

Decision Procedures

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- Syntax and Semantics of First Order Logic (FOL)

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- Semantic Tableaux for FOL

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- Putting it all together (Nelson-Oppen).

First-Order Logic

Also called Predicate Logic or Predicate Calculus

FOL Syntax

<u>variables</u>	x, y, z, \dots
<u>constants</u>	a, b, c, \dots
<u>functions</u>	f, g, h, \dots with arity $n > 0$

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<u>predicates</u>	p, q, r, \dots with arity $n \geq 0$
<u>atom</u>	\top, \perp , or an n-ary predicate applied to n terms
<u>literal</u>	atom or its negation $p(f(x), g(x, f(x))), \quad \neg p(f(x), g(x, f(x)))$

Note: 0-ary functions: constant
0-ary predicates: P, Q, R, \dots

quantifiers

existential quantifier $\exists x.F[x]$

“there exists an x such that $F[x]$ ”

universal quantifier $\forall x.F[x]$

“for all x , $F[x]$ ”

FOL formula literal, application of logical connectives

($\neg, \vee, \wedge, \rightarrow, \leftrightarrow$) to formulae,

or application of a quantifier to a formula

FOL formula

$$\forall x. \underbrace{(p(f(x), x) \rightarrow (\exists y. \underbrace{(p(f(g(x, y)), g(x, y)))) \wedge q(x, f(x)))}_{F}$$

The scope of $\forall x$ is F .

The scope of $\exists y$ is G .

FOL formula

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The scope of $\forall x$ is F .

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The formula reads:

“for all x ,
 if $p(f(x), x)$
 then there exists a y such that
 $p(f(g(x, y)), g(x, y))$ and $q(x, f(x))$ ”

- The length of one side of a triangle is less than the sum of the lengths of the other two sides

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$$\begin{aligned} &\forall n. \text{integer}(n) \wedge n > 2 \\ &\rightarrow \forall x, y, z. \\ &\quad \text{integer}(x) \wedge \text{integer}(y) \wedge \text{integer}(z) \\ &\quad \wedge x > 0 \wedge y > 0 \wedge z > 0 \\ &\quad \rightarrow x^n + y^n \neq z^n \end{aligned}$$

For every regular Language L there is some $n \geq 0$, such that for all words $z \in L$ with $|z| \geq n$ there is a decomposition $z = uvw$ with $|v| \geq 1$ and $|uv| \leq n$, such that for all $i \geq 0$: $uv^i w \in L$.

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Predicates: *regularlanguage*, *integer*, *word*, $\cdot \in \cdot$, $\cdot \leq \cdot$, $\cdot \geq \cdot$, $\cdot = \cdot$

Constants: 0, 1

Functions: $|\cdot|$ (word length), concatenation, iteration

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- each n-ary predicate p assigned

$$\alpha_I[p] : D_I^n \rightarrow \{\top, \perp\}$$

In particular, each propositional variable P (0-ary predicate) assigned
truth value (\top, \perp)

$$F : p(f(x, y), z) \rightarrow p(y, g(z, x))$$

Interpretation $I : (D_I, \alpha_I)$

$$D_I = \mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\} \quad \text{integers}$$

$$\alpha_I[f] : D_I^2 \rightarrow D_I \quad \alpha_I[g] : D_I^2 \rightarrow D_I$$

$$(x, y) \mapsto x + y \quad (x, y) \mapsto x - y$$

$$\alpha_I[p] : D_I^2 \rightarrow \{\top, \perp\}$$

$$(x, y) \mapsto \begin{cases} \top & \text{if } x < y \\ \perp & \text{otherwise} \end{cases}$$

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Also $\alpha_I[x] = 13, \alpha_I[y] = 42, \alpha_I[z] = 1$

Compute the truth value of F under I

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Compute the truth value of F under I

1. $I \not\models p(f(x, y), z)$ since $13 + 42 \geq 1$
2. $I \not\models p(y, g(z, x))$ since $42 \geq 1 - 13$
3. $I \models F$ by 1, 2, and \rightarrow

F is true under I

For a variable x :

Definition (x -variant)

An x -variant of interpretation I is an interpretation $J : (D_J, \alpha_J)$ such that

- $D_I = D_J$
- $\alpha_I[y] = \alpha_J[y]$ for all symbols y , except possibly x

That is, I and J agree on everything except possibly the value of x

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Denote $J : I \triangleleft \{x \mapsto v\}$ the x -variant of I in which $\alpha_J[x] = v$ for some $v \in D_I$. Then

- $I \models \forall x. F$ iff for all $v \in D_I$, $I \triangleleft \{x \mapsto v\} \models F$
- $I \models \exists x. F$ iff there exists $v \in D_I$ s.t. $I \triangleleft \{x \mapsto v\} \models F$

Consider

$$F : \forall x. \exists y. 2 \cdot y = x$$

Here $2 \cdot y$ is the infix notation of the term $\cdot(2, y)$,
and $2 \cdot y = x$ is the infix notation of the atom $= (\cdot(2, y), x)$.

- 2 is a 0-ary function symbol (a constant).
- \cdot is a 2-ary function symbol.
- $=$ is a 2-ary predicate symbol.
- x, y are variables.

