Software Design, Modelling and Analysis in UML

Lecture 14: Core State Machines IV

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Contents & Goals

Last Lecture:

- System configuration
- Transformer
- Action language: skip, update, send

This Lecture:

- Educational Objectives: Capabilities for following tasks/questions.
 - What does this State Machine mean? What happens if I inject this event?
 - Can you please model the following behaviour.
 - What is: Signal, Event, Ether, Transformer, Step, RTC.

• Content:

- Transformers for Action Language
- Run-to-completion Step
- Putting It All Together

Transformer: Create

(*) SD NOT: x = (new C).x + (hew C).y

abstract syntax

 $\mathtt{create}(C, expr, v)$

concrete syntax

intuitive semantics

Create an object of class C and assign it to attribute v of the object denoted by expression expr.

well-typedness

 $expr: \tau_D, v \in atr(D), atr(C) = \{\langle v_i : \tau_i, expr_i^0 \rangle \mid 1 \le i \le n\}$

semantics

. . .

observables

. .

(error) conditions

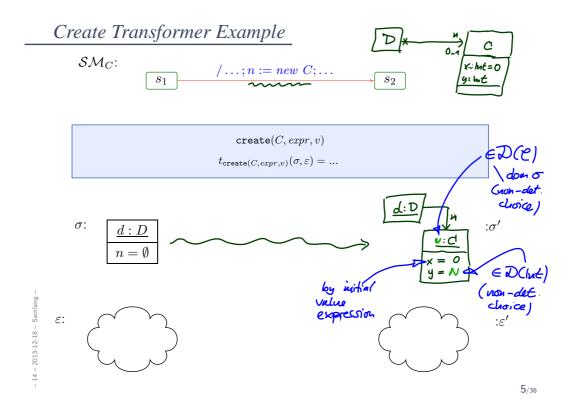
 $I[\![expr]\!](\sigma,\beta)$ not defined.

- We use an "and assign"-action for simplicity it doesn't add or remove expressive power, but moving creation to the expression language raises all kinds of other problems such as order of evaluation (and thus creation).
- \bullet Also for simplicity: no parameters to construction (\sim parameters of constructor). Adding them is straightforward (but somewhat tedious).

if needed tup:=new c; tup:=new c; x:=hup,x

tangs.y -tangs.y -tangs:= NKKC.

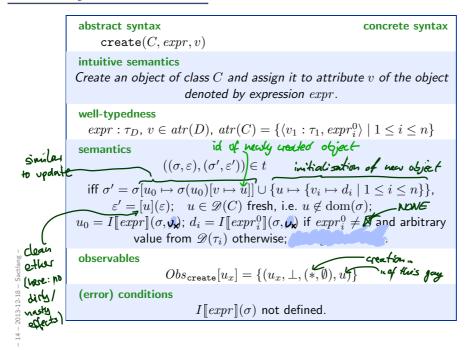
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How To Choose New Identities?

- Re-use: choose any identity that is not alive **now**, i.e. not in $dom(\sigma)$. ous choice
 - Doesn't depend on history.
 - May "undangle" dangling references may happen on some platforms.
- Fresh: choose any identity that has not been alive ever, i.e. not in $dom(\sigma)$ and any predecessor in current run.
 - Depends on history.
 - Dangling references remain dangling could mask "dirty" effects of platform.

Transformer: Create



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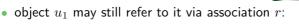
Transformer: Destroy

```
abstract syntax destroy(expr) delete exprint intuitive semantics Destroy the object denoted by expression expr. well-typedness expr: \tau_C, \ C \in \mathscr{C} semantics ... observables Obs_{\texttt{destroy}}[u_x] = \{(u_x, \bot, (+, \emptyset), u)\} (error) conditions I[expr](\sigma, \beta) \text{ not defined.}
```

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What to Do With the Remaining Objects?

Assume object u_0 is destroyed. . .



- allow dangling references?
 - or remove u_0 from $\sigma(u_1)(r)$?
 - object u_0 may have been the last one linking to object u_2 :
- leave u_2 alone?
 - ullet or remove u_2 also? ("garbage collection")
 - Plus: (temporal extensions of) OCL may have dangling references.

Our choice: Dangling references and no garbage collection!

This is in line with "expect the worst", because there are target platforms which don't provide garbage collection — and models shall (in general) be correct without assumptions on target platform.

