

# *Software Design, Modelling and Analysis in UML*

## *Lecture 5: Object Diagrams*

*2015-11-05*

Prof. Dr. Andreas Podelski, **Dr. Bernd Westphal**

Albert-Ludwigs-Universität Freiburg, Germany

### *Contents & Goals*

---

#### **Last Lecture:**

- OCL Semantics

#### **This Lecture:**

- **Educational Objectives:** Capabilities for following tasks/questions.
  - What does it mean that an OCL expression is satisfiable?
  - When is a set of OCL constraints said to be consistent?
  - What is an object diagram? What are object diagrams good for?
  - When is an object diagram called partial? What are partial ones good for?
  - When is an object diagram an object diagram (wrt. what)?
  - How are system states and object diagrams related?
  - Can you think of an object diagram which violates this OCL constraint?

#### **Content:**

- OCL: consistency, satisfiability
- Object Diagrams
- Example: Object Diagrams for Documentation

## OCL Satisfaction Relation

## OCL Satisfaction Relation

In the following,  $\mathcal{S}$  denotes a signature and  $\mathcal{D}$  a structure of  $\mathcal{S}$ .

### Definition (Satisfaction Relation).

Let  $\varphi$  be an OCL constraint over  $\mathcal{S}$  and  $\sigma \in \Sigma_{\mathcal{D}}$  a system state.

We write

- $\sigma \models \varphi$  if and only if  $I[\![\varphi]\!](\sigma, \emptyset) = \text{true}$ .
- $\sigma \not\models \varphi$  if and only if  $I[\![\varphi]\!](\sigma, \emptyset) = \text{false}$ .

**Note:** In general we ~~can't~~ conclude from  $\neg(\sigma \models \varphi)$  to  $\sigma \not\models \varphi$  or vice versa.



## OCL Consistency

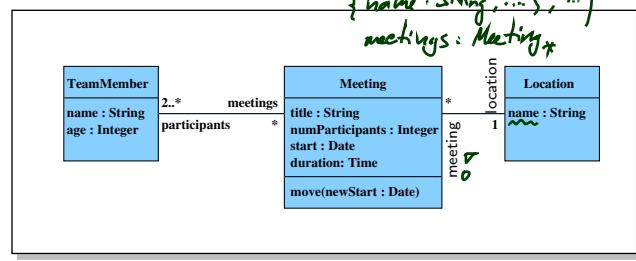
**Definition (Consistency).** A set  $Inv = \{\varphi_1, \dots, \varphi_n\}$  of OCL constraints over  $\mathcal{S}$  is called **consistent** (or **satisfiable**) if and only if there exists a system state of  $\mathcal{S}$  wrt.  $\mathcal{D}$  which satisfies all of them, i.e. if

$$\exists \sigma \in \Sigma_{\mathcal{G}}^{\mathcal{D}} : \sigma \models \varphi_1 \wedge \dots \wedge \sigma \models \varphi_n$$

and **inconsistent** (or **unsatisfiable**) otherwise.

### Example: OCL Consistent?

$\mathcal{G} = (\{\text{String}, \dots\}, \text{Location} \rightarrow \{\text{Meeting}, \dots\}, \{\text{TeamMember}, \dots\}, \text{TeamMember} \rightarrow \{\text{Meeting}, \dots\}, \{\text{name : String}, \dots\}, \dots)$



((C) Prof. Dr. P. Thiemann, <http://progiang.informatik.uni-freiburg.de/teaching/swt/2008/>)

