# Softwaretechnik / Software-Engineering

# Lecture 15: Testing

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## Topic Area Code Quality Assurance: Content

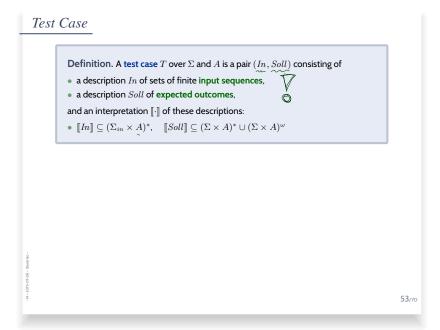
```
Introduction and Vocabulary
VL 15
         Test case, test suite, test execution.

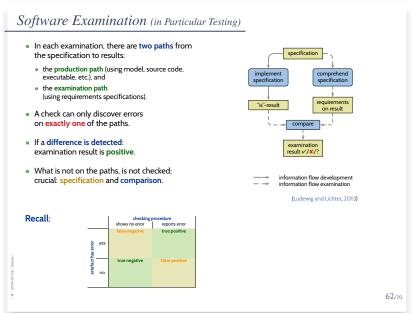
    Positive and negative outcomes.

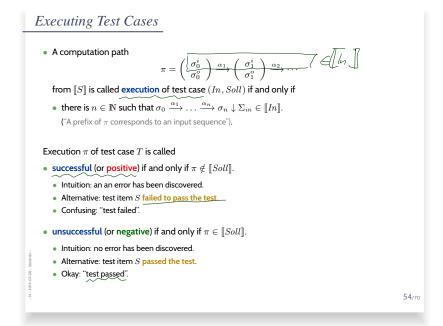
    Limits of Software Testing

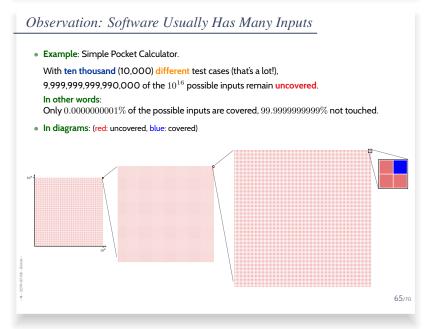
VL 16
       Glass-Box Testing
         Statement-, branch-, term-coverage.
         Other Approaches
         Model-based testing,
           Runtime verification.
         Program Verification
VL 17
         partial and total correctness,
         Proof System PD.
VL 18
         Review
```

## Recall: Test Case, Test Execution







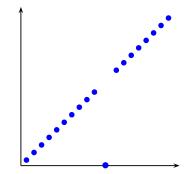


## Point vs. Range Errors

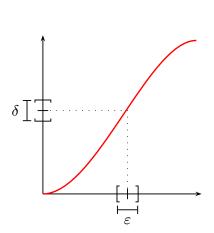
- For software, (in general, without extra information)
   we can not conclude from some values to others.
- Software behaviour is (in general) not continous.
  - For software, adjacent inputs may yield arbitrarily distant output values.

### **Vocabulary:**

- Point error: an isolated input value triggers the error.
- Range error: multiple "neighbouring" inputs trigger the error.



- Consider a continuous function, e.g. the one to the right: For sufficiently small  $\varepsilon$ -environments of an input, the outputs **differ only by a small amount**  $\delta$ .
- Physical systems are (to a certain extent) continous:
  - For example, if a bridge endures a single car of 1000 kg, we strongly expect the bridge to endure cars of 990 kg or 1010 kg.
  - And anything of weight smaller than 1000 kg can be expected to be endured.



### Content

- Some more vocabulary
- **Choosing Test Cases** 
  - Generic **requirements** on good test cases
- ↓

  ♠ Approaches:
  - → Statistical testing
  - Expected outcomes: Test Oracle : -/
  - → Habitat-based

  - Glass-Box Testing
     Statement / Branch / term coverage
     Conclusions from coverage measures
- When To Stop Testing?
- Model-Based Testing
- Testing in the Development Process
- Formal Program Verification
  - Deterministic Programs
    - Syntax, Semantics, Termination, Divergence

## Testing Vocabulary

## Specific Testing Notions

- How are the test cases chosen?
  - Considering only the specification (black-box or function test).
  - Considering the structure of the test item (glass-box or structure test).
- How much effort is put into testing?
   execution trial does the program run at all?
   throw-away-test invent input and judge output on-the-fly (→ "rumprobieren"),
   systematic test somebody (not author!) derives test cases, defines input/soll, documents test execution.