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What is the truth-value of F ?

$$F : \forall x. \exists y. 2 \cdot y = x$$

Let I be the standard interpretation for integers, $D_I = \mathbb{Z}$.

Compute the value of F under I :

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Compute the value of F under I :

$$I \models \forall x. \exists y. 2 \cdot y = x$$

iff

$$\text{for all } v \in D_I, I \triangleleft \{x \mapsto v\} \models \exists y. 2 \cdot y = x$$

iff

$$\text{for all } v \in D_I, \text{ there exists } v_1 \in D_I, I \triangleleft \{x \mapsto v\} \triangleleft \{y \mapsto v_1\} \models 2 \cdot y = x$$

The latter is false since for $1 \in D_I$ there is no number v_1 with $2 \cdot v_1 = 1$.

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Let I be the standard interpretation for rational numbers, $D_I = \mathbb{Q}$.
Compute the value of F under I :

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 Compute the value of F under I :

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iff

$$\text{for all } v \in D_I, \text{ there exists } v_1 \in D_I, I \triangleleft \{x \mapsto v\} \triangleleft \{y \mapsto v_1\} \models 2 \cdot y = x$$

The latter is true since for $v \in D_I$ we can choose $v_1 = \frac{v}{2}$.

Definition (Satisfiability)

F is **satisfiable** iff there exists an interpretation I such that $I \models F$.

Definition (Validity)

F is **valid** iff for all interpretations I , $I \models F$.

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Note

F is valid iff $\neg F$ is unsatisfiable

Suppose, we want to replace terms with other terms in formulas, e.g.

$$F : \forall y. (p(x, y) \rightarrow p(y, x))$$

should be transformed to

$$G : \forall y. (p(a, y) \rightarrow p(y, a))$$

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We write $F\sigma$ for the formula G .

Another convenient notation is $F[x]$ for a formula containing the variable x and $F[a]$ for $F\sigma$.

Definition (Substitution)

A substitution is a mapping from terms to terms, e.g.

$$\sigma : \{t_1 \mapsto s_1, \dots, t_n \mapsto s_n\}$$

By $F\sigma$ we denote the application of σ to formula F , i.e., the formula F where all occurrences of t_1, \dots, t_n are replaced by s_1, \dots, s_n .

For a formula named $F[x]$ we write $F[t]$ as shorthand for $F[x]\{x \mapsto t\}$.

Care has to be taken in the presence of quantifiers:

$$F[x] : \exists y. y = Succ(x)$$

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We need to **rename** bounded variables occurring in the substitution:

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Bounded renaming does not change the models of a formula:

$$(\exists y. y = Succ(x)) \Leftrightarrow (\exists y'. y' = Succ(x))$$

$$t\sigma = \begin{cases} \sigma(t) & t \in \text{dom}(\sigma) \\ f(t_1\sigma, \dots, t_n\sigma) & t \notin \text{dom}(\sigma) \wedge t = f(t_1, \dots, t_n) \\ x & t \notin \text{dom}(\sigma) \wedge t = x \end{cases}$$

$$p(t_1, \dots, t_n)\sigma = p(t_1\sigma, \dots, t_n\sigma)$$

$$(\neg F)\sigma = \neg(F\sigma)$$

$$(F \wedge G)\sigma = (F\sigma) \wedge (G\sigma)$$

...

$$(\forall x. F)\sigma = \begin{cases} \forall x. F\sigma & x \notin \text{Vars}(\sigma) \\ \forall x'. ((F\{x \mapsto x'\})\sigma) & \text{otherwise and } x' \text{ is fresh} \end{cases}$$

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$F : (\forall x. p(x, y)) \rightarrow q(f(y), x)$
bound by $\forall x$ \nearrow \nwarrow free free \nearrow \nwarrow free

$\sigma : \{x \mapsto g(x), y \mapsto f(x), f(y) \mapsto h(x, y)\}$

$F\sigma?$

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bound by $\forall x$ \nearrow \nwarrow free free \nearrow \nwarrow free

$$\sigma : \{x \mapsto g(x), y \mapsto f(x), f(y) \mapsto h(x, y)\}$$

$F\sigma$?

- 1 Rename

$$F' : \forall x'. p(x', y) \rightarrow q(f(y), x)$$

\uparrow \uparrow

where x' is a fresh variable

- 2 $F\sigma : \forall x'. p(x', f(x)) \rightarrow q(h(x, y), g(x))$

Recall rules from propositional logic:

$$\frac{I \models \neg F}{I \not\models F}$$

$$\frac{I \models F \wedge G}{\begin{array}{l} I \models F \\ I \models G \end{array}} \leftarrow \text{and}$$

$$\frac{I \models F \vee G}{I \models F \mid I \models G}$$

$$\frac{I \models F \rightarrow G}{I \not\models F \mid I \models G}$$

$$\frac{I \models F \leftrightarrow G}{I \models F \wedge G \mid I \not\models F \vee G}$$

$$\begin{array}{l} I \models F \\ I \not\models F \\ \hline I \models \perp \end{array}$$

$$\frac{I \not\models \neg F}{I \models F}$$

$$\frac{I \not\models F \wedge G}{\begin{array}{l} I \not\models F \\ I \not\models G \end{array}} \leftarrow \text{or}$$

$$\frac{I \not\models F \vee G}{\begin{array}{l} I \not\models F \\ I \not\models G \end{array}}$$

$$\frac{I \not\models F \rightarrow G}{\begin{array}{l} I \models F \\ I \not\models G \end{array}}$$

$$\frac{I \not\models F \leftrightarrow G}{I \models F \wedge \neg G \mid I \models \neg F \wedge G}$$

