

# *Software Design, Modelling and Analysis in UML*

## *Lecture 5: Object Diagrams*

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# Contents & Goals

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## Last Lecture:

- OCL Semantics

## This Lecture:

- **Educational Objectives:** Capabilities for following tasks/questions.
  - What does it mean that an OCL expression is satisfiable?
  - When is a set of OCL constraints said to be consistent?
  - What is an object diagram? What are object diagrams good for?
  - When is an object diagram called partial? What are partial ones good for?
  - When is an object diagram an object diagram (wrt. what)?
  - How are system states and object diagrams related?
  - Can you think of an object diagram which violates this OCL constraint?
- **Content:**
  - OCL: consistency, satisfiability
  - Object Diagrams
  - Example: Object Diagrams for Documentation

# *OCL Satisfaction Relation*

# OCL Satisfaction Relation

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In the following,  $\mathcal{S}$  denotes a signature and  $\mathcal{D}$  a structure of  $\mathcal{S}$ .

## Definition (Satisfaction Relation).

Let  $\varphi$  be an OCL constraint over  $\mathcal{S}$  and  $\sigma \in \Sigma_{\mathcal{D}}$  a system state.

We write

- $\sigma \models \varphi$  if and only if  $I[\varphi](\sigma, \emptyset) = \text{true}$ .
- $\sigma \not\models \varphi$  if and only if  $I[\varphi](\sigma, \emptyset) = \text{false}$ .

**Note:** In general we **can't** conclude from  $\neg(\sigma \models \varphi)$  to  $\sigma \not\models \varphi$  or vice versa.



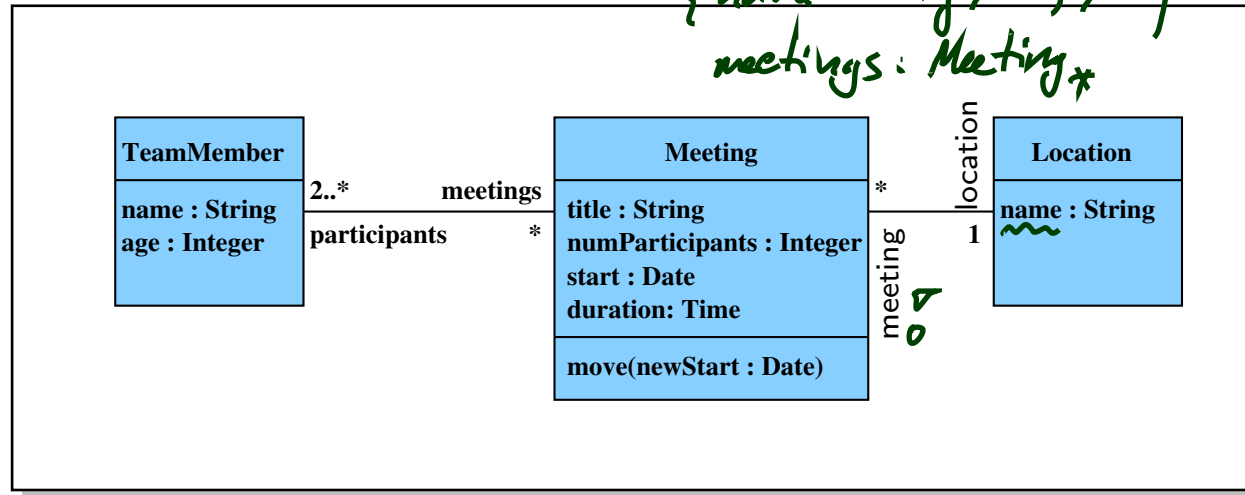
**Definition (Consistency).** A set  $Inv = \{\varphi_1, \dots, \varphi_n\}$  of OCL constraints over  $\mathcal{S}$  is called **consistent** (or **satisfiable**) if and only if there exists a system state of  $\mathcal{S}$  wrt.  $\mathcal{D}$  which satisfies all of them, i.e. if

$$\exists \sigma \in \Sigma_{\mathcal{S}}^{\mathcal{D}} : \sigma \models \varphi_1 \quad \wedge \dots \wedge \quad \sigma \models \varphi_n$$

and **inconsistent** (or **unsatisfiable**) otherwise.

# Example: OCL Consistent?

$\mathcal{Y} = (\{String, \dots\},$   
 $\{TeamMember, \dots\},$   
 $\{name : String, \dots\}, \dots)$   
*meetings: Meeting\**  
*Location ↦ {meeting, ...}*  
*Team Member ↦ {meetings, ...}*



((C) Prof. Dr. P. Thiemann, <http://proglang.informatik.uni-freiburg.de/teaching/swt/2008/>)