Experience: In the long run, systematic tests are more economic.

• Complexity of the test item:

```
    unit test — a single program unit is tested (function, sub-routine, method, class, etc.)
    module test — a component is tested,
    integration test — the interplay between components is tested.
    system test — tests a whole system.
```

## Specific Testing Notions Cont'd

• Which property is tested?

function test —

functionality as specified by the requirements documents,

installation test —

is it possible to install the software with the provided documentation and tools?

recommissioning test —

is it possible to bring the system back to operation after operation was stopped?

availability test —

does the system run for the required amount of time without issues,

load and stress test —

does the system behave as required under high or highest load? ... under overload?

"Hey, let's try how many game objects can be handled!" — that's an experiment, not a test.

resource tests —

response time, minimal hardware (software) requirements, etc.

regression test -

does the new version of the software behave like the old one on inputs where no behaviour change is expected?

## Specific Testing Notions Cont'd

- Which roles are involved in testing?
  - inhouse test —
     only developers (meaning: quality assurance roles),
  - alpha and beta test selected (potential) customers,
  - acceptance test —
     the customer tests whether the system (or parts of it, at milestones) test whether the system is
     acceptable.

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Choosing Test Cases

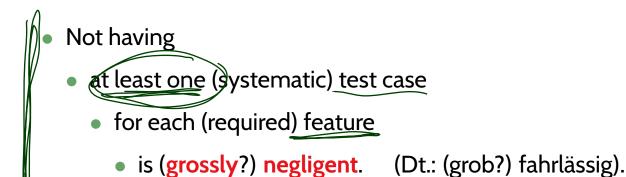
### How to Choose Test Cases?

#### A first rule-of-thumb:

"Everything, which is required, must be examined/checked. Otherwise it is uncertain whether the requirements have been understood and realised."

(Ludewig and Lichter, 2013)

#### In other words:



- In even other words:
  - Without at least one test case for each feature, we can hardly speak of software engineering.
- Good project management: document for each test case which feature(s) it tests.

### What Else Makes a Test Case a Good Test Case?

A test case is a good test case if it discovers — with high probability — an unknown error.

An ideal test case (In, Soll) would be

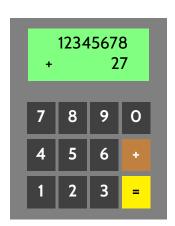
- of low redundancy, i.e. it does not test what other test cases also test.
- error sensitive, i.e. has high probability to detect an error,
   (Probability should at least be greater than O.)



representative, i.e. represent a whole class of inputs,

(i.e., software S passes (In, Soll) if and only S behaves well for all In' from the class)

### The idea of representative:



- If (12345678, 27; 12345705) was representative for (0, 27; 27), (1, 27; 28), etc.
- then from a negative execution of test case (12345678, 27; 12345705)
- we could conclude that (0, 27; 27), etc. will be negative as well.
- Is it / can we?

### What Else Makes a Test Case a Good Test Case?

Thus: The wish for representative test cases is **problematic**:

- In general, we do not know which inputs lie in an equivalence class wrt. a certain error.
- Yet there is a large body on literature on how to construct representative test cases, assuming we know the equivalence classes.

Of course: \*If\* we \*know\* equivalence classes, we should exploit that knowledge to optimise the number of test cases.

But it is **perfectly reasonable** to test representatives of **equivalence classes induced by the specification**, e.g.

- valid and invalid inputs (to check whether input validation works at all),
- different classes of inputs considered in the requirements, like "C50", "E1" coins in the vending machine  $\rightarrow$  have at least one test case with each.

**Recall**: one should have at least one test case per feature.

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## One Approach: Statistical Tests

### Classical statistical testing is one approach to deal with

- in practice not exhaustively testable huge input space,
- tester bias.

(People tend to choose "good-will" inputs and disregard (tacit?) corner-cases; recall: the developer is not a good tester.)

#### **Procedure:**

- Randomly (!) choose test cases  $T_1, \ldots, T_n$  for test suite  $\mathcal{T}$ .
- Execute test suite T.
- If an error is found:
  - good, we certainly know there is an error,
- if no error is found:
  - refuse hypothesis "program is not correct" with a certain significance niveau.
     (Significance niveau may be unsatisfactory with small test suites.)
- Note: Approach needs stochastical assumptions on error distribution and truly random test cases.

