

# Foundations of Programming Languages and Software Engineering

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- Abstract Data Types

# Abstract Data Types

- We have learned about different datastructures, e.g. for dictionaries:
  - Search trees
  - Lists
  - Tables with hashing
- Implementations of these concepts may have different characteristics:
  - Memory usage
  - Efficiency
- Implementations should be exchangeable
- Abstract over the concepts, use ADTs!
  - Functional specification
  - Implementation independent
  - Different implementations of a single ADT are possible

# ADTs are Special Signatures

## Definition

Let  $\Sigma$  be a signature.

- A  $\Sigma$ -identity is a pair  $(s, t) \in T(\Sigma, X) \times T(\Sigma, X)$ .  
We write a  $\Sigma$ -identity as  $s \approx t$  for emphasis.
- An ADT is a pair  $(\Sigma, \mathcal{E})$  where
  - $\Sigma$  is a signature,
  - $\mathcal{E} \subseteq T(\Sigma, X) \times T(\Sigma, X)$  is a set of  $\Sigma$ -identities.

## An ADT for natural numbers

$$\Sigma_{nat} = \{\text{zero}^{(0)}, \text{succ}^{(1)}\}$$

$$\mathcal{E}_{nat} = \emptyset$$

# Examples

## An ADT for natural numbers

$$\Sigma_{nat} = \{\text{zero}^{(0)}, \text{succ}^{(1)}\}$$

$$\mathcal{E}_{nat} = \emptyset$$

## An ADT for integers

$$\Sigma_{int} = \{\text{zero}^{(0)}, \text{pred}^{(1)}, \text{succ}^{(1)}\}$$

$$\mathcal{E}_{int} = \{\text{pred}(\text{succ}(x)) \approx x, \\ \text{succ}(\text{pred}(x)) \approx x\}$$

## Definition

- An identity  $s \approx t$  is **valid** in a  $\Sigma$ -algebra  $\mathcal{A} = (A, \mathcal{J})$  iff  $\mathcal{J}_\alpha(s) = \mathcal{J}_\alpha(t)$  for all variable assignments  $\alpha : X \rightarrow A$ .

# Datatypes are $\Sigma$ -Algebras

## Definition

- An identity  $s \approx t$  is **valid** in a  $\Sigma$ -algebra  $\mathcal{A} = (A, \mathcal{J})$  iff  $\mathcal{J}_\alpha(s) = \mathcal{J}_\alpha(t)$  for all variable assignments  $\alpha : X \rightarrow A$ .
  - A **datatype** is a  $\Sigma$ -algebra  $\mathcal{D}$ .
  - A datatype  $\mathcal{D}$  **implements** the ADT  $(\Sigma, \mathcal{E})$  iff every identity  $s \approx t \in \mathcal{E}$  is valid in  $\mathcal{D}$ .
- (**Note:** We shall refine this definition later.)

# Implementations of the ADT for Naturals

## Implementation 1

- $\mathcal{Dnat}' = (\mathbb{N}, \mathcal{J}')$ ,  $\mathcal{J}'(\text{zero}) = 0$ ,  $\mathcal{J}'(\text{succ})(x) = x + 1$   
(note that  $x$  is meta-notation!).
- No identities, so all are valid.
- The function  $\mathcal{J}'$  is bijective.



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(note that  $x$  is meta-notation!).
- No identities, so all are valid.
- The function  $\mathcal{J}'$  is bijective.

## Implementation 2

- $Dnat'' = (\{0, 1, 2, 3\}, \mathcal{J}'')$ ,  $\mathcal{J}''(\text{zero}) = 0$ ,  
 $\mathcal{J}''(\text{succ})(x) = (x + 1) \bmod 4$ .
- No identities, so all are valid.
- The function  $\mathcal{J}''$  is not injective (but surjective).  
 $\mathcal{J}''(\text{zero}) = 0 = \mathcal{J}''(\text{succ}(\text{succ}(\text{succ}(\text{succ}(\text{zero}))))))$

