

# Software Design, Modelling and Analysis in UML

## Lecture 12: Core State Machines II

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### Contents & Goals

- Last Lecture:**
  - Basic causality model
  - Event/event pool
  - System configuration
- This Lecture:**
  - Educational Objectives:** Capabilities for following tasks/questions:
    - What does this State Machine mean? What happens if I inject this event?
    - Can you please model the following behaviour?
    - What is: Signal, Event, Eifer, Transformer, Step, RTC
  - Content:**
    - System configuration cont'd
    - Transformers
    - Step, Run-to-Completion Step

### System Configuration

**Definition.** Let  $\mathcal{S}_0 = (\mathcal{S}_0, \mathcal{K}_0, V_0, \text{attr}_0, \mathcal{E}')$  be a signature with signals  $\mathcal{S}_0$ ,  $\mathcal{K}_0$  a structure of  $\mathcal{S}_0$ ,  $(Eh, \text{ready}, \mathcal{S}, \mathcal{E}')$  an other over  $\mathcal{S}_0$  and  $\mathcal{S}_0$ . Furthermore assume there is one core state machine  $M_C$  per class  $C \in \mathcal{C}$ . A system configuration over  $\mathcal{S}_0, \mathcal{K}_0$  and  $Eh$  is a pair

$$(\sigma, \mathcal{E}) \in \Sigma_{\mathcal{S}_0}^{\mathcal{C}} \times Eh$$

where

- $\mathcal{S} = (\mathcal{S} \cup \{S_{MC} \mid C \in \mathcal{C}\}, \mathcal{K}_0,$
- $V_0 \cup \{\text{stable} : \text{Bool}, \neg, \text{true } 0\})$
- $\cup \{\text{setc} : S_{MC} \rightarrow \text{set } 0 \mid C \in \mathcal{C}\}$
- $\cup \{\text{param}_g : E_{h_1} \rightarrow \text{set } 0 \mid E \in \mathcal{E}\},$
- $C \mapsto \text{attr}(C)$
- $\cup \{\text{stable}, \text{setc}\} \cup \{\text{param}_g \mid E \in \mathcal{E}\} \mid C \in \mathcal{C}\}, \mathcal{E})$

and

- $\mathcal{S} = \mathcal{S}_0 \cup \{S_{MC} \mapsto \text{set } 0 \mid C \in \mathcal{C}\},$  and
- $\sigma(v)(v) \cap \mathcal{S}(k_0) = \emptyset$  for each  $v \in \text{dom}(v)$  and  $r \in V_0$ .

### System Configuration: Example

C	
S: IN	
E: A	
E	
S: IN	
S: IN	

$\mathcal{S} = (\mathcal{S}_0 \cup \{S_{MC} \mapsto \text{set } 0\}, \mathcal{K}_0, V_0 \cup \{\text{stable} : \text{Bool}, \neg, \text{true } 0\}, \mathcal{E}')$  where

- $\mathcal{S} = (\mathcal{S}_0 \cup \{S_{MC} \mid C \in \mathcal{C}\}, \mathcal{K}_0,$
- $V_0 \cup \{\text{stable} : \text{Bool}, \neg, \text{true } 0\}, \mathcal{E}')$
- $\cup \{\text{param}_g : E_{h_1} \rightarrow \text{set } 0 \mid E \in \mathcal{E}\},$
- $C \mapsto \text{attr}(C) \cup \{\text{stable}, \text{setc}\} \cup \{\text{param}_g \mid E \in \mathcal{E}\} \mid C \in \mathcal{C}\}, \mathcal{E})$
- $\cup \{\text{stable}, \text{setc}\} \cup \{\text{param}_g \mid E \in \mathcal{E}\}$  and  $\sigma \in \Sigma_{\mathcal{S}_0}^{\mathcal{C}}$

$\mathcal{S}_0 = \{A, B\}$

- $\{ \text{setc} : S_{MC} \rightarrow \text{set } 0 \}$
- $\{ \text{stable} : \text{Bool} \}$
- $\{ \text{param}_g : E_{h_1} \rightarrow \text{set } 0 \}$
- $\{ \text{attr} : \mathcal{C} \rightarrow \text{set } 0 \}$
- $\{ \text{setc} : S_{MC} \rightarrow \text{set } 0 \}$
- $\{ \text{stable} : \text{Bool} \}$
- $\{ \text{param}_g : E_{h_1} \rightarrow \text{set } 0 \}$
- $\{ \text{attr} : \mathcal{C} \rightarrow \text{set } 0 \}$

