

# *Real-Time Systems*

## *Lecture 15: The Universality Problem for TBA*

2013-07-02

Dr. Bernd Westphal

Albert-Ludwigs-Universität Freiburg, Germany

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### Contents & Goals

#### Last Lecture:

- Timed Words and Languages [Alur and Dill, 1994]

#### This Lecture:

- **Educational Objectives:** Capabilities for following tasks/questions.
  - What's a TBA and what's the difference to (extended) TA?
  - What's undecidable for timed (Büchi) automata?
  - What's the idea of the proof?
- **Content:**
  - Timed Büchi Automata and timed regular languages [Alur and Dill, 1994].
  - The Universality Problem is undecidable for TBA [Alur and Dill, 1994]
  - Why this is unfortunate.
  - Timed regular languages are not everything.

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# Timed Büchi Automata

[Alur and Dill, 1994]

## Recall: Timed Languages

**Definition.** A **time sequence**  $\tau = \tau_1, \tau_2, \dots$  is an infinite sequence of time values  $\tau_i \in \mathbb{R}_0^+$ , satisfying the following constraints:

- (i) **Monotonicity:**  
 $\tau$  increases **strictly** monotonically, i.e.  $\tau_i < \tau_{i+1}$  for all  $i \geq 1$ .
- (ii) **Progress:** For every  $t \in \mathbb{R}_0^+$ , there is some  $i \geq 1$  such that  $\tau_i > t$ .

**Definition.** A **timed word** over an alphabet  $\Sigma$  is a pair  $(\sigma, \tau)$  where

- $\sigma = \sigma_1, \sigma_2, \dots \in \Sigma^\omega$  is an infinite word over  $\Sigma$ , and
- $\tau$  is a time sequence.

**Definition.** A **timed language** over an alphabet  $\Sigma$  is a set of timed words over  $\Sigma$ .

## Recall:

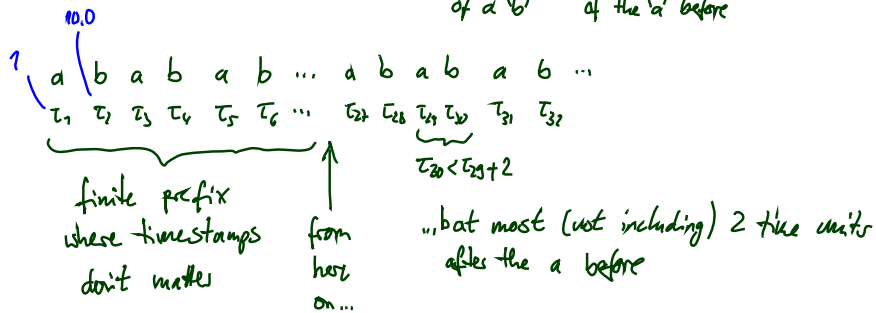
### Example: Timed Language

**Timed word** over alphabet  $\Sigma$ : a pair  $(\sigma, \tau)$  where

- $\sigma = \sigma_1, \sigma_2, \dots$  is an infinite word over  $\Sigma$ , and
- $\tau$  is a time sequence (strictly (!) monotonic, non-Zeno).

*a could be 'system beeps'  
b could be 'system flashes light'*

$$L_{crt} = \{((ab)^\omega, \tau) \mid \exists i \forall j \geq i : (\tau_{2j} < \tau_{2j-1} + 2)\}$$



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## Timed Büchi Automata

**Definition.** The set  $\Phi(X)$  of **clock constraints** over  $X$  is defined inductively by

$$\delta ::= x \leq c \mid c \leq x \mid \neg \delta \mid \delta_1 \wedge \delta_2$$

where  $x \in X$  and  $c \in \mathbb{Q}$  is a rational constant.

**Definition.** A **timed Büchi automaton** (TBA)  $\mathcal{A}$  is a tuple  $(\Sigma, S, S_0, X, E, F)$ , where

- $\Sigma$  is an alphabet,
- $S$  is a finite set of states,  $S_0 \subseteq S$  is a set of start states,
- $X$  is a finite set of clocks, and
- $E \subseteq S \times S \times \Sigma \times 2^X \times \Phi(X)$  gives the set of transitions.