(Ludewig and Lichter, 2013) name the following objections against statistical testing:

- In particular for interactive software, the primary requirement is often no failures are experienced by the "typical user".
  - Statistical testing (in general) may also cover a lot of "untypical user behaviours" unless (sophisticated) user-models are used.
- Statistical testing needs a method to compute "soll"-values for the randomly chosen inputs.
  - That is easy for requirement "does not crash", but can be difficult in general.
- There is a high risk for not finding point or small-range errors.
   If they live in their "natural habitat", carefully crafted test cases would probably uncover them.

Findings in the literature can at best be called inconclusive.

### Where Do We Get The "Soll"-Values From?

**Recall**: A test case is a pair (In, Soll) with proper expected (or "soll") values.

- In an ideal world, all "soll"-values
   are defined by the (formal) requirements specification and effectively pre-computable.
- In this world,
  - the formal requirements specification may only reflectively describe acceptable results without giving
    a procedure to compute the results.
  - there may not be a formal requirements specification, e.g.
    - "the game objects should be rendered properly",
    - "the compiler must translate the program correctly",
    - "the notification message should appear on a proper screen position",
    - "the data must be available for at least 10 days".
    - etc.

Then: need another instance to decide whether the observation is acceptable.

- The testing community prefers to call any instance which decides whether results are acceptable a (test) oracle.
  - I'd prefer not to call automatic derivation of "soll"-values from a formal specification an "oracle"...; -) ("person or agency considered to provide wise and insightful [...] prophetic predictions or precognition of the future, inspired by the gods." says Wikipedia)

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## Habitat-based Testing

## Choosing Test Cases Habitat-based

### Some traditional popular belief on software error habitat:

- Software errors (seem to) enjoy
  - range boundaries, e.g.
    - 0, 1, 27 if software works on inputs from [0, 27],
    - -1, 28 for error handling,
    - $-2^{31} 1$ ,  $2^{31}$  on 32-bit architectures,
    - boundaries of arrays (first, last element),
    - boundaries of loops (first, last iteration),
    - etc.
  - special cases of the problem (empty list, use-case without actor, ...),
  - special cases of the programming language semantics,
  - complex implementations.
  - → Good idea: for each test case, note down why it has been chosen.

For example, "demonstrate that corner-case handling is not completely broken".

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## Statements and Branches by Example

**Definition. Software** is a finite description S of a (possibly infinite) set  $[\![S]\!]$  of (finite or infinite) computation paths of the form  $\sigma_0 \xrightarrow{\alpha_1} \sigma_1 \xrightarrow{\alpha_2} \sigma_2 \cdots$  where

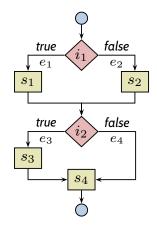
- $\sigma_i \in \Sigma$ ,  $i \in \mathbb{N}_0$ , is called state (or configuration), and
- $\alpha_i \in A$ ,  $i \in \mathbb{N}_0$ , is called action (or event).
- In the following, we assume that
  - S has a control flow graph  $(V, E)_S$ , and statements  $Stm_S \subseteq V$  and branches  $Cnd_S \subseteq E$ ,
  - each computation path prefix  $\sigma_0 \xrightarrow{\alpha_1} \sigma_1 \xrightarrow{\alpha_2} \sigma_2 \cdots \xrightarrow{\alpha_n} \sigma_n$  gives information on statements and control flow graph branch edges which were executed right before obtaining  $\sigma_n$ :

$$stm: (\Sigma \times A)^* \to 2^{Stm_S}$$
,  $cnd: (\Sigma \times A)^* \to 2^{Cnd_S}$ ,

```
1: int f(int x, int y, int z)
2: {
3: i_1: if (x > 100 \land y > 10)
4: s_1: z = z * 2;
5: else
6: s_2: z = z/2;
7: i_2: if (x > 500 \lor y > 50)
8: s_3: z = z * 5;
9: s_4: return z;
10:}
```