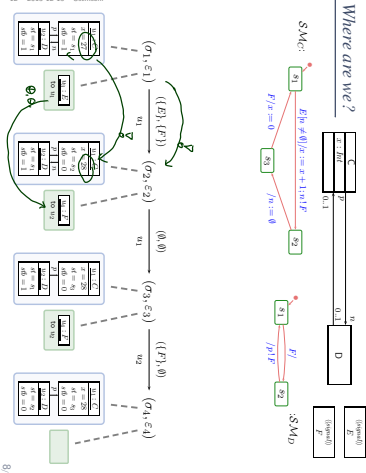
$\mathcal{D}(S_{MC}) = \{ \text{setc}, \text{stable} \}$

### System Configuration

- We start with some signatures with signals  $\mathcal{S}_0 = (\mathcal{S}_0, \mathcal{K}_0, V_0, \text{attr}_0, \mathcal{E}')$ .
- A system configuration is a pair  $(\sigma, \mathcal{E})$  which comprises a system state  $\sigma$  wrt.  $\mathcal{S}$  (not wrt.  $\mathcal{S}_0$ ).
- Such a system state  $\sigma$  wrt.  $\mathcal{S}$  provides, for each object  $w \in \text{dom}(\sigma)$ ,
  - values for the explicit attributes in  $V_0$ ,
  - values for a number of implicit attributes, namely
  - a stability flag, i.e.  $\sigma(v)(\text{stable})$  is a boolean value,
  - a current (state machine) state, i.e.  $\sigma(v)(st)$  denotes one of the states of core state machine  $M_C$ ,
  - a temporary association to access event parameters for each class, i.e.  $\sigma(v)(\text{param}_g)$  is defined for each  $E \in \mathcal{E}$ .
- For convenience require: there is no link to an event except for  $\text{param}_g$ .

**Definition.**  
 Let  $(\sigma, \varepsilon)$  be a system configuration over some  $\mathcal{S}_0, \mathcal{S}_0, \mathcal{E}M$ .  
 We call an object  $u \in \text{dom}(\sigma) \cap \mathcal{O}(\mathcal{K}_0)$  stable in  $\sigma$  if and only if  
 $\sigma(u)(\text{stable}) = \text{true}$ .  
**And unstable otherwise**

Where are we?



Transformer

Recall

- The (simplified) syntax of transition annotations:  
 $annot ::= [ \langle event \rangle [ \langle guard \rangle ] [ \langle f \rangle \langle action \rangle ] ]$
- Clear:**  $\langle event \rangle$  is from  $\mathcal{E}$  of the corresponding signature.
- But:** What are  $\langle guard \rangle$  and  $\langle action \rangle$ ?
- UML can be viewed as being parameterized in **expression language** (providing  $\langle guard \rangle$ ) and **action language** (providing  $\langle action \rangle$ ).
- Examples
  - Expression Language:
    - OCL
    - Java, C++, ... expressions
    - ...
  - Action Language:
    - UML Action Semantics: "Executable UML"
    - Java, C++, ... statements (plus some event send action)
    - ...

Needed: Semantics

- In the following, we assume that we're given
- an **expression language**  $Expr$  for guards, and
  - an **action language**  $Act$  for actions,
- and that we're given
- a semantics for boolean expressions in form of a partial function  

$$\llbracket \cdot \rrbracket : Expr \times \Sigma_{\mathcal{O}}^{\mathcal{K}} \times \mathcal{O}(\mathcal{K}) \rightarrow \mathbb{B}$$

$$\llbracket Expr \rrbracket (\sigma, \varepsilon) := \begin{cases} 1, & \text{if } \llbracket Expr \rrbracket (\sigma, \varepsilon) = 1 \\ 0, & \text{if } \llbracket Expr \rrbracket (\sigma, \varepsilon) = 0 \\ \text{undefined, otherwise} \end{cases}$$
- which evaluates expressions in a given system configuration. Assuming  $\llbracket \cdot \rrbracket$  to be partial is a way to treat "undefined" during runtime. If  $\llbracket \cdot \rrbracket$  is not defined (for instance because of dangling-reference navigation or division-by-zero), we want to go to a designated "zero" system configuration.
- a **transformer** for each action: for each  $act \in Act$ , we assume to have  

$$t_{act} \subseteq \mathcal{O}(\mathcal{K}) \times (\Sigma_{\mathcal{O}}^{\mathcal{K}} \times EM) \times (\Sigma_{\mathcal{O}}^{\mathcal{K}} \times EM)$$