## Implementation 1

- $\mathit{Dint}' = (\mathbb{Z}, \mathcal{J}')$ ,  $\mathcal{J}'(\mathit{zero})() = 0$   
 $\mathcal{J}'(\mathit{succ})(x) = x + 1$   
 $\mathcal{J}'(\mathit{pred})(x) = x - 1$
- For arbitrary  $\alpha : \{x\} \rightarrow \mathbb{Z}$  we have  
 $\mathcal{J}'_{\alpha}(\mathit{pred}(\mathit{succ}(x))) = (\alpha(x) + 1) - 1 = \mathcal{J}'_{\alpha}(x)$   
 $\mathcal{J}'_{\alpha}(\mathit{succ}(\mathit{pred}(x))) = (\alpha(x) - 1) + 1 = \mathcal{J}'_{\alpha}(x)$
- $\mathcal{J}'$  is surjective but not injective. Consider  
 $\mathcal{J}'(\mathit{zero}) = 0 = \mathcal{J}'(\mathit{succ}(\mathit{pred}(\mathit{zero})))$ .

## Implementation 2

- $Dint'' = (\{0, 1, 2, 3\}, \mathcal{J}'')$   
 $\mathcal{J}''(\text{zero})() = 0$   
 $\mathcal{J}''(\text{succ})(x) = x + 1 \pmod{4}$   
 $\mathcal{J}''(\text{pred})(x) = x - 1 \pmod{4}$
- For arbitrary  $\alpha : \{x\} \rightarrow \mathbb{Z}$  we have  
 $\mathcal{J}_\alpha''(\text{pred}(\text{succ}(x))) = \mathcal{J}_\alpha''(x)$   
 $\mathcal{J}_\alpha''(\text{succ}(\text{pred}(x))) = \mathcal{J}_\alpha''(x)$
- $\mathcal{J}''$  is surjective but not injective.

## A Non-implementation

- $Dint''' = (\mathbb{N}, \mathcal{J}''')$

$$\mathcal{J}'''(\text{zero})() = 0$$

$$\mathcal{J}'''(\text{succ})(x) = x + 1$$

$$\mathcal{J}'''(\text{pred})(x) = \begin{cases} x - 1 & x > 0 \\ 0 & x = 0 \end{cases}$$

- Not an implementation:

For  $\alpha : X \rightarrow \mathbb{N}$  with  $\alpha(x) = 0$  we have

$$\mathcal{J}_\alpha(\text{succ}(\text{pred}(x))) = 1 \neq 0 = \mathcal{J}_\alpha(x)$$

# Fixing the Problems

- Want to rule out implementations such as  $\mathcal{Dnat}'$  and  $\mathcal{Dint}'$
- Definition of “implementation” is too weak
- Needed: restriction on function  $\mathcal{J}$ 
  - $\mathcal{J}$  is not necessarily injective (see  $\mathcal{Dint}'$ )
  - Idea:  $\mathcal{J}$  must be injective on the equivalence classes induced by the identities of an ADT.  
In other words:  $\mathcal{J}$  should make those terms equal that are equal according to the identities of the ADT, but not more!

# Equivalence Classes

## Definition

Suppose  $R$  is an equivalence relation on some set  $M$ .

- The set  $[x]_R := \{y \in M \mid x R y\}$  is called the **equivalence class** of  $x$ .
- $y \in [x]_R$  is called a **representative** of  $[x]_R$ .
- The **quotient of  $M$  with respect to  $R$**  is the set of equivalence classes induced by  $R$ , written  $M/R := \{[x]_R \mid x \in M\}$ .

Note: For equivalence classes  $[x]_R$  and  $[y]_R$  we have either  $[x]_R = [y]_R$  or  $[x]_R \cap [y]_R = \emptyset$ .

# Congruence Relations

## Definition

Suppose  $\Sigma$  is a signature and let  $R$  be an equivalence relation on  $T(\Sigma, X)$ .

- $R$  is a **congruence relation** iff  $R$  is closed under  $\Sigma$ -operations, i.e.  $t_i R t'_i$  implies  $f(t_1, \dots, t_i, \dots, t_n) R f(t_1, \dots, t'_i, \dots, t_n)$  for any  $n \geq 0$ ,  $f \in \Sigma^{(n)}$ , and  $t_1, \dots, t_n, t'_i \in T(\Sigma, X)$ .