$$Stm_f = \{s_1, s_2, s_3, s_4\}$$

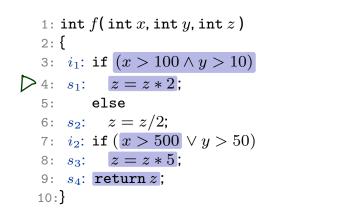
$$Cnd_f = \{e_1, e_2, e_3, e_4\}$$



## Statements and Branches by Example

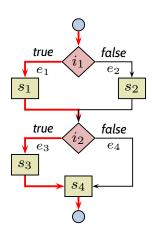
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$$stm: (\Sigma imes A)^* o 2^{Stm_S}$$
,  $cnd: (\Sigma imes A)^* o 2^{Cnd_S}$ ,



$$Stm_f = \{s_1, s_2, s_3, s_4\}$$

$$Cnd_f = \{e_1, e_2, e_3, e_4\}$$



## Statements and Branches by Example

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  - S has a control flow graph  $(V, E)_S$ , and statements  $Stm_S \subseteq V$  and branches  $Cnd_S \subseteq E$ ,
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$$stm: (\Sigma imes A)^* o 2^{Stm_S}$$
,  $cnd: (\Sigma imes A)^* o 2^{Cnd_S}$ ,

		$\sigma_0 - \frac{\alpha}{pc: 1} \\ x: 501 \\ y: 11 \\ z: 0$	$ \begin{array}{c} \stackrel{2}{\longrightarrow} & \sigma_1 \\ pc : 3 \\ x : 501 \\ y : 11 \\ z : 0 \end{array} $	$ \begin{array}{c} \alpha_2 \\ pc: 4 \\ x: 501 \\ y: 11 \\ z: 0 \end{array} $	$ \begin{array}{c} \alpha_2 \\  \hline  & \sigma_3 \\  & pc : 7 \\  & x : 501 \\  & y : 11 \\  & z : 0 \end{array} $	$ \begin{array}{c} \alpha_3 \\ pc : 8 \\ x : 501 \\ y : 11 \\ z : 0 \end{array} $	$ \begin{array}{c} \alpha_4 \\ pc : 9 \\ x : 501 \\ y : 11 \\ z : 0 \end{array} $	$ \begin{array}{c} \alpha_5 \\ pc : 10 \\ x : 501 \\ y : 11 \\ z : 0 \end{array} $
$cnd: \{\} \{\} \{e_1\} \} \{\} \{e_3\} \}$	,	{}	{}	{}	( )	{}	( )	$\{s_4\}$

## Glass-Box Testing: Coverage

- Coverage is a property of test cases and test suites.
- Execution  $\pi = \sigma_0 \xrightarrow{\alpha_1} \cdots$  of test case T achieves p % statement coverage if and only if

$$p = cov_{stm}(\pi) := \frac{\left|\bigcup_{i \in \mathbb{N}_0} stm(\sigma_0 \cdots \sigma_i)\right|}{\left|Stm_S\right|}, \left|Stm_S\right| \neq 0.$$

Test case T achieves p % statement coverage if and only if  $p = \min_{\pi \text{ execution of } T} cov_{stm}(\pi)$ .

• Execution  $\pi$  of T achieves p % branch coverage if and only if

$$p = cov_{cnd}(\pi) := \frac{\left|\bigcup_{i \in \mathbb{N}_0} cnd(\sigma_0 \cdots \sigma_i)\right|}{\left|Cnd_S\right|}, \left|Cnd_S\right| \neq 0.$$

Test case T achieves p % branch coverage if and only if  $p = \min_{\pi \text{ execution of } T} cov_{cnd}(\pi)$ .

- **Define**: p=100 for empty program. (More precisely:  $Stm_S=\emptyset$  and  $Cnd_S=\emptyset$ , respectively.)
- Statement/branch coverage canonically extends to test suite  $\mathcal{T}=\{T_1,\ldots,T_n\}$ . For example, given  $\pi_1=\sigma_0^1\cdots,\ldots,\pi_n=\sigma_0^n\cdots$ , then  $\mathcal{T}$  achieves

$$p = \frac{|\bigcup_{1 \leq j \leq n} \bigcup_{i \in \mathbb{N}_0} stm(\sigma_0^j \cdots \sigma_i^j)|}{|Stm_S|}, |Stm_S| \neq 0, \text{ statement coverage}.$$

## Coverage Example

```
int f(\inf x, \inf y, \inf z) {
i_1: \inf (x > 100 \land y > 10)
s_1: z = z * 2;
else
s_2: z = z/2;
i_2: \inf (x > 500) \lor y > 50)
s_3: z = z * 5;
s_4: \text{return } z;
```

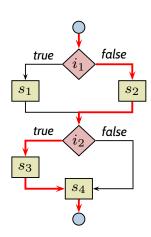
• Requirement:  $\{true\}\ f\ \{true\}\$  (no abnormal termination), i.e.  $S > ll = \Sigma^* \cup \Sigma^\omega$ .

