Software Design, Modelling and Analysis in UML

Lecture 13: Core State Machines IV

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Contents & Goals

Last Lecture:

- System configuration
- Transformer

This Lecture:

- Educational Objectives: Capabilities for following tasks/questions.
 - What does this State Machine mean? What happens if I inject this event?
 - Can you please model the following behaviour.
 - What is: Signal, Event, Ether, Transformer, Step, RTC.

• Content:

- Transformer cont'd
- Examples for transformer
- Run-to-completion Step
- Putting It All Together

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Transformer: Skip

abstract syntax skip		concrete syntax ship
intuitive semantics		
	do nothing	
well-typedness	./.	
semantics		
	$t[u_x](\sigma,\varepsilon) = \{(\sigma,\varepsilon)\}$	
observables		
	$Obs_{\texttt{skip}}[u_x](\sigma,\varepsilon) = \emptyset$	
(error) conditions		

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Transformer: Update

$\begin{array}{llllllllllllllllllllllllllllllllllll$		
intuitive semantics Update attribute v in the object denoted by $expr_1$ to the value denoted by $expr_2$.		
well-typedness		
$expr_1: au_C$ and $v: au \in atr(C); expr_2: au;$ $expr_1, expr_2$ obey visibility and navigability		
semantics		
$t_{\texttt{update}(expr_1,v,expr_2)}[u_x](\sigma,\varepsilon) = \{(\sigma',\varepsilon)\}$		
where $\sigma' = \sigma[u \mapsto \sigma(u)[v \mapsto I[\![expr_2]\!](\sigma,\beta)]]$ with $u = I[\![expr_1]\!](\sigma,\beta), \beta = \{\text{this} \mapsto u_x\}.$		
observables		
$Obs_{\texttt{update}(expr_1, v, expr_2)}[u_x] = \emptyset$		
(error) conditions Not defined if $I[\![expr_1]\!](\sigma,\beta)$ or $I[\![expr_2]\!](\sigma,\beta)$ not defined.		

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Transformer: Send

	abstract syntax concrete syntax	
	$send(E(expr_1,, expr_n), expr_{dst}) $	
	intuitive semantics	
	Object $u_x : C$ sends event E to object $expr_{dst}$, i.e. create a fresh signal instance, fill in its attributes, and place it in the ether.	
	well-typedness $expr_{dst}: \tau_D, C, D \in \mathscr{C} \setminus \mathscr{E}; E \in \mathscr{E};$ $atr(E) = \{v_1: \tau_1, \dots, v_n: \tau_n\}; expr_i: \tau_i, 1 \le i \le n;$	gul istances
	all expressions obey visibility and navigability in C	
	$\begin{array}{c} \text{semantics} \\ t_{\texttt{send}(E(expr_1, \dots, expr_n), expr_{dst})}[u_x](\sigma, \varepsilon) \ni (\sigma', \varepsilon') \end{array} \end{array} $	now Figured
	where $\sigma' = \sigma \cup \{u \mapsto \{v_i \mapsto d_i \mid 1 \le i \le n\}\}; \varepsilon' = \varepsilon \oplus (u_{dst}, u);$ if $u_{dst} = I[\![expr_{dst}]\!](\sigma, \beta) \in \operatorname{dom}(\sigma); d_i = I[\![expr_i]\!](\sigma, \beta)$ for $1 \le i \le n;$	INSTONAL
ous choice -	$u\in\mathscr{D}(E)$ a fresh identity, i.e. $u ot\in\mathrm{dom}(\sigma)$,	
g we could	and where $(\sigma', \varepsilon') = (\sigma, \varepsilon)$ if $u_{dst} \notin dom(\sigma)$; $\beta = \{ this \mapsto u_x \}$.	
And the state	observables	
	$Obs_{send}[u_x] = \{(u_x, u, (E, d_1, \dots, d_n), u_{dst})\}$	
21-21 21-21	(error) conditions	
- 201	$I[\![expr]\!](\sigma,eta)$ not defined for any	
- 13	$expr \in \{expr_{dst}, expr_1, \dots, expr_n\}$	
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Transformer: Create