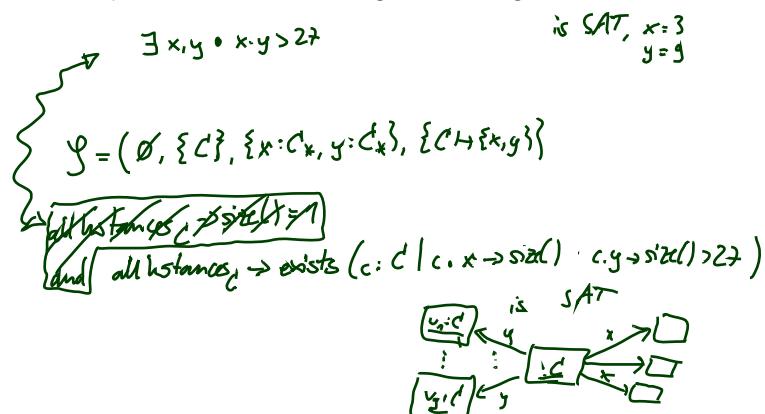
- context *Location* inv : *name* = 'Lobby' implies *meeting*  $\rightarrow$  *isEmpty()* *cons.*
- context *Meeting* inv : *title* = 'Reception' implies *location . name* = 'Lobby'
- *allInstances*<sub>*Meeting*</sub>  $\rightarrow$  *exists*(*w* : *Meeting* | *w . title* = 'Reception') *not consistent*

## Deciding OCL Consistency

- Whether a set of OCL constraints is consistent or not  
**is in general not as obvious** as in the made-up example.
- **Wanted:** A procedure which decides the OCL satisfiability problem.
- **Unfortunately:** in general **undecidable**.

OCL is as expressive as first-order logic over integers.

- 5 - 2015-11-05 - Soclisat -



7/33

## Deciding OCL Consistency

- Whether a set of OCL constraints is consistent or not  
**is in general not as obvious** as in the made-up example.
- **Wanted:** A procedure which decides the OCL satisfiability problem.
- **Unfortunately:** in general **undecidable**.

OCL is as expressive as first-order logic over integers.

- 5 - 2015-11-05 - Soclisat -

- **And now?** Options:

Cabot and Clarisó (2008)

- Constrain OCL, use a **less rich** fragment of OCL.
- Revert to **finite domains** — basic types vs. number of objects.

7/33

## *OCL Critique*

## *OCL Critique*

---

### • **Concrete Syntax / Features**

“The syntax of OCL has been criticized – e.g., by the authors of Catalysis [...] – for being hard to read and write.

- OCL’s expressions are stacked in the style of Smalltalk, which makes it hard to see the scope of quantified variables.
- Navigations are applied to atoms and not sets of atoms, although there is a collect operation that maps a function over a set.
- Attributes, [...], are partial functions in OCL, and result in expressions with undefined value.” [Jackson \(2002\)](#)

## *OCL Critique*

---

- **Expressive Power:**

“Pure OCL expressions only compute primitive recursive functions, but not recursive functions in general.” [Cengarle and Knapp \(2001\)](#)

- **Evolution over Time:** “finally  $self.x > 0$ ”

Proposals for fixes e.g. [Flake and Müller \(2003\)](#). (Or: sequence diagrams.)

- **Real-Time:** “Objects respond within 10s”

Proposals for fixes e.g. [Cengarle and Knapp \(2002\)](#)

- **Reachability:** “After insert operation, node shall be reachable.”

Fix: add transitive closure.

## *What Is OCL Good For?*

## What's It Good For?

- **Most prominent:**

Formalise **requirements** supposed to be satisfied by all system states.

**Example:** "the choice panels of a VM should be consistent"

```
context VM inv : {true, false} -> exists(b | cp -> forAll(c | c.wen = b))
```



- **Not unknown:**

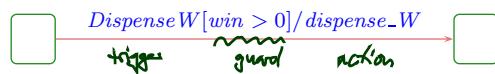
Formalise **pre/post-conditions** of methods (*Behavioural Features*).

Then evaluated over **two** system states (before/after executing the method).

**Example:** "the dispense water method should decrement *win*"

```
context DD :: dispense_W pre : win > 0  
post : win = win @ pre - 1
```

- **Common with State Machines:** **Guards** in transitions.



- **Lesser known:** Specify **operation bodies**.

