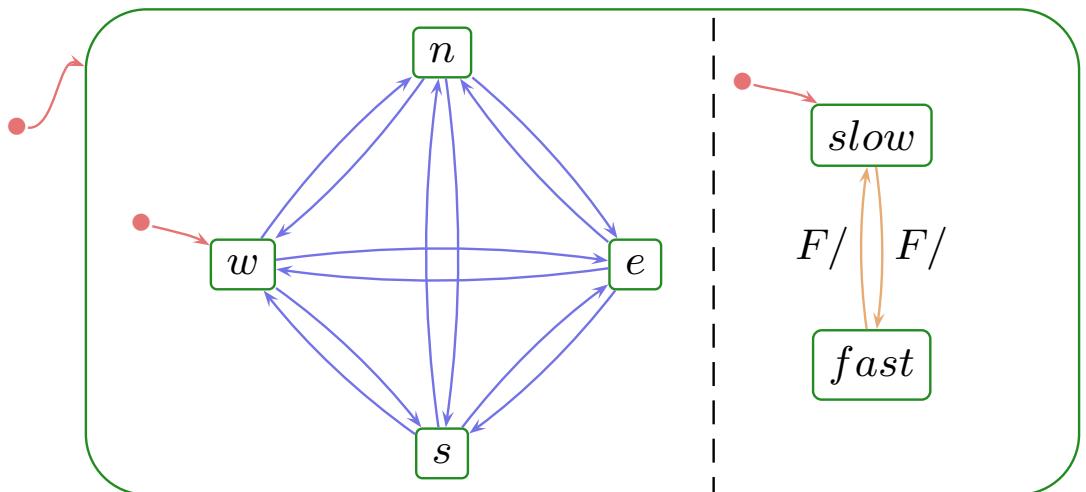


# An Intuition for “And-States”

and instead of



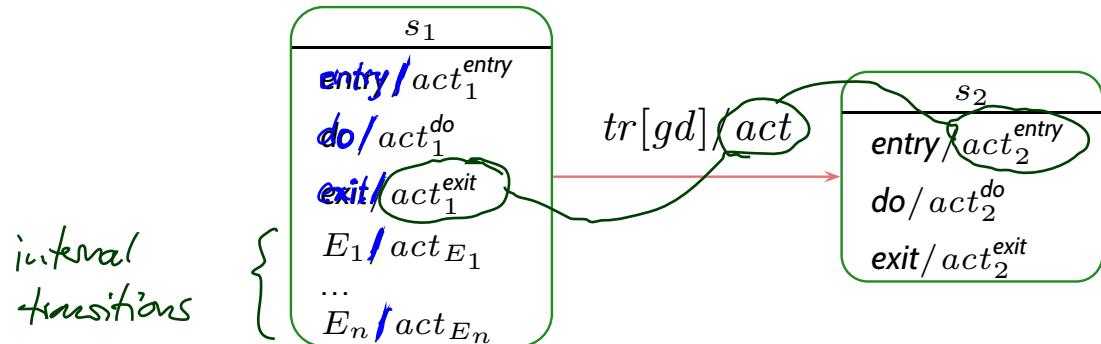
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## *Entry and Exit Actions*

# Entry/Do/Exit Actions

- In general, with each state  $s \in S$  there is associated
  - an **entry**, a **do**, and an **exit** action (default: **skip**)
  - a possibly empty set of trigger/action pairs called **internal transitions**, (default: empty).



**Note:** 'entry', 'do', 'exit' are reserved names;  $E_1, \dots, E_n \in \mathcal{E}$ .

- **Recall:** each action is supposed to have a transformer; assume  $t_{act_1^{entry}}, t_{act_1^{exit}}, \dots$
- Taking the transition above then amounts to applying

