NOTE: next lecture, Mon 11.11.,
51.0 .0034

Software Design, Modelling and Analysis in UML
Lecture 05: Object Diagrams, OCL Consistency

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## Contents \& Goals

## Last Lecture:

- OCL Semantics


## This Lecture:

- Educational Objectives: Capabilities for following tasks/questions.
- What is an object diagram? What are object diagrams good for?
- When is an object diagram called partial? What are partial ones good for?
- When is an object diagram an object diagram (wry. what)?
- Is this an object diagram wry. to that other thing?
- How are system states and object diagrams related?
- What does it mean that an OCL expression is satisfiable?
- When is a set of OCL constraints said to be consistent?
- Can you think of an object diagram which violates this OCL constraint?
- Content:
- Object Diagrams
- Example: Object Diagrams for Documentation
- OCL: consistency, satisfiability

Where Are We?


## Object Diagrams

Definition. A node labelled graph is a triple

$$
G=(N, E, f)
$$

consisting of

- vertexes $N$,
- edges $E$,
- node labeling $f: N \rightarrow X$, where $X$ is some label domain,


## Object Diagrams



```
N\subset\mathscr{D}(\mathscr{C})\mathrm{ finite, }E\subsetN\times\mp@subsup{V}{0,1,*}{}\timesN,\quadX={\textrm{X}}\dot{\cup}(V\not->(\mathscr{D}(\mathscr{T})\cup\mathscr{D}(\mp@subsup{\mathscr{C}}{*}{})))
    u
```

- Assume $\mathscr{S}=\left(\{\operatorname{Int}\},\{C\},\left\{v_{1}:\right.\right.$ Int, $v_{2}:$ Int $\left.\left., r: C_{*}\right\},\left\{C \mapsto\left\{v_{1}, v_{2}, r\right\}\right\}\right)$.
- Consider
$\sigma=\left\{u_{1} \mapsto\left\{v_{1} \mapsto 1, v_{2} \mapsto 2, r \mapsto\left\{u_{2}\right\}\right\}, u_{2} \mapsto\left\{v_{1} \mapsto 3, v_{2} \mapsto 4, r \mapsto \emptyset\right\}\right\}$
- Then $G_{N}=(N, E, f) E$
$=\left(\widetilde{\left\{u_{1}, v_{2}\right\}},\left\{\left(v_{1}, r, v_{2}\right)\right\},\left\{v_{1} \mapsto\left\{v_{1} \mapsto 1, v_{2} \mapsto 2\right\}, u_{2} \mapsto\left\{v_{1} \mapsto 3, v_{2} \mapsto 4\right\}\right\}\right)$
is an object diagram of $\sigma$ wrt. $\mathscr{S}$ and any $\mathscr{D}$ with $\mathscr{D}($ Int $) \supseteq\{1,2,3,4\}$.
$v_{3} \notin \operatorname{dom}(\sigma)$
$G_{1}=\left(\left\{v_{1}, v_{3}\right\}, \varnothing,\left\{v_{3} \mapsto X_{1} v_{1} \mapsto\left\{v_{1} \mapsto 1\right\}\right\}^{2}\right)$

```
N\subset\mathscr{D}(\mathscr{C})\mathrm{ finite, }E\subsetN\times\mp@subsup{V}{0,1,*}{}\timesN,\quadX={\textrm{X}}\dot{\cup}(V\not->(\mathscr{D}(\mathscr{T})\cup\mathscr{D}(\mp@subsup{\mathscr{C}}{*}{})))
    u
```

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- Then $G=(N, E, f)$
$=(\left\{u_{1}, u_{2}\right\},\left\{\left(u_{1}, r, u_{2}\right)\right\},\{u_{1} \mapsto\left\{v_{1} \mapsto 1, v_{2} \mapsto 2\right\}, \underbrace{u_{2} \mapsto\left\{v_{1} \mapsto 3, v_{2} \mapsto 4\right\}}\}$, is an object diagram of $\sigma$ wit $\mathscr{L}$ and any $\mathscr{D}$ with $\mathscr{D}($ Int $) \supseteq\{1,2,3,4\}$.
- We may equivalently (H) represen $G$ graphically as follows:



## UML Notation for Object Diagrams



```
N\subset\mathscr{D}(\mathscr{C}) finite, }E\subsetN\times\mp@subsup{V}{0,1,*}{}\timesN,\quadX={\textrm{X}}\dot{\cup}(V\not->(\mathscr{D}(\mathscr{T})\cup\mathscr{D}(\mathscr{C}*))
    u
```

$$
\sigma=\left\{1_{C} \mapsto\left\{p \mapsto \emptyset, n \mapsto\left\{5_{C}\right\}\right\}, 5_{C} \mapsto\{p \mapsto \emptyset, n \mapsto \emptyset\}, 1_{D} \mapsto\{x \mapsto 23\}\right\}
$$

vs.

- $(\emptyset, \emptyset, \emptyset)$
the empty "picture"


$\sigma\left(u_{n}\right)(p) \neq 5 d$

$\mathcal{J}=(\{\ln t\},\{C, D)$, $\left.\left\{p: C_{0,1}, u: C_{k}, x: \ln \right\}\right\}$, $\left.\left\{C \mapsto\left\{p_{1 n}\right\}, D \mapsto\{x\}\right\}\right)$

$V_{Q!s,}^{(s)}=\{p, n\}$


| $11_{D}: D$ |
| :--- |
| $x=23$ |

Definition. Let $G=(N, E, f)$ be an object diagram of system state $\sigma \in \Sigma_{\mathscr{S}}^{\mathscr{B}}$.
We call $G$ complete wrt. $\sigma$ if and only if

- $G$ is object complete, ie.
- $G$ consists of all alive objects, ie. $N=\operatorname{dom}(\sigma)$,
- $G$ is attribute complete, ie.
- $G$ comprises all "links" between alive objects, ie. if $u_{2} \in \sigma\left(u_{1}\right)(r)$ for some $u_{1}, u_{2} \in \operatorname{dom}(\sigma)$ and $r \in V$, then $\left(u_{1}, r, u_{2}\right) \in E$, and
- each node is labelled with the values of all $\mathscr{T}$-typed attributes, ie. for each $u \in \operatorname{dom}(\sigma)$,
$f(u)=\left.\sigma(u)\right|_{V_{\mathscr{T}}} \cup\{r \mapsto(\sigma(u)(r) \backslash N) \mid r \in V: \sigma(u)(r) \backslash N \neq \emptyset\}$
where $V_{\mathscr{T}}:=\{v: \tau \in V \mid \tau \in \mathscr{T}\}$.
Otherwise we call $G$ partial.

$$
\begin{aligned}
& \hline \text { - } N=\operatorname{dom}(\sigma), \quad \text { if } u_{2} \in \sigma\left(u_{1}\right)(r) \text {, then }\left(u_{1}, r, u_{2}\right) \in E, \\
& \text { - } f(u)=\left.\sigma(u)\right|_{V_{\mathscr{T}}} \cup\{r \mapsto(\sigma(u)(r) \backslash N) \mid \sigma(u)(r) \backslash N\} \\
& \hline
\end{aligned}
$$

Complete or partial?

$$
\sigma=\left\{1_{C} \mapsto\left\{p \mapsto \emptyset, n \mapsto\left\{5_{C}\right\}\right\}, 5_{C} \mapsto\{p \mapsto \emptyset, n \mapsto \emptyset\}, 1_{D} \mapsto\{x \mapsto 23\}\right\}
$$

## Complete/Partial is Relative

- Claim:
- Each finite system state has exactly one complete object diagram.
- A finite system state can have many partial object diagrams.
- Each object diagram $G$ represents a set of system states, namely

$$
G^{-1}:=\left\{\sigma \in \Sigma_{\mathscr{S}}^{\mathscr{D}} \mid G \text { is an object diagram of } \sigma\right\}
$$

- Observation: If somebody tells us, that a given (consistent) object diagram $G$ is complete, we can uniquely reconstruct the corresponding system state.
In other words: $G^{-1}$ is then a singleton.