							7	_	_				
In											%	%	$i_2$ /%
x, y, z	$i_1/t$	$i_1/f$	$s_1$	$s_2$	$i_2/t$	$i_2/f$	$c_1$	$c_2^{\prime}$	$s_3$	$s_4$	stm	cnd	term
501, 11, 0	~		/		<b>~</b>		~		~	~	75	50	25
501, 0, 0				<b>\</b>	/		V		/		100	75	_

test suite coverage

## Coverage Example

```
int f( int x, int y, int z ) {
i_1: if (x > 100 \land y > 10)
s_1: z = z * 2;
else
s_2: z = z/2;
i_2: if (x > 500 \lor y > 50)
s_3: z = z * 5;
s_4: return z;
}
```



test suite coverage

• Requirement:  $\{true\}\ f\ \{true\}\$ (no abnormal termination), i.e.  $Soll=\Sigma^*\cup\Sigma^\omega.$ 

In											%	%	$i_2$ /%
x, y, z	$i_1/t$	$i_1/f$	$s_1$	$s_2$	$i_2/t$	$i_2/f$	$c_1$	$c_2$	$s_3$	$s_4$	stm	cnd	term
501, 11, 0	<b>/</b>		<b>/</b>		<b>~</b>		<b>~</b>		>	>	75	50	25
501, 0, 0		<b>V</b>		~	<b>V</b>		~		>	>	100	75	25
0,0,0		<b>V</b>		~		<b>V</b>				>	100	100	75
0, 51, 0		<b>V</b>		~	<b>V</b>			<b>/</b>		<b>✓</b>	100	100	100

### Term Coverage

Consider the statement

if 
$$(A \wedge (B \vee (C \wedge D)) \vee E)$$
 then ...;

where A, ..., E are minimal boolean terms, e.g. x > 0, but not  $a \vee b$ .

Branch coverage is easy in this case:

Use  $In_1$  such that  $(A=0,\ldots,E=0)$ , and  $In_2$  such that  $(A=0,\ldots,E=1)$ .

Additional goal:

check whether there are useless terms, or terms causing abnormal program termination.

- Term Coverage (for an expression *expr*):
  - Let  $\beta: \{A_1, \ldots, A_n\} \to \mathbb{B}$  be a valuation of the terms.

	Α	В	С	D	E	b	%
$\beta_1$	1	1	0	0	0	1	20
$\beta_2$	1	0	0	1	0	0	50
$\beta_3$	1	0	1	1	0	1	70
$eta_4$	0	0	1	0	1	1	80

red: b-effective, black: otherwise

• Term  $A_i$  is b-effective in  $\beta$  for expr if and only if

$$\beta(A_i) = b \text{ and } \llbracket expr \rrbracket (\beta[A_i/\text{true}]) \neq \llbracket expr \rrbracket (\beta[A_i/\text{false}]).$$

•  $\Xi \subseteq (\{A_1, \ldots, A_n\} \to \mathbb{B})$  achieves p % term coverage if and only if

$$p = \frac{|\{A_i^b \mid \exists \beta \in \Xi \bullet A_i \text{ is } b\text{-effective in } \beta\}|}{2n}.$$

### Unreachable Code

```
int f(int x, int y, int z) {
i_1: if (x \neq x)
s_1: z = y/0;
i_2: if (x = x \lor z/0 = 27)
s_2: z = z * 2;
s_3: return z;
}
```

- Statement  $s_1$  is **never executed** (because  $x \neq x \iff false$ ), thus 100 % statement-/branch-/term-coverage is **not achievable**.
- Assume, evaluating n/0 causes (undesired) abnormal program termination. Is statement  $s_1$  an error in the program...?
- Term z/0 in  $i_2$  also looks critical... (In programming languages with short-circuit evaluation, it is never evaluated.)