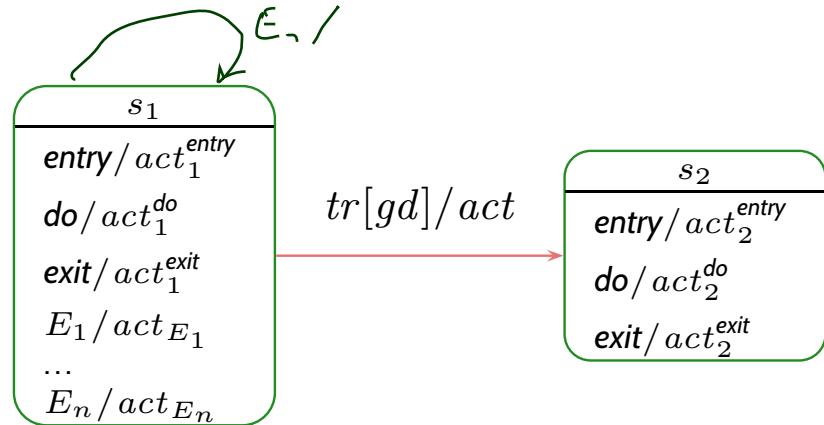
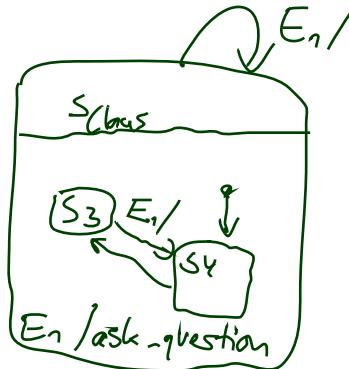
$$t_{act_2^{entry}} \circ t_{act} \circ t_{act_1^{exit}}$$

instead of just

$$t_{act}$$

~~> adjust Rules (ii), (iii), and (v) accordingly.