(1) SU 605 : K = (new C), X + (ww C). y if needed:

$\begin{array}{c} \textbf{abstract syntax} \\ \texttt{create}(C, expr, v) \end{array}$	concrete syntax ویورید: ورون را	tonp:= new (; tup:= new (;
intuitive semantics Create an object of class C and assign it to attribute v of the object denoted by expression $expr$.		X:= tup. X1 tup. +X tup::= NULL; tup::= NULL;
well-typedness $expr: \tau_D, v \in atr(D), atr(C) = \{ \langle v \rangle \} \}$	$\tau_1: \tau_1, expr_i^0 \rangle \mid 1 \le i \le n \}$	
semantics		
observables		
(error) conditions $I[\![expr]\!](\sigma,\beta)$ not	defined.	
 We use an "and assign"-action for simplic expressive power, but moving creation to the kinds of other problems such as order of e Also for simplicity: no parameters to const tor). Adding them is straightforward (but 	ity — it doesn't add or remove the expression language raises all valuation (and thus creation). ruction (~ parameters of construc- somewhat tedious).	t)



How To Choose New Identities?

- **Re-use**: choose any identity that is not alive **now**, i.e. not in $dom(\sigma)$.
 - Doesn't depend on history.
 - May "undangle" dangling references may happen on some platforms.
- Fresh: choose any identity that has not been alive ever, i.e. not in $dom(\sigma)$ and any predecessor in current run.
 - Depends on history.
 - Dangling references remain dangling could mask "dirty" effects of platform.

Transformer: Create

abstract syntaxconcrete syntaxcreate($C, expr, v$)			
intuitive semantics Create an object of class C and assign it to attribute v of the object denoted by expression $expr$.			
well-typedness $expr: \tau_D, v \in atr(D), atr(C) = \{ \langle v_1 : \tau_1, expr_i^0 \rangle \mid 1 \le i \le n \}$			
$\begin{array}{c} \text{semantics} \\ \underbrace{\text{woldning V}}_{in \ V_0 \ in \ \sigma} & ((\sigma, \varepsilon), (\sigma', \varepsilon')) \in t \\ \text{iff } \sigma' = \sigma[u_0 \mapsto \sigma(u_0)[v \mapsto u]] \cup \{u \mapsto \{v_i \mapsto d_i \mid 1 \leq i \leq n\}\}, \\ \varepsilon' = [u](\varepsilon); \underline{u} \in \mathscr{Q}(C) \ \text{fresh}, \ \text{i.e.} \ u \notin \text{dom}(\sigma); \\ u_0 = I[\![expr]](\sigma, \beta); \ \underline{d_i} = I[\![expr_i^0]\!](\sigma, \beta) \ \text{if} \ expr_i^0 \neq `` \ \text{and} \ arbitrary \\ \text{value from } \mathscr{D}(\tau_i) \ \text{otherwise}; \ \beta = \{\text{this} \mapsto u_x\}. \end{array}$			
observables $Obs_{ t create}[u_x] = \{(u_x, \bot, (*, \emptyset), u)\}$			
(error) conditions $I[[expr]](\sigma)$ not defined.			

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Transformer: Destroy

abstract syntax destroy(expr)	concrete syntax delete expr	
intuitive semantics Destroy the object denoted by expression <i>expr</i> .		
well-typedness $expr: \tau_C, C \in \mathscr{C}$		
semantics		
•••		
observables		
$Obs_{\texttt{destroy}}[u_x] = \{(u_x, \bot, (+, \emptyset), u)\}$		
(error) conditions		
$I[\![expr]\!](\sigma,\beta)$ not defined.		



What to Do With the Remaining Objects?

Assume object u_0 is destroyed...