The following additional rules are used for quantifiers:

$$\frac{I \models \forall x.F[x] \text{ for any term } t}{I \models F[t]}$$

$$\frac{I \not\models \forall x.F[x] \text{ for a fresh constant } a}{I \not\models F[a]}$$

$$\frac{I \models \exists x.F[x] \text{ for a fresh constant } a}{I \models F[a]}$$

$$\frac{I \not\models \exists x.F[x] \text{ for any term } t}{I \not\models F[t]}$$

(We assume that there are infinitely many constant symbols.)

The formula $F[t]$ is created from the formula $F[x]$ by the substitution $\{x \mapsto t\}$ (roughly, replace every x by t).

Show that $(\exists x. \forall y. p(x, y)) \rightarrow (\forall x. \exists y. p(y, x))$ is valid.

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Assume otherwise.

- | | | |
|----|---|--|
| 1. | $I \not\models (\exists x. \forall y. p(x, y)) \rightarrow (\forall x. \exists y. p(y, x))$ | assumption |
| 2. | $I \models \exists x. \forall y. p(x, y)$ | 1 and \rightarrow |
| 3. | $I \not\models \forall x. \exists y. p(y, x)$ | 1 and \rightarrow |
| 4. | $I \models \forall y. p(a, y)$ | 2, $\exists (x \mapsto a \text{ fresh})$ |
| 5. | $I \not\models \exists y. p(y, b)$ | 3, $\forall (x \mapsto b \text{ fresh})$ |
| 6. | $I \models p(a, b)$ | 4, $\forall (y \mapsto b)$ |
| 7. | $I \not\models p(a, b)$ | 5, $\exists (y \mapsto a)$ |
| 8. | $I \models \perp$ | 6,7 contradictory |

Thus, the formula is valid.

Example

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| 4. | $I \models p(a_1, a_1)$ | 2, \forall |
| 5. | $I \not\models \forall y. p(a_1, y)$ | 3, \exists |
| 6. | $I \not\models p(a_1, a_2)$ | 5, \forall |
| 7. | $I \models p(a_2, a_2)$ | 2, \forall |
| 8. | $I \not\models \forall y. p(a_2, y)$ | 3, \exists |
| 9. | $I \not\models p(a_2, a_3)$ | 8, \forall |
| | \vdots | |

No contradiction.

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No contradiction. Falsifying interpretation I can be “read” from proof:

$$D_I = \mathbb{N}, \quad p_I(x, y) = \begin{cases} \text{true} & y = x, \\ \text{false} & y = x + 1, \\ \text{arbitrary} & \text{otherwise.} \end{cases}$$

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- **Non-termination**

For an invalid formula F the method is not guaranteed to terminate. Thus, the semantic argument is **not** a decision procedure for validity.

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If all branches of the proof end with $I \models \perp$, then the assumption was
wrong. Thus, if the assumption was $I \not\models F$, then F must be valid.

Consider (finite or infinite) proof trees starting with $I \not\vdash F$. We assume that

- all possible proof rules were applied in all non-closed branches.
- the \forall and \exists rules were applied for all terms.
This is possible since the terms are countable.

If every branch is closed, the tree is finite (König's Lemma) and we have a finite proof for F .

Completeness (proof sketch, continued)

Otherwise, the proof tree has at least one open branch P . We show that P is not valid.

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 - $I \models F \wedge G \in P$ implies $I \models F \in P$ and $I \models G \in P$.
 - $I \not\models F \wedge G \in P$ implies $I \not\models F \in P$ or $I \not\models G \in P$.
 - $I \models \forall x. F[x] \in P$ implies for all terms t , $I \models F[t] \in P$.
 - $I \not\models \forall x. F[x] \in P$ implies for some term a , $I \not\models F[a] \in P$.
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- 2 Choose $D_I := \{t \mid t \text{ is term}\}$, $\alpha_I[f](t_1, \dots, t_n) = f(t_1, \dots, t_n)$,

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- 3 I satisfies all statements on the branch.
In particular, I is a falsifying interpretation of F , thus F is not valid.

Also in first-order logic normal forms can be used:

- Devise an algorithm to convert a formula to a normal form.
- Then devise an algorithm for satisfiability/validity that only works on the normal form.