An edge  $(s, s', a, \lambda, \delta)$  represents a transition from state  $s$  to state  $s'$  on input symbol  $a$ . The set  $\lambda \subseteq X$  gives the clocks to be reset with this transition, and  $\delta$  is a clock constraint over  $X$ .

- $F \subseteq S$  is a set of **accepting states**.

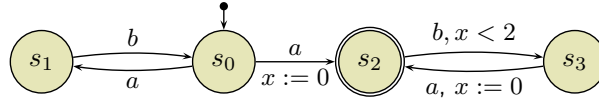
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## Example: TBA

$$\mathcal{A} = (\Sigma, S, S_0, X, E, F)$$

$$(s, s', a, \lambda, \delta) \in E$$



$$\Sigma = \{a, b\}$$

$$S = \{s_0, \dots, s_3\}$$

$$S_0 = \{s_0\}$$

$$X = \{x\}$$

$$E = \{(s_2, s_3, b, \emptyset, x < 2), \dots\}$$

$$F = \{s_2\}$$

## (Accepting) TBA Runs

**Definition.** A **run**  $r$ , denoted by  $(\bar{s}, \bar{\nu})$ , of a TBA  $(\Sigma, S, S_0, X, E, F)$  over a timed word  $(\sigma, \tau)$  is an **infinite** sequence of the form

$$r : \langle s_0, \nu_0 \rangle \xrightarrow[\tau_1]{\sigma_1} \langle s_1, \nu_1 \rangle \xrightarrow[\tau_2]{\sigma_2} \langle s_2, \nu_2 \rangle \xrightarrow[\tau_3]{\sigma_3} \dots$$

with  $s_i \in S$  and  $\nu_i : X \rightarrow \mathbb{R}_0^+$ , satisfying the following requirements:

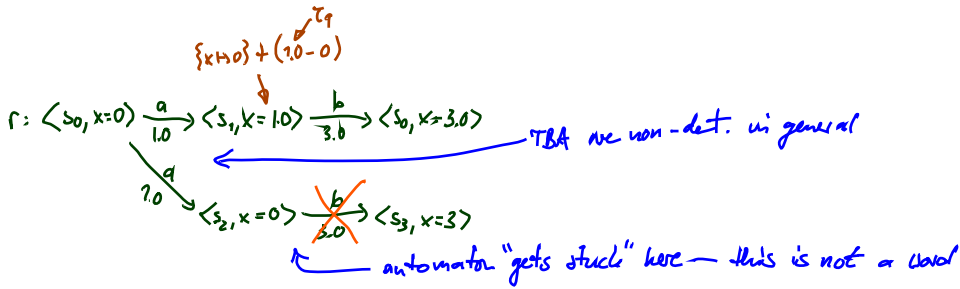
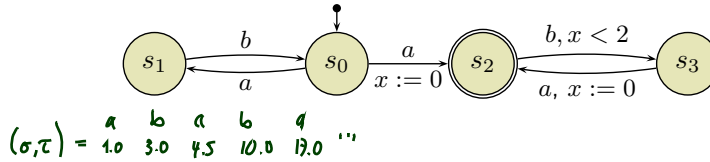
- **Initiation:**  $s_0 \in S_0$  and  $\nu(x) = 0$  for all  $x \in X$ .
- **Consecution:** for all  $i \geq 1$ , there is an edge in  $E$  of the form  $(s_{i-1}, s_i, \sigma_i, \lambda_i, \delta_i)$  such that
  - $(\nu_{i-1} + (\tau_i - \tau_{i-1}))$  satisfies  $\delta_i$  and
  - $\nu_i = (\nu_{i-1} + (\tau_i - \tau_{i-1}))[\lambda_i := 0]$ .

*time shift (as before)*

## Example: TBA

$$\mathcal{A} = (\Sigma, S, S_0, X, E, F)$$

$$(s, s', a, \lambda, \delta) \in E$$



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## (Accepting) TBA Runs

**Definition.** A **run**  $r$ , denoted by  $(\bar{s}, \bar{\nu})$ , of a TBA  $(\Sigma, S, S_0, X, E, F)$  over a timed word  $(\sigma, \tau)$  is an **infinite** sequence of the form

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with  $s_i \in S$  and  $\nu_i : X \rightarrow \mathbb{R}_0^+$ , satisfying the following requirements:

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  - $\nu_i = (\nu_{i-1} + (\tau_i - \tau_{i-1}))[\lambda_i := 0]$ .