## Conclusions from Coverage Measures

- Assume, test suite  $\mathcal{T}$  tests software S for the following property  $\varphi$ :
  - pre-condition: p, post-condition: q, and S passes (!)  $\mathcal{T}$ , and the execution achieves  $100\,\%$  statement / branch / term coverage.

What does this tell us about S? Or: what can we conclude from coverage measures?

- 100 % statement coverage:
  - "there is no statement, which necessarily violates  $\varphi$ " (Still, there may be many, many computation paths which violate  $\varphi$ , and which just have not been touched by  $\mathcal{T}$ .)
  - "there is no unreachable statement"
- 100 % branch (term) coverage:
  - "there is no single branch (term) which **necessarily causes** violations of  $\varphi$ " In other words: "for each condition (term), there is one computation path satisfying  $\varphi$  where the condition (term) evaluates to *true*, and one for *false*."
  - "there is no unused condition (term)"

#### Not more ( $\rightarrow$ exercises)!

That's definitely something, but not as much as "100 %" may sound like...

## Coverage Measures in Certification

(Seems that) DO-178B,

"Software Considerations in Airborne Systems and Equipment Certification", (which deals with the safety of software used in certain airborne systems)

requires that certain **coverage measures** are reached, in particular something similar to **term coverage** (MC/DC coverage).

(Next to development process requirements, reviews, unit testing, etc.)

- If not required, ask: what is the effort / gain ratio?
   (Average effort to detect an error; term coverage needs high effort.)
- Currently, the standard moves towards accepting certain verification or static analysis tools to support (or even replace?) some testing obligations.

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## When To Stop Testing?

- There need to be defined criteria for when to stop testing;
   project planning should consider these criteria (and previous experience).
- Possible "testing completed" criteria:
  - all (previously) specified test cases
     have been executed with negative result,

(Special case: All test cases resulting from a certain strategy, like maximal statement coverage have been executed.)

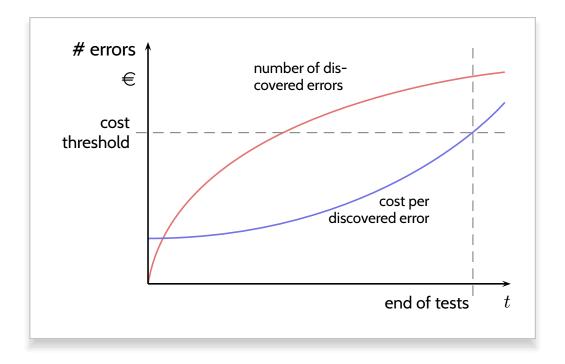
- testing effort time sums up to x (hours, days, weeks),
- testing effort sums up to y (any other useful unit),
- n errors have been discovered,
- no error has been discovered during the last z hours (days, weeks) of testing,

Values for x, y, n, z are fixed based on experience, estimation, budget, etc.

Of course: not all criteria are equally reasonable or compatible with each testing approach.

### Another Criterion

- Another possible "testing completed" criterion:
  - The average cost per error discovery exceeds a defined threshold c.



Value for  $\boldsymbol{c}$  is again fixed based on experience, estimation, budget, etc..

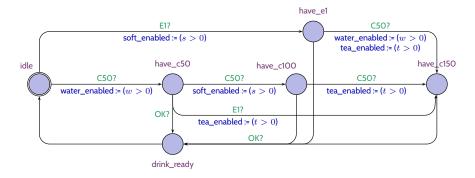
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- Some more vocabulary
- Choosing Test Cases
  - Generic **requirements** on good test cases
- ↓

  ♠ Approaches:
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  - Expected outcomes: Test Oracle : -/
  - → Habitat-based
  - Glass-Box Testing
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Model-Based Testing

## Model-based Testing



- Does some software implement the given CFA model of the CoinValidator?
- One approach: Location Coverage.

Check whether for **each location** of the model there is a **corresponding configuration** reachable in the software (needs to be observable somehow).