Negations appear only in literals. (only $\neg, \wedge, \vee, \exists, \forall$)

To transform F to equivalent F' in NNF use recursively the following template equivalences (left-to-right):

$$\begin{array}{l} \neg\neg F_1 \Leftrightarrow F_1 \quad \neg\top \Leftrightarrow \perp \quad \neg\perp \Leftrightarrow \top \\ \neg(F_1 \wedge F_2) \Leftrightarrow \neg F_1 \vee \neg F_2 \\ \neg(F_1 \vee F_2) \Leftrightarrow \neg F_1 \wedge \neg F_2 \end{array} \left. \vphantom{\begin{array}{l} \neg\neg F_1 \Leftrightarrow F_1 \\ \neg(F_1 \wedge F_2) \Leftrightarrow \neg F_1 \vee \neg F_2 \\ \neg(F_1 \vee F_2) \Leftrightarrow \neg F_1 \wedge \neg F_2 \end{array}} \right\} \text{De Morgan's Law}$$

$$F_1 \rightarrow F_2 \Leftrightarrow \neg F_1 \vee F_2$$

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$$\textcircled{1} \quad \forall x. (\exists y. p(x, y) \wedge p(x, z)) \rightarrow \exists w. p(x, w)$$

$$\textcircled{2} \quad \forall x. \neg(\exists y. p(x, y) \wedge p(x, z)) \vee \exists w. p(x, w)$$

$$F_1 \rightarrow F_2 \Leftrightarrow \neg F_1 \vee F_2$$

$$\textcircled{3} \quad \forall x. (\forall y. \neg(p(x, y) \wedge p(x, z))) \vee \exists w. p(x, w)$$

$$\neg \exists x. F[x] \Leftrightarrow \forall x. \neg F[x]$$

$$\textcircled{4} \quad \forall x. (\forall y. \neg p(x, y) \vee \neg p(x, z)) \vee \exists w. p(x, w)$$

All quantifiers appear at the beginning of the formula

$$Q_1 x_1 \cdots Q_n x_n. F[x_1, \cdots, x_n]$$

where $Q_i \in \{\forall, \exists\}$ and F is quantifier-free.

Every FOL formula F can be transformed to formula F' in PNF s.t.
 $F' \Leftrightarrow F$:

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Find equivalent PNF of

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- Write F in NNF

$$F_1 : \forall x. (\forall y. \neg p(x, y) \vee \neg p(x, z)) \vee \exists y. p(x, y)$$

- Rename quantified variables to fresh names

$$F_2 : \forall x. (\forall y. \neg p(x, y) \vee \neg p(x, z)) \vee \exists w. p(x, w)$$

↑
in the scope of $\forall x$

- Move all quantifiers to the front

$$F_3 : \forall x. \forall y. \exists w. \neg p(x, y) \vee \neg p(x, z) \vee p(x, w)$$

Alternately,

$$F'_3 : \forall x. \exists w. \forall y. \neg p(x, y) \vee \neg p(x, z) \vee p(x, w)$$

Note: In F_2 , $\forall y$ is **in the scope** of $\forall x$, therefore the order of quantifiers must be $\dots \forall x \dots \forall y \dots$

$$F_4 \Leftrightarrow F \text{ and } F'_4 \Leftrightarrow F$$

Note: However $G \not\Leftrightarrow F$

$$G : \forall y. \exists w. \forall x. \neg p(x, y) \vee \neg p(x, z) \vee p(x, w)$$

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There does not exist an algorithm for deciding if a FOL formula F is valid, i.e. always halt and says “yes” if F is valid or say “no” if F is invalid.

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On the other hand,

- PL is decidable

There exists an algorithm for deciding if a PL formula F is valid, e.g., the truth-table procedure.

Similarly for satisfiability

Theories

The formula $1 + 1 = 3$ is

In first-order logic function symbols have no predefined meaning:

The formula $1 + 1 = 3$ is satisfiable.

We want to fix the meaning for some function symbols.

Examples:

- Equality theory
- Theory of natural numbers
- Theory of rational numbers
- Theory of arrays or lists

Definition (First-order theory)

A First-order theory T consists of

- A Signature Σ - set of constant, function, and predicate symbols
- A set of axioms A_T - set of closed (no free variables) Σ -formulae

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- The axioms of T provide their meaning

Signature $\Sigma_{=} : \{=, a, b, c, \dots, f, g, h, \dots, p, q, r, \dots\}$

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Axioms of T_E :

- 1 $\forall x. x = x$ (reflexivity)
- 2 $\forall x, y. x = y \rightarrow y = x$ (symmetry)
- 3 $\forall x, y, z. x = y \wedge y = z \rightarrow x = z$ (transitivity)

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- 4 for each positive integer n and n -ary function symbol f ,
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Congruence and Equivalence are **axiom schemata**.

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For every function symbol there is an instance of the congruence axiom schemata.

Example: Congruence axiom for binary function f_2 :

$$\forall x_1, x_2, y_1, y_2. x_1 = y_1 \wedge x_2 = y_2 \rightarrow f_2(x_1, x_2) = f_2(y_1, y_2)$$

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A_{T_E} contains an infinite number of these axioms.

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Definition (T -equivalent)

Two Σ -formulae F_1 and F_2 are **equivalent in T** (T -equivalent), if $F_1 \leftrightarrow F_2$ is T -valid,

Example: T_E -validity

Semantic argument method can be used for T_E

Prove

$$F : a = b \wedge b = c \rightarrow g(f(a), b) = g(f(c), a) \quad T_E\text{-valid.}$$

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$$F : a = b \wedge b = c \rightarrow g(f(a), b) = g(f(c), a) \quad T_E\text{-valid.}$$

Suppose not; then there exists a T_E -interpretation I such that $I \not\models F$.