# Internal Transitions

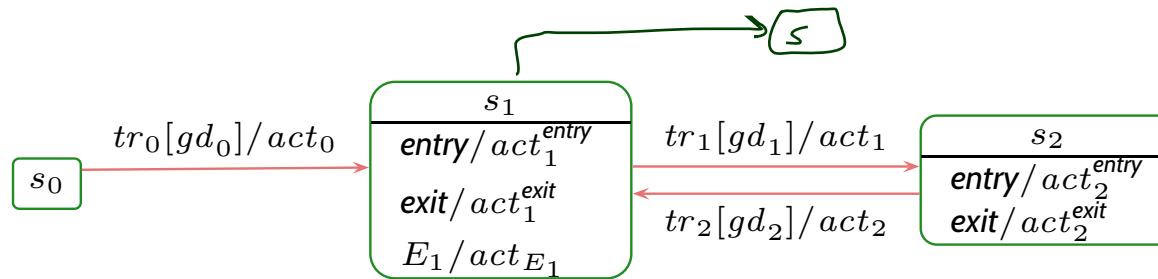


- Taking an **internal transition**, e.g. on  $E_1$ , only executes  $t_{act_{E_1}}$ .
- **Intuition:** The state is neither left nor entered, so: no exit, no entry action.
- **Note:** internal transitions also start a run-to-completion step.  
~~~ adjust Rules (i), (ii), and (v) accordingly.

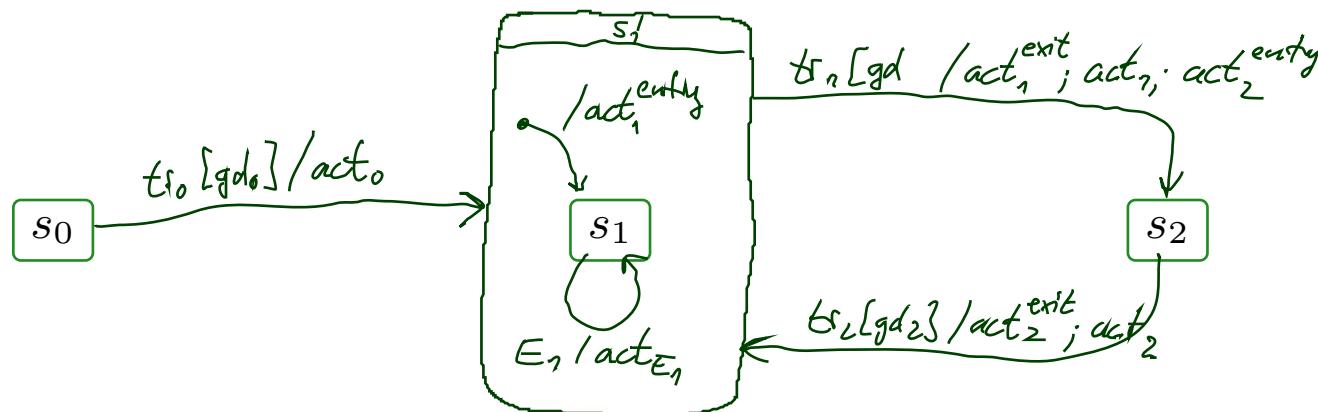
**Note:** the standard seems not to clarify whether internal transitions have **priority** over regular transitions with the same trigger at the same state.

Some code generators assume that internal transitions have priority!

# Alternative View: Entry / Exit / Internal as Abbreviations

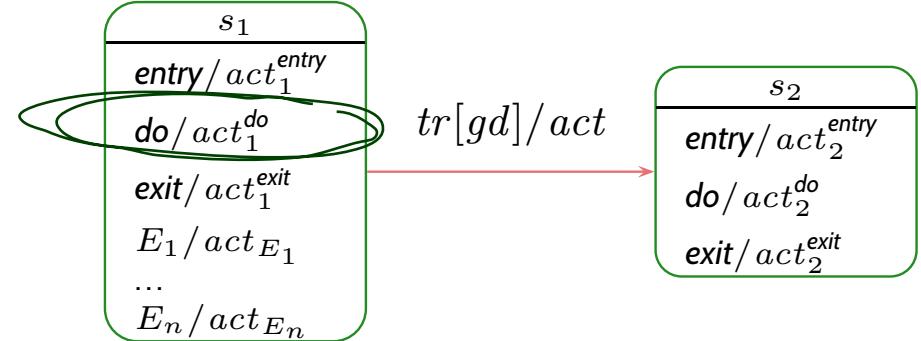


Can be viewed as abbreviation for ...



- **That is:** Entry / Internal / Exit don't add expressive power to Core State Machines.  
If internal actions should have priority,  $s_1$  can be embedded into an OR-state.
- The "abbreviation view" may avoid confusion in the context of hierarchical states.

# Do Actions

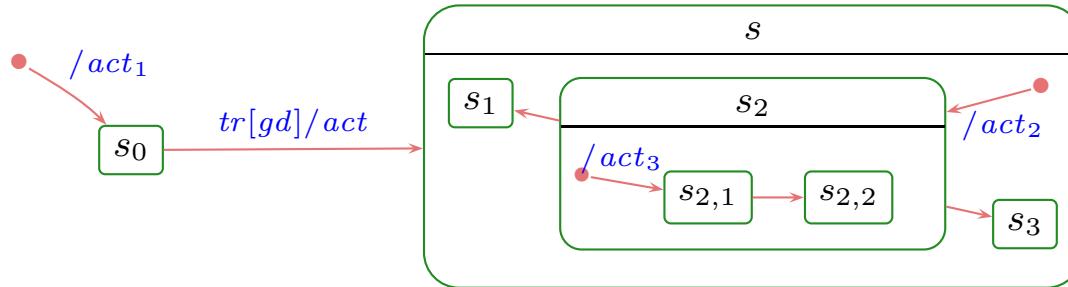


- **Intuition:** after entering a state, start its do-action.
- If the do-action terminates,
  - then the state is considered **completed** (like reaching a **final state** child ( $\rightarrow$  in a minute)),  
• *then rule (ii) (continue) may apply*
  - otherwise,
    - if the state is left before termination, the do-action is stopped.
- Recall the overall UML State Machine philosophy:

**“An object is either idle or doing a run-to-completion step.”**
- Now, what is it exactly while the do action is executing...?

## *Initial and Final States*

# Initial Pseudostate



## Principle:

- when entering a non-simple state,
