Softwaretechnik / Software-Engineering

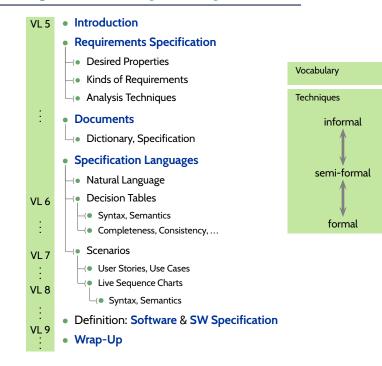
Lecture 6: Formal Methods for Requirements Engineering

2019-05-16

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Topic Area Requirements Engineering: Content



Content

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• Documents

└ ● Dictionary, Specification

• Requirements Specification Languages

└ Natural Language

• (Basic) Decision Tables

- └_(● Syntax, Semantics
- ... for Requirements Specification

• ... for Requirements Analysis

- Completeness, Useless Rules,
- Le Determinism

• Domain Modelling

- Conflict Axiom,
- →● Relative Completeness, Vacuous Rules,
- └ Conflict Relation
- Collecting Semantics
- Discussion



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Requirements Documents

Requirements Specification

specification - A document that specifies,

• in a complete, precise, verifiable manner,

the

• requirements, design, behavior, or other characteristics of a system or component,

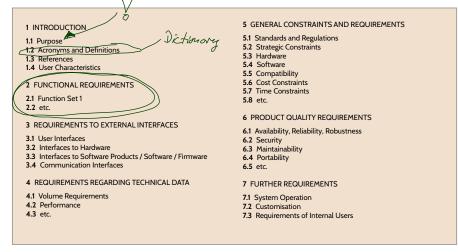
and, often, the procedures for determining whether these provisions have been satisfied. IEEE 610.12 (1990)

software requirements specification (SRS) – Documentation of the essential requirements (functions, performance, design constraints, and attributes) of the software and its external interfaces. IEEE 610.12 (1990)

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| | IEEE Std 830-11 (Revia) IEEE Std 830-1 | sion of |
|---|---|-------------------------|
| IEEE Recomme Software Requi Specifications | ended Practice for irements | |
| Sponsor Software Engineering Standards of the IEEE Computer Society | Committee | |
| Approved 25 June 1998 IEEE-SA Standards Board | | |
| scribed and several sample SRS outlin specifying requirements of software to tion of in-house and commercial soft 12207.1-1997 are also provided. | a good software requirements specification (SRS) are rear are presented. This recommended practice is a imm of developed to all action are applied to askin in the re- developed to all action are applied to askin in the re- ware products. Guidelines for compliance with IEEE/ pring, software requirements specification, supplier, sys | ed at elec- E/EIA |
| The Institute of Electrical and Electronics Engine 345 East 47th Ethred, New Yook, NY 10017-2584 Copyright 1080 by the Institute of Delicitical and All rights reserved. Putational 1990. Printed in the 1889 N -0326-2 No part of this publication may be reproduced writing permission of the guidence. | , USA d Electronics Engineers, Inc. | price |

Structure of a Requirements Document: Example



(Ludewig and Lichter, 2013) based on (IEEE, 1998)

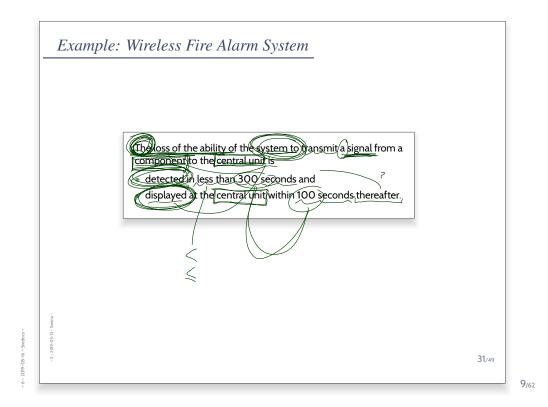
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Dictionary

- Requirements analysis should be based on a dictionary.
- A dictionary comprises definitions and clarifications of <u>terms</u> that are relevant to the project and of which different people (in particular customer and developer) may have different understandings before agreeing on the dictionary.
- Each entry in the dictionary should provide the following information:
 - term and synonyms (in the sense of the requirements specification),
 - meaning (definition, explanation),
 - deliminations (where not to use this terms),
 - validness (in time, in space, ...),
 - denotation, unique identifiers, ...,
 - open questions not yet resolved,
 - related terms, cross references.