Then,

1.	$I \not\models F$	assumption
2.	$I \models a = b \wedge b = c$	1, \rightarrow
3.	$I \not\models g(f(a), b) = g(f(c), a)$	1, \rightarrow
4.	$I \models \forall x, y, z. x = y \wedge y = z \rightarrow x = z$	transitivity
5.	$I \models a = b \wedge b = c \rightarrow a = c$	4, $3 \times \forall\{x \mapsto a, y \mapsto b, z \mapsto c\}$
6a	$I \not\models a = b \wedge b = c$	5, \rightarrow
7a	$I \not\models \perp$	3 and 5 contradictory
6b.	$I \models a = c$	4, 5, (5, \rightarrow)
7b.	$I \models a = c \rightarrow f(a) = f(c)$	(congruence), $2 \times \forall$
8ba.	$I \not\models a = c \quad \dots I \models \perp$	
8bb.	$I \models f(a) = f(c)$	7b, \rightarrow
9bb.	$I \models a = b$	2, \wedge
10bb.	$I \models a = b \rightarrow b = a$	(symmetry), $2 \times \forall$
11bba.	$I \not\models a = b \quad \dots I \models \perp$	
11bbb.	$I \models b = a$	10bb, \rightarrow
12bbb.	$I \models f(a) = f(c) \wedge b = a \rightarrow g(f(a), b) = g(f(c), a)$	(congruence), $4 \times \forall$
... 13	$I \models g(f(a), b) = g(f(c), a)$	8bb, 11bbb, 12bbb

3 and 13 are contradictory. Thus, F is T_E -valid.

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If we restrict ourselves to quantifier-free formulae we get decidability:

For a quantifier-free formula T_E -validity is decidable.

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A theory T is **decidable** if $T \models F$ (T -validity) is decidable for every Σ -formula F ,

i.e., there is an algorithm that always terminate with “yes”, if F is T -valid, and “no”, if F is T -invalid.

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- Theory of integers $T_{\mathbb{Z}}$: integers with $+$, $-$, $>$

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Examples for valid formulae:

- Pythagorean Theorem is T_{PA} -valid

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- Fermat's Last Theorem is T_{PA} -valid (Andrew Wiles, 1994)

$$\forall n. n > 2 \rightarrow \neg \exists x, y, z. x \neq 0 \wedge y \neq 0 \wedge z \neq 0 \wedge x^n + y^n = z^n$$

In Fermat's theorem we used x^n , which is not a valid term in Σ_{PA} . However, there is the Σ_{PA} -formula $EXP[x, n, r]$ with

- 1 $EXP[x, 0, r] \leftrightarrow r = 1$
- 2 $EXP[x, i + 1, r] \leftrightarrow \exists r_1. EXP[x, i, r_1] \wedge r = r_1 \cdot x$

$$\begin{aligned} EXP[x, n, r] : & \exists d, m. (\exists z. d = (m + 1)z + 1) \wedge \\ & (\forall i, r_1. i < n \wedge r_1 < m \wedge (\exists z. d = ((i + 1)m + 1)z + r_1) \rightarrow \\ & \quad r_1 x < m \wedge (\exists z. d = ((i + 2)m + 1)z + r_1 \cdot x)) \wedge \\ & r < m \wedge (\exists z. d = ((n + 1)m + 1)z + r) \end{aligned}$$

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Fermat's theorem can be stated as:

$$\begin{aligned} \forall n. n > 2 \rightarrow \neg \exists x, y, z, rx, ry. x \neq 0 \wedge y \neq 0 \wedge z \neq 0 \wedge \\ EXP[x, n, rx] \wedge EXP[y, n, ry] \wedge EXP[z, n, rx + ry] \end{aligned}$$

Gödel showed that for every **recursive** function $f : \mathbb{N}^n \rightarrow \mathbb{N}$ there is a Σ_{PA} -formula $F[x_1, \dots, x_n, r]$ with

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There exist closed Σ_{PA} -formulae representing valid propositions of number theory that are not T_{PA} -valid.

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For decidability: no multiplication

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$T_{\mathbb{N}}$ -satisfiability and $T_{\mathbb{N}}$ -validity are decidable. (Presburger 1929)

Signature:

$\Sigma_{\mathbb{Z}} : \{\dots, -2, -1, 0, 1, 2, \dots, -3\cdot, -2\cdot, 2\cdot, 3\cdot, \dots, +, -, =, >\}$

where

- $\dots, -2, -1, 0, 1, 2, \dots$ are constants
- $\dots, -3\cdot, -2\cdot, 2\cdot, 3\cdot, \dots$ are unary functions
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Relation between $T_{\mathbb{Z}}$ and $T_{\mathbb{N}}$

$T_{\mathbb{Z}}$ and $T_{\mathbb{N}}$ have the same expressiveness:

- For every $\Sigma_{\mathbb{Z}}$ -formula there is an equisatisfiable $\Sigma_{\mathbb{N}}$ -formula.
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$\Sigma_{\mathbb{Z}}$ -formula F and $\Sigma_{\mathbb{N}}$ -formula G are **equisatisfiable** iff:

F is $T_{\mathbb{Z}}$ -satisfiable iff G is $T_{\mathbb{N}}$ -satisfiable

Example: $\Sigma_{\mathbb{Z}}$ -formula to $\Sigma_{\mathbb{N}}$ -formula

Consider the $\Sigma_{\mathbb{Z}}$ -formula

$$F_0 : \forall w, x. \exists y, z. x + 2y - z - 7 > -3w + 4$$

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Introduce two variables, v_p and v_n (range over the nonnegative integers) for each variable v (range over the integers) of F_0

$$F_1 : \forall w_p, w_n, x_p, x_n. \exists y_p, y_n, z_p, z_n. \\ (x_p - x_n) + 2(y_p - y_n) - (z_p - z_n) - 7 > -3(w_p - w_n) + 4$$

