# Graph Automata

#### Jan Leike

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#### Motivation

We want an automata model that



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We want an automata model that

- operates on graphs,
- generalizes nested words and tree automata, and

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has some nice properties.

# Outline

1. Introduce graph automata and related concepts

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- 2. Proof that their emptiness is decidable  $^1$
- 3. Show applications

#### Definition of Graph Automata

Let  $\Sigma$  be a finite alphabet and C be a class of  $\Sigma$ -labeled graphs. A graph automaton on C,  $GA = (Q, (T_a)_{a \in \Sigma}, type)$ , where

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- Q is a finite set of states,
- ►  $T_a \subset Q \times Q$  is a tiling relation for every  $a \in \Sigma$ , and
- type :  $Q \rightarrow 2^{\Sigma} \times 2^{\Sigma}$  is the type-relation.

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GA accepts a graph  $G = (V, (E_a)_{a \in \Sigma})$  iff there is a map  $\rho : V \to Q$  such that

- ▶ for every  $(u, v) \in E_a$ ,  $(\rho(u), \rho(v)) \in T_a$  and
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We restrict ourselves to graphs with at most one incoming and at most one outgoing *a*-labeled edge for each  $a \in \Sigma$  at any vertex.

Check a graph for 3-colorability.

$$GA_3 = (Q, (T_a)_{a \in \Sigma})$$
 where  
 $\Sigma = \{a\}, Q = \{q_1, q_2, q_3\}$  and  $T_a = \{(q_i, q_j) \mid i \neq j\}.$ 

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Example: 3-color the Petersen graph

# Example (proper)

Check a graph for 3-colorability.

$$\begin{aligned} GA_3 &= (Q, (T_a)_{a \in \Sigma}, type) \text{ where} \\ \Sigma &= \{a_1, \dots, a_n\}, \\ Q &= \{q_1, q_2, q_3\} \times (2^{\Sigma} \times 2^{\Sigma}), \\ T_a &= \{((q_i, t), (q_j, t')) \mid i \neq j\} \text{ for } a \in \Sigma \text{ and} \\ type((q, t)) &= t \text{ for } (q, t) \in Q. \end{aligned}$$

This restricts the graph to at most n incoming and outgoing edges at every vertex.

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#### Theorem (Madhusudan and Parlato 2011 [2])

Let C be a class of MSO-definable  $\Sigma$ -labeled graphs. The problem of checking, given  $k \in \mathbb{N}$  and a graph automaton GA, whether there is some  $G \in C$  of tree-width at most k that is accepted by GA, is decidable, and decidable in time  $|GA|^{\mathcal{O}(k)}$ .

# Monadic second order logic

We use the following syntax for MSO, where x, y are variables, X is a set of vertices and  $E_a$  is an a-labeled edge for  $a \in \Sigma$ .

$$\varphi ::= x = y \mid E_a(x, y) \mid x \in X \mid \varphi \lor \varphi \mid \neg \varphi \mid \exists x. \varphi \mid \exists X. \varphi$$

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#### Definition of tree-width

The tree-decomposition of a graph G = (V, E) is a tuple  $(\mathcal{T}, (B_t)_{t \in T})$ , where  $\mathcal{T} = (T, F)$  is a tree and for every node  $t \in T$ ,  $B_t \subseteq V$  is a bag of vertices of G such that

- ▶ for every  $v \in V$ , there is a node  $t \in T$  such that  $v \in B_t$ ,
- ▶ for every edge  $(u, v) \in E$ , there is a node  $t \in T$  such that  $u, v \in B_t$ , and
- If v ∈ B<sub>t</sub> and v ∈ B<sub>t'</sub>, for nodes t, t' ∈ T, then for every t" that lies on the unique path connecting t and t', v ∈ B<sub>t"</sub>.

#### Definition of tree-width

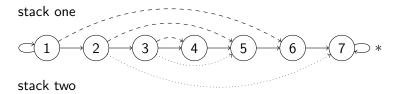
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The width of a tree decomposition is the size of the largest bag in it, minus one; i.e.  $\max\{\#B_t \mid t \in T\} - 1$ .