Transformer

**Definition.**  
 Let  $\Sigma_{\mathcal{O}}^{\mathcal{K}}$  the set of system configurations over some  $\mathcal{S}_0, \mathcal{S}_0, \mathcal{E}M$ .  
 We call a relation  

$$t \subseteq \mathcal{O}(\mathcal{K}) \times (\Sigma_{\mathcal{O}}^{\mathcal{K}} \times EM) \times (\Sigma_{\mathcal{O}}^{\mathcal{K}} \times EM)$$
 a (system configuration) **transformer**.

- Example**
- $t_{[a]}(\sigma, \varepsilon) \subseteq \Sigma_{\mathcal{O}}^{\mathcal{K}} \times EM$  is
  - the set  $\{ \}$  of the system configurations
  - which **may** result from object  $u$ .
  - executing transformer  $t$ .
  - $t_{\text{send}}(u, (\sigma, \varepsilon)) = \{ (\sigma, \varepsilon) \}$
  - $t_{\text{erase}}(u, (\sigma, \varepsilon))$ : add a previously non-alive object to  $\sigma$



<p><b>abstract syntax</b>  <math>\text{send}(E)(\text{expr}_1, \dots, \text{expr}_n, \text{expr}_{\text{val}})</math></p> <p><b>infixive semantics</b>  <b>Object</b> <math>u_i</math>: <math>C</math> sends event <math>E</math> to object <math>\text{expr}_i</math>; i.e. create a fresh signal instance, fill in its attributes, and place it in the ether.</p> <p><b>well-hyphenes</b>  <math>E \in \mathcal{E}</math>; <math>\text{var}(E) = \{v_1, T_1, \dots, v_n, T_n\}</math>; <math>\text{expr}_i, T_i</math>; <math>1 \leq i \leq n</math>;</p> <p><b>semantics</b>  all expressions obey stability and navigability in <math>C</math></p> <p><math>(\sigma, \mathcal{E}') \in \text{trans}(\text{expr}_1, \dots, \text{expr}_n, \text{expr}_{\text{val}})[u_i](\sigma, \mathcal{E})</math></p> <p><math>\exists \sigma' = \sigma \cup \{ \text{sig} \rightarrow (v_i \mapsto u_i) \mid 1 \leq i \leq n \}</math>; <math>\mathcal{E}' = \mathcal{E} \cup \{ \text{sig} \}</math></p> <p>if <math>u_{\text{val}} = \text{I}[\text{expr}_{\text{val}}](\sigma, u_{\text{val}}) \in \text{dom}(\sigma)</math>; <math>d_i = \text{I}[\text{expr}_i](\sigma, u_i)</math> for <math>1 \leq i \leq n</math></p> <p><math>\mathcal{E} \in \mathcal{D}(E)</math> <math>\wedge \text{I}[\text{expr}_i](\sigma, u_i)</math> (i.e. <math>u_i \notin \text{dom}(\sigma)</math>)</p> <p>and where <math>(\sigma', \mathcal{E}') = (\sigma, \mathcal{E})</math> if <math>u_{\text{val}} \notin \text{dom}(\sigma)</math>; <math>\} \text{semantics of send}</math></p> <p><b>observables</b>  <math>\text{Obs}_{\text{send}(u_i)} = \{ \{ \text{sig} \} \}</math></p> <p><b>(form) conditions</b>  <math>\{ \text{expr}_i \}(\sigma, u_i)</math> not defined for any <math>\text{expr}_i \in \{ \text{expr}_1, \text{expr}_2, \dots, \text{expr}_n \}</math>.</p>	<p><b>concrete syntax</b>  <math>\text{expr}_{\text{val}} \in \{ \text{obj}, \dots, \text{obj} \}</math></p> <p><b>Object</b> <math>u_i</math>: <math>C</math> sends event <math>E</math> to object <math>\text{expr}_i</math>; i.e. create a fresh signal instance, fill in its attributes, and place it in the ether.</p> <p><b>well-hyphenes</b>  <math>E \in \mathcal{E}</math>; <math>\text{var}(E) = \{v_1, T_1, \dots, v_n, T_n\}</math>; <math>\text{expr}_i, T_i</math>; <math>1 \leq i \leq n</math>;</p> <p><b>semantics</b>  all expressions obey stability and navigability in <math>C</math></p> <p><math>(\sigma, \mathcal{E}') \in \text{trans}(\text{expr}_1, \dots, \text{expr}_n, \text{expr}_{\text{val}})[u_i](\sigma, \mathcal{E})</math></p> <p><math>\exists \sigma' = \sigma \cup \{ \text{sig} \rightarrow (v_i \mapsto u_i) \mid 1 \leq i \leq n \}</math>; <math>\mathcal{E}' = \mathcal{E} \cup \{ \text{sig} \}</math></p> <p>if <math>u_{\text{val}} = \text{I}[\text{expr}_{\text{val}}](\sigma, u_{\text{val}}) \in \text{dom}(\sigma)</math>; <math>d_i = \text{I}[\text{expr}_i](\sigma, u_i)</math> for <math>1 \leq i \leq n</math></p> <p><math>\mathcal{E} \in \mathcal{D}(E)</math> <math>\wedge \text{I}[\text{expr}_i](\sigma, u_i)</math> (i.e. <math>u_i \notin \text{dom}(\sigma)</math>)</p> <p>and where <math>(\sigma', \mathcal{E}') = (\sigma, \mathcal{E})</math> if <math>u_{\text{val}} \notin \text{dom}(\sigma)</math>; <math>\} \text{semantics of send}</math></p> <p><b>observables</b>  <math>\text{Obs}_{\text{send}(u_i)} = \{ \{ \text{sig} \} \}</math></p> <p><b>(form) conditions</b>  <math>\{ \text{expr}_i \}(\sigma, u_i)</math> not defined for any <math>\text{expr}_i \in \{ \text{expr}_1, \text{expr}_2, \dots, \text{expr}_n \}</math>.</p>
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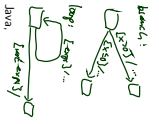
S.M.C:  $\text{sig} \xrightarrow{\text{I}[\text{E}](\sigma \pm 1)} \text{sig}$