V3:C

But: the more "dirty" effects we see in the model, the more expensive it often is to analyse. Valid proposal for simple analysis: monotone frame semantics, no destruction at all.

concrete syntax

destroy(expr)

intuitive semantics

Destroy the object denoted by expression expr.

well-typedness

$$expr: \tau_C, C \in \mathscr{C}$$

semantics

$$t[u_x](\sigma,\varepsilon) = (\sigma',\varepsilon) \qquad \text{function restriction}$$
 where $\sigma' = \sigma|_{\mathrm{dom}(\sigma)\setminus\{u\}}$ with $u = I[\![expr]\!](\sigma,\omega)$.

observables

$$Obs_{\texttt{destroy}}[u_x] = \{(u_x, \bot, (+, \emptyset), u)\}$$

(error) conditions

$$I\llbracket expr \rrbracket (\sigma, \mathbf{u})$$
 not defined.

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Sequential Composition of Transformers

$$(t_2 \circ t_1)[u_x](\sigma, \varepsilon) = t_2[u_x](t_1[u_x](\sigma, \varepsilon))$$

with observation

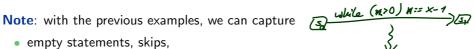
$$Obs_{(t_2 \circ t_1)}[u_x](\sigma, \varepsilon) = Obs_{t_1}[u_x](\sigma, \varepsilon) \cup Obs_{t_2}[u_x](t_1(\sigma, \varepsilon)).$$

• Clear: not defined if one the two intermediate "micro steps" is not defined.

X:=x+1; delete m; n:F

L send (t delete (tupdate (0;E)))

Observation: our transformers are in principle the denotational semantics of the actions/action sequences. The trivial case, to be precise.



- empty statements, skips,
- assignments,
- conditionals (by normalisation and auxiliary variables),
- create/destroy,



but not possibly diverging loops.

Our (Simple) Approach: if the action language is, e.g. Java, then (syntactically) forbid loops and calls of recursive functions.

Other Approach: use full blown denotational semantics.

No show-stopper, because loops in the action annotation can be converted into transition cycles in the state machine.

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Step and Run-to-completion Step

Definition. Let A be a set of actions and S a (not necessarily finite) set of of states.

We call

$$\rightarrow \subseteq S \times A \times S$$

a (labelled) transition relation.

Let $S_0 \subseteq S$ be a set of initial states. A sequence

$$s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} s_2 \xrightarrow{a_2} \dots$$

with $s_i \in S$, $a_i \in A$ is called **computation** of the labelled transition system (S, \rightarrow, S_0) if and only if

• initiation: $s_0 \in S_0$

• consecution: $(s_i, a_i, s_{i+1}) \in \rightarrow$ for $i \in \mathbb{N}_0$.

Note: for simplicity, we only consider infinite runs.

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Active vs. Passive Classes/Objects

- Note: From now on, assume that all classes are active for simplicity.
 We'll later briefly discuss the Rhapsody framework which proposes a way how to integrate non-active objects.
- Note: The following RTC "algorithm" follows [Harel and Gery, 1997] (i.e.
 the one realised by the Rhapsody code generation) where the standard is
 ambiguous or leaves choices.

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Definition. Let $\mathscr{S}_0 = (\mathscr{T}_0, \mathscr{C}_0, V_0, atr_0, \mathscr{E})$ be a signature with signals (all classes active), \mathscr{D}_0 a structure of \mathscr{S}_0 , and $(Eth, ready, \oplus, \ominus, [\cdot])$ an ether over \mathscr{S}_0 and \mathscr{D}_0 . Assume there is one core state machine M_C per class $C \in \mathscr{C}$.

We say, the state machines induce the following labelled transition relation on states

$$S:=(\Sigma_{\mathscr{S}}^{\mathscr{D}} \ \cup \ \{\#\} \times Eth) \text{ with actions } A:=\left(2^{\mathscr{D}(\mathscr{C}) \times (\mathscr{D}(\mathscr{E})} \ \cup \ \{\bot\}\} Evs(\mathscr{E},\mathscr{D}) \times \mathscr{D}(\mathscr{E})}\right)$$

if and only if

- (i) an event with destination u is discarded,
- (ii) an event is dispatched to u, i.e. stable object processes an event, or
- (iii) run-to-completion processing by \boldsymbol{u} commences, i.e. object \boldsymbol{u} is not stable and continues to process an event,
- (iv) the environment interacts with object u,
- $s \xrightarrow{(cons,\emptyset)} \#$

if and only if

(v) s = # and $cons = \emptyset$, or an error condition occurs during consumption of cons.