Note: entries for terms that seemed "crystal clear" at first sight are not uncommon.

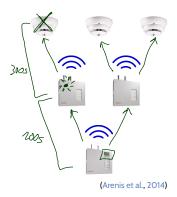
- All work on requirements should, as far as possible,
 - be done using terms from the dictionary consistently and consequently.
 - The dictionary should in particular be **negotiated with the customer** and used in communication (if not possible, at least developers should stick to dictionary terms).
- Note: do not mix up real-world/domain terms with ones only "living" in the software.



Dictionary Example

Example: Wireless Fire Alarm System

- During a project on designing a highly reliable, EN-54-25 conforming wireless communication protocol, we had to learn that the relevant components of a fire alarm system are
 - terminal participants
 - (heat/smoke sensors and manual indicators),
 - repeaters (a non-terminal participant),
 - and a central unit (not a participant).
- Repeaters and central unit are technically very similar, but need to be distinguished to understand requirements. The dictionary explains these terms.



Excerpt from the dictionary (ca. 50 entries in total):

Part A part of a fire alarm system is either a participant or a central unit.

Repeater A repeater is a participant which accepts messages for the central unit from other participants, or messages from the central unit to other participants.

Central Unit A central unit is a part which receives messages from different assigned participants, assesses the messages, and reacts, e.g. by forwarding to persons or optical/acustic signalling devices.

Terminal Participant A terminal participant is a participant which is not a repeater. Each terminal participant consists of exactly one wireless communication module and devices which provide sensor and/or signalling functionality.

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| Documents Dictionary, Specification |
|--|
| Requirements Specification Languages Natural Language |
| (Basic) Decision Tables Syntax, Semantics |
| for Requirements Specification for Requirements Analysis Completeness, Useless Rules, Determinism |
| Domain Modelling Conflict Axiom, |

- Relative Completeness, Vacuous Rules,
- └ Conflict Relation
- Collecting Semantics
- Discussion



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Requirements Specification Languages

specification language – A language, <u>often a machine-processible</u> combination of natural and <u>formal language</u>, used to express the requirements, design, behavior, or other characteristics of a system or component.

For example, a design language or requirements specification language. Contrast with: programming language; query language. IEEE 610.12 (1990)

requirements specification language — A specification language with special constructs and, sometimes, verification protocols, used to develop, analyze, and document hardware or software requirements. IEEE 610.12 (1990)

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Natural Language Specification (Ludewig and Lichter, 2013) based

on (Rupp and die SOPHISTen, 2009)

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| | rule | explanation, example |
|-----------------|---|---|
| R1 | State each requirement in active voice. | Name the actors, indicate whether the user or the system does something. Not "the item is deleted". |
| R2 | Express processes by full verbs. | Not "is", "has", but "reads", "creates"; full verbs require information which describe the process more precisely. Not "when data is consistent" but "after program P has checked consistency of the data". |
| R3 | Discover incompletely defined verbs. | In "the component raises an error", ask whom the message is addressed to. |
| > _{R4} | Discover incomplete conditions. | Conditions of the form "if-else" need descriptions of the if- and the then-case. |
| > _{R5} | Discover universal quantifiers. ∀,∃ | Are sentences with "never", "always", "each", "any", "all" really universally valid? Are "all" really all or are there exceptions. |
| R6 | Check nominalisations. | Nouns like "registration" often hide complex processes that need more detailed descriptions; the verb "register" raises appropriate questions: who, where, for what? |
| R7 | Recognise and refine $$ unclear substantives. | Is the substantive used as a generic term or does it denote something specific? Is "user" generic or is a member of a specific classes meant? |
| R8 | Clarify responsibilities. | If the specification says that something is "possible", "impossible", or "may", "should", "must" happen, clarify who is enforcing or prohibiting the behaviour. |
| > _{R9} | Identify implicit assumptions. | Terms ("the firewall") that are not explained further often hint to implicit assumptions (here: there seems to be a firewall). |