The set  $\text{inf}(r) \subseteq S$  consists of those states  $s \in S$  such that  $s = s_i$  for infinitely many  $i \geq 0$ .

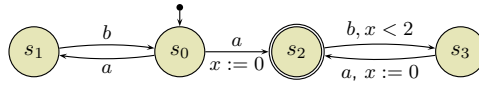
**Definition.** A run  $r = (\bar{s}, \bar{\nu})$  of a TBA over timed word  $(\sigma, \tau)$  is called (an) **accepting** (run) if and only if  $\text{inf}(r) \cap F \neq \emptyset$ .

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## Example: (Accepting) Runs

$r : \langle s_0, \nu_0 \rangle \xrightarrow[\tau_1]{\sigma_1} \langle s_1, \nu_1 \rangle \xrightarrow[\tau_2]{\sigma_2} \langle s_2, \nu_2 \rangle \xrightarrow[\tau_3]{\sigma_3} \dots$  initial and  $(s_{i-1}, s_i, \sigma_i, \lambda_i, \delta_i) \in E$ , s.t.  
 $(\nu_{i-1} + (\tau_i - \tau_{i-1})) \models \delta_i, \nu_i = (\nu_{i-1} + (\tau_i - \tau_{i-1}))[\lambda_i := 0]$ . Accepting iff  $\text{inf}(r) \cap F \neq \emptyset$ .



**Timed word:**  $(a, 1), (b, 2), (a, 3), (b, 4), (a, 5), (b, 6), \dots$

- Can we construct **any run**? Is it accepting?

$\langle s_0, 0 \rangle \xrightarrow{a} \langle s_2, 0 \rangle \xrightarrow{b} \langle s_3, 1 \rangle \xrightarrow{a} \langle s_2, 0 \rangle \xrightarrow{b} \langle s_3, 1 \rangle \dots$

$\text{inf}(r) = \{s_2, s_3\}$   
 $\text{inf}(r) \cap F = \{s_2, s_3\} \cap \{s_2\} = \{s_2\} \neq \emptyset$

- Can we construct a **non-run** (get stuck)?

NO

- Can we construct a **(non-)accepting run**?

$r' : \langle s_0, 0 \rangle \xrightarrow{a} \langle s_1, 1 \rangle \xrightarrow{b} \langle s_0, 2 \rangle \xrightarrow{a} \langle s_1, 3 \rangle \dots$

$\text{inf}(r') = \{s_0, s_1\}$

## The Language of a TBA

**Definition.** For a TBA  $\mathcal{A}$ , the **language**  $L(\mathcal{A})$  of timed words it accepts is defined to be the set

$$\{(\sigma, \tau) \mid \mathcal{A} \text{ has an accepting run over } (\sigma, \tau)\}.$$

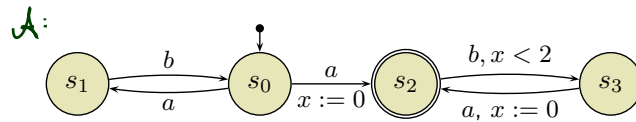
For short:  $L(\mathcal{A})$  is the **language of**  $\mathcal{A}$ .



**Definition.** A timed language  $L$  is a **timed regular language** if and only if  $L = L(\mathcal{A})$  for **some** TBA  $\mathcal{A}$ .

## Example: Language of a TBA

$$L(\mathcal{A}) = \{(\sigma, \tau) \mid \mathcal{A} \text{ has an accepting run over } (\sigma, \tau)\}.$$



### Claim:

$$L(\mathcal{A}) = L_{ert} (= \{((ab)^\omega, \tau) \mid \exists i \forall j \geq i : (\tau_{2j} < \tau_{2j-1} + 2)\})$$

- $L_{ert} \subseteq L(\mathcal{A})$ : pick some  $(\sigma, \tau) \in L_{ert}$ . Construct an accepting run of  $\mathcal{A}$ .
- $L(\mathcal{A}) \subseteq L_{ert}$ : pick some  $(\sigma, \tau) \in L(\mathcal{A})$ . Then there is an accepting run  $(s, \nu)$  over  $(\sigma, \tau)$ .