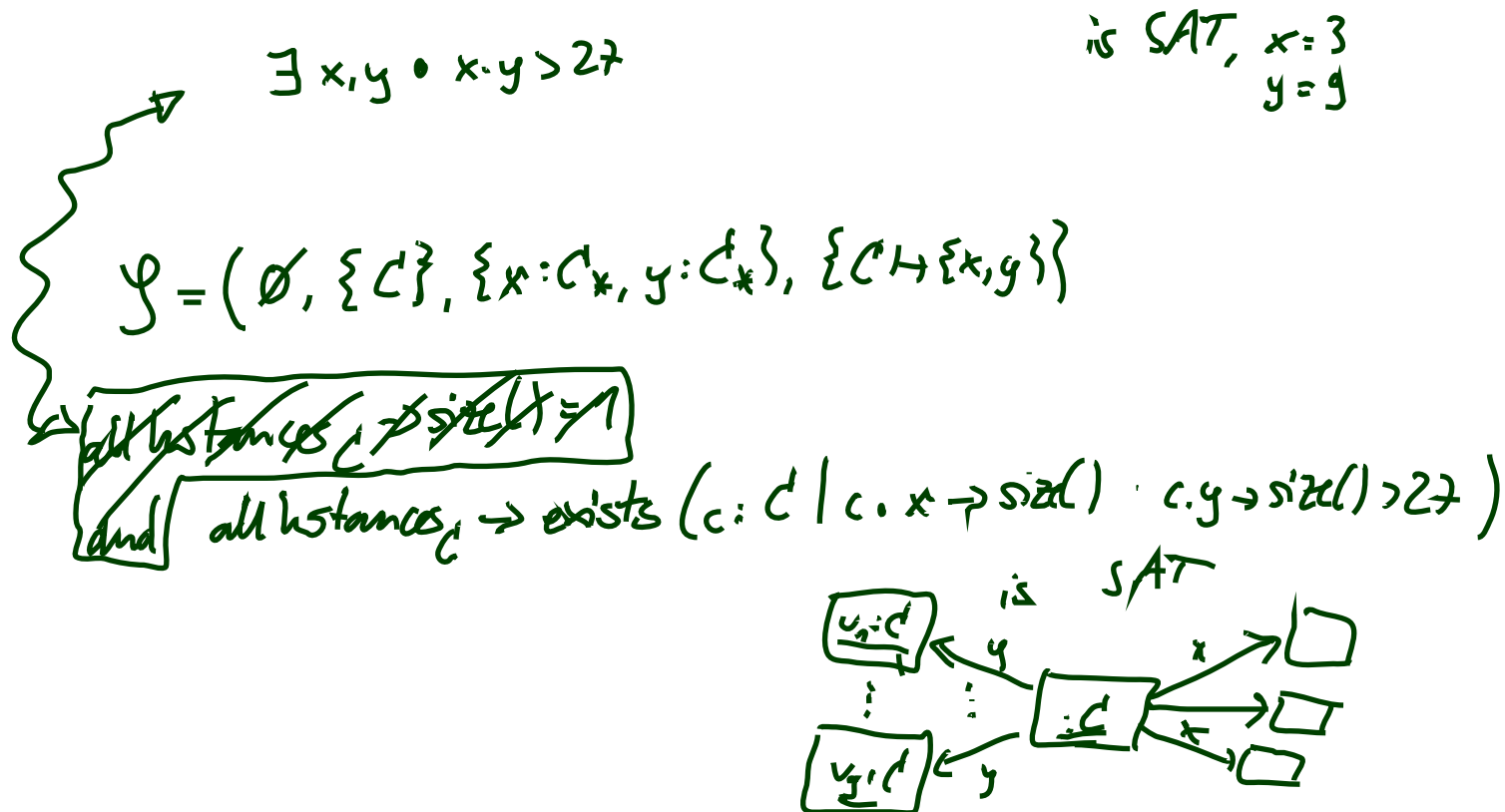
- context *Location* inv : name = 'Lobby' implies meeting -> isEmpty()
- context *Meeting* inv : title = 'Reception' implies location . name = 'Lobby'
- allInstances<sub>Meeting</sub> -> exists(w : Meeting | w . title = 'Reception')

*consis.*  
*not consistent*

# Deciding OCL Consistency

- Whether a set of OCL constraints is consistent or not **is in general not as obvious** as in the made-up example.
- **Wanted:** A procedure which decides the OCL satisfiability problem.
- **Unfortunately:** in general **undecidable**.

OCL is as expressive as first-order logic over integers.



# Deciding OCL Consistency

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- Whether a set of OCL constraints is consistent or not **is in general not as obvious** as in the made-up example.
- **Wanted**: A procedure which decides the OCL satisfiability problem.
- **Unfortunately**: in general **undecidable**.

OCL is as expressive as first-order logic over integers.

- **And now?** Options: Cabot and Clarisó (2008)
  - Constrain OCL, use a **less rich** fragment of OCL.
  - Revert to **finite domains** — basic types vs. number of objects.



# *OCL Critique*

- **Concrete Syntax / Features**

“The syntax of OCL has been criticized – e.g., by the authors of Catalysis [...] – for being hard to read and write.

- OCL’s expressions are stacked in the style of Smalltalk, which makes it hard to see the scope of quantified variables.
- Navigations are applied to atoms and not sets of atoms, although there is a collect operation that maps a function over a set.
- Attributes, [...], are partial functions in OCL, and result in expressions with undefined value.” [Jackson \(2002\)](#)

# *OCL Critique*

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- **Expressive Power:**

“Pure OCL expressions only compute primitive recursive functions, but not recursive functions in general.” [Cengarle and Knapp \(2001\)](#)

- **Evolution over Time:** “finally  $self.x > 0$ ”

Proposals for fixes e.g. [Flake and Müller \(2003\)](#). (Or: sequence diagrams.)

- **Real-Time:** “Objects respond within 10s”

Proposals for fixes e.g. [Cengarle and Knapp \(2002\)](#)

- **Reachability:** “After insert operation, node shall be reachable.”

Fix: add transitive closure.

## *What Is OCL Good For?*

# What's It Good For?



- **Most prominent:**

Formalise **requirements** supposed to be satisfied by all system states.

**Example:** “the choice panels of a VM should be consistent”

context  $VM$  inv : {true, false}  $\rightarrow$  exists( $b$  |  $cp \rightarrow$  forAll( $c$  |  $c.wen = b$ ))

- **Not unknown:**

Formalise **pre/post-conditions** of methods (*Behavioural Features*).

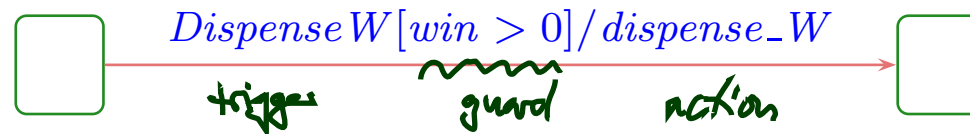
Then evaluated over **two** system states (before/after executing the method).