Natural language requirements can be (tried to be) written as an instance of the pattern " $\langle A \rangle \langle B \rangle \langle C \rangle \langle D \rangle \langle E \rangle \langle F \rangle$." (German grammar) where

| A | clarifies when and under what conditions the activity takes place |
|---|--|
| В | is MUST (obligation), SHOULD (wish), or WILL (intention); also: MUST NOT (forbidden) |
| C | is either "the system" or the concrete name of a (sub-)system |
| D | one of three possibilities: |
| | "does", description of a system activity, "offers", description of a function offered by the system to somebody, "is able if", usage of a function offered by a third party, under certain conditions |
| E | extensions, in particular an object |
| F | the actual process word (what happens) |

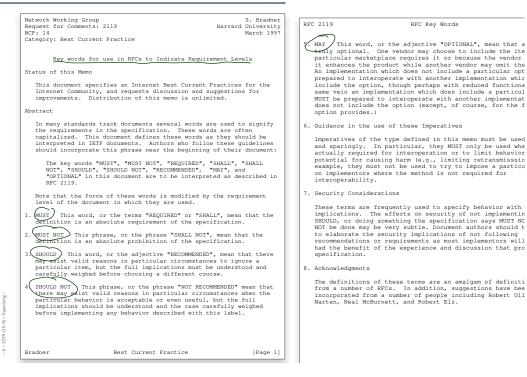
(Rupp and die SOPHISTen, 2009)

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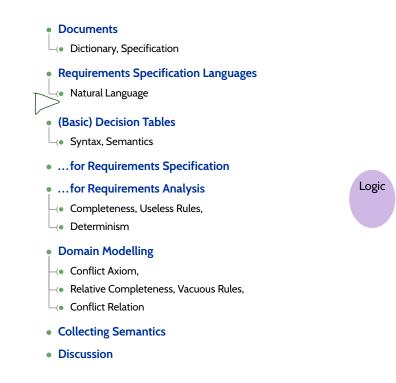
Example:

After office hours (= A), the system (= C) should (= B) offer to the operator (= D) a backup (= F) of all new registrations to an external medium (= E).

Other Pattern Example: RFC 2119



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Formal Methods Jin the Software Development Domain)

Definition. [Bjørner and Havelund (2014)] A method is called **formal method** if and only if its techniques and tools can be explained in <u>mathematics</u>.

Example:

If a method includes a specification language (as a tool), then that language has

- a formal syntax,
- a formal semantics, and
- a formal proof system.

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Formal, Rigorous, or Systematic Development

"The techniques of a formal method help

- construct a specification, and/or
- analyse a specification, and/or
- transform (refine) one (or more) specification(s) into a program.

The **techniques** of a formal method, (besides the specification languages) are typically software packages that help developers use the techniques and other tools.

The aim of developing software, either

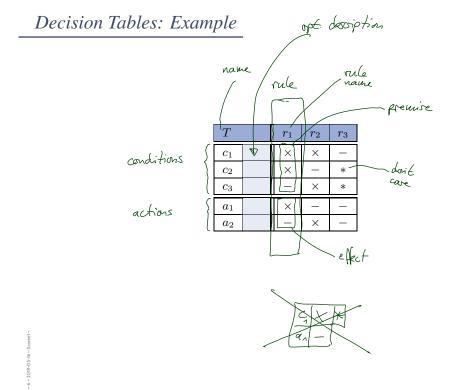
- **formally** (all arguments are formal) **O**
- **rigorously** (some arguments are made and they are formal) Or

systematically (some arguments are made on a form that can be made formal)

is to (be able to) **reason in a precise manner about properties** of what is being developed." (Bjørner and Havelund, 2014)

Decision Tables





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- Let C be a set of conditions and A be a set of actions s.t. $C \cap A = \emptyset$.
- A decision table T over C and A is a labelled $(m + k) \times n$ matrix

| <i>T</i> : de | ecision table | r_1 | | r_n | _ |
|---------------|--------------------------------|-----------|------|-----------|--------------|
| c_1 | description of condition c_1 | $v_{1,1}$ | | $v_{1,n}$ | |
| : | : | : | · | : | |
| c_m | description of condition c_m | $v_{m,1}$ | | $v_{m,n}$ | |
| a_1 | description of action a_1 | $w_{1,1}$ | •••• | $w_{1,n}$ | |
| : | : | : | • | : | \downarrow |
| a_k | description of action a_k | $w_{k,1}$ | | $w_{k,n}$ | 1 |
| | | | | _ | |

where

• $c_1, \ldots, c_m \in C$, • $v_{1,1}, \ldots, v_{m,n} \in \{-, \times, *\}$ and

