

# *Software Design, Modelling and Analysis in UML*

## *Lecture 19: Live Sequence Charts III*

2016-02-02

Prof. Dr. Andreas Podelski, **Dr. Bernd Westphal**

Albert-Ludwigs-Universität Freiburg, Germany

# *Contents & Goals*

---

## Last Lecture:

- Symbolic Büchi Automata
- Language of a UML Model
- Cuts

## This Lecture:

- **Educational Objectives:** Capabilities for following tasks/questions.

- How is the semantics of LSCs constructed?
- What is a cut, fired-set, etc.?
- Construct the TBA for this LSC.
- Give one example which (non-)trivially satisfies this LSC.

- **Content:**

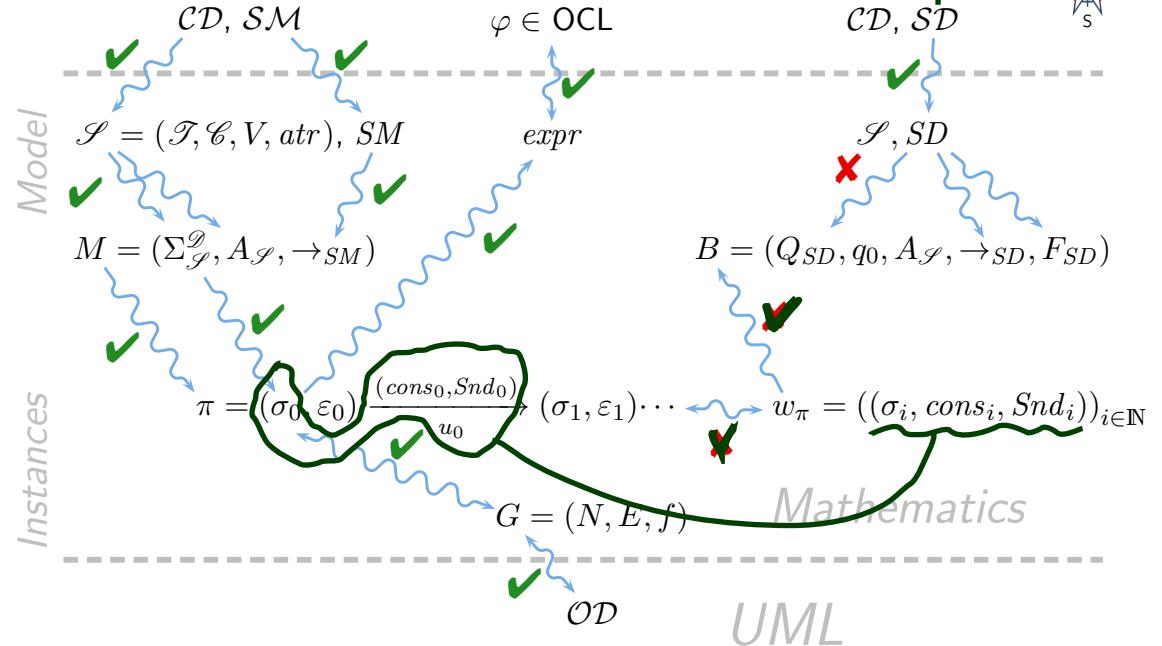
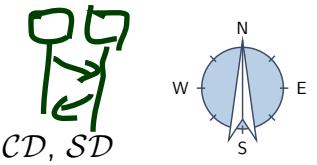
- Cut Examples, Firedset
- Automaton construction
- Transition annotations
- Forbidden scenarios

## *Live Sequence Charts — Semantics*

# TBA-based Semantics of LSCs



UML



## Plan:

- Given an LSC  $L$  with body

$$(I, (\mathcal{L}, \preceq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv}),$$

- construct a TBA  $\mathcal{B}_L$ , and
- define language  $\mathcal{L}(L)$  of  $L$  **in terms of**  $\mathcal{L}(\mathcal{B}_L)$ ,  
in particular taking activation condition and activation mode into account.
- Then  $\mathcal{M} \models L$  (universal) if and only if  $\mathcal{L}(\mathcal{M}) \subseteq \mathcal{L}(L)$ .  
And  $\mathcal{M} \models L$  (existential) if and only if  $\mathcal{L}(\mathcal{M}) \cap \mathcal{L}(L) \neq \emptyset$ .