- object u_1 may still refer to it via association r:
 - allow dangling references?
 - or remove u_0 from $\sigma(u_1)(r)$?
- object u_0 may have been the last one linking to object u_2 :
 - leave u_2 alone?
 - or remove u_2 also?
- Plus: (temporal extensions of) OCL may have dangling references.

Our choice: Dangling references and no garbage collection!

This is in line with "expect the worst", because there are target platforms which don't provide garbage collection — and models shall (in general) be correct without assumptions on target platform.

But: the more "dirty" effects we see in the model, the more expensive it often is to analyse. Valid proposal for simple analysis: monotone frame semantics, no destruction at all.

Transformer: Destroy

${f abstract\ syntax}\ {f destroy}(expr)$	concrete syntax	
intuitive semantics Destroy the object denoted by expression	expr.	
well-typedness $expr: \tau_C, \ C \in \mathscr{C}$		
semantics $t[u_x](\sigma,\varepsilon) = \{(\sigma',\varepsilon)\} \qquad \text{function}$	restriction	
where $\sigma' = \sigma _{\operatorname{dom}(\sigma) \setminus \{u\}}^{\mathbf{z}}$ with $u = I[\![expr]\!](\sigma, \beta)$.		
observables		
$Obs_{\texttt{destroy}}[u_x] = \{(u_x, \bot, (+, \emptyset), u)\}$	}	
(error) conditions		
$I[\![expr]\!](\sigma,eta)$ not defined.		

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Sequential Composition of Transformers

• Sequential composition $t_1 \circ t_2$ of transformers t_1 and t_2 is canonically defined as

$$(t_2 \circ t_1)[u_x](\sigma, \varepsilon) = t_2[u_x](t_1[u_x](\sigma, \varepsilon))$$

with observation

$$Obs_{(t_2 \circ t_1)}[u_x](\sigma, \varepsilon) = Obs_{t_1}[u_x](\sigma, \varepsilon) \cup Obs_{t_2}[u_x](t_1(\sigma, \varepsilon)).$$

• Clear: not defined if one the two intermediate "micro steps" is not defined.

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Transformers And Denotational Semantics

Observation: our transformers are in principle the denotational semantics of the actions/action sequences. The trivial case, to be precise.

Note: with the previous examples, we can capture

- empty statements, skips,
- conditionals (by normalisation and auxiliary variables),
 create/destroy,

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but not possibly diverging loops.

Our (Simple) Approach: if the action language is, e.g. Java,

then (syntactically) forbid loops and calls of recursive functions.

Other Approach: use full blown denotational semantics.

No show-stopper, because loops in the action annotation can be converted into transition cycles in the state machine.



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Run-to-completion Step

Definition. Let A be a set of actions and S a (not necessarily finite) set of of states. We call $\rightarrow \subseteq S \times A \times S$ a (labelled) transition relation. Let $S_0 \subseteq S$ be a set of initial states. A sequence $s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} s_2 \xrightarrow{a_2} \dots$ with $s_i \in S$, $a_i \in A$ is called computation of the labelled transition system (S, \rightarrow, S_0) if and only if
• initiation: $s_0 \in S_0$ • consecution: $(s_i, a_i, s_{i+1}) \in \rightarrow$ for $i \in \mathbb{N}_0$.

Note: for simplicity, we only consider infinite runs.

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Active vs. Passive Classes/Objects

• Note: From now on, assume that all classes are active for simplicity.

We'll later briefly discuss the Rhapsody framework which proposes a way how to integrate non-active objects.

• **Note**: The following RTC "algorithm" follows [Harel and Gery, 1997] (i.e. the one realised by the Rhapsody code generation) where the standard is ambiguous or leaves choices.