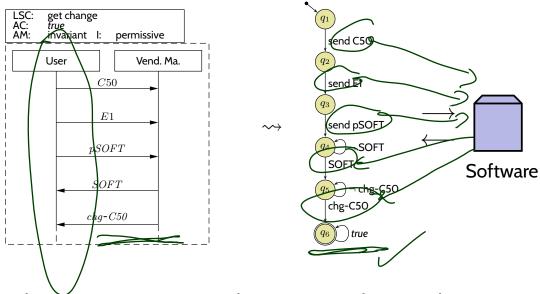
- Input sequences can **automatically be generated** from the model, e.g., using Uppaal's "drive-to" feature.
  - Check "can we reach 'idle', 'have\_c50', 'have\_c100', 'have\_c150'?" by

$$T_1 = (\mathsf{C50}, \mathsf{C50}, \mathsf{C50}; \{\pi \mid \exists \ i < j < k < \ell \bullet \pi^i \sim \mathsf{idle}, \pi^j \sim \mathsf{h\_c50}, \pi^k \sim \mathsf{h\_c100}, \pi^\ell \sim \mathsf{h\_c150}\})$$

- Check for 'have\_e1' by  $T_2 = (C50, C50, C50; ...)$ .
- To check for 'drink\_ready', more interaction is necessary.
- Analogously: Edge Coverage.

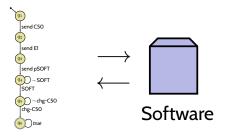
Check whether each edge of the model has corresponding behaviour in the software.

### Existential LSCs as Test Driver & Monitor (Lettrari and Klose, 2001)



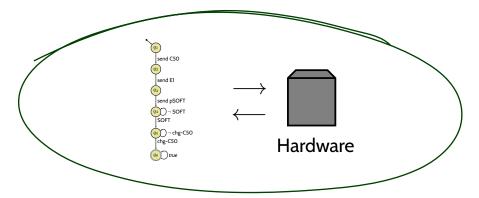
- If the LSC has designated environment instance lines, we can distinguish:
  - messages expected to originate from the environemnt (driver role),
  - messages expected adressed to the environemnt (monitor role).
- Adjust the TBA-construction algorithm to construct a test driver & monitor
  and let it (possibly with some glue logic in the middle) interact with the software.
- Test passed (i.e., test unsuccessful) if and only if TBA state  $q_6$  is reached. Note: We may need to refine the LSC by adding an activation condition; or communication which drives the system under test into the desired start state.
- For example the Rhapsody tool directly supports this approach.

# Vocabulary



#### Software-in-the-loop:

The final implementation is examined using a separate computer to simulate other system components.



#### • Hardware-in-the-loop:

The final implementation is running on (prototype) hardware which is connected by its standard input/output interface (e.g. CAN-bus) to a separate computer which simulates other system components.

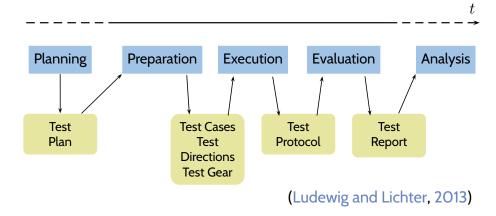
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# Test Conduction: Activities & Artefacts



Test Gear: (may need to be developed in the project!)

test driver— A software module used to invoke a module under test and, often, provide test inputs, control and monitor execution, and report test results.

Synonym: test harness.

IEEE 610.12 (1990)

#### stub-

- (1) A skeletal or special-purpose implementation of a software module, used to develop or test a module that calls or is otherwise dependent on it.
- (2) A computer program statement substituting for the body of a software module that is or will be defined elsewhere.

  IEEE 610.12 (1990)

Roles: tester and developer should be different persons!

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### Tell Them What You've Told Them...

There is a vast amount of literature on how to choose test cases.

#### A good starting point:

- at least one test case per feature,
- corner-cases, extremal values,
- error handling, etc.
- Glass-box testing
  - considers the control flow graph,
  - defines coverage measures.
- Other approaches:
  - statistical testing, model-based testing,
- Define criteria for "testing done" (like coverage, or cost per error).
- Process: tester and developer should be different persons.
- There are more approaches to code quality assurance than (just) testing.
- For example, program verification.

References

# References

IEEE (1990). IEEE Standard Glossary of Software Engineering Terminology. Std 610.12-1990.

Lettrari, M. and Klose, J. (2001). Scenario-based monitoring and testing of real-time UML models. In Gogolla, M. and Kobryn, C., editors, *UML*, number 2185 in Lecture Notes in Computer Science, pages 317–328. Springer-Verlag.

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