## Interpolation Seminar Slides

Albert-Ludwigs-Universität Freiburg

**Betim Musa** 27<sup>th</sup> June 2015







```
program add(int a, int b) {
       var x,i : int;
\ell_0
       assume(b \geq 0);
\ell_1
    x := a;
\ell_2
       i := 0;
       while(i < b) {</pre>
\ell_3
            x := x + 1;
\ell_4
            i := i + 1;
       }
      assert (x == a + b);
```

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	<pre>program add(int a, int</pre>	b) {
	var x,i : int;	Dre
ℓ <sub>0</sub>	$assume(b \ge 0);$	PIO
$\ell_1$	x := a;	app
$\ell_2$	i := 0;	Idea
	while(i < b) {	$\ell_0$ to
$\ell_3$	x := x + 1;	
$\ell_4$	i := i + 1;	
	}	
$\ell_{err}$	assert (x != a + b);	

Prove correctness (CEGAR approach)

dea: Show that all traces from  $\ell_0$  to  $\ell_{err}$  are infeasible.

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	var x,i : int;	Due
ℓ <sub>0</sub>	$assume(b \ge 0);$	Pro
$\ell_1$	x := a;	app
$\ell_2$	i := 0;	Idea
	while(i < b) {	$\ell_0$ to
l <sub>3</sub>	x := x + 1;	1
$\ell_4$	i := i + 1;	2
	}	3
		5
$\ell_{err}$	assert (x != a + b);	

Prove correctness (CEGAR approach)

Idea: Show that all traces from  $\ell_0$  to  $\ell_{err}$  are infeasible.

- 1 Choose an error trace  $\tau$ .
- 2 Show that  $\tau$  is infeasible.
- Sompute interpolants for  $\tau$ .

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## A bit of history

Interpolation What is an interpolant? Interpolation in Propositional Logic Interpolation in First-Order Logic

Conclusion

References





## W. Craig (1957), Linear reasoning. A new form of the Herbrand-Gentzen theorem





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- K. L. McMillan (2003), Interpolation and SAT-Based Model Checking



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- K. L. McMillan (2003), Interpolation and SAT-Based Model Checking
- A. Cimatti et al. (2007), Efficient Interpolant Generation in SMT





## A bit of history

## Interpolation

## What is an interpolant?

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- *A* |= *I*
- I  $\wedge$  B is unsatisfiable

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- $\blacksquare A \models I$
- I  $\wedge$  B is unsatisfiable
- I  $\leq A$  and  $I \leq B$  (symbol condition)





## A bit of history

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## Ingredients

- a pair of unsatisfiable formulae A, B
- 2 a resolution proof of their unsatisfiability

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# Prove unsatisfiability of $\overbrace{P \land (\neg P \lor R)}^{A} \land \overbrace{\neg R}^{B}$

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# Prove unsatisfiability of $\overrightarrow{P \land (\neg P \lor R)} \land \overrightarrow{\neg R}^B$



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Given: unsatisfiable formulae A, B and a proof of unsatisfiability.



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Given: unsatisfiable formulae A, B and a proof of unsatisfiability. For every vertex v of the proof define the interpolant *ITP*(v) as follows:



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Given: unsatisfiable formulae A, B and a proof of unsatisfiability. For every vertex v of the proof define the interpolant *ITP*(v) as follows:

■ if v is an input node



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- if v is an input node
  - if  $v \in A$  then ITP(v) = global\_literals(v)
  - 2 else ITP(v) = true



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- else v must have two predecessors  $v_1, v_2$  and  $p_v$  is the pivot variable.



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- if v is an input node
  - if v ∈ A then ITP(v) = global\_literals(v)
     2 else ITP(v) = true
- else v must have two predecessors  $v_1, v_2$  and  $p_v$  is the pivot variable.
  - 1 if  $p_v$  is *local* to A, then ITP(v) = ITP(v\_1)  $\lor$  ITP(v\_2)
  - 2 else ITP(v) = ITP(v<sub>1</sub>)  $\land$  ITP(v<sub>2</sub>)



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Formula:  $\overrightarrow{P \land (\neg P \lor R)} \land \overrightarrow{\neg R}^B$ 



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Formula:  $\overrightarrow{P \land (\neg P \lor R)} \land \overrightarrow{\neg R}^B$ 

 $\blacksquare ITP(P) = FALSE$ 



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Formula: 
$$\overrightarrow{P \land (\neg P \lor R)} \land \overrightarrow{\neg R}$$