- then go to the destination state of a transition with initial pseudo-state source,
- execute the action of the chosen initiation transition(s) **between** exit and entry actions.

**Recall:** For simplicity, we assume exactly one initiation transition per non-empty region.

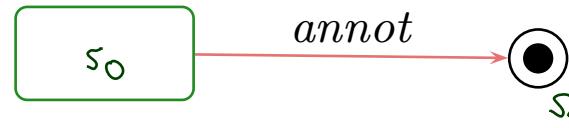
Could also be: "at least one" and choosing one non-deterministically.

## Special case: the region of *top*.

- If class  $C$  has a state-machine, then “create- $C$  transformer” is the concatenation of
  - the transformer of the “constructor” of  $C$  (here not introduced explicitly) and  $\text{SM}_C$ :
  - a transformer corresponding to one initiation transition of the top region.

$$\begin{array}{c} \bullet \quad x=27 \\ \nearrow \searrow \\ \boxed{s} \\ \{ \{ \quad \} \quad x = 13 \} \end{array}$$

# Final States



- If  $(\sigma, \varepsilon) \xrightarrow[u]{(cons, Snd)} (\sigma', \varepsilon')$

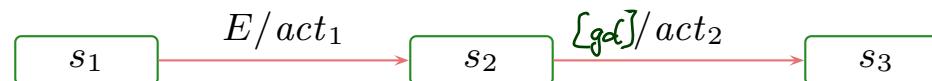
and all **simple states**  $s$  in  $\sigma'(u)(st)$  are **final**, i.e.  $kind(s) = fin$ , then

- stay **unstable** if there is a common parent of the simple states in  $\sigma(u)(st)$  which is source of a transition without trigger and satisfied guard,
- otherwise **kill** (destroy) object  $u$ .

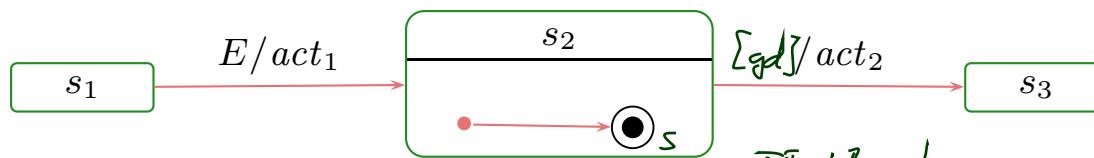
↪ adjust Rules (i), (ii), (iii), and (v) accordingly.

**Observation:**  $u$  never “survives” reaching a state  $(s, fin)$  with  $s \in child(top)$ .

**Observation:**



vs.



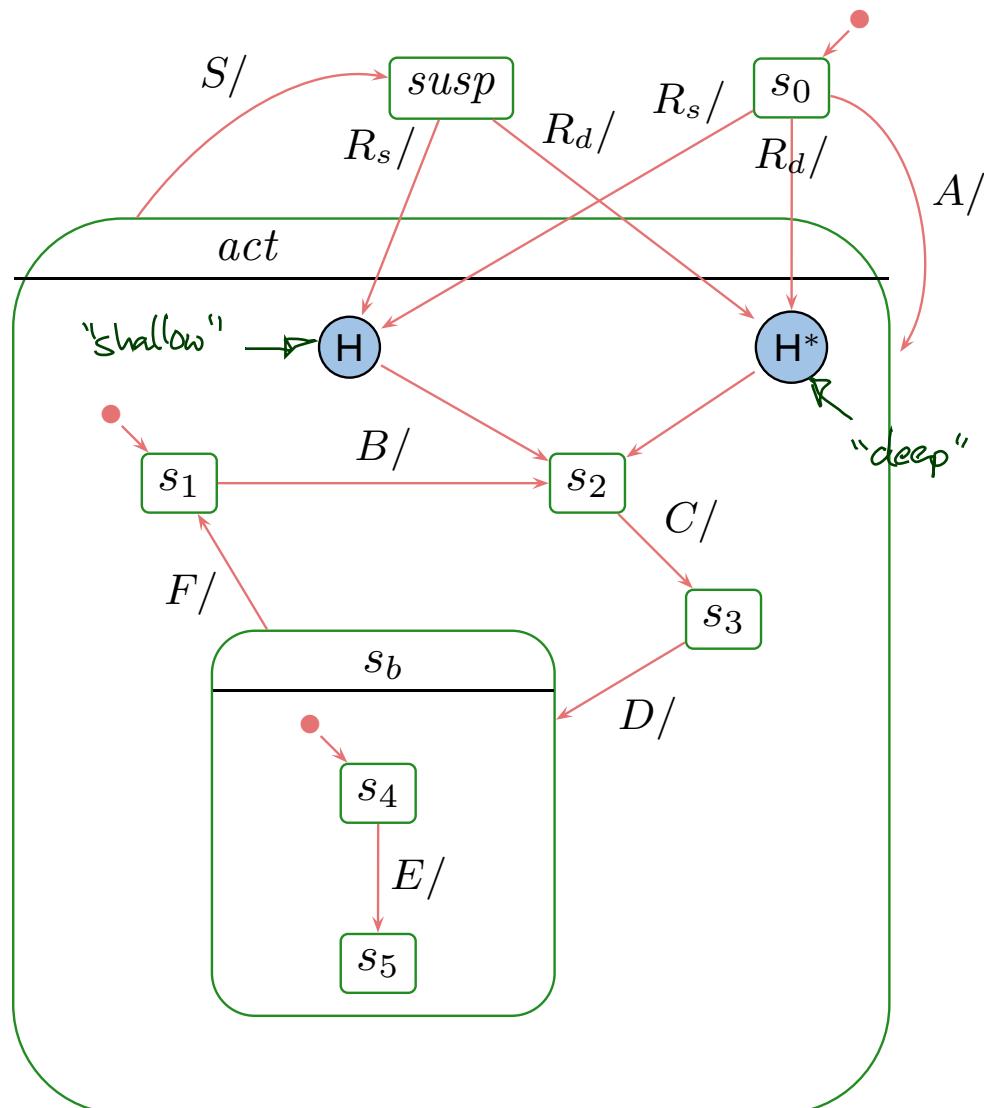
$[gd]$ : true  $\Rightarrow$  move to  $s_3$   
false  $\Rightarrow$  kill object