# Syntactic Quotient Algebras

## Definition

Let  $\Sigma$  be a signature and  $R$  be a congruence on  $T(\Sigma, X)$ . For all  $n \geq 0$ ,  $f \in \Sigma^{(n)}$ , and  $t_1, \dots, t_n \in T(\Sigma, X)$ , define  $\mathcal{J}^R$  as follows:

$$\mathcal{J}^R(f)([t_1]_R, \dots, [t_n]_R) = [f(t_1, \dots, t_n)]_R$$

## Note

- $(T(\Sigma, X)/_R, \mathcal{J}^R)$  is a  $\Sigma$ -algebra. Its carrier elements are sets of terms.
- The representatives are arbitrary: Let  $n \geq 0$ ,  $f \in \Sigma^{(n)}$ , and  $s_1, t_1, \dots, s_n, t_n \in T(\Sigma, X)$ . If  $s_1 R t_1, \dots, s_n R t_n$  then  $f(s_1, \dots, s_n) R f(t_1, \dots, t_n)$ . Hence,  $[f(s_1, \dots, s_n)]_R = [f(t_1, \dots, t_n)]_R$ , as  $R$  is a congruence.



## Definition

Let  $(\Sigma, \mathcal{E})$  be an ADT. We define a relation  $\approx_{\mathcal{E}}$  on  $T(\Sigma, X)$  as the smallest relation such that

- $\approx_{\mathcal{E}}$  is a congruence relation;
- $\approx_{\mathcal{E}}$  contains  $\mathcal{E}$ , i.e.  $s \approx t \in \mathcal{E}$  implies  $s \approx_{\mathcal{E}} t$ ;
- $\approx_{\mathcal{E}}$  is closed under substitutions, i.e.  $s \approx_{\mathcal{E}} t$  implies  $\sigma(s) \approx_{\mathcal{E}} \sigma(t)$  for any substitution  $\sigma$  and all  $s, t \in T(\Sigma, X)$ .

# Example

## Congruence classes of $\approx_{\mathcal{E}_{int}}$

$$\Sigma_{int} = \{\text{zero}^{(0)}, \text{pred}^{(1)}, \text{succ}^{(1)}\}$$

$$\mathcal{E}_{int} = \{\text{pred}(\text{succ}(x)) \approx x, \\ \text{succ}(\text{pred}(x)) \approx x\}$$

$$[\text{zero}]_{\approx_{\mathcal{E}_{int}}} = \{\text{zero}, \\ \text{succ}(\text{pred}(\text{zero})), \\ \text{pred}(\text{succ}(\text{zero})), \\ \text{succ}(\text{succ}(\text{pred}(\text{pred}(\text{zero}))))), \dots\}$$

# Revised Definition for ADT Implementations

## Definition

A datatype  $\mathcal{D} = (M, \mathcal{J})$  implements ADT  $(\Sigma, \mathcal{E})$  with **constructors**  $\Gamma \subseteq \Sigma$  if

- $(M, \mathcal{J})$  is a  $\Sigma$ -algebra (as before)
- All identities from  $\mathcal{E}$  are valid in  $M$  (as before)
- For all  $s, t \in T(\Gamma, \emptyset)$ :  $s \approx_{\mathcal{E}} t$  iff  $\mathcal{J}(s) = \mathcal{J}(t)$  (**new!**)

## Nat as a constructor-based ADT (CADT)

CADT:  $\Sigma = \{\text{zero}, \text{succ}\}$ ,  $\mathcal{E} = \{\}$ ,  $\Gamma = \Sigma$

Implementation:  $(\mathbb{N}, \mathcal{J}_1)$  with  $\mathcal{J}_1(\text{zero})() = 0$  and  
 $\mathcal{J}_1(\text{succ})(x) = x + 1$

- $(\mathbb{N}, \mathcal{J}_1)$  is  $\Sigma$ -algebra
- No identities to check
- Since  $\mathcal{E} = \emptyset$ ,  $\approx_{\mathcal{E}}$  is  $=$ . Suppose  $s, t \in T(\Gamma, \emptyset)$ .
  - If  $s = t$  then  $\mathcal{J}_1(s) = \mathcal{J}_1(t)$
  - Suppose  $s \neq t$ . Then  $s = \text{succ}^n(t)$  with  $n > 0$ . Hence,  
 $\mathcal{J}_1(s) = \mathcal{J}_1(t) + n \neq \mathcal{J}_1(t)$ .