- $a_1, \ldots, a_k \in A$, $w_{1,1}, \ldots, w_{k,n} \in \{-, \times\}$.
- Columns $(v_{1,i}, \ldots, v_{m,i}, w_{1,i}, \ldots, w_{k,i})$, $1 \le i \le n$, are called rules,
- r_1, \ldots, r_n are rule names.
- $(v_{1,i},\ldots,v_{m,i})$ is called premise of rule r_i ,

```
(w_{1,i},\ldots,w_{k,i}) is called effect of r_i.
```

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Decision Table Semantics

Each rule $r \in \{r_1, \ldots, r_n\}$ of table T

| T: de | T: decision table | | r_n |
|-------|--------------------------------|-----------|---------------|
| c_1 | description of condition c_1 | $v_{1,1}$ | $v_{1,n}$ |
| •••• | : | : | : |
| c_m | description of condition c_m | $v_{m,1}$ | $v_{m,n}$ |
| a_1 | description of action a_1 | $w_{1,1}$ | $w_{1,n}$ |
| | : | : | : |
| a_k | description of action a_k | $w_{k,1}$ | $w_{k,n}$ |

is assigned to a propositional logical formula $\mathcal{F}(r)$ over signature $C \stackrel{.}{\cup} A$ as follows:

• Let (v_1, \ldots, v_m) and (w_1, \ldots, w_k) be premise and effect of r.

where

$$\begin{split} \mathcal{F}(r) &:= \underbrace{F(v_{\mathcal{V}}, q_{\mathcal{V}}) \wedge \dots \wedge F(v_m, c_m)}_{=:\mathcal{F}_{pre}(r)} \wedge \underbrace{F(w_1, a_1) \wedge \dots \wedge F(w_k, a_k)}_{=:\mathcal{F}_{eff}(r)} \\ & F(v, x) = \begin{cases} x & \text{, if } v = \times \\ \neg x & \text{, if } v = - \\ \text{true} & \text{, if } v = * \end{cases} \end{split}$$

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Decision Table Semantics: Example

| $\mathcal{F}(r) := F(v_1, c_1) \wedge \dots \wedge F(v_m, c_m)$ | $F(v,x) = \langle$ | $\begin{cases} x \\ \neg x \end{cases}$ | , if $v = x$, if $v = -$ |
|---|--------------------|---|------------------------------|
| $\wedge F(v_1, a_1) \wedge \dots \wedge F(v_k, a_k)$ | | true | , if $v = *$ |

| T | r_1 | r_2 | r_3 |
|-------|-------|----------|-------|
| c_1 | × | × | _ |
| c_2 | × | - | * |
| c_3 | _ | \times | * |
| a_1 | × | _ | _ |
| a_2 | - | × | - |

•
$$\mathcal{F}(r_1) = \mathcal{F}(x,c_1)_{\Lambda} + \mathcal{F}(x,c_2)_{\Lambda} + \mathcal{F}(-,c_3)_{\Lambda} + \mathcal{F}(x,a_1)_{\Lambda} + \mathcal{F}(-,a_2)$$

$$= \underbrace{c_{\Lambda} \quad \Lambda \quad c_2 \quad \Lambda \quad \tau c_3 \quad \Lambda \quad a_1 \quad \Lambda \quad \tau a_2}_{\mathcal{F}(r_2)}$$
• $\mathcal{F}(r_2) = \underbrace{c_{\Lambda} \quad \Lambda \quad c_2 \quad \Lambda \quad \tau c_3 \quad \Lambda \quad a_1 \quad \Lambda \quad \tau a_2}_{\mathcal{F}(r_2)}$

•
$$\mathcal{F}(r_3) = \neg_{C_1} \wedge + \alpha_{1} \wedge + \alpha_{2} \wedge - \alpha_{2}$$

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Decision Tables as Requirements Specification

We can use decision tables to model (describe or prescribe) the behaviour of software!