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Eliminate $-$ by moving to the other side of $>$

$$F_2 : \forall w_p, w_n, x_p, x_n. \exists y_p, y_n, z_p, z_n. \\ x_p + 2y_p + z_n + 3w_p > x_n + 2y_n + z_p + 7 + 3w_n + 4$$

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Eliminate $>$ and numbers:

$$F_3 : \forall w_p, w_n, x_p, x_n. \exists y_p, y_n, z_p, z_n. \exists u. \\ \neg(u = 0) \wedge x_p + y_p + y_p + z_n + w_p + w_p + w_p \\ = x_n + y_n + y_n + z_p + w_n + w_n + w_n + u \\ + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1$$

which is a $\Sigma_{\mathbb{N}}$ -formula equisatisfiable to F_0 .

Example: The $\Sigma_{\mathbb{N}}$ -formula

$$\forall x. \exists y. x = y + 1$$

is equisatisfiable to the $\Sigma_{\mathbb{Z}}$ -formula:

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To decide $T_{\mathbb{Z}}$ -validity for a $\Sigma_{\mathbb{Z}}$ -formula F :

- transform $\neg F$ to an equisatisfiable $\Sigma_{\mathbb{N}}$ -formula $\neg G$,
- decide $T_{\mathbb{N}}$ -validity of G .

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Note: Strict inequality

$$\forall x, y. \exists z. x + y > z$$

can be expressed as

$$\forall x, y. \exists z. \neg(x + y = z) \wedge x + y \geq z$$

Theory of Reals $T_{\mathbb{R}}$

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| ⑨ $\forall x, y, z. x \cdot (y + z) = x \cdot y + x \cdot z$ | (distributivity) |

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| 11 | $\forall x, y. x \geq y \wedge y \geq x \rightarrow x = y$ | (antisymmetry) |
| 12 | $\forall x, y, z. x \geq y \wedge y \geq z \rightarrow x \geq z$ | (transitivity) |
| 13 | $\forall x, y. x \geq y \vee y \geq x$ | (totality) |

Signature: $\Sigma_{\mathbb{R}} : \{0, 1, +, -, \cdot, =, \geq\}$ with multiplication.

Axioms of $T_{\mathbb{R}}$: axioms of T_E ,

- | | | |
|----|---|--------------------------|
| 1 | $\forall x, y, z. (x + y) + z = x + (y + z)$ | (+ associativity) |
| 2 | $\forall x, y. x + y = y + x$ | (+ commutativity) |
| 3 | $\forall x. x + 0 = x$ | (+ identity) |
| 4 | $\forall x. x + (-x) = 0$ | (+ inverse) |
| 5 | $\forall x, y, z. (x \cdot y) \cdot z = x \cdot (y \cdot z)$ | (\cdot associativity) |
| 6 | $\forall x, y. x \cdot y = y \cdot x$ | (\cdot commutativity) |
| 7 | $\forall x. x \cdot 1 = x$ | (\cdot identity) |
| 8 | $\forall x. x \neq 0 \rightarrow \exists y. x \cdot y = 1$ | (\cdot inverse) |
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| 14 | $\forall x, y, z. x \geq y \rightarrow x + z \geq y + z$ | (+ ordered) |
| 15 | $\forall x, y. x \geq 0 \wedge y \geq 0 \rightarrow x \cdot y \geq 0$ | (\cdot ordered) |

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| ⑮ $\forall x, y. x \geq 0 \wedge y \geq 0 \rightarrow x \cdot y \geq 0$ | (\cdot ordered) |
| ⑯ $\forall x. \exists y. x = y \cdot y \vee x = -y \cdot y$ | (square root) |
| ⑰ for each odd integer n ,
$\forall x_0, \dots, x_{n-1}. \exists y. y^n + x_{n-1}y^{n-1} \dots + x_1y + x_0 = 0$ | (at least one root) |

Example

$F: \forall a, b, c. b^2 - 4ac \geq 0 \leftrightarrow \exists x. ax^2 + bx + c = 0$ is $T_{\mathbb{R}}$ -valid.

As usual: x^2 abbreviates $x \cdot x$, we omit \cdot , e.g. in $4ac$,

4 abbreviate $1 + 1 + 1 + 1$ and $a - b$ abbreviates $a + (-b)$.