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(i) Discarding An Event

$$(\sigma, \varepsilon) \xrightarrow{(cons, Snd)} (\sigma', \varepsilon')$$

if

• an E-event (instance of signal E) is ready in ε for object u of a class $\mathscr C$, i.e. if

$$u \in \text{dom}(\sigma) \cap \mathscr{D}(C) \wedge \exists u_E \in \mathscr{D}(\mathscr{E}) : u_E \in ready(\varepsilon, u)$$

- u is stable and in state machine state s, i.e. $\sigma(u)(stable) = 1$ and $\sigma(u)(st) = s$,
- · but there is no corresponding transition enabled (all transitions incident with current state of u either have other triggers or the guard is not satisfied)

$$\forall (s, F, expr, act, s') \in \rightarrow (\mathcal{SM}_C) : F \neq E \lor I[[expr]](\sigma_{\mathbf{v}}) = 0$$

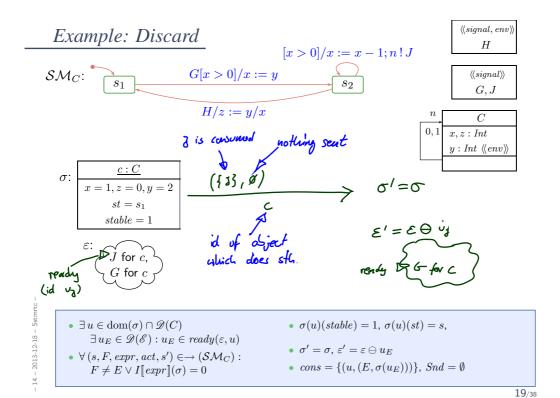
and

- the system configuration doesn't change, i.e. $\sigma' = \sigma$
- ullet the event u_E is removed from the ether, i.e.

$$\varepsilon' = \varepsilon \ominus u_E,$$

• consumption of u_E is observed, i.e.

$$cons = \{(u, (E, \sigma(u_E)))\}, Snd = \emptyset.$$



(ii) Dispatch

$$(\sigma, \varepsilon) \xrightarrow{(cons, Snd)} (\underline{\sigma}', \varepsilon')$$
 if

- $u \in \text{dom}(\sigma) \cap \mathcal{D}(C) \wedge \exists u_E \in \mathcal{D}(\mathscr{E}) : u_E \in ready(\varepsilon, u)$
- u is stable and in state machine state s, i.e. $\sigma(u)(stable)=1$ and $\sigma(u)(st)=s$,
- a transition is enabled, i.e.

where $\tilde{\sigma} = \sigma[u.params_E \mapsto u_E]$.

and

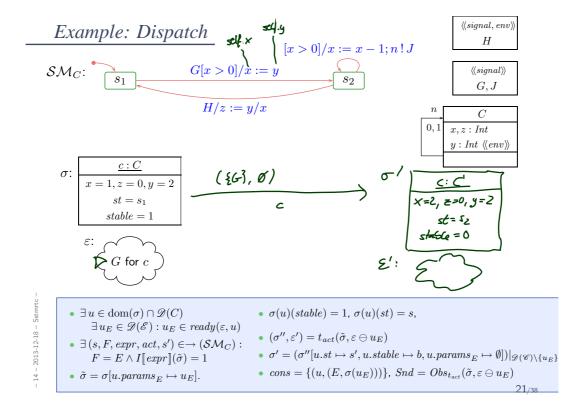
• (σ', ε') results from applying t_{act} to (σ, ε) and removing u_E from the ether, i.e.

$$(\sigma'',\varepsilon') \overset{\boldsymbol{\epsilon}}{\leftarrow} t_{act}(\tilde{\sigma},\varepsilon\ominus u_E),$$
 remove object v_E
$$\underline{\sigma'} = (\sigma''[u.st\mapsto s',u.stable\mapsto b,u.params_E\mapsto\emptyset])|_{\mathscr{D}(\mathscr{C})\setminus\{u_E\}}$$

where b depends:

- If u becomes stable in s', then b=1. It does become stable if and only if there is no transition without trigger enabled for u in (σ', ε') .
- Otherwise b = 0.
- ullet Consumption of u_E and the side effects of the action are observed, i.e.

$$cons = \{(u, (E, \sigma(u_E)))\}, Snd = Obs_{t_{act}}(\tilde{\sigma}, \varepsilon \ominus u_E).$$



(iii) Commence Run-to-Completion

$$(\sigma, \varepsilon) \xrightarrow{(cons, Snd)} (\sigma', \varepsilon')$$

if

• there is an unstable object u of a class \mathscr{C} , i.e.

$$u \in dom(\sigma) \cap \mathcal{D}(C) \wedge \sigma(u)(stable) = 0$$

• there is a transition without trigger enabled from the current state $s=\sigma(u)(st)$, i.e.

$$\exists (s, \underline{\hspace{0.1cm}}, expr, act, s') \in \rightarrow (\mathcal{SM}_C) : I[\![expr]\!](\sigma_{\hspace{0.1cm}}) = 1$$

and

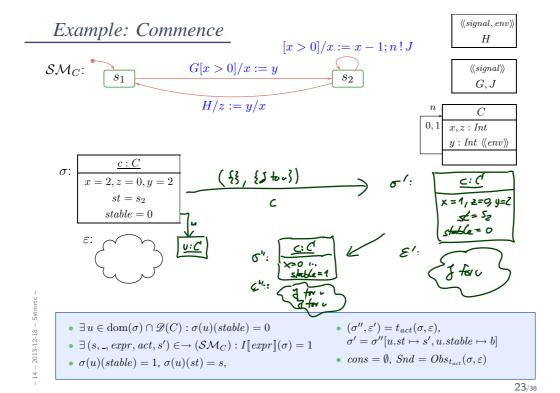
• (σ', ε') results from applying t_{act} to (σ, ε) , i.e.

$$(\sigma'', \varepsilon') \in t_{act}[u](\sigma, \varepsilon), \quad \sigma' = \sigma''[u.st \mapsto s', u.stable \mapsto b]$$

where b depends as before.

• Only the side effects of the action are observed, i.e.

$$cons = \emptyset, Snd = Obs_{tact}(\sigma, \varepsilon).$$



(iv) Environment Interaction

Assume that a set $\mathscr{E}_{env}\subseteq\mathscr{E}$ is designated as **environment events** and a set of attributes $v_{env}\subseteq V$ is designated as **input attributes**.

Then

$$(\sigma, \varepsilon) \xrightarrow[env]{(cons, Snd)} (\sigma', \varepsilon')$$

if

• an environment event $E \in \mathscr{E}_{env}$ is spontaneously sent to an alive object $u \in \mathscr{D}(\sigma)$, i.e.

$$\sigma' = \sigma \ \dot{\cup} \ \{u_E \mapsto \{v_i \mapsto d_i \mid 1 \le i \le n\}, \quad \varepsilon' = \varepsilon \oplus u_E$$

where $u_E \notin dom(\sigma)$ and $atr(E) = \{v_1, \dots, v_n\}$.

• Sending of the event is observed, i.e. $cons = \emptyset$, $Snd = \{(env, E(\vec{d}))\}$.

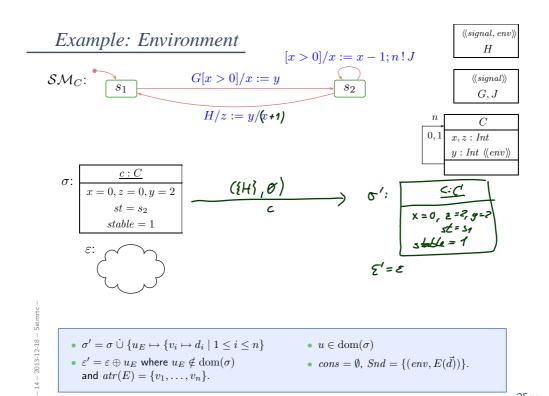
or

• Values of input attributes change freely in alive objects, i.e.

$$\forall v \in V \ \forall u \in \text{dom}(\sigma) : \sigma'(u)(v) \neq \sigma(u)(v) \implies v \in V_{env}.$$

and no objects appear or disappear, i.e. $dom(\sigma') = dom(\sigma)$.