# Example

## *Dint''* is not an implementation of the natural numbers

CADT:  $\Sigma = \{\text{zero}, \text{succ}\}$ ,  $\mathcal{E} = \{\}$ ,  $\Gamma = \Sigma$   
 $(\{0, 1, 2, 3\}, \mathcal{J}'')$  with  $\mathcal{J}''(\text{zero})() = 0$ ,  
 $\mathcal{J}''(\text{succ})(x) = (x + 1) \bmod 4$  is **not** an implementation.

- $(\{0, 1, 2, 3\}, \mathcal{J}'')$  is  $\Sigma$ -algebra
- No identities to check
- Since  $\mathcal{E} = \emptyset$ ,  $\approx_{\mathcal{E}}$  is  $=$ .

We have  $\text{zero} \neq \text{succ}^4(\text{zero})$  but  
 $\mathcal{J}''(\text{zero}) = 0 = \mathcal{J}''(\text{succ}^4(\text{zero}))$ .

## Alternative implementation of the natural numbers

CADT:  $\Sigma = \{\text{zero}, \text{succ}\}$ ,  $\mathcal{E} = \{\}$ ,  $\Gamma = \Sigma$

Implementation:  $(\{a\}^*, \mathcal{J}''')$  with  $\mathcal{J}'''(\text{zero})() = \epsilon$ ,  
 $\mathcal{J}'''(\text{succ})(w) = aw$

- $(\{a\}^*, \mathcal{J}''')$  is  $\Sigma$ -algebra
- No identities to check
- Since  $\mathcal{E} = \emptyset$ ,  $\approx_{\mathcal{E}}$  is =.
  - If  $s = t$  then  $\mathcal{J}'''(s) = \mathcal{J}'''(t)$
  - Suppose  $s \neq t$ . Then  $s = \text{succ}^n(t)$  with  $n > 0$ . Hence,  
 $\mathcal{J}'''(s) = \mathcal{J}'''(t) \underbrace{a \dots a}_n \neq \mathcal{J}'''(t)$ .

## Theorem

Let  $(\Sigma, \mathcal{E})$  be an ADT with constructors  $\Gamma \subseteq \Sigma$ . Then  $\mathcal{D} = (T(\Sigma, \emptyset) / \approx_{\mathcal{E}}, \mathcal{J}^{\approx_{\mathcal{E}}})$  is an implementation of  $(\Sigma, \mathcal{E})$ .

*Proof.* Omitted

# Equivalence Classes for Terms Representing Integers

Suppose  $\Gamma = \Sigma = \{\text{zero}, \text{succ}, \text{pred}\}$ ,  
 $\mathcal{E} = \{\text{succ}(\text{pred}(x)) = x, \text{pred}(\text{succ}(x)) = x\}$

**Question:** What is  $T(\Sigma, \emptyset) / \approx_{\mathcal{E}}$ ?

**Answer:** Give a representative for every equivalence class.

## Lemma

For every term  $t \in T(\Sigma, \emptyset)$ , exactly one of the following propositions holds

- A There exists  $n > 0$  such that  $t \in [\text{succ}^n(\text{zero})]_{\approx_{\mathcal{E}}}$ .
- B  $t \in [\text{zero}]_{\approx_{\mathcal{E}}}$ .
- C There exists  $n > 0$  such that  $t \in [\text{pred}^n(\text{zero})]_{\approx_{\mathcal{E}}}$ .



The proof is by term induction over  $t$ .

- Base case:  $t = \text{zero}$ . Then **B** holds.

# Proof (cont.)

- Induction Step for  $t = \text{succ}(t')$ . By the IH, one of the following holds for  $t'$ :

**A**  $t' \approx_{\mathcal{E}} \text{succ}^{(n)}(\text{zero})$  for  $n > 0$ : then  
 $\text{succ}(t') \approx_{\mathcal{E}} \text{succ}(\text{succ}^{(n)}(\text{zero})) = \text{succ}^{(n+1)}(\text{zero})$ .

Since  $n + 1 > 0$  we have case **A**.

**B**  $t' \approx_{\mathcal{E}} \text{zero}$ : then  $\text{succ}(t') \approx_{\mathcal{E}} \text{succ}(\text{zero})$ . We have case **A** with  $n = 1$ .