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- | | | |
|------|--|--------------------------------------|
| 1. | $I \not\models F$ | assumption |
| 2. | $I \models \exists y. bb - 4ac = y^2 \vee bb - 4ac = -y^2$ | square root, \forall |
| 3. | $I \models d^2 = bb - 4ac \vee d^2 = -(bb - 4ac)$ | 2, \exists |
| 4. | $I \models d \geq 0 \vee 0 \geq d$ | \geq total |
| 5. | $I \models d^2 \geq 0$ | 4, case distinction, \cdot ordered |
| 6. | $I \models 2a \cdot e = 1$ | \cdot inverse, \forall, \exists |
| 7a. | $I \models bb - 4ac \geq 0$ | 1, \leftrightarrow |
| 8a. | $I \not\models \exists x. axx + bx + c = 0$ | 1, \leftrightarrow |
| 9a. | $I \not\models a((-b + d)e)^2 + b(-b + d)e + c = 0$ | 8a, \exists |
| 10a. | $I \not\models ab^2e^2 - 2abde^2 + ad^2e^2 - b^2e + bde + c = 0$ | distributivity |
| 11a. | $I \models dd = bb - 4ac$ | 3, 5, 7a |
| 12a. | $I \not\models ab^2e^2 - bde + a(b^2 - 4ac)e^2 - b^2e + bde + c = 0$ | 6, 11a, congruence |
| 13a. | $I \not\models 0 = 0$ | 3, distributivity, inverse |
| 14a. | $I \models \perp$ | 13a, reflexivity |

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2.	$I \models \exists y. bb - 4ac = y^2 \vee bb - 4ac = -y^2$	square root, \forall
3.	$I \models d^2 = bb - 4ac \vee d^2 = -(bb - 4ac)$	2, \exists
4.	$I \models d \geq 0 \vee 0 \geq d$	\geq total
5.	$I \models d^2 \geq 0$	4, case distinction, \cdot ordered
6.	$I \models 2a \cdot e = 1$	\cdot inverse, \forall, \exists
7b.	$I \not\models bb - 4ac \geq 0$	1, \leftrightarrow
8b.	$I \models \exists x. axx + bx + c = 0$	1, \leftrightarrow
9b.	$I \models aff + bf + c = 0$	8b, \exists
10b.	$I \models (2af + b)^2 = bb - 4ac$	field axioms, T_E
11b.	$I \models (2af + b)^2 \geq 0$	analogous to 5
12b.	$I \models bb - 4ac \geq 0$	10b, 11b, equivalence
13b.	$I \models \perp$	12b, 7b

$T_{\mathbb{R}}$ is decidable (Tarski, 1930)

High time complexity

$T_{\mathbb{R}}$ is decidable (Tarski, 1930)
High time complexity: $O(2^{2^{kn}})$

Signature: $\Sigma_{\mathbb{Q}} : \{0, 1, +, -, =, \geq\}$ no multiplication!

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- 5 $1 \geq 0 \wedge 1 \neq 0$ (one)

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- 8 $\forall x, y. x \geq y \vee y \geq x$ (totality)
- 9 $\forall x, y, z. x \geq y \rightarrow x + z \geq y + z$ (+ ordered)
- 10 For every positive integer n :
 $\forall x. \exists y. x = \underbrace{y + \dots + y}_n$ (divisible)

Rational coefficients are simple to express in $T_{\mathbb{Q}}$

Example: Rewrite

$$\frac{1}{2}x + \frac{2}{3}y \geq 4$$

as the $\Sigma_{\mathbb{Q}}$ -formula

$$x + x + x + y + y + y + y \geq \underbrace{1 + 1 + \dots + 1}_{24}$$

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$T_{\mathbb{Q}}$ is decidable

Efficient algorithm for quantifier free fragment

- Data Structures are tuples of variables.
Like `struct` in C, `record` in Pascal.
- Recursive Data Structures one of the tuple element can be the data structure again.
Linked lists or trees.

$$\Sigma_{\text{cons}} : \{\text{cons}, \text{car}, \text{cdr}, \text{atom}, =\}$$

where

$\text{cons}(a, b)$ – list constructed by adding a in front of list b

$\text{car}(x)$ – left projector of x : $\text{car}(\text{cons}(a, b)) = a$

$\text{cdr}(x)$ – right projector of x : $\text{cdr}(\text{cons}(a, b)) = b$

$\text{atom}(x)$ – true iff x is a single-element list

Axioms: The axioms of A_{T_E} plus

- $\forall x, y. \text{car}(\text{cons}(x, y)) = x$ (left projection)
- $\forall x, y. \text{cdr}(\text{cons}(x, y)) = y$ (right projection)
- $\forall x. \neg \text{atom}(x) \rightarrow \text{cons}(\text{car}(x), \text{cdr}(x)) = x$ (construction)
- $\forall x, y. \neg \text{atom}(\text{cons}(x, y))$ (atom)

- ① The axioms of **reflexivity**, **symmetry**, and **transitivity** of =
- ② **Congruence** axioms

$$\forall x_1, x_2, y_1, y_2. x_1 = x_2 \wedge y_1 = y_2 \rightarrow \text{cons}(x_1, y_1) = \text{cons}(x_2, y_2)$$

$$\forall x, y. x = y \rightarrow \text{car}(x) = \text{car}(y)$$

$$\forall x, y. x = y \rightarrow \text{cdr}(x) = \text{cdr}(y)$$

- ③ **Equivalence** axiom

$$\forall x, y. x = y \rightarrow (\text{atom}(x) \leftrightarrow \text{atom}(y))$$

- ④ $\forall x, y. \text{car}(\text{cons}(x, y)) = x$ (left projection)
- ⑤ $\forall x, y. \text{cdr}(\text{cons}(x, y)) = y$ (right projection)
- ⑥ $\forall x. \neg \text{atom}(x) \rightarrow \text{cons}(\text{car}(x), \text{cdr}(x)) = x$ (construction)
- ⑦ $\forall x, y. \neg \text{atom}(\text{cons}(x, y))$ (atom)