# Formal LSC Semantics: It's in the Cuts!

## Definition.

Let  $(I, (\mathcal{L}, \preceq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv})$  be an LSC body.

A non-empty set  $\emptyset \neq C \subseteq \mathcal{L}$  is called a **cut** of the LSC body iff

- it is **downward closed**, i.e.  $\forall l, l' \bullet l' \in C \wedge l \preceq l' \implies l \in C$ ,
- it is **closed under simultaneity**, i.e.

$$\forall l, l' \bullet l' \in C \wedge l \sim l' \implies l \in C, \text{ and}$$

- it comprises at least **one location per instance line**, i.e.

$$\forall i \in I \exists l \in C \bullet i_l = i.$$

A cut  $C$  is called **hot**, denoted by  $\theta(C) = \text{hot}$ , if and only if at least one of its maximal elements is hot, i.e. if

$$\exists l \in C \bullet \theta(l) = \text{hot} \wedge \nexists l' \in C \bullet l \prec l'$$

Otherwise,  $C$  is called **cold**, denoted by  $\theta(C) = \text{cold}$ .

# Cut Examples

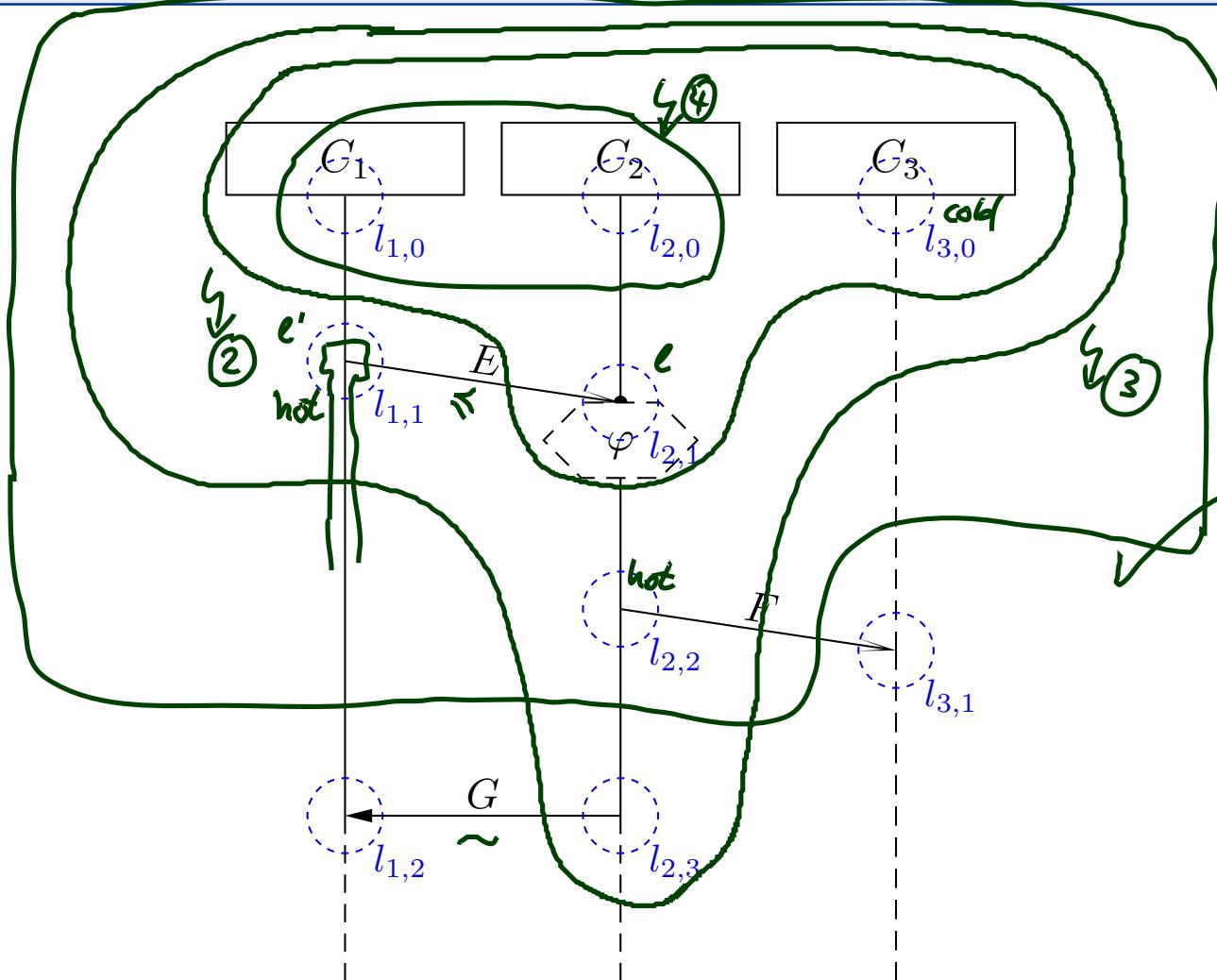
①

②

③

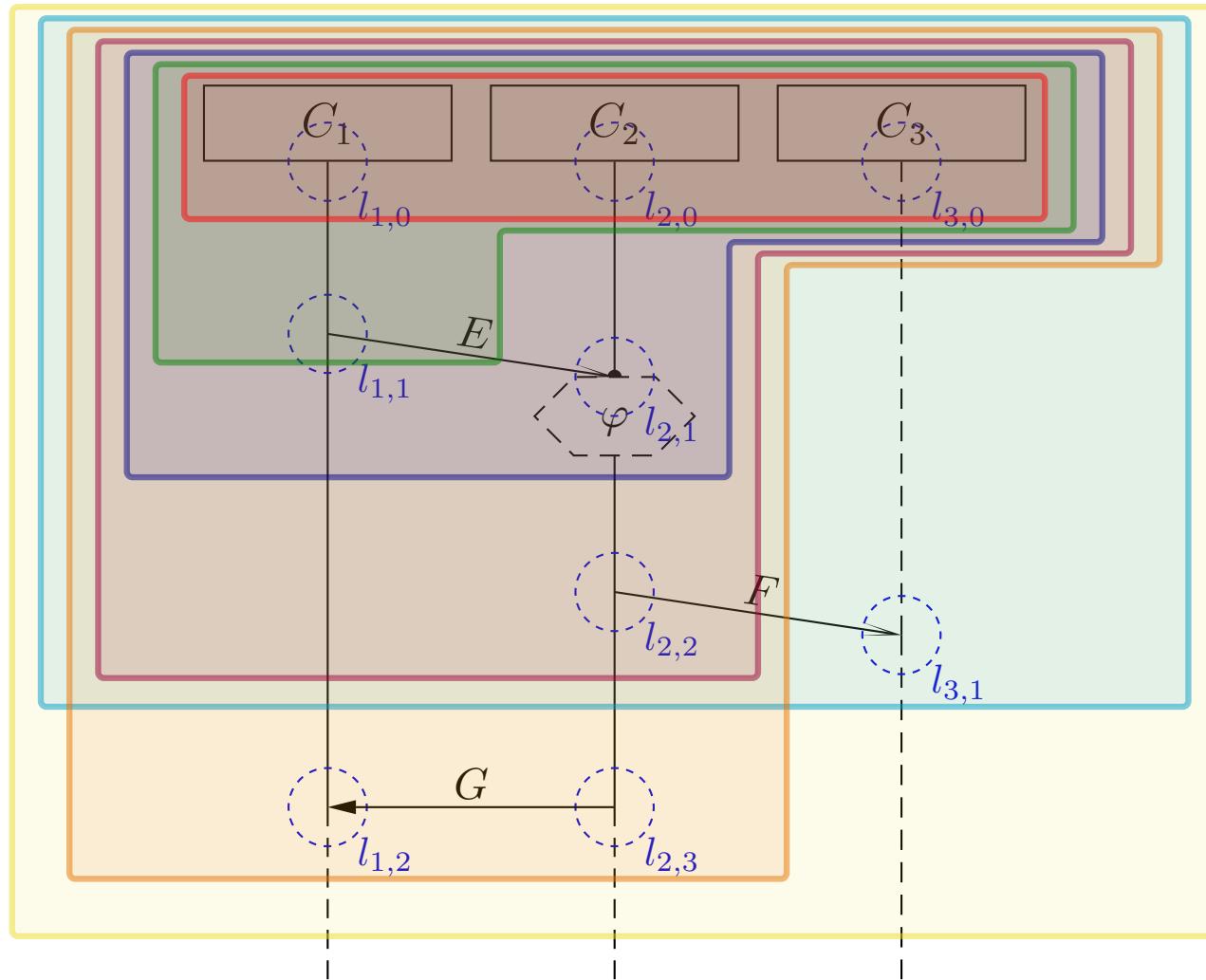
④

$\emptyset \neq C \subseteq \mathcal{L}$  — downward closed — simultaneity closed — at least one loc. per instance line



# Cut Examples

$\emptyset \neq C \subseteq \mathcal{L}$  — downward closed — simultaneity closed — at least one loc. per instance line



# A Successor Relation on Cuts

The partial order of  $(\mathcal{L}, \preceq)$  and the simultaneity relation “ $\sim$ ” induce a **direct successor relation** on cuts of  $\mathcal{L}$  as follows:

**Definition.** Let  $C, C' \subseteq \mathcal{L}$  be cuts of an LSC body with locations  $(\mathcal{L}, \preceq)$  and messages  $\text{Msg}$ .

$C'$  is called **direct successor** of  $C$  via **fired-set**  $F$ , denoted by  $C \rightsquigarrow_F C'$ , if and only if