**Example:** “the dispense water method should decrement *win*”

context  $DD :: dispense\_W$  pre :  $win > 0$

post :  $win = \underbrace{win}_{pre} - 1$

- **Common with State Machines: Guards** in transitions.



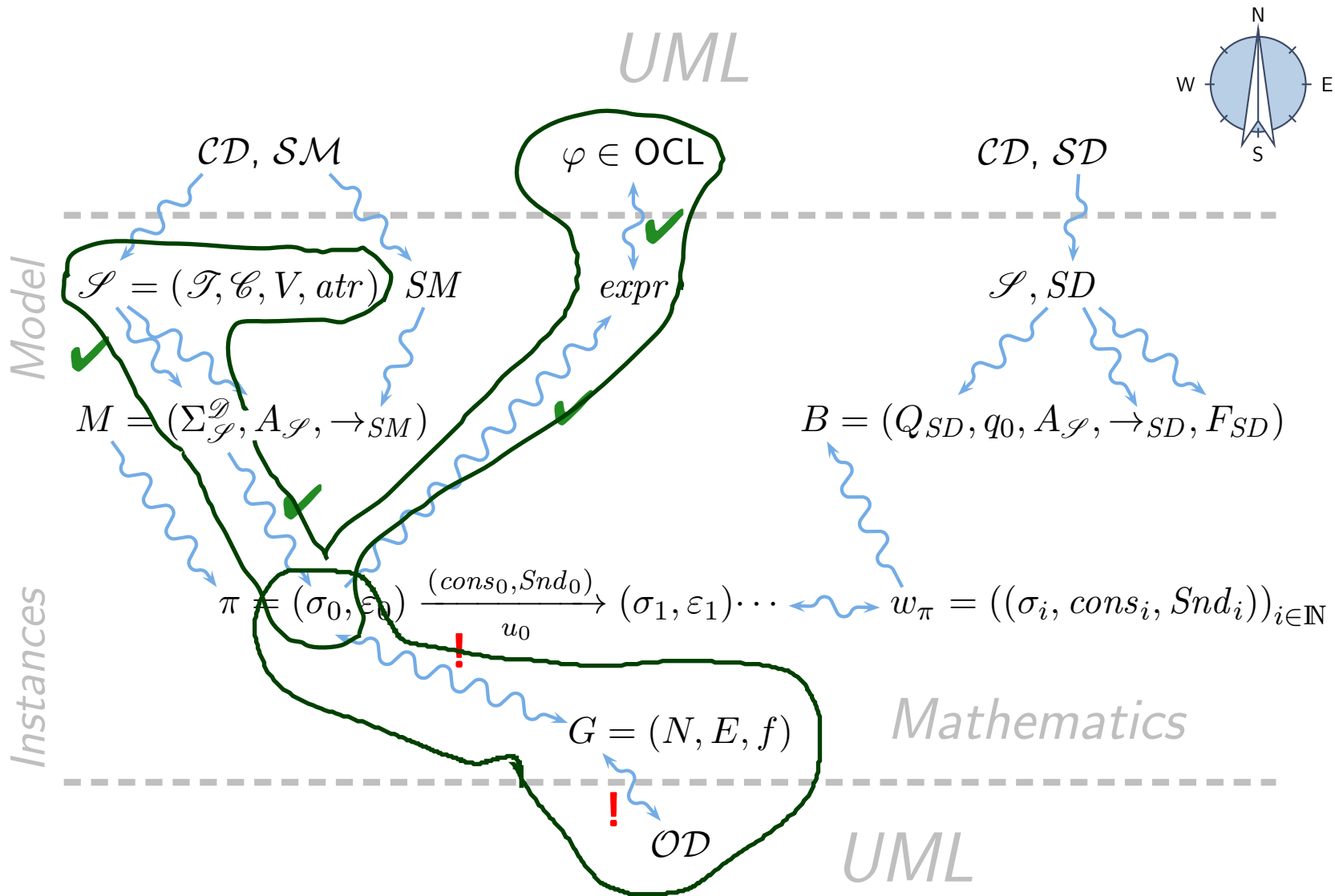
- **Lesser known:** Specify **operation bodies**.

- **Metamodeling:** the UML standard is a MOF-model of UML.

OCL expressions define well-formedness of UML models (cf. Lecture ~ 21).

# *Where Are We?*

# You Are Here.



# *Object Diagrams*



**Definition.** A node-labelled graph is a triple

$$G = (N, E, f)$$

consisting of

- vertexes  $N$ ,
- edges  $E$ ,
- node labeling  $f : N \rightarrow X$ , where  $X$  is some label domain,

# Object Diagrams

**Definition.** Let  $\mathcal{D}$  be a structure of signature  $\mathcal{S} = (\mathcal{I}, \mathcal{C}, V, atr)$  and  $\sigma \in \Sigma_{\mathcal{D}}$  a system state.

Then any node-labelled graph  $G = (N, E, f)$  where

- nodes are identities (not necessarily alive), i.e.  $N \subset \mathcal{D}(\mathcal{C})$  finite,
- edges correspond to “links” of objects, i.e.

$$E \subseteq N \times \underbrace{\{v : T \in V \mid T \in \{C_{0,1}, C_* \mid C \in \mathcal{C}\}\}}_{=: V_{0,1;*}} \times N,$$

$$\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r),$$

- <sup>nodes</sup> ~~objects~~ are labelled with attribute valuations, and non-alive identities with “X”, i.e.

$$X = \{\mathbf{X}\} \dot{\cup} (V \rightarrow (\mathcal{D}(\mathcal{I}) \setminus \mathcal{D}(\mathcal{C})))$$

$$\forall u \in N \cap \text{dom}(\sigma) : f(u) \subseteq \sigma(u)$$

$$\forall u \in N \setminus \text{dom}(\sigma) : f(u) = \{\mathbf{X}\}$$

is called **object diagram** of  $\sigma$ .