T_{cons} is undecidable

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Quantifier-free fragment of T_{cons} is efficiently decidable

Example: T_{CONS} -Validity

We argue that the following Σ_{CONS} -formula F is T_{CONS} -valid:

$$F : \quad \text{car}(a) = \text{car}(b) \wedge \text{cdr}(a) = \text{cdr}(b) \wedge \neg \text{atom}(a) \wedge \neg \text{atom}(b) \\ \rightarrow a = b$$

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1. $I \not\models F$ assumption
2. $I \models \text{car}(a) = \text{car}(b)$ 1, \rightarrow , \wedge
3. $I \models \text{cdr}(a) = \text{cdr}(b)$ 1, \rightarrow , \wedge
4. $I \models \neg \text{atom}(a)$ 1, \rightarrow , \wedge
5. $I \models \neg \text{atom}(b)$ 1, \rightarrow , \wedge
6. $I \not\models a = b$ 1, \rightarrow
7. $I \models \text{cons}(\text{car}(a), \text{cdr}(a)) = \text{cons}(\text{car}(b), \text{cdr}(b))$
2, 3, (congruence)
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10. $I \models a = b$ 7, 8, 9, (transitivity)

Lines 6 and 10 are contradictory. Therefore, F is T_{CONS} -valid.

Signature: $\Sigma_A : \{ \cdot[\cdot], \cdot\langle \cdot \triangleleft \cdot \rangle, = \}$,

where

- $a[i]$ binary function –
read array a at index i (“read(a,i)”)
- $a\langle i \triangleleft v \rangle$ ternary function –
write value v to index i of array a (“write(a,i,e)”)

Axioms

- 1 the axioms of (reflexivity), (symmetry), and (transitivity) of T_E
- 2 $\forall a, i, j. i = j \rightarrow a[i] = a[j]$ (array congruence)
- 3 $\forall a, v, i, j. i = j \rightarrow a\langle i \triangleleft v \rangle[j] = v$ (read-over-write 1)
- 4 $\forall a, v, i, j. i \neq j \rightarrow a\langle i \triangleleft v \rangle[j] = a[j]$ (read-over-write 2)

Note: $=$ is only defined for array elements

$$a[i] = e \rightarrow a\langle i \triangleleft e \rangle = a$$

not T_A -valid, but

$$a[i] = e \rightarrow \forall j. a\langle i \triangleleft e \rangle[j] = a[j] ,$$

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Also

$$a = b \rightarrow a[i] = b[i]$$

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T_A is undecidable

Quantifier-free fragment of T_A is decidable

Signature and axioms of $T_A^=$ are the same as T_A , with one additional axiom

$$\forall a, b. (\forall i. a[i] = b[i]) \leftrightarrow a = b \quad (\text{extensionality})$$

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How do we show that

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Or how do we prove properties about
an array of integers, or
a list of reals ... ?

Given theories T_1 and T_2 such that

$$\Sigma_1 \cap \Sigma_2 = \{=\}$$

The **combined theory** $T_1 \cup T_2$ has

- signature $\Sigma_1 \cup \Sigma_2$
- axioms $A_1 \cup A_2$

qff = quantifier-free fragment

Nelson & Oppen showed that

if satisfiability of qff of T_1 is decidable,
satisfiability of qff of T_2 is decidable, and
certain technical requirements are met
then satisfiability of qff of $T_1 \cup T_2$ is decidable.

$$T_{\text{cons}}^= : T_E \cup T_{\text{cons}}$$

Signature: $\Sigma_E \cup \Sigma_{\text{cons}}$

(this includes uninterpreted constants, functions, and predicates)

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$$F : \quad \text{car}(a) = \text{car}(b) \wedge \text{cdr}(a) = \text{cdr}(b) \wedge \neg \text{atom}(a) \wedge \neg \text{atom}(b) \\ \rightarrow f(a) = f(b)$$

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4. $I \models \neg \text{atom}(a)$ 1, \rightarrow , \wedge
5. $I \models \neg \text{atom}(b)$ 1, \rightarrow , \wedge
6. $I \not\models f(a) = f(b)$ 1, \rightarrow
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8. $I \models \text{cons}(\text{car}(a), \text{cdr}(a)) = a$ 4, (construction)
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10. $I \models a = b$ 7, 8, 9, (transitivity)
11. $I \models f(a) = f(b)$ 10, (congruence)

Lines 6 and 11 are contradictory. Therefore, F is $T_{\text{cons}}^=$ -valid.

	Theory	Decidable	QFF Dec.
T_E	Equality	—	✓
T_{PA}	Peano Arithmetic	—	—
$T_{\mathbb{N}}$	Presburger Arithmetic	✓	✓
$T_{\mathbb{Z}}$	Linear Integer Arithmetic	✓	✓
$T_{\mathbb{R}}$	Real Arithmetic	✓	✓
$T_{\mathbb{Q}}$	Linear Rationals	✓	✓
T_{cons}	Lists	—	✓
$T_{\text{cons}}^=$	Lists with Equality	—	✓
T_A	Arrays	—	✓
$T_A^=$	Arrays with Extensionality	—	✓