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Definition. Let $\mathscr{S}_0 = (\mathscr{T}_0, \mathscr{C}_0, V_0, atr_0, \mathscr{E})$ be a signature with signals (all classes **active**), \mathscr{D}_0 a structure of \mathscr{S}_0 , and $(Eth, ready, \oplus, \ominus, [\cdot])$ an ether over \mathscr{S}_0 and \mathscr{D}_0 . Assume there is one core state machine M_C per class $C \in \mathscr{C}$. We say, the state machines induce the following labelled transition relation on states $S := (\Sigma^{\mathscr{D}}_{\mathscr{S}} \stackrel{.}{\cup} \{\#\} \times Eth)_{\mathsf{w}} \text{ with actions } A := \left(2^{\mathscr{D}(\mathscr{C}) \times (\mathscr{D}(\mathscr{C}) \cup \{\bot\}} \stackrel{.}{\not \to} Evs(\mathscr{E}, \mathscr{D}) \times \mathscr{D}(\mathscr{C}) \right)$ D(C)× (σ, ε) (σ', ε') if and only if (i) an event with destination u is discarded, (ii) an event is dispatched to u, i.e. stable object processes an event, or (iii) run-to-completion processing by \boldsymbol{u} commences, i.e. object \boldsymbol{u} is not stable and continues to process an event, (iv) the environment interacts with object u, • s (cons,0) # 4 'LARY' if and only if (v) s = # and $cons = \emptyset$, or an error condition occurs during consumption of cons.

(i) Discarding An Event

$$(\sigma, \varepsilon) \xrightarrow{(cons,Snd)} (\sigma', \varepsilon')$$

if

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• an *E*-event (instance of signal *E*) is ready in ε for object *u* of a class \mathscr{C} , i.e. if

$$u \in \operatorname{dom}(\sigma) \cap \mathscr{D}(C) \land \exists u_E \in \mathscr{D}(\mathscr{E}) : u_E \in ready(\varepsilon, u)$$

- u is stable and in state machine state s, i.e. $\sigma(u)(stable) = 1$ and $\sigma(u)(st) = s$,
- but there is no corresponding transition enabled (all transitions incident with current state of *u* either have other triggers or the guard is not satisfied)

$$\forall (s, F, expr, act, s') \in \to (\mathcal{SM}_C) : F \neq E \lor I[[expr]](\vec{\sigma}) = 0$$

$$\forall (s, F, expr, act, s') \in \to (\mathcal{SM}_C) : F \neq E \lor I[[expr]](\vec{\sigma}) = 0$$

$$\forall (s, F, expr, act, s') \in \to (\mathcal{SM}_C) : F \neq E \lor I[[expr]](\vec{\sigma}) = 0$$

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$$\forall (s, F, expr, act, s') \in \to (\mathcal{SM}_C) : F \neq E \lor I[[expr]](\vec{\sigma}) = 0$$

and

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- the system configuration doesn't change, i.e. $\sigma'=\sigma$
- the event u_E is removed from the ether, i.e.

$$\varepsilon' = \varepsilon \ominus u_E,$$

• consumption of u_E is observed, i.e.

$$cons = \{(u, (E, \sigma(u_E)))\}, Snd = \emptyset.$$



(ii) Dispatch

$$(\sigma,\varepsilon) \xrightarrow[u]{(cons,Snd)} (\sigma',\varepsilon')$$
 if

- $u \in \operatorname{dom}(\sigma) \cap \mathscr{D}(C) \land \exists u_E \in \mathscr{D}(\mathscr{E}) : u_E \in \operatorname{ready}(\varepsilon, u)$
- u is stable and in state machine state s, i.e. $\sigma(u)(stable) = 1$ and $\sigma(u)(st) = s$,
- a transition is enabled, i.e.

$$\exists (s, F, expr, act, s') \in \to (\mathcal{SM}_C) : F = E \land I[[expr]](\tilde{\sigma}) = 1$$

where $\tilde{\sigma} = \sigma[u.params_E \mapsto u_E]$.

and

• (σ', ε') results from applying t_{act} to (σ, ε) and removing u_E from the ether, i.e.

where b depends:

- If u becomes stable in s', then b = 1. It does become stable if and only if there is no transition without trigger enabled for u in (σ', ε') .
- Otherwise b = 0.
- Consumption of u_E and the side effects of the action are observed, i.e.