**C**  $t' \approx_{\mathcal{E}} \text{pred}^{(n)}(\text{zero})$  for  $n > 0$ : Then  
 $\text{succ}(t') \approx_{\mathcal{E}} \text{succ}(\text{pred}^{(n)}(\text{zero}))$ .

If  $n = 1$  then  $\text{succ}(\text{pred}(\text{zero})) \approx_{\mathcal{E}} \text{zero}$  so case **B** holds.

If  $n > 1$  then

$\text{succ}(\text{pred}(\text{pred}^{(n-1)}(\text{zero}))) \approx_{\mathcal{E}} \text{pred}^{(n-1)}(\text{zero})$ , so case **C** holds.

- Induction step for  $\text{pred}(t)$  analogous.

# Equivalence Classes for Terms Representing Integers

## Lemma

Suppose  $n > 0, m > 0$ . Then we have

- $\text{zero} \not\approx_{\mathcal{E}} \text{succ}^n(\text{zero})$ ,
- $\text{zero} \not\approx_{\mathcal{E}} \text{pred}^n(\text{zero})$ ,
- $\text{succ}^n(\text{zero}) \not\approx_{\mathcal{E}} \text{pred}^m(\text{zero})$ ,
- $\text{succ}^n(\text{zero}) \not\approx_{\mathcal{E}} \text{succ}^m(\text{zero})$  provided  $n \neq m$ , and
- $\text{pred}^n(\text{zero}) \not\approx_{\mathcal{E}} \text{pred}^m(\text{zero})$  provided  $n \neq m$ .

It follows that

$$\{\text{succ}^n(\text{zero}) \mid n > 0\} \cup \{\text{zero}\} \cup \{\text{pred}^n(\text{zero}) \mid n > 0\}$$

is a set of representatives for  $T(\Sigma, \emptyset) / \approx_{\mathcal{E}}$ .

# Example

## Integers as a CADT

CADT:  $\Gamma = \Sigma = \{\text{zero}, \text{succ}, \text{pred}\}$ ,

$\mathcal{E} = \{\text{succ}(\text{pred}(x)) = x, \text{pred}(\text{succ}(x)) = x\}$

Implementation:  $(\mathbb{Z}, \mathcal{J})$  with

$\mathcal{J}(\text{zero}) = 0, \mathcal{J}(\text{succ})(x) = x + 1, \mathcal{J}(\text{pred})(x) = x - 1$

- $(\mathbb{Z}, \mathcal{J})$  is a  $\Sigma$ -algebra
- All identities are valid (as seen before)
- An easy term induction shows for all  $t \in T(\Sigma, \emptyset)$  that
  - if  $t \approx_{\mathcal{E}} \text{zero}$  then  $\mathcal{J}(t) = 0$ ,
  - if  $t \approx_{\mathcal{E}} \text{succ}^n(\text{zero})$  then  $\mathcal{J}(t) = n$ , and
  - if  $t \approx_{\mathcal{E}} \text{pred}^n(\text{zero})$  then  $\mathcal{J}(t) = -n$ .

Hence, if  $s \approx_{\mathcal{E}} t$  then  $\mathcal{J}(s) = \mathcal{J}(t)$ .

Conversely, if  $s \not\approx_{\mathcal{E}} t$  then  $\mathcal{J}(s) \neq \mathcal{J}(t)$  because  $\mathcal{J}$  maps different representatives to different integers.

## Slogan

Calculating with ADT =  
applying term operations +  
determining set of representatives.

## Definition (Linear Data Structure)

An ADT is called a **linear data structure (LDS)** iff there is an implementation with simple lists.

- LDS are **aggregates**, i.e. one element contains several elements of another sort.
- Types are now parameterized.
  - Examples: `List(A)`, `Array(A)`
  - `A` is not a fixed type but rather a type parameter.
  - Compare with Java Generics: `List<A>`, `A[]`

## Definition (Signature for Lists)

<u>data type</u>	List( $A$ )
<u>operations</u>	empty : $\rightarrow$ List( $A$ )
	cons : $A \times$ List( $A$ ) $\rightarrow$ List( $A$ )
	head : List( $A$ ) $\rightarrow$ $A$
	tail : List( $A$ ) $\rightarrow$ List( $A$ )
	empty? : List( $A$ ) $\rightarrow$ Boolean
	app : List( $A$ ) $\times$ List( $A$ ) $\rightarrow$ List( $A$ )
	len : List( $A$ ) $\rightarrow$ Nat