The *tree-width* of a graph is the smallest of the widths of any of its tree decompositions.

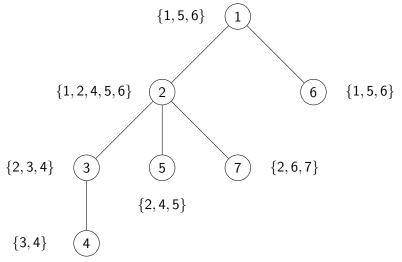
### Example



#### Input word for a 2-NWA.

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## Example: Tree decomposition



Canonical tree decomposition of the graph. ( $\rightarrow$  Formal definition)

# Some facts about tree-width

- A graph without edges has tree-width 0.
- A tree has tree-width of at most 1.
- A graph with a k-clique has a tree-width of at least k 1.
- A graph with n vertices has a minimal tree decomposition using at most n nodes.
- Many NP-complete problems become tractable on graphs of bounded tree-width.

Computing tree-widths is NP-hard.

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Let G be an input graph and  $(\mathcal{T}, (B_t)_{t \in T})$  its tree decomposition.

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- Transform  $\widehat{\varphi_{\mathcal{C}}}$  into a tree automaton  $TA_{\mathcal{C}}$ .
- ► For the graph automaton GA, define a tree automaton TA running over T.

► There is a graph in C that is accepted by GA iff the intersection of TA and TA<sub>C</sub> is not empty.

## Labeling of the tree decomposition

The labeling of tree decomposition captures the isomorphism type of the graph.

For a node  $v \in T$  and its parent  $u \in T$ , let the bag  $B_v = \{v_1, \ldots, v_k\}$  and  $B_u = \{u_1, \ldots, u_k\}$ . The label for v will be  $((L_a)_{a \in \Sigma}, P, W)$  where

▶ 
$$L_a = \{(i, j) | (v_i, v_j) \in E_a\}$$
  
▶  $P = \{(i, j) | v_i = u_j\}$  and  
▶  $W = \{(i, j) | v_i = v_j\}.$ 

Note: Using more careful encoding, this can be achieved using  $\mathcal{O}(2^k)$  instead of  $\mathcal{O}(2^{k^2})$  many labels [2].

#### Graph automaton as tree automaton

For a graph automaton  $GA = (Q, (T_a)_{a \in \Sigma}, type)$  define a bottom-up tree automaton  $B = (Labels, Q', Q', \Delta)$  where  $Q' = (Q \times 2^{\Sigma} \times 2^{\Sigma})^{k+1}$  and the transition rules

- check that the state at the node respects the tiling requirements T<sub>a</sub> and
- accumulate the *In* and *Out* sets for every vertex and cross-references them with the constraints in *type*.

# Applications

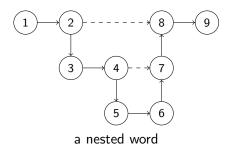
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#### Nested word automata

Nested words have a tree-width of at most 2.

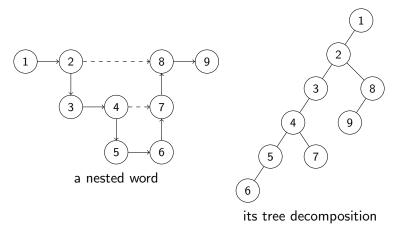
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#### *n*-NWAs

- Generalize NWAs to have *n* instead of just one nesting relation.
- Corresponds to a PDA with *n* stacks.
- *n*-NWAs have undecidable emptiness.
- ► Therefore *n*-nested words have unbounded treewidth.

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Bounded context switching NWA is an *n*-NWA where each word is partitioned into at most k + 1 "contexts". Each context utilizes at most one of the *n* stacks.

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- Tree-width of k + 1.
- Decidable emptiness.

## Other modifications to NWAs

▶ k-phase n-NWAs: in each phase any stack can be pushed, but only one stack can be popped. Tree-width: 3 · 2<sup>k-1</sup> + 1.