- $F \neq \emptyset$ ,
- $C' \setminus C = F$ ,
- for each asynchronous (!) message reception in  $F$ , the corresponding sending is already in  $C$ ,



include (\*) from  
slide 9

$$\forall (l, E, l') \in \text{Msg}, l \not\sim l' : l' \in F \implies l \in C, \text{ and}$$

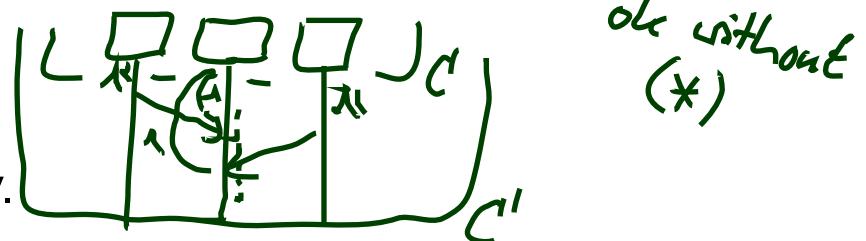
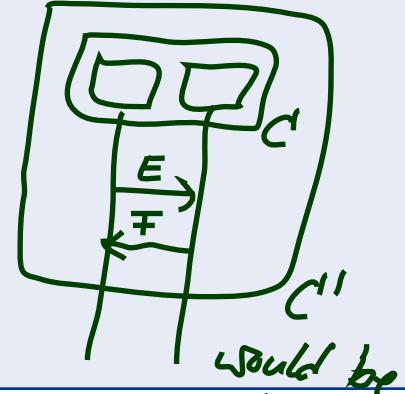
- locations in  $F$ , that lie on the same instance line, are pairwise unordered, i.e.

$$\forall l, l' \in F : l \neq l' \wedge i_l = i_{l'} \implies l \not\preceq l' \wedge l' \not\preceq l$$

# Properties of the Fired-set

$C \rightsquigarrow_F C'$  if and only if

- $F \neq \emptyset$ ,
- $C' \setminus C = F$ ,
- $\forall (l, E, l') \in \text{Msg}, l \not\sim l' : l' \in F \implies l \in C$ , and
- $\forall l, l' \in F : l \neq l' \wedge i_l = i_{l'} \implies l \not\preceq l' \wedge l' \not\preceq l$