- Definition parameterized over  $A$
- Constructors: empty, cons
- Definition uses more than one type: More general notion of signature and arity needed



## Definition (Identities for Lists)

identities     $\text{head}(\text{cons}(a, l)) = a$   
                   $\text{tail}(\text{cons}(a, l)) = l$   
                   $\text{empty?}(\text{empty}) = \text{true}$   
                   $\text{empty?}(\text{cons}(a, l)) = \text{false}$   
                   $\text{app}(\text{empty}, v) = v$   
                   $\text{app}(\text{cons}(a, l), v) = \text{cons}(a, \text{app}(l, v))$   
                   $\text{len}(\text{empty}) = \text{zero}$   
                   $\text{len}(\text{cons}(a, l)) = \text{succ}(\text{len}(l))$

# Heterogeneous Signatures

## Definition

Let  $S$  be a set of **sorts**. A **heterogeneous signature**  $\Sigma$  is a set of function symbols where each  $f \in \Sigma$  is associated with an **arity**  $s \rightarrow s'$  where  $s \in S^*$  and  $s' \in S$ .

## Examples

- Arity of `empty`:  $\epsilon \rightarrow \text{List}(A)$
- Arity of `cons`:  $(A, \text{List}(A)) \rightarrow \text{List}(A)$

Previous definitions need to be generalized as well:

- An algebra has different carrier sets for every sort.
- Terms must respect the sorts associated with a function symbol to rule out illegal terms such as `cons(1, 1)`.
- Generalize congruence relation

# Dealing with Partial Operations

- head and tail are partial operations:
  - $\text{head}(\text{empty}) = ??$
  - $\text{tail}(\text{empty}) = ??$
- One possible solution: Introduce a distinguished element  $\perp_s$  for every sort  $s$ 
  - $\text{head}(\text{empty}) = \perp_A$
  - $\text{tail}(\text{empty}) = \perp_{\text{List}(A)}$
- All operations are strict in  $\perp_s$ , i.e. if one argument is  $\perp_s$  the result is  $\perp_{s'}$ 
  - $\text{head}(\perp_{\text{List}(A)}) = \perp_A$
  - $\text{tail}(\perp_{\text{List}(A)}) = \perp_{\text{List}(A)}$
  - $\text{empty?}(\perp_{\text{List}(A)}) = \perp_{\text{Boolean}}$
  - $\text{len}(\perp_{\text{List}(A)}) = \perp_{\text{Nat}}$
  - ...

# Search for Representatives

## Proposition

Let  $t$  be a term of type  $\text{List}(A)$  without variables. Then one of the following holds:

- $t \approx_{\mathcal{E}} t'$  and  $t' \in T(\Gamma, \emptyset)$  where  $\Gamma = \{\text{empty}, \text{cons}\}$ .
- $t \approx_{\mathcal{E}} \perp_{\text{List}(A)}$

*Proof.* The proof is by induction on  $t$ .

- Case  $t = \text{empty} \in T(\Gamma, \emptyset)$ . Trivial.
- Case  $t = \text{cons}(a, s)$  with  $s \approx_{\mathcal{E}} s'$  and  $s' \in T(\Gamma, \emptyset)$ .  
Hence,  $\text{cons}(a, s) \approx_{\mathcal{E}} \text{cons}(a, s') \in T(\Gamma, \emptyset)$ .
- Case  $t = \text{head}(s)$  so  $t$  does not have type  $\text{List}(A)$ .
- Case  $t = \text{tail}(s)$  with  $s \approx_{\mathcal{E}} s'$  and  $s' \in T(\Gamma, \emptyset)$ .  
If  $s' = \text{empty}$  then  $t \approx_{\mathcal{E}} \perp_{\text{List}(A)}$ .  
If  $s' = \text{cons}(a, s'')$  then  $t \approx_{\mathcal{E}} s'' \in T(\Gamma, \emptyset)$ .