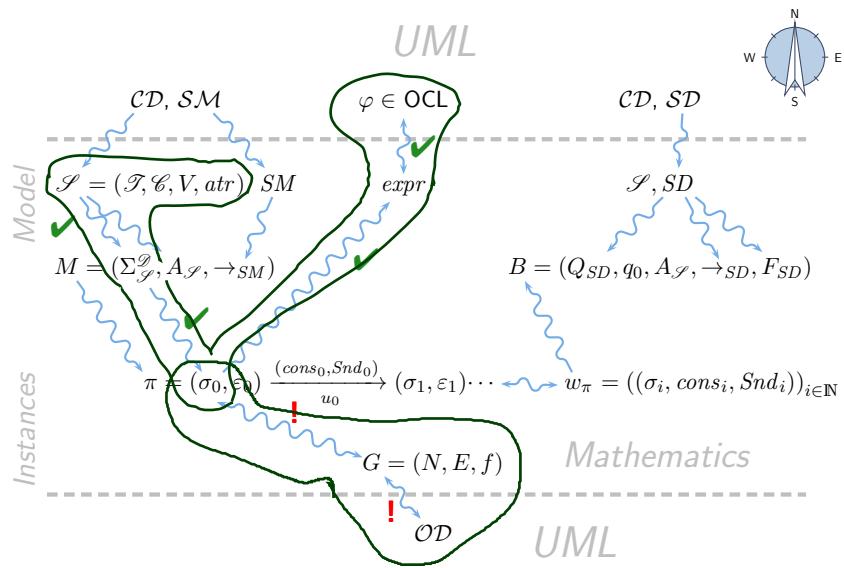
- **Metamodelling:** the UML standard is a MOF-model of UML.

OCL expressions define well-formedness of UML models (cf. Lecture ~ 21).

12/33

## Where Are We?

## You Are Here.



## Object Diagrams

## Recall: Graph

**Definition.** A node-labelled **graph** is a triple

$$G = (N, E, f)$$

consisting of

- **vertices**  $N$ ,
- **edges**  $E$ ,
- node labeling  $f : N \rightarrow X$ , where  $X$  is some label domain,

## Object Diagrams

**Definition.** Let  $\mathcal{D}$  be a structure of signature  $\mathcal{S} = (\mathcal{T}, \mathcal{C}, V, atr)$  and  $\sigma \in \Sigma_{\mathcal{S}}$  a system state.

Then any node-labelled graph  $G = (N, E, f)$  where

- nodes are identities (not necessarily alive), i.e.  $N \subset \mathcal{D}(\mathcal{C})$  finite,
- edges correspond to “links” of objects, i.e.

$$E \subseteq N \times \underbrace{\{v : T \in V \mid T \in \{C_{0,1}, C_* \mid C \in \mathcal{C}\}\}}_{=: V_{0,1;*}} \times N,$$

$\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r),$

- **nodes** are labelled with attribute valuations, and non-alive identities with “X”, i.e.

$$X = \{X\} \dot{\cup} (V \rightsquigarrow (\mathcal{D}(\mathcal{T}) \setminus \text{alive}))$$

$$\forall u \in N \cap \text{dom}(\sigma) : f(u) \subseteq \sigma(u)$$

$$\forall u \in N \setminus \text{dom}(\sigma) : f(u) = \{X\}$$

is called **object diagram** of  $\sigma$ .

## *Object Diagram: Examples*

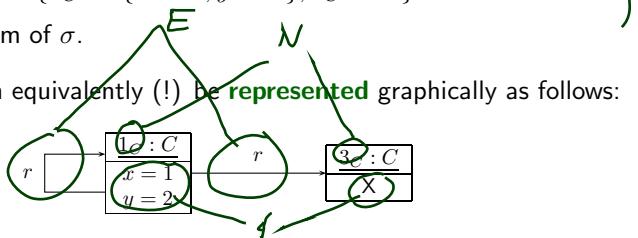
- $N \subset \mathcal{D}(\mathcal{C})$  finite    •  $E \subset N \times V_{0,1,*} \times N$     •  $\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r)$ ,
  - $f : N \rightarrow X$     •  $X = \{\mathsf{X}\} \dot{\cup} (V \rightsquigarrow (\mathcal{D}(\mathcal{T}) \setminus \mathcal{D}(\mathcal{C})))$     •  $f(u) \subseteq \sigma(u) / f(u) = \{\mathsf{X}\}$  if  $u \notin \text{dom}(\sigma)$

$$\mathcal{S} = (\{Int\}, \{C\}, \{x : Int, y : Int, r : C_*\}, \{C \mapsto \{x, y, r\}\}), \quad \mathcal{D}(Int) = \mathbb{Z}$$

$$\sigma = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2, r \mapsto \{\underbrace{1_C, 3_C}\}_{\text{ }}\}\}$$

