Automata Theory

## Nested Word Automata

Christian Schilling
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## Overview

Motivation and background

Nested words and their acceptors

Determinization proof

Conclusion

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Motivation and background
Common languages
Visibly pushdown languages

## Nested words and their acceptors

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Conclusion

## Common languages

## Regular language

```
procedure foo()
{
    return;
}
```

$$
\mathcal{L}_{1}=\{c \mathrm{r}\}
$$

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Common languages

## Regular language



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\mathcal{L}_{1}=\{\mathrm{cr}\}
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Motivation and background

## Common languages

## (det.) Context-free language



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\mathcal{L}_{2}=\left\{c^{n} r^{n} \mid n>0\right\}
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Motivation and background

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Motivation and background
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Question: Is there some class of languages in between that is more expressive than regular languages, but keeps their nice properties?

Answer (Alur \& Madhusudan 2004): yes, at least in some sense

## Visibly pushdown languages (VPLs)

A visibly pushdown language (VPL) is the language accepted by a visibly pushdown automaton (VPA).
$\mathrm{A} V \mathrm{PA} \mathcal{A}=\left\langle Q, q_{0}, Q_{f}, \Sigma, \Gamma, \perp, \delta\right\rangle$ is a deterministic PDA with special rules: Determined by the input symbol, only one symbol per push is allowed and reading the stack implies a pop.

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- $\delta=\delta_{i} \uplus \delta_{c} \uplus \delta_{r}$,
- $\delta_{i} \subseteq Q \times \Sigma_{i} \rightarrow Q$
- $\delta_{c} \subseteq Q \times \Sigma_{c} \rightarrow(\Gamma \backslash\{\perp\}) \times Q$
- $\delta_{r} \subseteq Q \times \Sigma_{r} \times \Gamma \rightarrow Q$


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Note: pops occur implicitly, $\perp$ never popped, no $\varepsilon$

Motivation and background
Visibly pushdown languages

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Partitioning:
$\Sigma_{i}=\emptyset, \Sigma_{c}=\{c\}, \Sigma_{r}=\{r\}$
$\delta_{c}=\left\{\left(q_{0}, c, A, q_{1}\right)\right.$, $\left.\left(q_{1}, c, B, q_{1}\right)\right\}$
$\delta_{r}=\left\{\left(q_{1}, r, A, q_{3}\right)\right.$,
$\left(q_{1}, r, B, q_{2}\right)$,
$\left(q_{2}, r, A, q_{3}\right)$, $\left.\left(q_{2}, r, B, q_{2}\right)\right\}$

Motivation and background
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## From VPAs to NWAs

- main differences between VPAs and PDAs:
- closed under determinism
- partitioning of the alphabet
- very limited use of the stack
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- automaton model: nested word automata (NWAs)
- nested word languages (NWLs) and VPLs have same power $\rightarrow$ NWAs $\preceq$ deterministic PDAs
- main idea: call and return symbols are matched in the input


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Nested words and their acceptors
Nested words
Nested word automata

## Determinization proof

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## Well nested sequences

A sequence of symbols is well nested if calls and returns are matched without crossing, i.e., for any different call-return-pairs $\left(c_{i}, r_{i}\right),\left(c_{j}, r_{j}\right), c_{i}<c_{j}<r_{i}<r_{j}$ is forbidden.

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Examples:

## iciciirri

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\begin{aligned}
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& \text { rcrecici}
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Note: Every sequence has a unique well nesting.

## Nested words

A relation $\leadsto \subset\{-\infty, 1,2, \ldots, \ell\} \times\{1,2, \ldots, \ell, \infty\}$ of length $\ell \geq 0$ is a matching relation if the following holds:

I if $i \leadsto j$, then $i<j$
II if $i_{1} \leadsto j$ and $i_{2} \leadsto j$, then $i_{1}=i_{2}$ if $i \leadsto j_{1}$ and $i \leadsto j_{1}$, then $j_{1}=j_{2}$
(monotone)
(left-unique)
(right-unique)

III if $i_{1} \sim j_{1}$ and $i_{2} \sim j_{2}$, then we have not $i_{1}<i_{2}<j_{1}<j_{2}$
(well nested)
Explanation:

I not rc, not reflexive
II not c c r, not cr r
III not cerr
ex post note: $(-\infty, \infty) \notin \sim$, $\pm \infty$ excluded from uniqueness

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\text { if } i & \text { (left-unique) } \\
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& \\
& \text { (well nested) }
\end{array}
$$

If $i \sim j, i$ is a call position and $j$ is a return position. All the rest is an internal position. If $i \neq-\infty$ and $j \neq \infty$, they are well-matched, otherwise pending. $e \in \sim$ is a nesting edge.

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A nested word $n$ over $\Sigma$ is a pair $\left(a_{1} \cdots a_{\ell}, \sim\right)$, where $a_{i} \in \Sigma$ and $\sim$ is a matching relation of length $\ell$.

## Example 1

$\mathbf{i c} \mathbf{i c i i r r i}$


Here: $2 \sim 8,4 \sim 7$ and the whole word is well-matched.