# Object Diagram: Examples

- $N \subset \mathcal{D}(\mathcal{C})$  finite
- $E \subset N \times V_{0,1;*} \times N$
- $\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r)$ ,
- $f : N \rightarrow X$
- $X = \{X\} \dot{\cup} (V \rightarrow (\mathcal{D}(\mathcal{I}) \setminus \mathcal{D}(\mathcal{C}_*)))$
- $f(u) \subseteq \sigma(u) / f(u) = \{X\}$  if  $u \notin \text{dom}(\sigma)$

$$\mathcal{S} = (\{Int\}, \{C\}, \{x : Int, y : Int, r : C_*\}, \{C \mapsto \{x, y, r\}\}), \quad \mathcal{D}(Int) = \mathbb{Z}$$

$$\sigma = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2, r \mapsto \{1_C, 3_C\}\}\}$$

- $G = (N, E, f)$  with

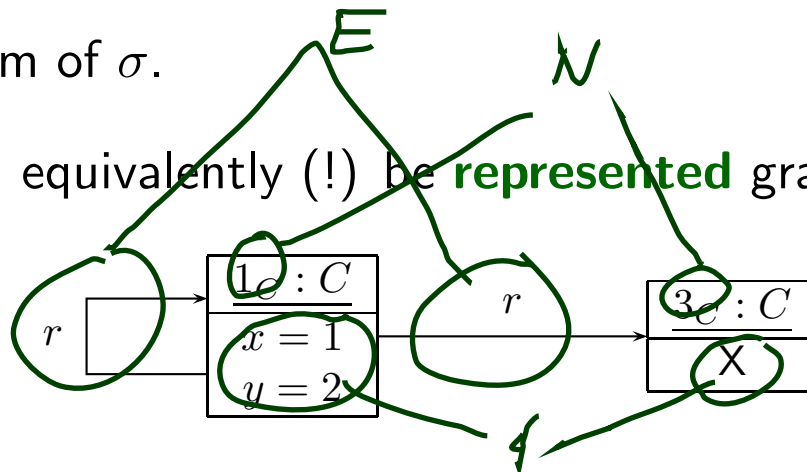
- nodes  $N = \{1_C, 3_C\}$

- edges  $E = \{(1_C, r, 1_C), (1_C, r, 3_C)\}$ ,

- node labelling  $f = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2\}, 3_C \mapsto X\}$

is an object diagram of  $\sigma$ .

- Yes, and...?  $G$  can equivalently (!) be **represented** graphically as follows:

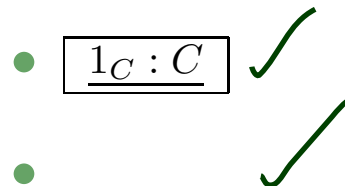
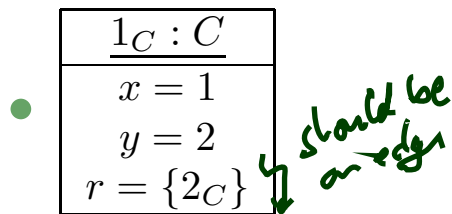
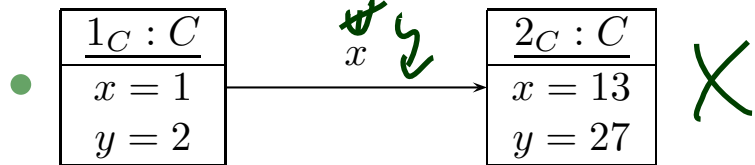
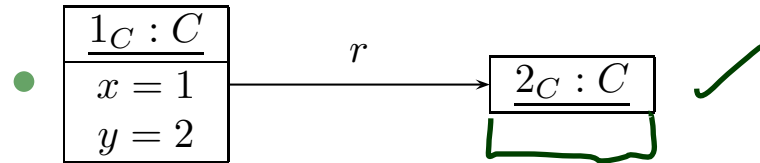
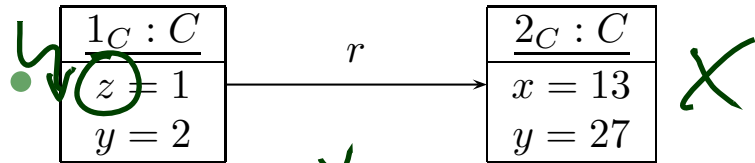
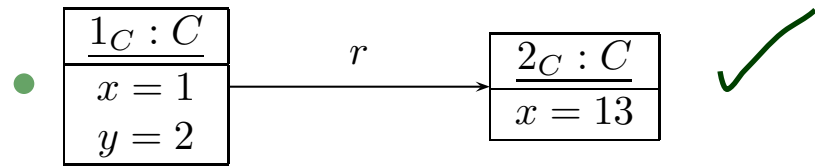
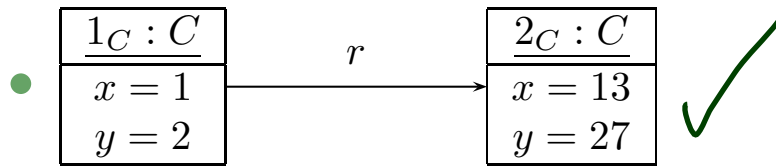