• $\varepsilon' = \varepsilon$.



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(v) Error Conditions

$$s \xrightarrow{(cons,Snd)} \#$$

if, in (ii) or (iii),

- $\bullet \ I \llbracket \mathit{expr} \rrbracket$ is not defined for $\sigma,$ or
- t_{act} is not defined for (σ, ε) ,

plus # (p, p) #

and

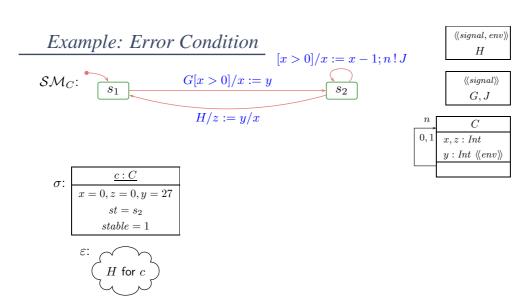
• consumption is observed according to (ii) or (iii), but $Snd = \emptyset$.

Examples:

$$E[x/0]/act \qquad s_2$$

$$E[true]/act \qquad s_3$$

$$\bullet \qquad \boxed{S_1} \qquad E[expr]/x := x/0 \qquad S_2$$



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- $I\llbracket expr
 rbracket$ not defined for σ , or
- consumption according to (ii) or (iii)
- t_{act} is not defined for (σ, ε)
- $Snd = \emptyset$

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Notions of Steps: The Step

Note: we call one evolution $(\sigma, \varepsilon) \xrightarrow{(cons, Snd)} (\sigma', \varepsilon')$ a step.

Thus in our setting, a step directly corresponds to

one object (namely u) takes a single transition between regular states.

(We have to extend the concept of "single transition" for hierarchical state machines.)

That is: We're going for an interleaving semantics without true parallelism.

Remark: With only methods (later), the notion of step is not so clear. For example, consider

- c_1 calls f() at c_2 , which calls g() at c_1 which in turn calls h() for c_2 .
- Is the completion of h() a step?
- Or the completion of f()?
- Or doesn't it play a role?

It does play a role, because **constraints/invariants** are typically (= by convention) assumed to be evaluated at step boundaries, and sometimes the convention is meant to admit (temporary) violation in between steps.

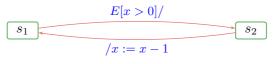
Notions of Steps: The Run-to-Completion Step

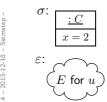
What is a run-to-completion step...?

- Intuition: a maximal sequence of steps, where the first step is a dispatch step and all later steps are commence steps.
- Note: one step corresponds to one transition in the state machine.

A run-to-completion step is in general not syntacically definable — one transition may be taken multiple times during an RTC-step.

Example:





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Notions of Steps: The Run-to-Completion Step Cont'd

Proposal: Let

$$(\sigma_0, \varepsilon_0) \xrightarrow[u_0]{(cons_0, Snd_0)} \dots \xrightarrow[u_{n-1}]{(cons_{n-1}, Snd_{n-1})} (\sigma_n, \varepsilon_n), \quad n > 0,$$

be a finite (!), non-empty, maximal, consecutive sequence such that

- object u is alive in σ_0 ,
- $u_0 = u$ and $(cons_0, Snd_0)$ indicates dispatching to u, i.e. $cons = \{(u, \vec{v} \mapsto \vec{d})\}$,
- ullet there are no receptions by u in between, i.e.

$$cons_i \cap \{u\} \times Evs(\mathscr{E}, \mathscr{D}) = \emptyset, i > 1,$$

• $u_{n-1}=u$ and u is stable only in σ_0 and σ_n , i.e.

$$\sigma_0(u)(stable) = \sigma_n(u)(stable) = 1$$
 and $\sigma_i(u)(stable) = 0$ for $0 < i < n$,

Let $0 = k_1 < k_2 < \cdots < k_N = n$ be the maximal sequence of indices such that $u_{k_i} = u$ for $1 \le i \le N$. Then we call the sequence

$$(\sigma_0(u) =) \quad \sigma_{k_1}(u), \sigma_{k_2}(u), \dots, \sigma_{k_N}(u) \quad (= \sigma_{n-1}(u))$$

a (!) run-to-completion computation of u (from (local) configuration $\sigma_0(u)$).