## Nested words

## Example 2

$$
\mathbf{r c r r c i c i}
$$



# adapted from [1] 

Here: $-\infty \sim 1,2 \sim 3,-\infty \sim 4,5 \leadsto \infty, 7 \sim \infty$ and only $2 \leadsto 3$ is well-matched.

## Definition of NWAs

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- $\delta_{r} \subseteq Q \times P \times \Sigma \rightarrow Q$ return transition function
acceptance via both $Q_{f}$ and $P_{f}$ as VPAs: at return implicitly go to hierarchical state before matching call


## Nested word automata

## $\mathcal{L}_{2}$ as NWA

Consider again $\mathcal{L}_{2}=\left\{c^{n} r^{n} \mid n>0\right\}$.
We construct an NWA for $\mathcal{L}_{2}^{\prime}:=\left\{\left(\langle\mathrm{c})^{n}(\mathrm{r}\rangle\right)^{n} \mid n>0\right\}$.


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We can also use hierarchical states for acceptance.


$$
P=\left\{p_{0}, p_{1}\right\}, P_{f}=\left\{p_{0}\right\}
$$

Nested words and their acceptors
Nested word automata
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- no stack anymore, but structure on the input word
- nondeterministic NWAs: $Q_{0} \subseteq Q, P_{0} \subseteq P, \delta$ possibly exponentially more states for deterministic NWAs
- not all sets of NWs acceptable by NWAs $\left\{\left(\langle a)^{n}(b\rangle\right)^{n} \mid n>0\right\}$ vs. $\left\{a^{n} b^{n} \mid n>0\right\}$

Nested words and their acceptors

## Nested word automata

## Comparison of properties

|  | DFA | DNWA | PDA | DPDA |
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| pre-/suffix | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
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Note: Equivalence and inclusion problem are Exptime-complete for nondeterministic NWAs.
Implication: determinization $\in \Omega$ (EXPTIME) if at all possible

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Intuition
Construction

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Idea behind the proof

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- state of automaton $\mathcal{A}$ for nested word $n$ with position $k$ : deterministic NWA (DNWA): $\left(q_{k}, p_{k}\right)$ NNWA: one of $\left(q_{k_{1}}, p_{k_{1}}\right), \ldots,\left(q_{k_{i}}, p_{k_{j}}\right)$


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$\rightarrow$ powerset construction over nesting edges hierarchical states $=$ nesting edges + call symbol so far


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- NWAs: also need information about hierarchical states
$\rightarrow$ powerset construction over nesting edges hierarchical states $=$ nesting edges + call symbol so far
- handle hierarchical proceeding when reading return symbols


## The states: definition

Consider the NNWA $\mathcal{A}=\langle Q, Q_{0}, Q_{f}, P, P_{0}, \overbrace{P_{f}}, \delta_{i}, \delta_{c}, \delta_{r}\rangle$. We construct the DNWA $\mathcal{B}=\left\langle Q^{\prime}, q_{0}^{\prime}, Q_{f}^{\prime}, P^{\prime}, p_{0}^{\prime}, P_{f}^{\prime}, \delta_{i}^{\prime}, \delta_{c}^{\prime}, \delta_{r}^{\prime}\right\rangle$ :

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## The states: definition

Consider the NNWA $\mathcal{A}=\langle Q, Q_{0}, Q_{f}, P, P_{0}, \overbrace{P_{f}}, \delta_{i}, \delta_{c}, \delta_{r}\rangle$. We construct the DNWA $\mathcal{B}=\left\langle Q^{\prime}, q_{0}^{\prime}, Q_{f}^{\prime}, P^{\prime}, p_{0}^{\prime}, P_{f}^{\prime}, \delta_{i}^{\prime}, \delta_{c}^{\prime}, \delta_{r}^{\prime}\right\rangle$ :

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## The states: semantics

Consider a nested word $n$ with $k$ pending calls. We can write this

$$
n=n_{1}\left\langlec _ { 1 } n _ { 2 } \left\langlec _ { 2 } \cdots n _ { k } \left\langle c_{k} n_{k+1}\right.\right.\right.
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$$
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Nested Word Automata
Determinization proof

## Construction

## Example



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## Call transitions

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new hierarchical state that keeps track of the old state/symbol

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$$
c_{k+1} / p \downarrow
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$$
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& \left.\wedge \exists p \in P .\left(q_{1}, p\right) \in \delta_{c}\left(q^{\prime}, c_{k}\right) \wedge q^{\prime \prime} \in \delta_{r}\left(q_{2}, p, r\right)\right\}
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Nested Word Automata
Determinization proof

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## Résumé

- now all components of $\mathcal{B}$ defined

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Determinization proof

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- now all components of $\mathcal{B}$ defined
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- correctness results from invariants
- complexity: if $|Q|=s$, then $\left|Q^{\prime}\right|=2^{s^{2}}$ and $\left|P^{\prime}\right| \in \mathcal{O}\left(2^{s^{2}}\right)$ This is succinct, so there exists an example where the DNWA cannot have less states.


## Overview

## Motivation and background

Nested words and their acceptors

## Determinization proof

Conclusion

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- determinization always possible in $\mathcal{O}\left(2^{s^{2}}\right)$
- many practical problems describable as nested words
- recent concept, time will show the relevance


## References

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