# Object Diagram: More Examples?

- $N \subset \mathcal{D}(\mathcal{C})$  finite
- $E \subset N \times V_{0,1;*} \times N$
- $\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r)$ ,
- $f : N \rightarrow X$
- $X = \{X\} \dot{\cup} (V \rightarrow (\mathcal{D}(\mathcal{T}) \sqcup \mathcal{D}(\mathcal{C}_*)))$
- $f(u) \subseteq \sigma(u) / f(u) = \{X\}$  if  $u \notin \text{dom}(\sigma)$

$$\mathcal{S} = (\{Int\}, \{C\}, \{x : Int, y : Int, r : C_*\}, \{C \mapsto \{x, y, r\}\}), \quad \mathcal{D}(Int) = \mathbb{Z}$$

$$\sigma = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2, r \mapsto \{2_C\}\}, \quad 2_C \mapsto \{x \mapsto 13, y \mapsto 27, r \mapsto \emptyset\}\},$$



# Complete vs. Partial Object Diagram

**Definition.** Let  $G = (N, E, f)$  be an object diagram of system state  $\sigma \in \Sigma_{\mathcal{D}}$ .

We call  $G$  **complete** wrt.  $\sigma$  if and only if

- $G$  is **object complete**, i.e.
  - $G$  consists of all alive and “linked” non-alive objects, i.e.

$$N = \text{dom}(\sigma) \cup \{u \mid \exists u_1 \in \mathcal{D}(\mathcal{C}), r \in V_{0,1;*} \bullet u \in \sigma(u_1)(r)\}$$

- $G$  is **attribute complete**, i.e.
  - $G$  comprises all “links” between objects, i.e. if and only if  $u_2 \in \sigma(u_1)(r)$  for some  $u_1, u_2 \in \mathcal{D}(\mathcal{C})$  and  $r \in V$ , then  $(u_1, r, u_2) \in E$ , and
  - each node is labelled with the values of all  $\mathcal{T}$ -typed attributes, i.e. for each  $u \in \text{dom}(\sigma)$ ,

$$f(u) = \sigma(u)|_{V_{\mathcal{T}}}$$

*function restriction*

where  $V_{\mathcal{T}} := \{v : T \in V \mid T \in \mathcal{T}\}$ .

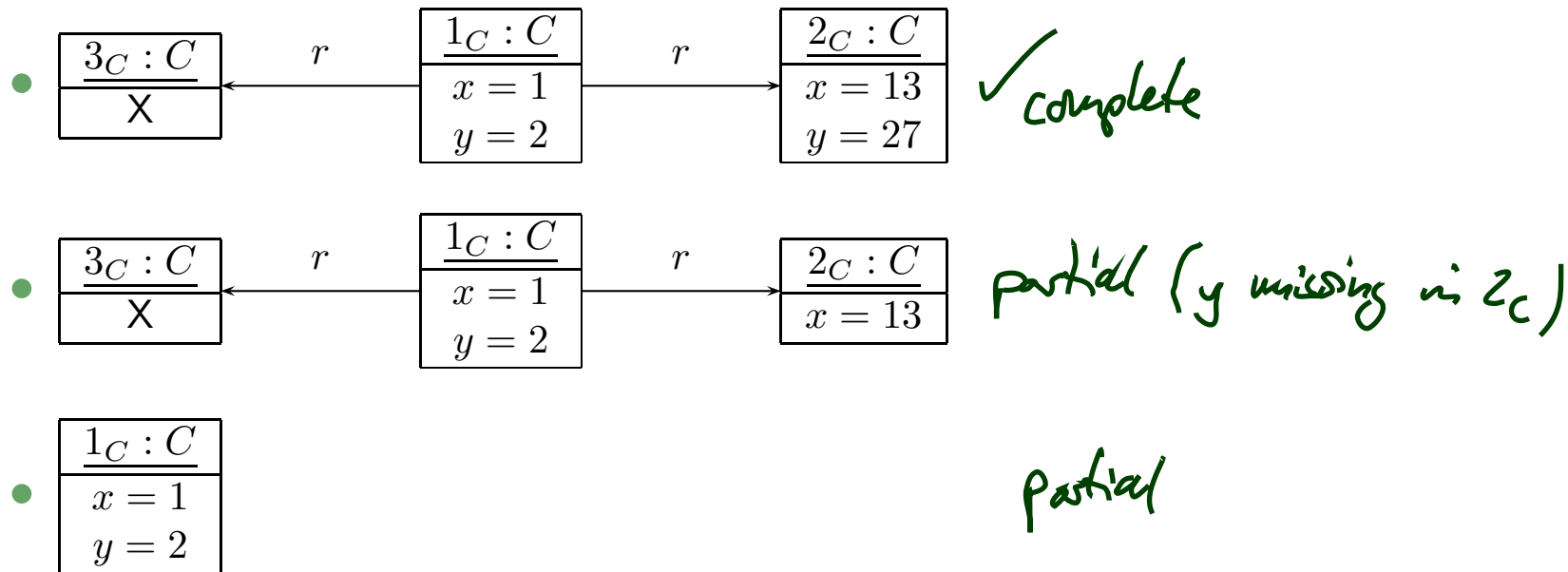
Otherwise we call  $G$  **partial**.

# Complete vs. Partial: Examples

- $N \subset \mathcal{D}(\mathcal{C})$  finite
- $E \subset N \times V_{0,1;*} \times N$
- $\forall (u_1, r, u_2) \in E : u_1 \in \text{dom}(\sigma) \wedge u_2 \in \sigma(u_1)(r)$ ,
- $f : N \rightarrow X$
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- $f(u) \subseteq \sigma(u) / f(u) = \{X\}$  if  $u \notin \text{dom}(\sigma)$

$$\mathcal{S} = (\{Int\}, \{C\}, \{x : Int, y : Int, r : C_*\}, \{C \mapsto \{v_1, v_2, r\}\}), \quad \mathcal{D}(Int) = \mathbb{Z}$$

$$\sigma = \{1_C \mapsto \{x \mapsto 1, y \mapsto 2, r \mapsto \{2_C, 3_C\}\}, \quad 2_C \mapsto \{x \mapsto 13, y \mapsto 27, r \mapsto \emptyset\}\},$$



# Complete/Partial is Relative

- Each (consistent) object diagram  $G$  represents a set of system states, namely

$$G^{-1} := \{\sigma \in \Sigma_{\mathcal{D}} \mid G \text{ is an object diagram of } \sigma\}$$

- How many?