**Question:** Is  $L_{ert}$  timed regular or not?

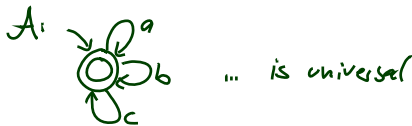
## The Universality Problem is Undecidable for TBA

[Alur and Dill, 1994]

## The Universality Problem

- **Given:** A TBA  $\mathcal{A}$  over alphabet  $\Sigma$ .
- **Question:** Does  $\mathcal{A}$  accept all timed words over  $\Sigma$ ?  
In other words: Is  $L(\mathcal{A}) = \{(\sigma, \tau) \mid \sigma \in \Sigma^\omega, \tau \text{ time sequence}\}$ .

$$\Sigma = \{a, b, c\}$$



## The Universality Problem

- **Given:** A TBA  $\mathcal{A}$  over alphabet  $\Sigma$ .
- **Question:** Does  $\mathcal{A}$  accept all timed words over  $\Sigma$ ?  
In other words: Is  $L(\mathcal{A}) = \{(\sigma, \tau) \mid \sigma \in \Sigma^\omega, \tau \text{ time sequence}\}$ .

**Theorem 5.2.** The problem of deciding whether a timed automaton over alphabet  $\Sigma$  accepts all timed words over  $\Sigma$  is  $\Pi_1^1$ -hard.

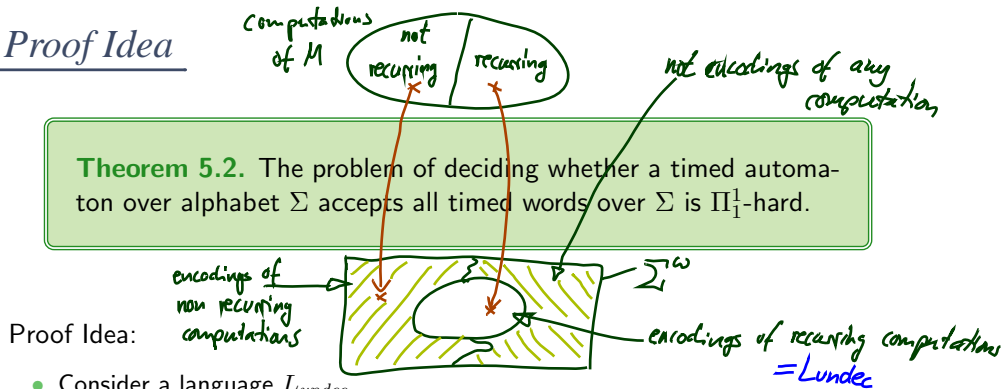
(“The class  $\Pi_1^1$  consists of highly undecidable problems, including some nonarithmetical sets (for an exposition of the analytical hierarchy consult, for instance [Rogers, 1967].)”)

**Recall:** With classical Büchi Automata (untimed), this is different:

- Let  $\mathcal{B}$  be a Büchi Automaton over  $\Sigma$ .  $\overline{L(\mathcal{B})}$  complement in  $\Sigma^\omega$
- $\mathcal{B}$  is universal if and only if  $\overline{L(\mathcal{B})} = \emptyset$ .
- $\mathcal{B}'$  such that  $L(\mathcal{B}') = \overline{L(\mathcal{B})}$  is effectively computable.
- Language emptiness is decidable for Büchi Automata.



## Proof Idea



Proof Idea:

- Consider a language  $L_{undec}$  which consists of the **recurring** computations of a **2-counter machine**  $M$ .
- Construct a TBA  $\mathcal{A}$  from  $M$  which accepts the complement of  $L_{undec}$ , i.e. with

$$L(\mathcal{A}) = \overline{L_{undec}}.$$

- Then  $\mathcal{A}$  is universal if and only if  $L_{undec}$  is empty...  
... which is the case if and only if  $M$  **doesn't have** a recurring computation.