- $G = (N, E, f)$  with
    - nodes  $N = \{1_C, 3_C\}$
    - edges  $E = \{(1_C, r, 1_C), (1_C, r, 3_C)\}$ ,
    - node labelling  $f = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2\}, 3_C \mapsto X\}$

since  $3_C$  & close o }
  - is an object diagram of  $\sigma$ .
  - Yes, and...?  $G$  can equivalently (!) be **represented** graphically as follows:



- 5 - 2015-11-05 - Sod -

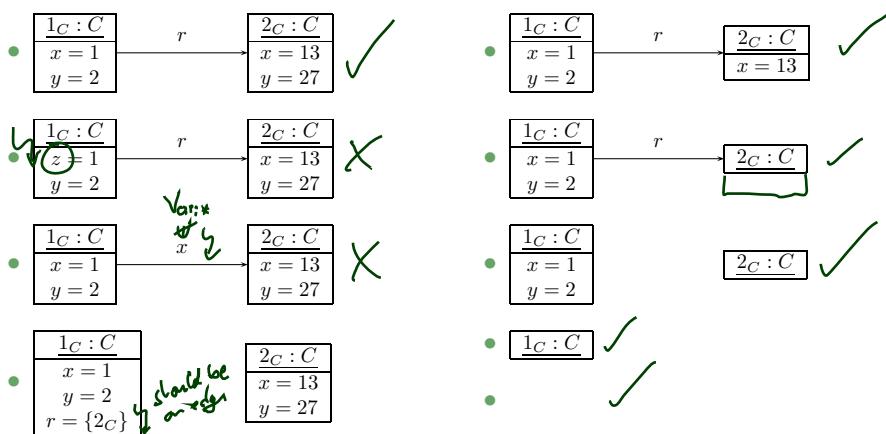
18/33

## *Object Diagram: More Examples?*

- $N \subset \mathcal{D}(\mathcal{C})$  finite    •  $E \subset N \times V_{0,1,*} \times N$     •  $\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r)$ ,
  - $f : N \rightarrow X$     •  $X = \{\mathsf{X}\} \dot{\cup} (V \xrightarrow{\sigma} (\mathcal{D}(\mathcal{T}) \setminus \mathcal{D}(\mathcal{C})))$     •  $f(u) \subseteq \sigma(u) / f(u) = \{\mathsf{X}\}$  if  $u \notin \text{dom}(\sigma)$

$$\mathcal{S} = (\{\text{Int}\}, \{C\}, \{x : \text{Int}, y : \text{Int}, r : C_*\}, \{C \mapsto \{\cancel{x}, \cancel{y}, r\}\}), \quad \mathcal{D}(\text{Int}) = \mathbb{Z}$$

$$\sigma = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2, r \mapsto \{2_C\}\}, \quad 2_C \mapsto \{x \mapsto 13, y \mapsto 27, r \mapsto \emptyset\}\},$$



- 5 - 2015-11-05 - Sod -

19/33

## Complete vs. Partial Object Diagram

**Definition.** Let  $G = (N, E, f)$  be an object diagram of system state  $\sigma \in \Sigma_{\mathcal{G}}$ .

We call  $G$  **complete** wrt.  $\sigma$  if and only if

- $G$  is **object complete**, i.e.
- $G$  consists of all alive and "linked" non-alive objects, i.e.

$$N = \text{dom}(\sigma) \cup \{u \mid \exists u_1 \in \mathcal{D}(\mathcal{C}), r \in V_{0,1;*} \bullet u \in \sigma(u_1)(r)\}$$

- $G$  is **attribute complete**, i.e.