# *ITP*(*P*) = *FALSE ITP*(¬*P* ∨ *R*) = *R*



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Formula: 
$$\overbrace{P \land (\neg P \lor R)}^{A} \land \overbrace{\neg R}^{B}$$

ITP(P) = FALSE
 ITP(¬P∨R) = R
 ITP(¬R) = TRUE



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- $\blacksquare ITP(P) = FALSE$
- $\blacksquare ITP(\neg P \lor R) = R$
- $\blacksquare ITP(\neg R) = TRUE$
- $\blacksquare ITP(R) =$  $ITP(P) \lor ITP(\neg P \lor R)$

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Formula: 
$$\overbrace{P \land (\neg P \lor R)}^{A} \land \overbrace{\neg R}^{B}$$



- $\blacksquare ITP(P) = FALSE$
- $\blacksquare ITP(\neg P \lor R) = R$
- $\blacksquare ITP(\neg R) = TRUE$
- $\blacksquare ITP(R) =$  $ITP(P) \lor ITP(\neg P \lor R)$
- ITP(false) = $ITP(R) \land ITP(\neg R)$

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Formula: 
$$\overbrace{P \land (\neg P \lor R)}^{A} \land \overbrace{\neg R}^{B}$$



- $\blacksquare ITP(P) = FALSE$
- $\blacksquare ITP(\neg P \lor R) = R$
- $\blacksquare ITP(\neg R) = TRUE$
- $\blacksquare ITP(R) =$  $ITP(P) \lor ITP(\neg P \lor R)$
- ITP(false) = $ITP(R) \land ITP(\neg R)$

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Formula: 
$$\overbrace{P \land (\neg P \lor R)}^{A} \land \overbrace{\neg R}^{B}$$



- $\blacksquare ITP(P) = FALSE$
- $\blacksquare ITP(\neg P \lor R) = R$
- $\blacksquare ITP(\neg R) = TRUE$
- $\blacksquare ITP(R) =$  $ITP(P) \lor ITP(\neg P \lor R)$
- ITP(false) = $ITP(R) \land ITP(\neg R)$

The resulting interpolant: ITP(false) = $(FALSE \lor R) \land TRUE = R$ 

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# Interpolation in First-Order Logic Overview

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Interesting theories in practice

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## Interesting theories in practice

- Linear Integer Arithmetic
- Presburger Arithmetic
- Equality Theory with Uninterpreted Functions
- Theory of Arrays
- Theory of Lists

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### Interesting theories in practice

- Linear Integer Arithmetic
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- Equality Theory with Uninterpreted Functions
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## Requirements

SAT-Solver (lazy)a theory solver (*T*-Solver)

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Procedure (lazy approach)

👖 Encode as a boolean formula  $\phi'$ 

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## Procedure (lazy approach)

- 👖 Encode as a boolean formula  $\phi'$
- 2 Assign a truth value to some variable (SAT-Solver)

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## Procedure (lazy approach)

- 🔟 Encode as a boolean formula  $\phi'$
- 2 Assign a truth value to some variable (SAT-Solver)
- Check the current assignment for consistency (T-solver)

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## Procedure (lazy approach)

- 🔟 Encode as a boolean formula  $\phi'$
- 2 Assign a truth value to some variable (SAT-Solver)
- Check the current assignment for consistency (T-solver)
  - **inconsistent**, *T*-solver returns a conflict set  $\eta$ , add its negation as a *T*-lemma

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  - consistent, go on with assignment of next variable

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  - consistent, go on with assignment of next variable
- If a truth value is assigned to all variables  $\implies$  SAT

## Procedure (lazy approach)

- 🔟 Encode as a boolean formula  $\phi'$
- 2 Assign a truth value to some variable (SAT-Solver)
- 3 Check the current assignment for consistency (T-solver)
  - inconsistent, *T*-solver returns a conflict set η, add its negation as a *T*-lemma
  - consistent, go on with assignment of next variable
- 4 If a truth value is assigned to all variables  $\Longrightarrow$  SAT
- 5 If no assignment left  $\Longrightarrow$  UNSAT

Illustration





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Illustration





### Given two formulae $c_1 = \neg x_1 \lor x_2 \lor \neg x_3$ and $c_2 = x_2 \lor x_3$

$$\square c_1 \downarrow c_2 = x_2 \lor \neg x_3$$

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Given two formulae  $c_1 = \neg x_1 \lor x_2 \lor \neg x_3$  and  $c_2 = x_2 \lor x_3$ 

$$C_1 \downarrow C_2 = X_2 \lor \neg X_3$$
$$C_1 \setminus C_2 = \neg X_1$$

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## Interpolation in SMT



## Generate an interpolant for the conjunction $A \wedge B$ .

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Generate an interpolant for the conjunction  $A \wedge B$ .