Example:

Ventilation system of lecture hall 101-0-026.

| T: roo | T: room ventilation | | r_2 | r_3 |
|--------|---------------------|----------|-------|-------|
| /b | button pressed? | × | × | - |
| off / | ventilation off? | \times | - | * |
| or | ventilation on? | - | × | * |
| go) | start ventilation | × | - | - |
| stor | stop ventilation | — | × | - |

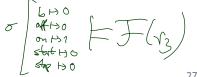
• We can observe whether button is pressed and whether room ventilation is on or off, and whether (we intend to) start ventilation of stop ventilation.

observalles

• We can model our observation by a boolean valuation $\sigma: C \cup A \rightarrow \dot{\mathbb{B}}$, e.g., set

 $\sigma(b):=\mathit{true},$ if button pressed now and $\sigma(b):=\mathit{false},$ if button not pressed now.

- $\sigma(go) := \textit{true}$, we plan to start ventilation and $\sigma(go) := \textit{false}$, we plan to stop ventilation.
- A valuation $\sigma : C \cup A \to \mathbb{B}$ can be used to assign a truth value to a propositional formula φ over $C \cup A$. As usual, we write $\sigma \models \varphi$ iff φ evaluates to *true* under σ (and $\sigma \not\models \varphi$ otherwise).
- Rule formulae $\mathcal{F}(r)$ are propositional formulae over $C \cup A$ thus, given σ , we have either $\sigma \models \mathcal{F}(r)$ or $\sigma \not\models \mathcal{F}(r)$.



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Yes, And?

We can use decision tables to model (describe or prescribe) the behaviour of software!

Example:

Ventilation system of lecture hall 101-0-026.

| T: roo | r_1 | r_2 | r_3 | |
|--------|-------------------|-------|-------|---|
| b | button pressed? | × | × | - |
| off | ventilation off? | × | - | * |
| on | ventilation on? | - | × | * |
| go | start ventilation | × | - | - |
| stop | stop ventilation | - | × | - |

- We can observe whether button is pressed and whether room ventilation is on or off, and whether (we intend to) start ventilation of stop ventilation.
- We can model our observation by a boolean valuation $\sigma: C \cup A \rightarrow \mathbb{B}$, e.g., set

 $\sigma(b):=\textit{true}, \text{if button pressed now and } \sigma(b):=\textit{false}, \text{if button not pressed now}.$

 $\sigma(go):=\textit{true}, \textit{we plan to start ventilation and } \sigma(go):=\textit{false}, \textit{we plan to stop ventilation}.$

- A valuation $\sigma : C \cup A \to \mathbb{B}$ can be used to assign a truth value to a propositional formula φ over $C \cup A$. As usual, we write $\sigma \models \varphi$ iff φ evaluates to *true* under σ (and $\sigma \not\models \varphi$ otherwise).
- Rule formulae $\mathcal{F}(r)$ are propositional formulae over $C \cup A$ thus, given σ , we have either $\sigma \models \mathcal{F}(r)$ or $\sigma \not\models \mathcal{F}(r)$.
- Let σ be a model of an observation of C and A. We say, σ is allowed by decision table T if and only if there exists a rule r in T such that $\sigma \models \mathcal{F}(r)$.

Example

| T: room ventilation | | r_1 | r_2 | r_3 |
|---------------------|-------------------|----------|-------|-------|
| b | button pressed? | \times | × | - |
| off | ventilation off? | × | ١ | * |
| on | ventilation on? | - | × | * |
| go | start ventilation | × | - | - |
| stop | stop ventilation | - | × | - |

$$\begin{split} \mathcal{F}(r_1) &= b \land off \land \neg on \land go \land \neg stop \\ \mathcal{F}(r_2) &= b \land \neg off \land on \land \neg go \land stop \\ \mathcal{F}(r_3) &= \neg b \land \textit{true} \land \textit{true} \land \neg go \land \neg stop \end{split}$$

(i) Assume: button pressed, ventilation off, we (only) plan to start the ventilation.

- Corresponding valuation: $\sigma_1 = \{b \mapsto true, off \mapsto true, on \mapsto false, start \mapsto true, stop \mapsto false\}.$
- Is our intention (to start the ventilation now) allowed by T? Yes! (Because $\sigma_1 \models \mathcal{F}(r_1)$)

(ii) Assume: button pressed, ventilation on, we (only) plan to stop the ventilation.