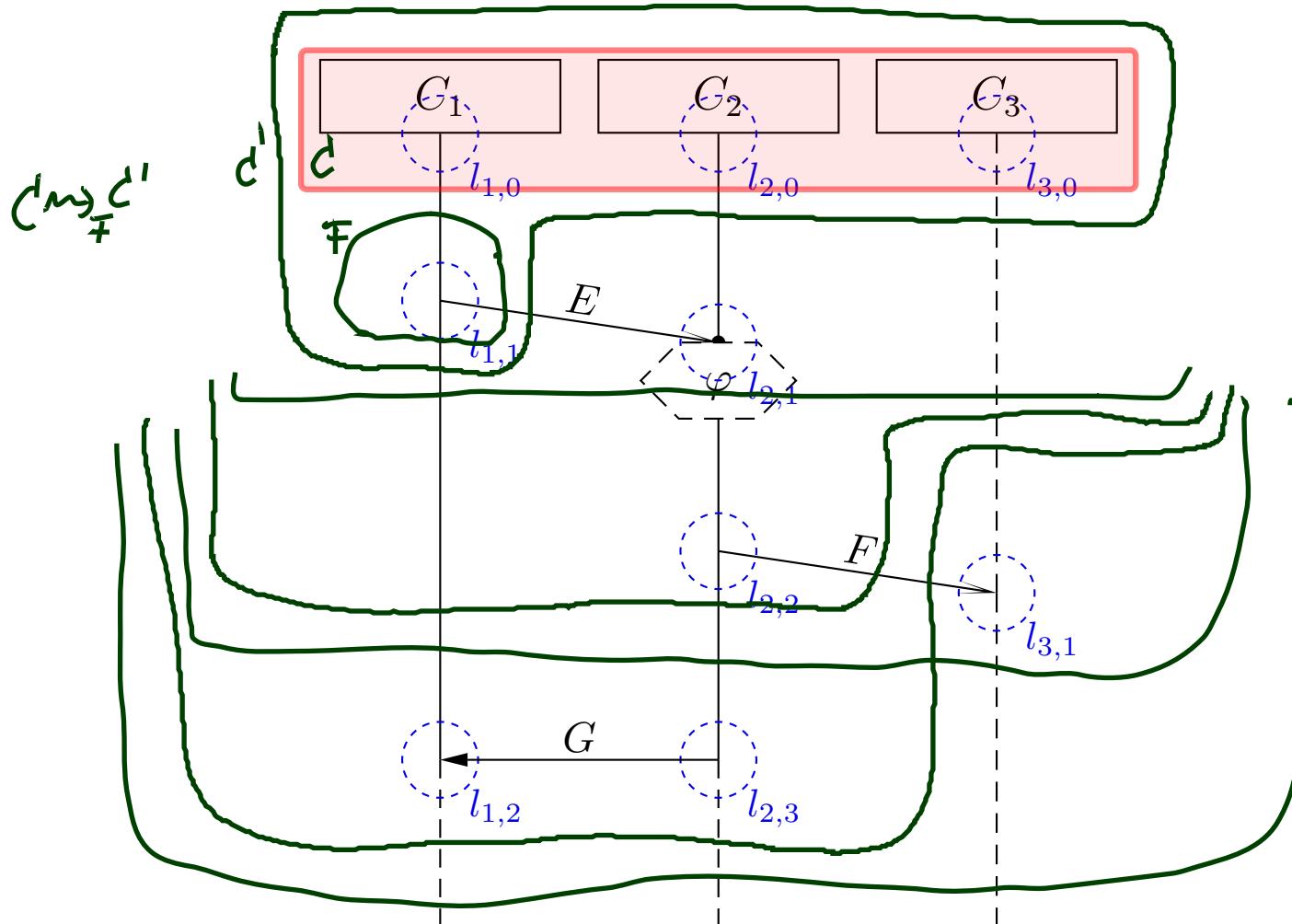


- **Note:**  $F$  is closed under simultaneity.
- **Note:** locations in  $F$  are direct  $\preceq$ -successors of locations in  $C$ , i.e.