## Once Again: Two Counter Machines (Different Flavour)

A **two-counter machine**  $M$

- has two **counters**  $C, D$  and
- a finite **program** consisting of  $n$  instructions.
- An **instruction increments or decrements** one of the counters, or **jumps**, here even non-deterministically.

e.g. 1: inc  $D$ ; goto 2  
2: inc  $C$ ; goto 1,3  
3: dec  $D$ ; if ( $D=0$ ) goto 1 else goto 4  
4: inc  $D$ ; goto 1,2

- A **configuration** of  $M$  is a triple  $\langle i, c, d \rangle$ :  
program counter  $i \in \{1, \dots, n\}$ , values  $c, d \in \mathbb{N}_0$  of  $C$  and  $D$ .

- A **computation** of  $M$  is an infinite consecutive sequence

$$\langle 1, 0, 0 \rangle = \langle i_0, c_0, d_0 \rangle, \langle i_1, c_1, d_1 \rangle, \langle i_2, c_2, d_2 \rangle, \dots$$

that is,  $\langle i_{j+1}, c_{j+1}, d_{j+1} \rangle$  is a result executing instruction  $i_j$  at  $\langle i_j, c_j, d_j \rangle$ .

$$\langle 1, 0, 0 \rangle, \langle 2, 0, 1 \rangle, \langle 3, 1, 1 \rangle, \dots$$

A computation of  $M$  is called **recurring** iff  $i_j = 1$  for infinitely many  $j \in \mathbb{N}_0$ .

## Step 1: The Language of Recurring Computations

- Let  $M$  be a 2CM with  $n$  instructions.

**Wanted:** A timed language  $L_{undec}$  (over some alphabet) representing exactly the recurring computations of  $M$ .  
(In particular s.t.  $L_{undec} = \emptyset$  if and only if  $M$  has no recurring computation.)

- Choose  $\Sigma = \{b_1, \dots, b_n, a_1, a_2\}$  as alphabet.
- We represent a configuration  $\langle j, c, d \rangle$  of  $M$  by the sequence

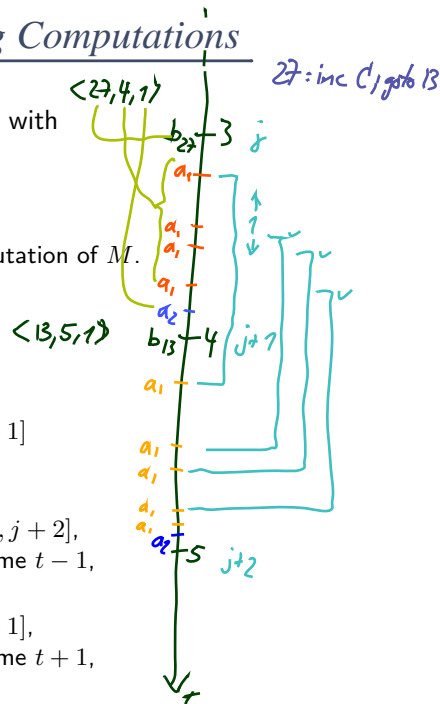
$$b_i \underbrace{a_1 \dots a_1}_{c \text{ times}} \underbrace{a_2 \dots a_2}_{d \text{ times}} = b_i a_1^c a_2^d$$

## Step 1: The Language of Recurring Computations

Let  $L_{undec}$  be the set of the timed words  $(\sigma, \tau)$  with

- $\sigma$  is of the form  $b_{i_1} a_1^{c_1} a_2^{d_1} b_{i_2} a_1^{c_2} a_2^{d_2} \dots$
- $\langle i_1, c_1, d_1 \rangle, \langle i_2, c_2, d_2 \rangle, \dots$  is a recurring computation of  $M$ .
- For all  $j \in \mathbb{N}_0$ ,
  - the time of  $b_{i_j}$  is  $j$ .
  - if  $c_{j+1} = c_j$ :  
for every  $a_1$  at time  $t$  in the interval  $[j, j+1]$  there is an  $a_1$  at time  $t+1$ ,
  - if  $c_{j+1} = c_j + 1$ :  
for every  $a_1$  at time  $t$  in the interval  $[j+1, j+2]$ , except for the last one, there is an  $a_1$  at time  $t-1$ ,
  - if  $c_{j+1} = c_j - 1$ :  
for every  $a_1$  at time  $t$  in the interval  $[j, j+1]$ , except for the last one, there is an  $a_1$  at time  $t+1$ ,

And analogously for the  $a_2$ 's.