## *Rhapsody Demo: Automated Testing*



# *The Concept of History, and Other Pseudo-States*

# History and Deep History: By Example



What happens on...

- $R_s$ ?

$s_0, s_2$

- $R_d$ ?

$s_0, s_2$

- $A, B, C, S, R_s$ ?

$s_0, s_1, s_2, s_3, susp, s_3$

- $A, B, C, S, R_d$ ?

$\underline{s_0, s_1, s_2, s_3, susp}, s_3$

- $A, B, C, D, E, S, R_s$ ?

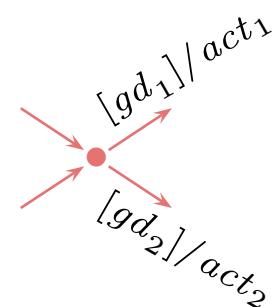
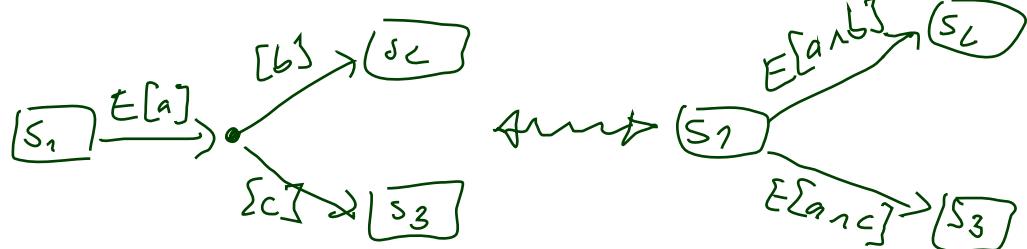
$s_0, s_1, s_2, s_3, s_4, s_5, susp, s_4$

- $A, B, C, D, E, S, R_d$ ?

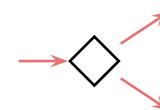
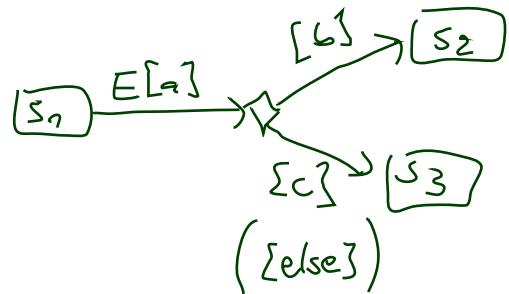
$\underline{s_0, s_1, s_2, s_3, s_4, s_5, susp, s_5}$

# Junction and Choice

- Junction (“static conditional branch”):

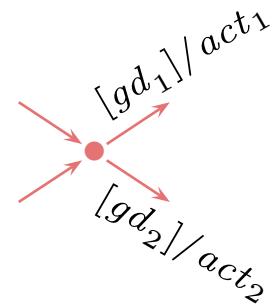


- Choice: (“dynamic conditional branch”)



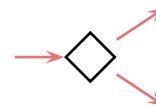
# Junction and Choice

- Junction (“static conditional branch”):



- **good**: abbreviation
- unfolds to so many similar transitions with different guards, the unfolded transitions are then checked for enabledness
- at best, start with trigger, branch into conditions, then apply actions

- Choice: (“dynamic conditional branch”)

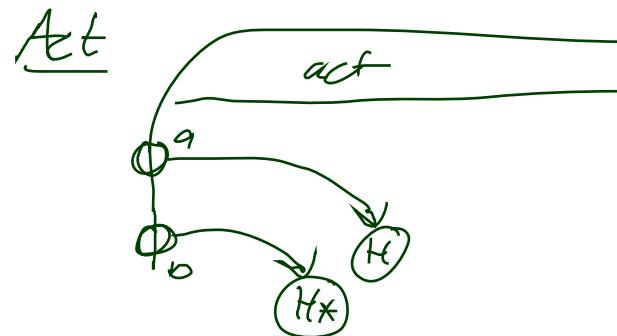
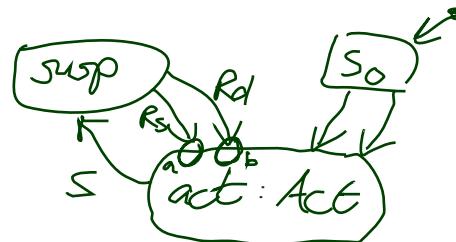


- **evil**: may get stuck
- enters the transition **without knowing** whether there's an enabled path
- at best, use “else” and convince yourself that it cannot get stuck
- maybe even better: **avoid**

# *Entry and Exit Point, Submachine State, Terminate*

- Hierarchical states can be “**folded**” for readability.  
(but: this can also hinder readability.)
- Can even be taken from a different state-machine for re-use.

$S : s$



# *Entry and Exit Point, Submachine State, Terminate*

- Hierarchical states can be “**folded**” for readability.  