$$cons = \{(u, (E, \sigma(u_E)))\}, Snd = Obs_{t_{act}}(\tilde{\sigma}, \varepsilon \ominus u_E).$$



(iii) Commence Run-to-Completion

$$(\sigma, \varepsilon) \xrightarrow[u]{(cons,Snd)} (\sigma', \varepsilon')$$

if

• there is an unstable object u of a class ${\mathscr C},$ i.e.

$$u \in \operatorname{dom}(\sigma) \cap \mathscr{D}(C) \wedge \sigma(u)(stable) = 0$$

- there is a transition without trigger enabled from the current state $s=\sigma(u)(st),$ i.e.

$$\exists (s, _, expr, act, s') \in \to (\mathcal{SM}_C) : I\llbracket expr \rrbracket(\sigma) = 1$$

 and

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• (σ', ε') results from applying t_{act} to (σ, ε) , i.e.

$$(\sigma'', \varepsilon') \in t_{act}[u](\sigma, \varepsilon), \quad \sigma' = \sigma''[u.st \mapsto s', u.stable \mapsto b]$$

where b depends as before.

• Only the side effects of the action are observed, i.e.

$$cons = \emptyset, Snd = Obs_{t_{act}}(\sigma, \varepsilon).$$



(iv) Environment Interaction

Assume that a set $\mathscr{E}_{env} \subseteq \mathscr{E}$ is designated as **environment** kevents and a set of attributes $v_{env} \subseteq V$ is designated as **input attributes**.

Then

$$(\sigma,\varepsilon) \xrightarrow[env]{(cons,Snd)} (\sigma',\varepsilon')$$

if

• an environment event $E \in \mathscr{E}_{env}$ is spontaneously sent to an alive object $u \in \mathscr{D}(\sigma)$, i.e. one was instance of E

$$\sigma' = \sigma \ \dot{\cup} \ \{ u_E \mapsto \{ v_i \mapsto d_i \mid 1 \le i \le n \}, \quad \varepsilon' = \varepsilon \oplus u_E$$

where $u_E \notin \operatorname{dom}(\sigma)$ and $\operatorname{atr}(E) = \{v_1, \ldots, v_n\}.$

• Sending of the event is observed, i.e. $cons = \emptyset$, $Snd = \{(env, E(\vec{d}))\}$.

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or

• Values of input attributes change freely in alive objects, i.e.

$$\forall v \in V \ \forall u \in \operatorname{dom}(\sigma) : \sigma'(u)(v) \neq \sigma(u)(v) \implies v \in V_{env}$$

and no objects appear or disappear, i.e. $\operatorname{dom}(\sigma') = \operatorname{dom}(\sigma)$.

•
$$\varepsilon' = \varepsilon$$
.



(v) Error Conditions





Notions of Steps: The Step

Note: we call one evolution $(\sigma, \varepsilon) \xrightarrow[u]{(cons,Snd)} (\sigma', \varepsilon')$ a step.

Thus in our setting, a step directly corresponds to

one object (namely *u*) takes a **single transition** between regular states. (We have to extend the concept of "single transition" for hierarchical state machines.)

That is: We're going for an interleaving semantics without true parallelism.

Remark: With only methods (later), the notion of step is not so clear. For example, consider

- c_1 calls f() at c_2 , which calls g() at c_1 which in turn calls h() for c_2 .
- Is the completion of h() a step?
- Or the completion of f()?
- Or doesn't it play a role?

It does play a role, because **constraints**/invariants are typically (= by convention) assumed to be evaluated at step boundaries, and sometimes the convention is meant to admit (temporary) violation in between steps.

Notions of Steps: The Run-to-Completion Step

What is a **run-to-completion** step...?

- Intuition: a maximal sequence of steps, where the first step is a dispatch step and all later steps are commence steps.
- Note: one step corresponds to one transition in the state machine.

A run-to-completion step is in general not syntacically definable — one transition may be taken multiple times during an RTC-step.

Example:



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References

References

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