## Step 2: Construct “Observer” for $\overline{L_{undec}}$

**Wanted:** A TBA  $\mathcal{A}$  such that

$$L(\mathcal{A}) = \overline{L_{undec}},$$

i.e.,  $\mathcal{A}$  accepts a timed word  $(\sigma, \tau)$  if and only if  $(\sigma, \tau) \notin L_{undec}$ .

**Approach:** What are the reasons for a timed word **not to be** in  $L_{undec}$ ?

**Recall:**  $(\sigma, \tau)$  is **in**  $L_{undec}$  if and only if:

- $\sigma = b_{i_1} a_1^{c_1} a_2^{d_1} b_{i_2} a_1^{c_2} a_2^{d_2}$
- $\langle i_1, c_1, d_1 \rangle, \langle i_2, c_2, d_2 \rangle, \dots$   
is a recurring computation of  $M$ .
- the time of  $b_{i_j}$  is  $j$ ,
- if  $c_{j+1} = c_j$  ( $= c_j + 1, = c_j - 1$ ): ...

## Step 2: Construct “Observer” for $\overline{L_{undec}}$

**Wanted:** A TBA  $\mathcal{A}$  such that

$$L(\mathcal{A}) = \overline{L_{undec}},$$

i.e.,  $\mathcal{A}$  accepts a timed word  $(\sigma, \tau)$  if and only if  $(\sigma, \tau) \notin L_{undec}$ .

**Approach:** What are the reasons for a timed word **not to be** in  $L_{undec}$ ?

- (i) The  $b_i$  at time  $j \in \mathbb{N}$  is missing, or there is a spurious  $b_i$  at time  $t \in ]j, j + 1[$ .
- (ii) The prefix of the timed word with times  $0 \leq t < 1$  doesn't encode  $\langle 1, 0, 0 \rangle$ .
- (iii) The timed word is not recurring, i.e. it has only finitely many  $b_i$ .
- (iv) The configuration encoded in  $[j + 1, j + 2[$  doesn't faithfully represent the effect of instruction  $b_j$  on the configuration encoded in  $[j, j + 1[$ .

**Plan:** Construct a TBA  $\mathcal{A}_0$  for case (i), a TBA  $\mathcal{A}_{init}$  for case (ii), a TBA  $\mathcal{A}_{recur}$  for case (iii), and one TBA  $\mathcal{A}_i$  for each instruction for case (iv).

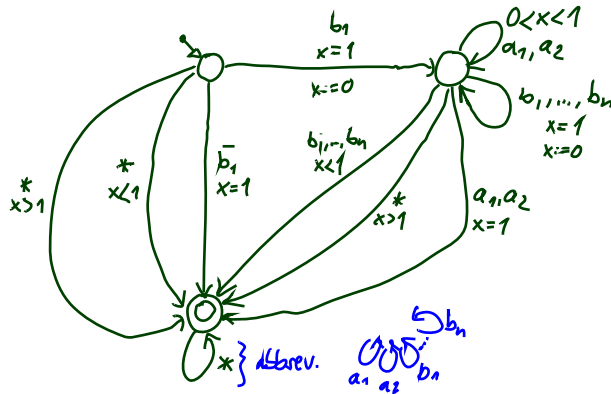
Then set

$$\mathcal{A} = \mathcal{A}_0 \cup \mathcal{A}_{init} \cup \mathcal{A}_{recur} \cup \bigcup_{1 \leq i \leq n} \mathcal{A}_i$$

## Step 2.(i): Construct $\mathcal{A}_0$

(i) The  $b_i$  at time  $j \in \mathbb{N}$  is missing, or there is a spurious  $b_i$  at time  $t \in ]j, j+1[$ .