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### Other modifications to NWAs

- ▶ k-phase n-NWAs: in each phase any stack can be pushed, but only one stack can be popped. Tree-width: 3 · 2<sup>k-1</sup> + 1.
- Ordered *n*-NWAs: any stack can be pushed, but a stack can be popped only if all stacks with with lower index are empty. Tree-width: (n + 1) · 2<sup>n-1</sup> + 1.

#### Further topics

Formal definition of the canonical tree decomposition

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- Efficient coding of tree labels
- Courcelle' theorem
- Simulation of tree automata
- Recognizing connected graphs

# Summary

- Graph automata are a powerful automata model.
- Restriction to an MSO-definable class C of graphs with bounded tree-width yields decidable emptiness.
- Graph automata naturally generalize nested word automata and various modifications thereof.
- However, our definition of graph automata is not particularly useful for problems on graphs.

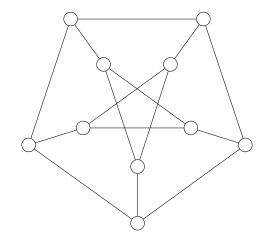
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# Appendix

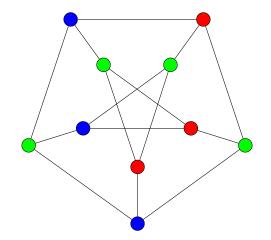
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# 3-colored the Petersen graph



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#### Canonical tree decomposition for nested words

For any *n*-nested word  $N = (V, Init, Final, L, (E_j)_{0 \le j < n})$ , the canonical tree-decomposition of N, can-td $(N) = (\mathcal{T}, (B_t)_{t \in T})$  is defined as follows.

- The set of nodes of the tree T are the vertices V of N.
- ▶ If  $(u, v) \in E_j$ , then v is the right-child of u in  $\mathcal{T}$ .
- ▶ If  $(u, v) \in L$  and for all  $0 \le j < n$  and  $z \in V$ ,  $(z, v) \notin E_j$ , then v is the left-child of u.

The bags  $B_v$  associate the minimum set of vertices to the nodes  $v \in T$  that satisfy the following.

- For all  $v \in V$ ,  $v \in B_v$ .
- ▶ For every  $u, v \in V$ , if u is the parent of v in  $\mathcal{T}$ , then  $u \in B_v$ .
- For u, v ∈ V, if (u, v) ∈ L then u ∈ B<sub>z</sub> for all vertices z that are on the unique path from u to v in T.

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# Labeling of the tree decomposition using $\mathcal{O}(2^k)$ labels

For a node  $v \in T$  and its parent  $u \in T$ , let the bag  $B_v \subseteq \{v_1, \ldots, v_k\}$  and  $B_u \subseteq \{u_1, \ldots, u_k\}$  where  $v_i \neq v_j$  and  $u_i \neq u_j$  for  $i \neq j$ . Without loss of generality one can assume

- ▶ that the vertex  $v_i \in B_v$  is equal to a vertex in the parent bag  $u_j \in B_u$  iff i = j and
- that every edge node in the tree captures at most one edge in the graph.

The label for v will be  $((L_a)_{a \in \Sigma}, P, W)$  where

► 
$$L_a = (i, j)$$
, where  $(v_i, v_j) \in E_a$  and  $v_i, v_j \in B_v$ ,

• 
$$P = \{i \mid v_i = u_i, v_i \in B_v, u_j \in B_u\}$$
 and

$$\blacktriangleright W = \{i \mid v_i \in B_v\}.$$

This encoding uses  $(k^2)^{\#\Sigma} \cdot 2^k \cdot 2^k = \mathcal{O}(2^k)$  many labels [2].

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Simulating tree automata induces the following difficulties:

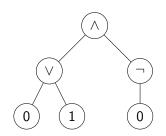
- 1. Tree automata ignore vertex types.
- 2. Tree automata have labeled nodes, graph automata labeled edges.

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3. Every edge has to specify its position in the predicate.

### Simulating tree automata: Example

Consider the tree language of valid propositional logic formulae.



Example tree from the tree automata presentation

Corresponding input for the graph automaton



## Courcelle's theorem

#### Theorem (Courcelle [4])

Every graph property definable in monadic second-order logic can be decided in linear time on graphs of bounded tree-width.

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