Compute a proof of unsatisfiability  $\mathscr{P}$  for  $A \wedge B$ 

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Generate an interpolant for the conjunction  $A \wedge B$ .

- Compute a proof of unsatisfiability  $\mathscr{P}$  for  $A \wedge B$
- For every  $T lemma \neg \eta$  in  $\mathscr{P}$  compute an interpolant  $I_{\neg \eta}$  for  $(\eta \setminus B, \eta \downarrow B)$

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- For every input clause C in  $\mathcal{P}$ :
  - If  $C \in A$ , then  $I_C \equiv C \downarrow B$

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If 
$$C \in A$$
, then  $I_C \equiv C \downarrow B$ 

If  $C \in B$ , then  $I_C \equiv \top$ 

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- For every input clause C in  $\mathcal{P}$ :

If 
$$C \in A$$
, then  $I_C \equiv C \downarrow B$ 

If 
$$C \in B$$
, then  $I_C \equiv \top$ 

For every inner node C of  $\mathscr{P}$  obtained by resolution from  $C_1 = p \lor \phi_1, C_2 = \neg p \lor \phi_2,$ 

Generate an interpolant for the conjunction  $A \wedge B$ .

- Compute a proof of unsatisfiability  $\mathscr{P}$  for  $A \wedge B$
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If 
$$C \in A$$
, then  $I_C \equiv C \downarrow B$ 

- If  $C \in B$ , then  $I_C \equiv \top$
- For every inner node C of 𝒫 obtained by resolution from C<sub>1</sub> = p ∨ φ<sub>1</sub>, C<sub>2</sub> = ¬p ∨ φ<sub>2</sub>,
  if p ∉ B, then I<sub>C</sub> ≡ I<sub>C1</sub> ∨ I<sub>C2</sub>

Generate an interpolant for the conjunction  $A \wedge B$ .

- Compute a proof of unsatisfiability  $\mathscr{P}$  for  $A \wedge B$
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- For every inner node C of 𝒫 obtained by resolution from C<sub>1</sub> = p ∨ φ<sub>1</sub>, C<sub>2</sub> = ¬p ∨ φ<sub>2</sub>,
  if p ∉ B, then I<sub>C</sub> ≡ I<sub>C1</sub> ∨ I<sub>C2</sub>
  else I<sub>C</sub> ≡ I<sub>C1</sub> ∧ I<sub>C2</sub>

Generate an interpolant for the conjunction  $A \wedge B$ .

- Compute a proof of unsatisfiability  $\mathscr{P}$  for  $A \wedge B$
- For every  $T lemma \neg \eta$  in  $\mathscr{P}$  compute an interpolant  $I_{\neg \eta}$  for  $(\eta \setminus B, \eta \downarrow B)$
- For every input clause C in  $\mathcal{P}$ :

If 
$$C \in A$$
, then  $I_C \equiv C \downarrow B$ 

- If  $C \in B$ , then  $I_C \equiv \top$
- For every inner node C of 𝒫 obtained by resolution from C<sub>1</sub> = p ∨ φ<sub>1</sub>, C<sub>2</sub> = ¬p ∨ φ<sub>2</sub>,
  if p ∉ B, then I<sub>C</sub> ≡ I<sub>C1</sub> ∨ I<sub>C2</sub>
  else I<sub>C</sub> ≡ I<sub>C1</sub> ∧ I<sub>C2</sub>
- Output the interpolant at the root node, namely  $I_{\perp}$





## Interpolation

### an important technique in software verification

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## Conclusion



## Interpolation

an important technique in software verification
 available for many relevant theories (e.g. LIA, Equality with UF, Arrays, Lists)

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## Conclusion



## Interpolation

- an important technique in software verification
- available for many relevant theories (e.g. LIA, Equality with UF, Arrays, Lists)
- research in progress for other theories

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What is interpolation?

### automatically generalize formulae and preserve relevant parts

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- automatically generalize formulae and preserve relevant parts
- interpolant (Craig's definition)







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How does it work?

Propositional Logic: resolution proof





What is interpolation?

- automatically generalize formulae and preserve relevant parts
- interpolant (Craig's definition)

How does it work?

- Propositional Logic: resolution proof
- First-Order Logic: Resolution proof, Theory interpolation





## A theory where no efficient interpolation algorithm exists

theory of non-linear integer arithmetic (e.g.  $x^2 + y^2 = 1$ )

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