[Alur and Dill, 1994]: "It is easy to construct such a timed automaton."

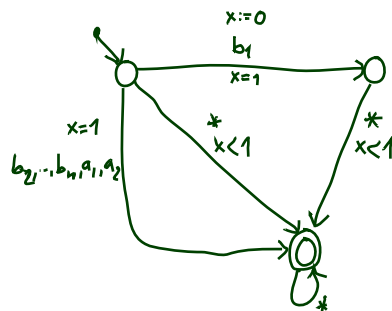


## Step 2.(ii): Construct $\mathcal{A}_{init}$

(ii) The prefix of the timed word with times  $\overset{1}{\emptyset} \leq t < \overset{2}{1}$  doesn't encode  $\langle 1, 0, 0 \rangle$ .

- It accepts

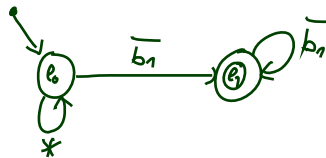
$$\{(\sigma_j, \tau_j)_{j \in \mathbb{N}_0} \mid (\sigma_0 \neq b_1) \vee (\tau_0 \neq 0) \vee (\tau_1 \neq 1)\}.$$



## Step 2.(iii): Construct $\mathcal{A}_{recur}$

(iii) The timed word is not recurring, i.e. it has only finitely many  $b_i$ .

- $\mathcal{A}_{recur}$  accepts words with only finitely many  $b_i$ .



$b_1 b_2 b_3 \dots b_n \dots b_1 b_2 b_3 b_2 b_3 \dots$  no  $b_1$

-  $l_0 l_1 l_1 \dots$

-  $l_0 l_0 l_0 \dots$   $l_0 \dots$   $l_0 \dots$

-  $l_0 l_0 l_0 \dots$   $l_0 l_2 l_2 \dots$

$b_1 b_2 b_3 \dots b_1 b_2 b_2 b_3 b_3 \dots b_1 b_2 \dots$

$l_0 \dots$   $l_1 \dots$   $l_2$

$l_0 \dots$

$l_1 \dots$

(no run)

(run, not accepting)

(run, accepting)  $\hookrightarrow$  word is accepted

(no run)

(run, not acc.)  $\hookrightarrow$  word is not accepted

## Step 2.(iv): Construct $\mathcal{A}_i$

(iv) The configuration encoded in  $[j+1, j+2[$  doesn't faithfully represent the effect of instruction  $b_i$  on the configuration encoded in  $[j, j+1[$ .

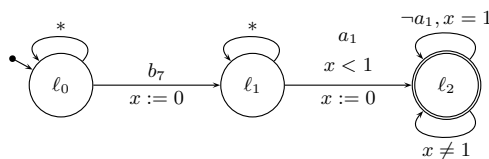
**Example:** assume instruction  $\underline{7}$  is:

Increment counter  $D$  and jump non-deterministically to instruction 3 or 5.

**Once again:** stepwise.  $\mathcal{A}_7$  is  $\mathcal{A}_7^1 \cup \dots \cup \mathcal{A}_7^6$ .

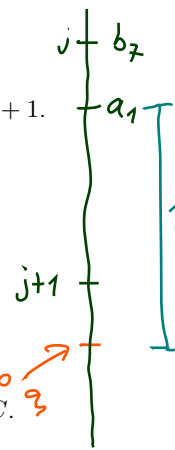
- $\mathcal{A}_7^1$  accepts words with  $b_7$  at time  $j$  but neither  $b_3$  nor  $b_5$  at time  $j+1$ . "Easy to construct."

- $\mathcal{A}_7^2$  is



- $\mathcal{A}_7^3$  accepts words which encode unexpected increment of counter  $C$ .

- $\mathcal{A}_7^4, \dots, \mathcal{A}_7^6$  accept words with missing increment of  $D$ .



## Aha, And...?

### Consequences: Language Inclusion

- **Given:** Two TBAs  $\mathcal{A}_1$  and  $\mathcal{A}_2$  over alphabet  $B$ .
- **Question:** Is  $\mathcal{L}(\mathcal{A}_1) \subseteq \mathcal{L}(\mathcal{A}_2)$ ?