(but: this can also hinder readability.)
- Can even be taken from a different state-machine for re-use.

$S : s$

## • **Entry/exit points**

$\circlearrowleft, \otimes$

- Provide connection points for finer integration into the current level, finer than just via initial state.
- Semantically a bit tricky:
  - **First** the exit action of the exiting state,
  - **then** the actions of the transition,
  - **then** the entry actions of the entered state,
  - **then** action of the transition from the entry point to an internal state,
  - and **then** that internal state’s entry action.

## • **Terminate Pseudo-State**

- When a terminate pseudo-state is reached,  
the object taking the transition is immediately killed.

$\times$

Rhapsody : 

# *Tell Them What You've Told Them...*

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- OR- and AND-states could also be explained as an “unfolding” into core state machines.
- They add **conciseness**, not **expressive power**.

- The remaining pseudo-states (history, junction, choice, etc.) are not so difficult.
- Modelling guideline: Avoid **choice**.

- Rhapsody also supports **non-active objects** – their instances share an event pool with an **active object**.

## *References*

# *References*

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- Harel, D. and Gery, E. (1997). Executable object modeling with statecharts. *IEEE Computer*, 30(7):31–42.
- OMG (2011a). Unified modeling language: Infrastructure, version 2.4.1. Technical Report formal/2011-08-05.
- OMG (2011b). Unified modeling language: Superstructure, version 2.4.1. Technical Report formal/2011-08-06.