We say, object u can diverge on reception cons from (local) configuration $\sigma_0(u)$ if and only if there is an infinite, consecutive sequence

$$(\sigma_0, \varepsilon_0) \xrightarrow{(cons_0, Snd_0)} (\sigma_1, \varepsilon_1) \xrightarrow{(cons_1, Snd_1)} \dots$$

such that u doesn't become stable again.

• **Note**: disappearance of object not considered in the definitions. By the current definitions, it's neither divergence nor an RTC-step.

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Run-to-Completion Step: Discussion.

What people may **dislike** on our definition of RTC-step is that it takes a **global** and **non-compositional** view. That is:

- In the projection onto a single object we still see the effect of interaction with other objects.
- Adding classes (or even objects) may change the divergence behaviour of existing ones.
- Compositional would be: the behaviour of a set of objects is determined by the behaviour of each object "in isolation".

Our semantics and notion of RTC-step doesn't have this (often desired) property.

Can we give (syntactical) criteria such that any global run-to-completion step is an interleaving of local ones?

Maybe: Strict interfaces.

(Proof left as exercise...)

- (A): Refer to private features only via "self".

 (Recall that other objects of the same class can modify private attributes.)
- (B): Let objects only communicate by events, i.e. don't let them modify each other's local state via links at all.

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The Missing Piece: Initial States

Recall: a labelled transition system is (S, \rightarrow, S_0) . We have

- S: system configurations (σ, ε)
- $\bullet \ \to : \ \text{labelled transition relation} \ (\sigma,\varepsilon) \xrightarrow[u]{(cons,Snd)} (\sigma',\varepsilon').$

Wanted: initial states S_0 .

Proposal:

Require a (finite) set of **object diagrams** $\mathcal{O}\mathcal{D}$ as part of a UML model

$$(\mathcal{C}\mathcal{D}, \mathcal{SM}, \mathcal{O}\mathcal{D}).$$

And set

$$S_0 = \{(\sigma, \varepsilon) \mid \sigma \in G^{-1}(\mathcal{OD}), \mathcal{OD} \in \mathscr{OD}, \varepsilon \text{ empty}\}.$$

Other Approach: (used by Rhapsody tool) multiplicity of classes. We can read that as an abbreviation for an object diagram.

The semantics of the UML model

$$\mathcal{M} = (\mathscr{CD}, \mathscr{SM}, \mathscr{OD})$$

where

- some classes in $\mathscr{C}\mathscr{D}$ are stereotyped as 'signal' (standard), some signals and attributes are stereotyped as 'external' (non-standard),
- there is a 1-to-1 relation between classes and state machines,
- $\mathscr{O}\mathscr{D}$ is a set of object diagrams over $\mathscr{C}\mathscr{D}$,

is the transition system (S, \rightarrow, S_0) constructed on the previous slide.

The **computations of** \mathcal{M} are the computations of (S, \rightarrow, S_0) .

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OCL Constraints and Behaviour

- Let $\mathcal{M} = (\mathscr{C}\mathcal{D}, \mathscr{SM}, \mathscr{OD})$ be a UML model.
- We call \mathcal{M} consistent iff, for each OCL constraint $expr \in Inv(\mathscr{CD})$, $\sigma \models expr$ for each "reasonable point" (σ, ε) of computations of \mathcal{M} . (Cf. exercises and tutorial for discussion of "reasonable point".)

Note: we could define $Inv(\mathscr{SM})$ similar to $Inv(\mathscr{CD})$.

Pragmatics:

• In UML-as-blueprint mode, if \mathscr{SM} doesn't exist yet, then $\mathcal{M} = (\mathscr{C}\mathscr{D}, \emptyset, \mathscr{O}\mathscr{D})$ is typically asking the developer to provide \mathscr{SM} such that $\mathcal{M}' = (\mathscr{C}\mathscr{D}, \mathscr{SM}, \mathscr{O}\mathscr{D})$ is consistent.

If the developer makes a mistake, then \mathcal{M}' is inconsistent.

• Not common: if $\mathcal{S}M$ is given, then constraints are also considered when choosing transitions in the RTC-algorithm. In other words: even in presence of mistakes, the $\mathcal{S}M$ never move to inconsistent configurations.

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