#### Possible applications of a decision procedure:

- Characterise the allowed behaviour as  $\mathcal{A}_2$  and model the design as  $\mathcal{A}_1$ .
- Automatically check whether the behaviour of the design is a subset of the allowed behaviour.

- If **language inclusion** was decidable, then we could use it to decide universality of  $\mathcal{A}$  by checking

$$\mathcal{L}(\mathcal{A}_{univ}) \subseteq \mathcal{L}(\mathcal{A})$$

where  $\mathcal{A}_{univ}$  is **any** universal TBA (which is easy to construct).

## Consequences: Complementation

- **Given:** A timed regular language  $W$  over  $B$  (that is, there is a TBA  $\mathcal{A}$  such that  $\mathcal{L}(\mathcal{A}) = W$ ).
- **Question:** Is  $\overline{W}$  timed regular?

### Possible applications of a decision procedure:

- Characterise the allowed behaviour as  $\mathcal{A}_2$  and model the design as  $\mathcal{A}_1$ .
- Automatically construct  $\mathcal{A}_3$  with  $L(\mathcal{A}_3) = \overline{L(\mathcal{A}_2)}$  and check

$$L(\mathcal{A}_1) \cap L(\mathcal{A}_3) = \emptyset,$$

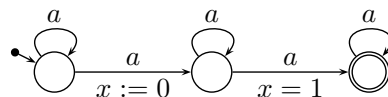
that is, whether the design has any non-allowed behaviour.

- Taking for granted that:
  - The intersection automaton is effectively computable.
  - The emptiness problem for Büchi automata is **decidable**. (Proof by construction of region automaton [Alur and Dill, 1994].)

## Consequences: Complementation

- **Given:** A timed regular language  $W$  over  $B$  (that is, there is a TBA  $\mathcal{A}$  such that  $\mathcal{L}(\mathcal{A}) = W$ ).
- **Question:** Is  $\overline{W}$  timed regular?
- If the class of timed regular languages were closed under **complementation**, “the complement of the inclusion problem is recursively enumerable. This contradicts the  $\Pi_1^1$ -hardness of the inclusion problem.” [Alur and Dill, 1994]

A non-complementable TBA  $\mathcal{A}$ :



$$\mathcal{L}(\mathcal{A}) = \{(a^\omega, (t_i)_{i \in \mathbb{N}_0}) \mid \exists i \in \mathbb{N}_0 \exists j > i : (t_j = t_i + 1)\}$$

Complement language:

$$\overline{\mathcal{L}(\mathcal{A})} = \{(a^\omega, (t_i)_{i \in \mathbb{N}_0}) \mid \text{no two } a \text{ are separated by distance } 1\}.$$

## Beyond Timed Regular

### Beyond Timed Regular

With clock constraints of the form

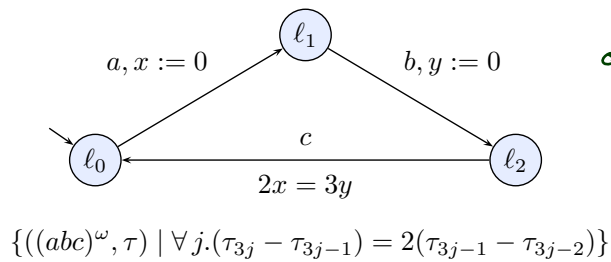
$$x + y \leq x' + y'$$

we can describe timed languages which are not timed regular.

**In other words:** <sup>more</sup>

- There are strictly timed languages than timed regular languages.
- There exists timed languages  $\mathcal{B}$  such that there exists no  $\mathcal{A}$  with  $L(\mathcal{A}) = \mathcal{B}$ .

**Example:**





## References

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## References

- [Alur and Dill, 1994] Alur, R. and Dill, D. L. (1994). A theory of timed automata. *Theoretical Computer Science*, 126(2):183–235.
- [Olderog and Dierks, 2008] Olderog, E.-R. and Dierks, H. (2008). *Real-Time Systems - Formal Specification and Automatic Verification*. Cambridge University Press.