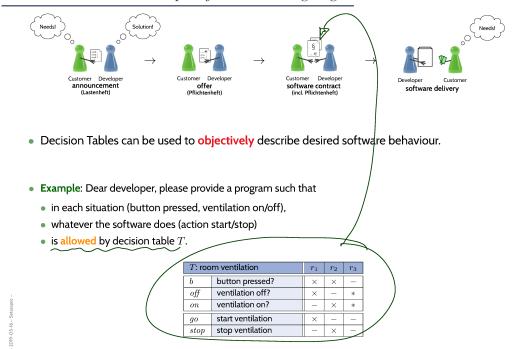
- Corresponding valuation: $\sigma_2 = \{b \mapsto true, off \mapsto false, on \mapsto true, start \mapsto false, stop \mapsto true\}.$
- Is our intention (to stop the ventilation now) allowed by T? Yes. (Because $\sigma_2 \models \mathcal{F}(r_2)$)

(iii) Assume: button not pressed, ventilation on, we (only) plan to stop the ventilation.

- Corresponding valuation:
- Is our intention (to stop the ventilation now) allowed by T? $$\mathcal{N}_{\mathbb{D}}$$

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Decision Tables as Specification Language



Decision Tables as Specification Language



- Decision Tables can be used to objectively describe desired software behaviour.
- Another Example: Customer session at the bank:

| T1: cash a cheque | | r_1 | r_2 | else |
|-------------------|-------------------------|-------|-------|------|
| c_1 | credit limit exceeded? | × | × | |
| c_2 | payment history ok? | × | - | |
| c_3 | overdraft $< 500 \in$? | - | * | |
| a_1 | cash cheque | × | - | Х |
| a_2 | do not cash cheque | - | × | - |
| a_3 | offer new conditions | × | - | - |

• clerk checks database state (yields σ for c_1, \ldots, c_3),

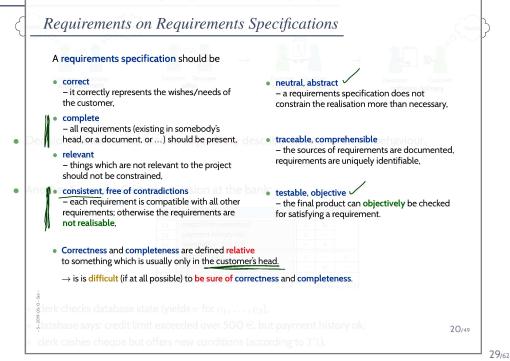
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- database says: credit limit exceeded over 500 \in , but payment history ok,
- clerk cashes cheque but offers new conditions (according to $T\mathbf{1}$).



Decision Tables as Specification Language

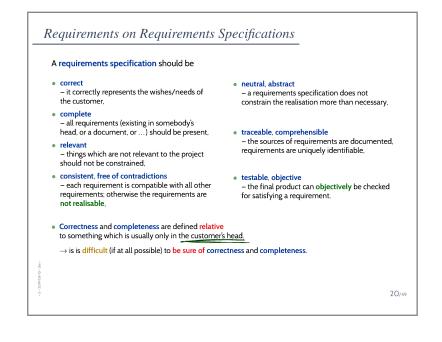


Decision Tables for Requirements Analysis

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Recall Once Again

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Completeness

Definition. [Completeness] A decision table T is called **complete** if and only if the disjunction of all rules' premises is a tautology, i.e. if

$$\models \bigvee_{r \in T} \mathcal{F}_{pre}(r).$$

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Tell Them What You've Told Them...

- Decision Tables: <u>one example for a formal</u> requirements specification language with
 - formal syntax, 🗸
 - formal semantics. \checkmark
- Requirements analysts can use DTs to
 - formally (objectively, precisely)

describe their understanding of requirements. Customers may need translations/explanation!

- DT properties like
 - (relative) completeness, determinism,
 - uselessness,

can be used to **analyse** requirements.

The discussed DT properties are decidable, there can be automatic analysis tools.

- **Domain modelling** formalises assumptions on the context of software; for DTs:
 - conflict axioms, conflict relation,

Note: wrong assumptions can have serious consequences.

References

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