$\text{trans}(\text{expr}_1, \dots, \text{expr}_n, \text{expr}_{\text{val}})[u_i](\sigma, \mathcal{E}) \ni (\sigma', \mathcal{E}') \text{ iff } \mathcal{E}' = \mathcal{E} \cup \{ \text{sig} \}$   
 $\sigma' = \sigma \cup \{ \text{sig} \rightarrow (v_i \mapsto u_i) \mid 1 \leq i \leq n \}$ ;  $u_{\text{val}} = \text{I}[\text{expr}_{\text{val}}](\sigma, u_{\text{val}}) \in \text{dom}(\sigma)$ ;  
 $d_i = \text{I}[\text{expr}_i](\sigma, u_i)$ ,  $1 \leq i \leq n$ ;  $u_i \in \mathcal{D}(E)$  a freshness;

- **Sequential composition**  $t_1 \circ t_2$  of transformers  $t_1$  and  $t_2$  is canonically defined as  $t_{\text{seq}}(t_1, t_2)$
- with observation  $(t_1 \circ t_2)[u_2](\sigma, \mathcal{E}) = t_2[u_2](t_1[u_1](\sigma, \mathcal{E}))$
- **Clear**: not defined if one the two intermediate "micro steps" is not defined.

Transformers And Denotational Semantics

- Observation**: our transformers are in principle the **denotational semantics** of the actions/action sequences. The trivial case, to be precise:
  - Note**: with the previous examples, we can capture
    - empty statements, skips,
    - assignments,
    - conditionals (by normalisation and auxiliary variables),
    - create/destroy (later),
  - but not **possibly diverging loops**
  - Our (Simple) Approach**: if the action language is, e.g. Java, then (**syntactically**) forbid loops and calls of recursive functions.
  - Other Approach**: use full blown denotational semantics.
- No show-stopper, because loops in the action annotation can be converted into transition cycles in the state machine.



References

Harel, D. and Gery, E. (1997). Executable object modeling with statecharts. *IEEE Computer*, 30(7):31–42.

OMG (2011a). Unified modeling language: Infrastructure, version 2.4.1. Technical Report formal/2011-08-05.

OMG (2011b). Unified modeling language: Superstructure, version 2.4.1. Technical Report formal/2011-08-06.

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