## Corner Cases

## Closed Object Diagrams vs. Dangling References

Find the 10 differences! (Both diagrams shall be complete.)

closed


Definition. Let $\sigma$ be a system state. We say attribute $v \in V_{0,1, *}$ has a dangling reference in object $u \in \operatorname{dom}(\sigma)$ if and only if the attribute's value comprises an object which is not alive in $\sigma$, i.e. if

$$
\sigma(u)(v) \not \subset \operatorname{dom}(\sigma) .
$$

We call $\sigma$ closed if and only if no attribute has a dangling reference in any object alive in $\sigma$.

## Closed Object Diagrams vs. Dangling References

Find the 10 differences! (Both diagrams shall be complete.)


Definition. Let $\sigma$ be a system state. We say attribute $v \in V_{0,1, *}$ has a dangling reference in object $u \in \operatorname{dom}(\sigma)$ if and only if the attribute's value comprises an object which is not alive in $\sigma$, i.e. if

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\sigma(u)(v) \not \subset \operatorname{dom}(\sigma) .
$$

We call $\sigma$ closed if and only if no attribute has a dangling reference in any object alive in $\sigma$.

Observation: Let $G$ be the (!) complete object diagram of a closed system state $\sigma$. Then the nodes in $G$ are labelled with $\mathscr{T}$-typed attribute/value pairs only.

## Special Notation

- $\mathscr{S}=\left(\{\right.$ Int $\left.\},\{C\},\left\{n, p: C_{*}\right\},\{C \mapsto\{n, p\}\}\right)$.
- Instead of

we want to write

| $\underline{1_{C}: C}$ |  |
| :--- | :--- |
| $p=\emptyset$ | $n$ |

or

to explicitly indicate that attribute $p: C_{*}$ has value $\emptyset$ (also for $p: C_{0,1}$ ).

## Aftermath

We slightly deviate from the standard (for reasons):

- In the course, $C_{0,1}$ and $C_{*}$-typed attributes only have sets as values. UML also considers multisets, that is, they can have

(This is not an object diagram in the sense of our definition because of the requirement on the edges $E$. Extension is straightforward but tedious.)
- We allow to give the valuation of $C_{0,1^{-}}$or $C_{*}$-typed attributes in the values compartment.
- Allows us to indicate that a certain $r$ is not referring to another object.
- Allows us to represent "dangling references", i.e. references to objects which are not alive in the current system state.
- We introduce a graphical representation of $\emptyset$ values.


## The Other Way Round

- If we only have a picture as below, we typically assume that it's meant to be an object diagram wrt. some signature and structure.

- In the example, we can conclude (by "good will") that the author is referring to some signature $\mathscr{S}=(\mathscr{T}, \mathscr{C}, V$, atr $)$ with at least
- $\{C . D\} \subseteq C$
- $T \in \mathcal{T}$
- $\left\{x: C_{*}, e: T, p: C_{x}\right\}$
$\forall a \in \mathbb{N}_{0} \cdot \sigma\left(u_{3}\right)(z) \leqslant a$
- $\{x\} \subseteq \operatorname{arc}(C)$
- $\{\rho, z\} \leqslant \operatorname{art}(D)$
and a structure with
- $\left\{v_{1}, v_{2}\right\} \subset D(C)$
- $v_{3} \in D(D)$
- $0 \in D(T)$


Example: Illustrative Object Diagram [Schumann et al., 2008]


## OCL Consistency

## OCL Satisfaction Relation

In the following, $\mathscr{S}$ denotes a signature and $\mathscr{D}$ a structure of $\mathscr{S}$.

Definition (Satisfaction Relation).
Let $\varphi$ be an OCL constraint over $\mathscr{S}$ and $\sigma \in \Sigma_{\mathscr{S}}^{\mathscr{D}}$ a system state. We write

- $\sigma \models \varphi$ if and only if $I \llbracket \varphi \rrbracket(\sigma, \emptyset)=$ true.
- $\sigma \not \models \varphi$ if and only if $I \llbracket \varphi \rrbracket(\sigma, \emptyset)=$ false.