Infinitely many!

$G = \boxed{1c:c}$

$|G^{-1}|$

- = 0
- = 1
- > 1
- > 100
- > 1000000

- Each finite system state has **exactly one complete** object diagram.
- A finite system state can have **many partial** object diagrams.

- Observation:**

If somebody **tells us** for a given (consistent) object diagram  $G$

- that it is **meant to be complete**, and  $\nabla$
- if it is not inherently incomplete (e.g. missing attribute values),

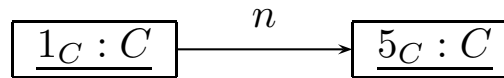
then it uniquely denotes **the** corresponding system state, denoted by  $\sigma(G)$ .

**Therefore** we can use complete object diagrams **exchangeably** with system states.

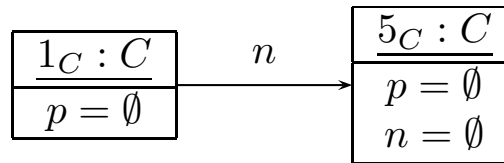
# Non-Standard Notation

- $\mathcal{S} = (\{Int\}, \{C\}, \{n, p : C_*\}, \{C \mapsto \{n, p\}\})$ .

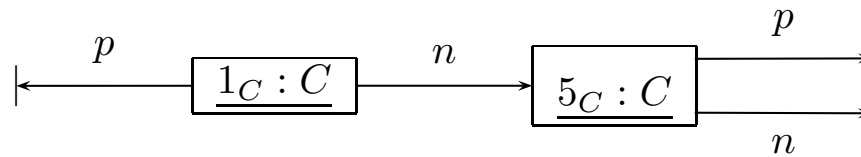
- Instead of



we want to write



or

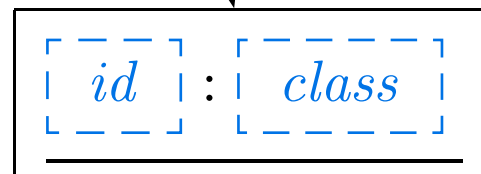
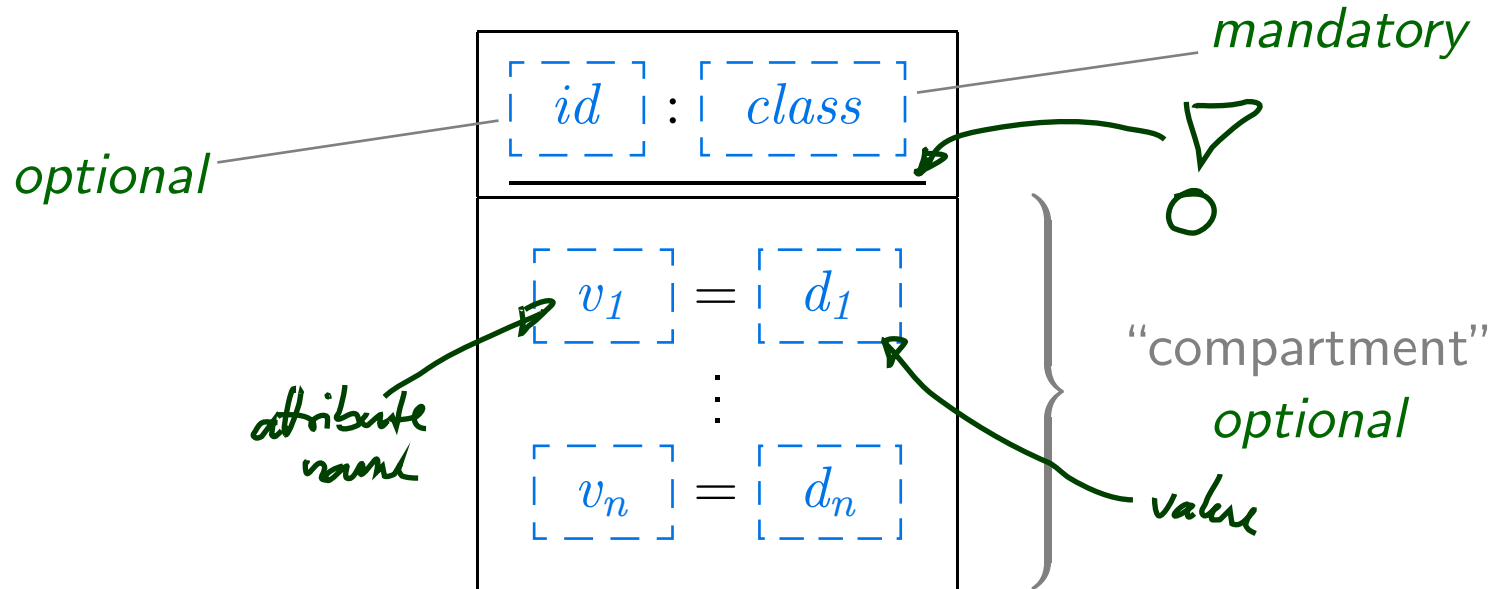


to **explicitly** indicate that attribute  $p : C_*$  has value  $\emptyset$  (also for  $p : C_{0,1}$ ).