- $G$  comprises all "links" between objects, i.e. if and only if  $u_2 \in \sigma(u_1)(r)$  for some  $u_1, u_2 \in \mathcal{D}(\mathcal{C})$  and  $r \in V$ , then  $(u_1, r, u_2) \in E$ , and
- each node is labelled with the values of all  $\mathcal{T}$ -typed attributes, i.e. for each  $u \in \text{dom}(\sigma)$ ,

$$f(u) = \sigma(u)|_{V_{\mathcal{T}}}$$

where  $V_{\mathcal{T}} := \{v : T \in V \mid T \in \mathcal{T}\}$ .

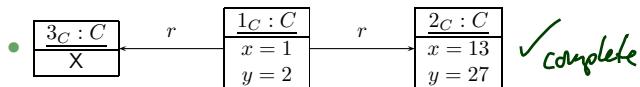
Otherwise we call  $G$  **partial**.

## Complete vs. Partial: Examples

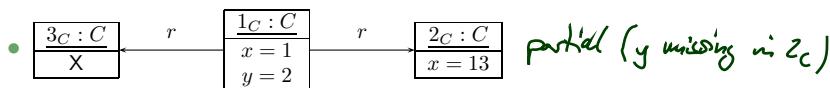
- $N \subset \mathcal{D}(\mathcal{C})$  finite
- $E \subset N \times V_{0,1;*} \times N$
- $\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r)$
- $f : N \rightarrow X$
- $X = \{\mathbb{X}\} \dot{\cup} (V \setminus (\mathcal{D}(\mathcal{T}) \setminus \mathcal{D}(\mathcal{C})))$
- $f(u) \subseteq \sigma(u) / f(u) = \{\mathbb{X}\}$  if  $u \notin \text{dom}(\sigma)$

$$\mathcal{S} = (\{Int\}, \{C\}, \{x : Int, y : Int, r : C_*\}, \{C \mapsto \{v_1, v_2, r\}\}), \quad \mathcal{D}(Int) = \mathbb{Z}$$

$$\sigma = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2, r \mapsto \{2_C, 3_C\}\}, \quad 2_C \mapsto \{x \mapsto 13, y \mapsto 27, r \mapsto \emptyset\}\},$$



✓ complete



partial (y missing in 2\_C)



partial

## Complete/Partial is Relative

- Each (consistent) object diagram  $G$  represents a set of system states, namely

$$G^{-1} := \{\sigma \in \Sigma_{\mathcal{S}}^{\mathcal{D}} \mid G \text{ is an object diagram of } \sigma\}$$

- How many?

$G: \boxed{1_C : C}$

*Ininitely many!*

$|G^{-1}|$

$= 0$	-
$= 1$	/
$> 1$	/
$> 100$	/
$> 1000000$	

- Each finite system state has **exactly one complete** object diagram.
- A finite system state can have **many partial** object diagrams.

- Observation:**

If somebody **tells us** for a given (consistent) object diagram  $G$

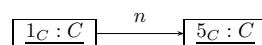
- that it is **meant to be complete**, and 
  - if it is not inherently incomplete (e.g. missing attribute values),
- then it uniquely denotes **the** corresponding system state, denoted by  $\sigma(G)$ .

**Therefore** we can use complete object diagrams **exchangeably** with system states.

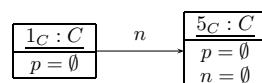
## Non-Standard Notation

- $\mathcal{S} = (\{Int\}, \{C\}, \{n, p : C_*\}, \{C \mapsto \{n, p\}\})$ .