# Proof (cont.)

- Case  $t = \text{empty?}(s)$  but then  $t$  does not have type  $\text{List}(A)$ .
- Case  $t = \text{app}(s_1, s_2)$  with  $s_1 \approx_{\mathcal{E}} s'_1$  and  $s_2 \approx_{\mathcal{E}} s'_2$  and  $s'_1, s'_2 \in T(\Gamma, \emptyset)$ .
  - If  $s'_1 = \text{empty}$  then  $t \approx_{\mathcal{E}} \text{app}(\text{empty}, s_2) \approx_{\mathcal{E}} s_2 \approx_{\mathcal{E}} s'_2$ .
  - If  $s'_1 = \text{cons}(a, s''_1)$  then
$$t = \text{app}(s_1, s_2) \approx_{\mathcal{E}} \text{app}(\text{cons}(a, s''_1), s_2)$$
$$\approx_{\mathcal{E}} \text{cons}(a, \text{app}(s''_1, s_2))$$
By the IH, we have  $\text{app}(s''_1, s_2) \approx_{\mathcal{E}} s'$  with  $s' \in T(\Gamma, \emptyset)$ .
- Case  $t = \text{len}(s)$  but then  $t$  does not have type  $\text{List}(A)$ .

# Another Example

## Sequences

- Also known as **Array** or **Vector**
- Parameterized over type of elements
- Fixed number of elements
- Direct access to elements (constant time)

## Definition (Signature for Arrays)

<u>data type</u>	$\text{Array}(A)$
<u>operations</u>	$\text{new} : \text{Nat} \times A \rightarrow \text{Array}(A)$
	$\text{update} : \text{Array}(A) \times \text{Nat} \times A \rightarrow \text{Array}(A)$
	$\text{get} : \text{Array}(A) \times \text{Nat} \rightarrow A$
	$\text{len} : \text{Array}(A) \rightarrow \text{Nat}$

- Functional arrays
- In imperative languages: `update` operation changes the array

# Arrays (cont.)

## Definition (Identities for Arrays)

- identities
- (i.1)  $\text{get}(\text{new}(n, x), i) = x$  **if**  $i < n$
  - (i.2)  $\text{get}(\text{update}(a, i, x), i) = x$  **if**  $i < \text{len}(a)$
  - (i.3)  $\text{get}(\text{update}(a, j, x), i) = \text{get}(a, i)$  **if**  $i \neq j$
  - (i.4)  $\text{update}(\text{update}(a, i, x), i, y)$   
     $= \text{update}(a, i, y)$
  - (i.5)  $\text{update}(\text{update}(a, j, x), i, y)$   
     $= \text{update}(\text{update}(a, i, y), j, x)$  **if**  $i \neq j$
  - (i.6)  $\text{update}(\text{new}(n, x), i, x) = \text{new}(n, x)$  **if**  $i < n$
  - (i.7)  $\text{len}(\text{new}(n, x)) = n$
  - (i.8)  $\text{len}(\text{update}(a, i, x)) = \text{len}(a)$

**New:** Conditional identities



## Calculating with representatives

Suppose the carrier set of  $A$  is  $\{a, b, c\}$ .

- 1  $\text{get}(\text{new}(10, a), 5) \stackrel{(i.1)}{\approx}_{\mathcal{E}} a$
- 2  $\text{get}(\text{new}(10, a), 11) \approx_{\mathcal{E}} \perp_A$
- 3  $\text{get}(\text{update}(\text{update}(\text{new}(10, a), 5, b), 0, c), 5)$   
 $\stackrel{(i.3)}{\approx}_{\mathcal{E}} \text{get}(\text{update}(\text{new}(10, a), 5, b), 5) \stackrel{(i.2)}{\approx}_{\mathcal{E}} b$
- 4  $\text{update}(\text{update}(\text{new}(10, a), 5, b), 5, a)$   
 $\stackrel{(i.4)}{\approx}_{\mathcal{E}} \text{update}(\text{new}(10, a), 5, a) \stackrel{(i.6)}{\approx}_{\mathcal{E}} \text{new}(10, a)$
- 5  $\text{get}(\text{update}(\text{new}(0, a), 1, a), 1) \approx_{\mathcal{E}} \perp_A$   
(i.2) is not applicable because  
 $\text{len}(\text{update}(\text{new}(0, a), 1, a)) \approx_{\mathcal{E}} 0$ .