# *UML Object Diagrams*

# UML Notation for Object Diagrams



we assume:  
different “boxes”  
means  
different identities

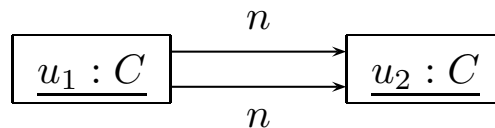


# Discussion

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We slightly deviate from the standard (for reasons):

- We **allow** to show non-alive objects.
  - Allows us to represent “dangling references”,  
i.e. references to objects which are not alive in the current system state.
- We **introduce** a graphical representation of  $\emptyset$  values.
  - Easier to distinguish partial and complete object diagrams.
- In the course,  $C_{0,1}$  and  $C_*$ -typed attributes only have **sets** as values. UML also considers multisets, that is, they can have



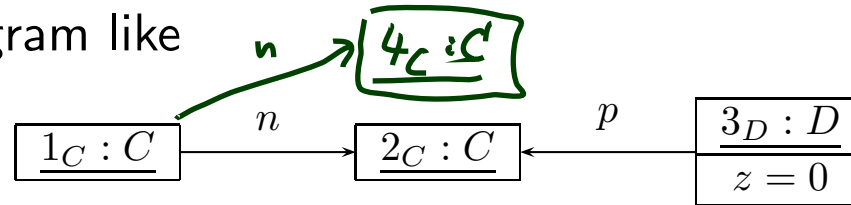
This is **not** an object diagram in the sense of **our definition** because of the requirement on the edges  $E$ .

Extension is straightforward but tedious.

# *The Other Way Round*

# From Object Diagram to Signature / Structure

- If we **only** have a diagram like



we typically assume that it is **meant to be** an object diagram wrt. **some signature** and **structure**.

- In the example, we conclude that the author is referring to **some** signature

$\mathcal{S} = (\mathcal{T}, \mathcal{C}, V, atr)$  with at least

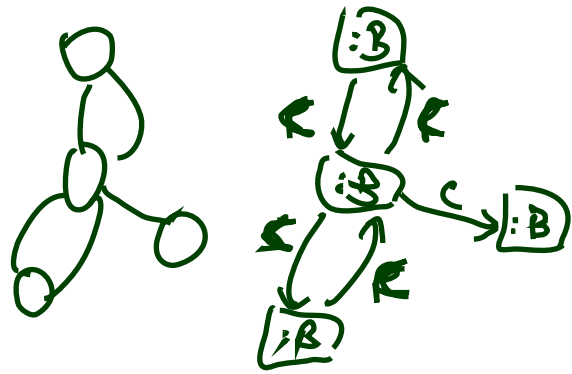
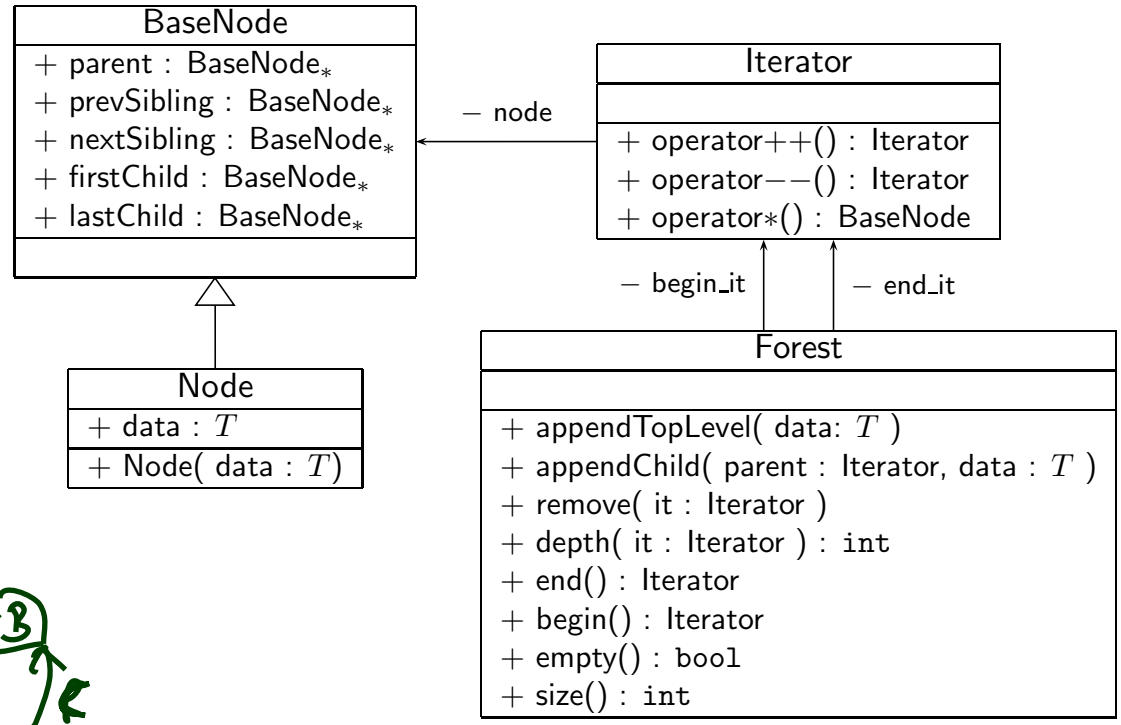
- $\{C, D\} \subseteq \mathcal{C}$
- $T \in \mathcal{T}$
- $\{n: C * p, p: C_{a,i}, z: T\} \subseteq V$
- $\{z\} \subseteq atr(D)$
- $\{p, h\} \subseteq atr(C)$