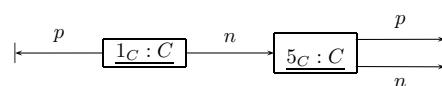
- Instead of



we want to write



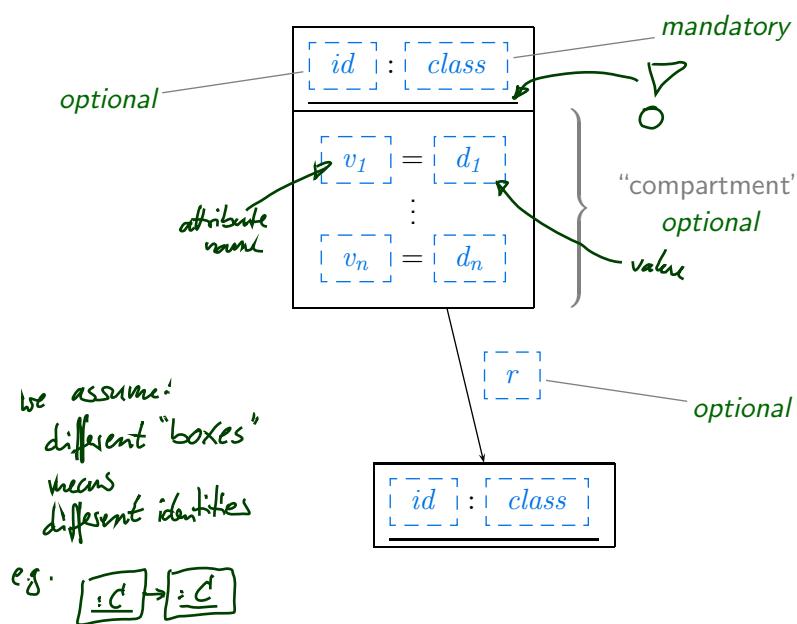
or



to **explicitly** indicate that attribute  $p : C_*$  has value  $\emptyset$  (also for  $p : C_{0,1}$ ).

## UML Object Diagrams

### UML Notation for Object Diagrams

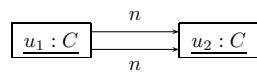


## *Discussion*

---

We slightly deviate from the standard (for reasons):

- We **allow** to show non-alive objects.
  - Allows us to represent “dangling references”,  
i.e. references to objects which are not alive in the current system state.
- We **introduce** a graphical representation of  $\emptyset$  values.
  - Easier to distinguish partial and complete object diagrams.
- In the course,  $C_{0,1}$  and  $C_*$ -typed attributes only have **sets** as values.  
UML also considers multisets, that is, they can have



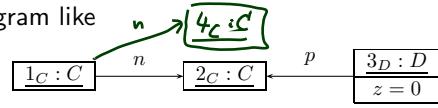
This is **not** an object diagram in the sense of **our definition** because of the requirement on the edges  $E$ .

Extension is straightforward but tedious.

## *The Other Way Round*

## From Object Diagram to Signature / Structure

- If we **only** have a diagram like



we typically assume that it is **meant to be**  
an object diagram wrt. **some signature** and **structure**.

- In the example, we conclude that the author is referring to **some** signature  $\mathcal{S} = (\mathcal{T}, \mathcal{C}, V, atr)$  with at least

- $\{C, D\} \subseteq \mathcal{C}$
- $T \in \mathcal{T}$
- $\{n : C \xrightarrow{p} D, z : T\} \subseteq V$
- $\{z\} \subseteq atr(D)$
- $\{p, n\} \subseteq atr(C)$

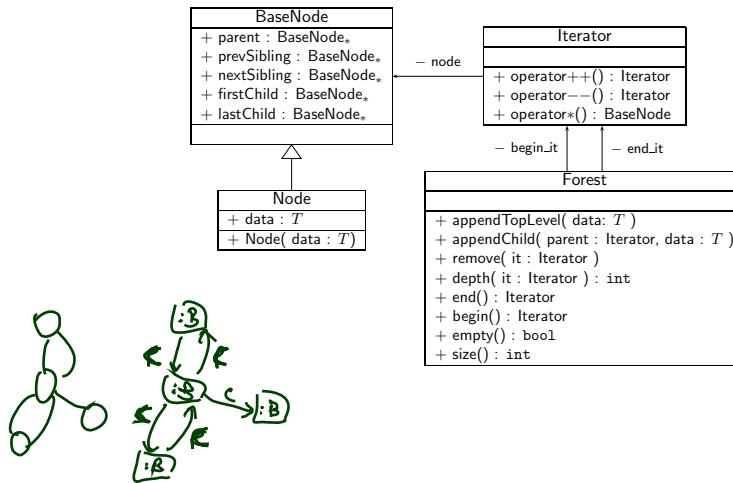
and a structure  $\mathcal{D}$  with

- $\{1_C, 4_C, 2_C\} \subseteq \mathcal{D}(C)$
- $3_D \in \mathcal{D}(D)$
- $0 \in \mathcal{D}(T)$