Note: In general we can't conclude from $\neg(\sigma \models \varphi)$ to $\sigma \not \models \varphi$ or vice versa.

## Object Diagrams and OCL

- Let $G$ be an object diagram of signature $\mathscr{S}$ wrt. structure $\mathscr{D}$.

Let expr be an OCL expression over $\mathscr{S}$.
We say $G$ satisfies expr, denoted by $G \models \operatorname{expr}$, if and only if

$$
\forall \sigma \in G^{-1}: \sigma \models \operatorname{expr}
$$

- If $G$ is complete, we can also talk about " $\neq$ ".
(Otherwise better not to avoid confusion: $G^{-1}$ could comprise different system states in which expr evaluates to true, false, and $\perp$.)
- Example: (complete - what if not complete wrt. object/attribute/both?)

- context $C$ inv : $n \rightarrow$ isEmpty ()
- context $C$ inv : $p . n->$ isEmpty ()
- context $D$ inv : $x \neq 0$


## OCL Consistency

Definition (Consistency). A set $\operatorname{Inv}=\left\{\varphi_{1}, \ldots, \varphi_{n}\right\}$ of OCL constraints over $\mathscr{S}$ is called consistent (or satisfiable) if and only if there exists a system state of $\mathscr{S}$ wrt. $\mathscr{D}$ which satisfies all of them, i.e. if

$$
\exists \sigma \in \Sigma_{\mathscr{S}}^{\mathscr{D}}: \sigma \models \varphi_{1} \wedge \ldots \wedge \sigma \models \varphi_{n}
$$

and inconsistent (or unrealizable) otherwise.

## OCL Inconsistency Example


((C) Prof. Dr. P. Thiemann, http://proglang. informatik. uni-freiburg.de/teaching/swt/2008/)

- context Location inv :
name $=$ 'Lobby' implies meeting $->$ isEmpty ()
- context Meeting inv:
title $=$ 'Reception' implies location. name $="$ Lobby"
- alllinstances $_{\text {Meeting }}->$ exists $(w:$ Meeting $\mid w$.title $=$ 'Reception')


## Deciding OCL Consistency

- Whether a set of OCL constraints is satisfiable or not is in general not as obvious as in the made-up example.
- Wanted: A procedure which decides the OCL satisfiability problem.
- Unfortunately: in general undecidable.

Otherwise we could, for instance, solve diophantine equations

Encoding in OCL:
alllnstances $_{C} \rightarrow \operatorname{exists}\left(w: C \mid c_{1} * w \cdot x_{1}^{n_{1}}+\cdots+c_{m} * w \cdot x_{m}^{n_{m}}=d\right)$.

## - And now? Options:

[Cabot and Clarisó, 2008]

- Constrain OCL, use a less rich fragment of OCL.
- Revert to finite domains - basic types vs. number of objects.


## - Expressive Power:

- "Pure OCL expressions only compute primitive recursive functions, but not recursive functions in general." [Cengarle and Knapp, 2001]
- Evolution over Time: "finally self. $x>0$ "

Proposals for fixes e.g. [Flake and Müller, 2003]. (Or: sequence diagrams.)

- Real-Time: "Objects respond within 10s"

Proposals for fixes e.g. [Cengarle and Knapp, 2002]

- Reachability: "After insert operation, node shall be reachable."

Fix: add transitive closure.

## - Concrete Syntax

"The syntax of OCL has been criticized - e.g., by the authors of Catalysis [...]

- for being hard to read and write.
- OCL's expressions are stacked in the style of Smalltalk, which makes it hard to see the scope of quantified variables.
- Navigations are applied to atoms and not sets of atoms, although there is a collect operation that maps a function over a set.
- Attributes, [...], are partial functions in OCL, and result in expressions with undefined value." [Jackson, 2002]


## References

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