and a structure  $\mathcal{D}$  with

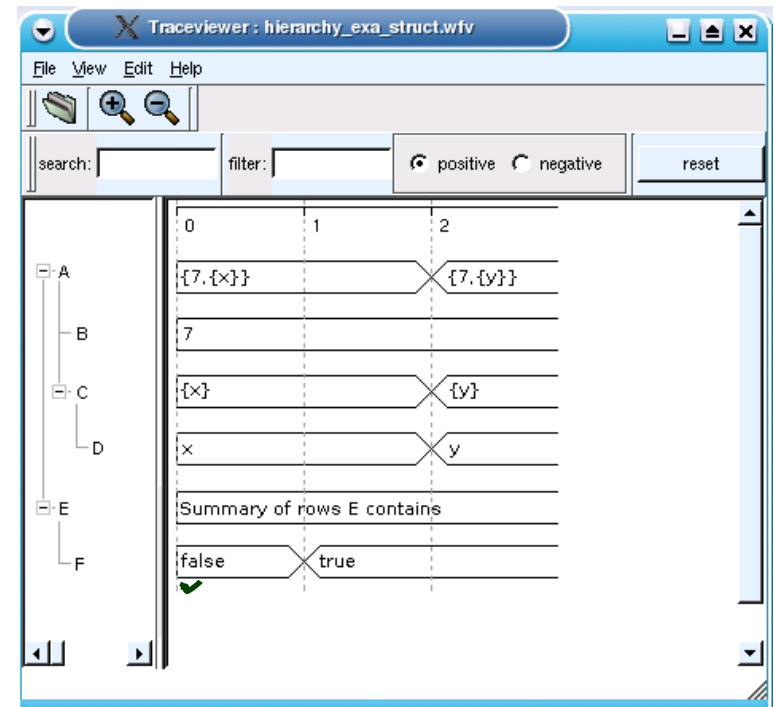
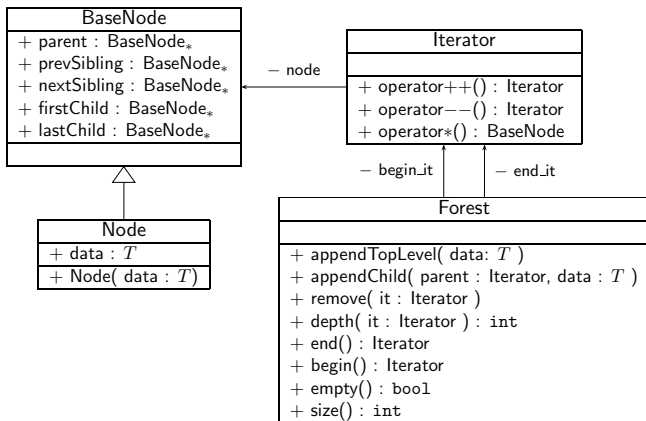
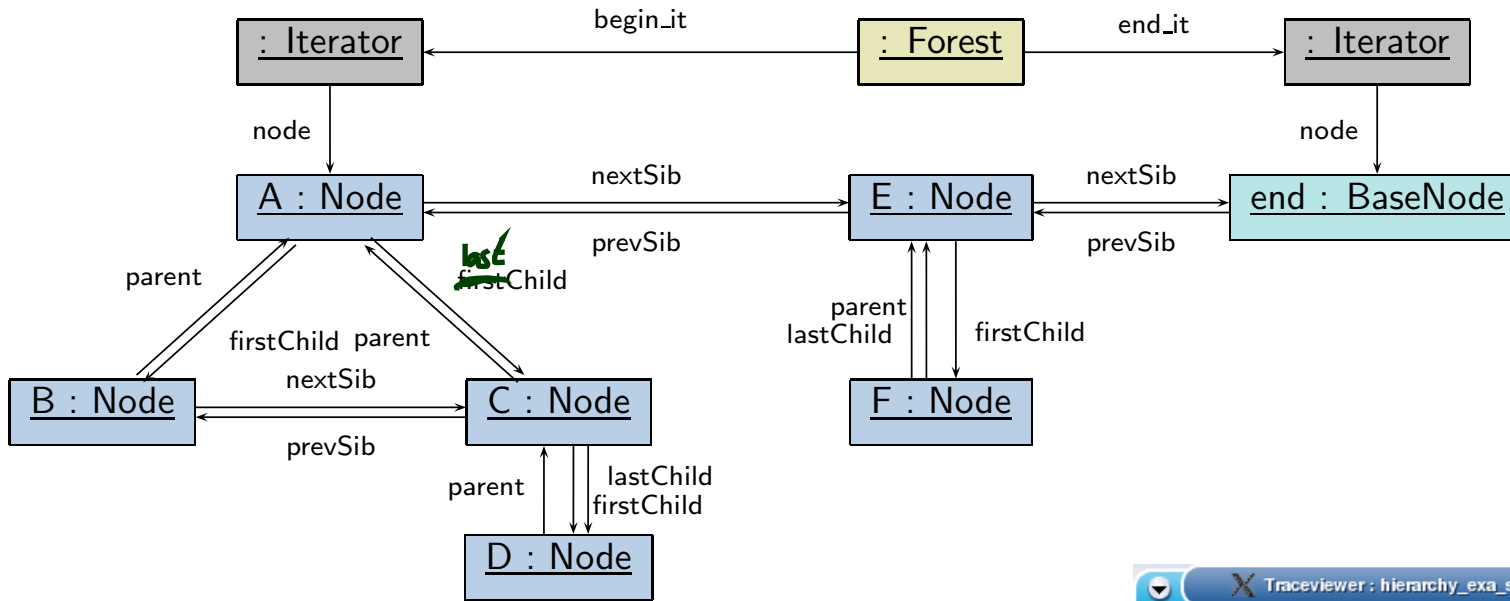
- $\{1_C, 4_C, 2_C\} \in \mathcal{D}(C)$
- $3_D \in \mathcal{D}(D)$
- $0 \in \mathcal{D}(T)$

## *Example: Object Diagrams for Documentation*

# Example: Data Structure (Schumann et al., 2008)



# Example: Illustrative Object Diagram (Schumann et al., 2008)





# *References*

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