## Example: Object Diagrams for Documentation

## Example: Data Structure (Schumann et al., 2008)

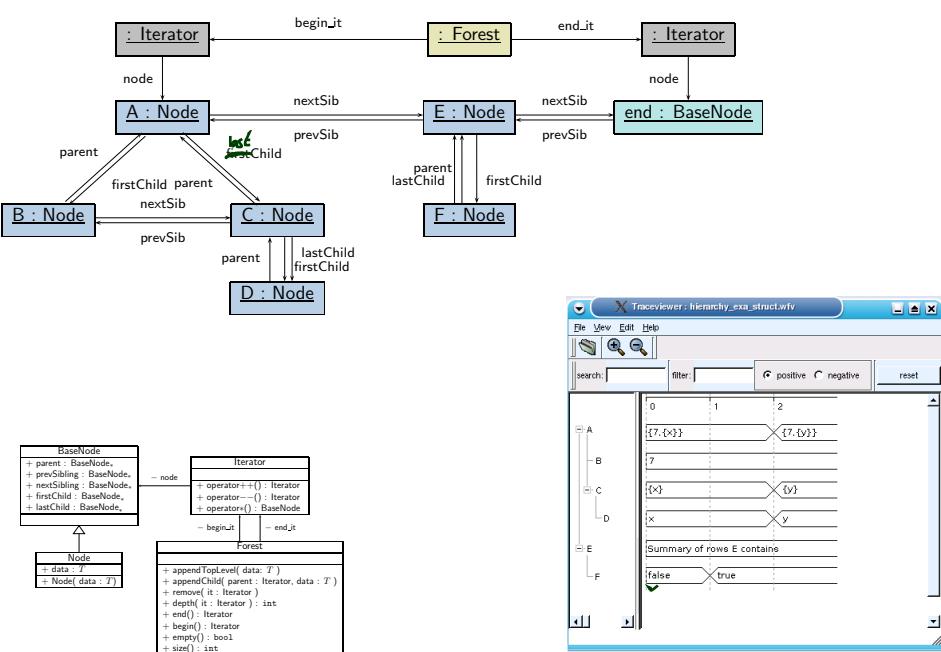
- 5 - 2015-11-05 - Sodatwork -



30/33

## Example: Illustrative Object Diagram (Schumann et al., 2008)

- 5 - 2015-11-05 - Sodatwork -



31/33

## References

## References

- Cabot, J. and Clarisó, R. (2008). UML-OCL verification in practice. In Chaudron, M. R. V., editor, *MoDELS Workshops*, volume 5421 of *Lecture Notes in Computer Science*. Springer.
- Gengarle, M. V. and Knapp, A. (2001). On the expressive power of pure OCL. Technical Report 0101, Institut für Informatik, Ludwig-Maximilians-Universität München.
- Gengarle, M. V. and Knapp, A. (2002). Towards OCL/RT. In Eriksson, L.-H. and Lindsay, P. A., editors, *FME*, volume 2391 of *Lecture Notes in Computer Science*, pages 390–409. Springer-Verlag.
- Flake, S. and Müller, W. (2003). Formal semantics of static and temporal state-oriented OCL constraints. *Software and Systems Modeling*, 2(3):164–186.
- Jackson, D. (2002). Alloy: A lightweight object modelling notation. *ACM Transactions on Software Engineering and Methodology*, 11(2):256–290.
- OMG (2011a). Unified modeling language: Infrastructure, version 2.4.1. Technical Report formal/2011-08-05.
- OMG (2011b). Unified modeling language: Superstructure, version 2.4.1. Technical Report formal/2011-08-06.
- Schumann, M., Steinke, J., Deck, A., and Westphal, B. (2008). Traceviewer technical documentation, version 1.0. Technical report, Carl von Ossietzky Universität Oldenburg und OFFIS.