$$\forall l' \in F \exists l \in C : l \prec l' \wedge \nexists l'' \in C \text{ such that } l \prec l'' \prec l'$$

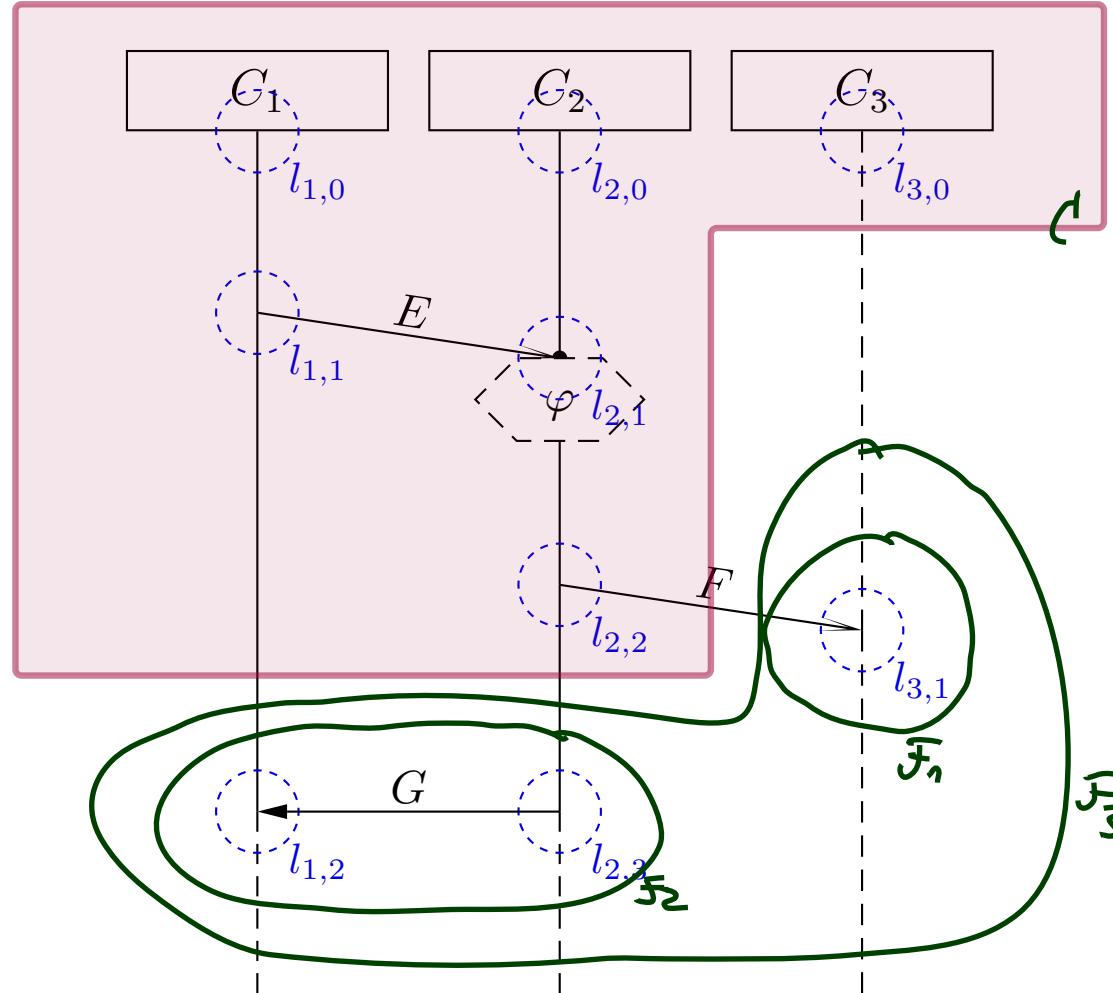
# Successor Cut Example

$C \cap F = \emptyset$  —  $C \cup F$  is a cut — only direct  $\prec$ -successors — same instance line on front pairwise unordered — sending of asynchronous reception already in

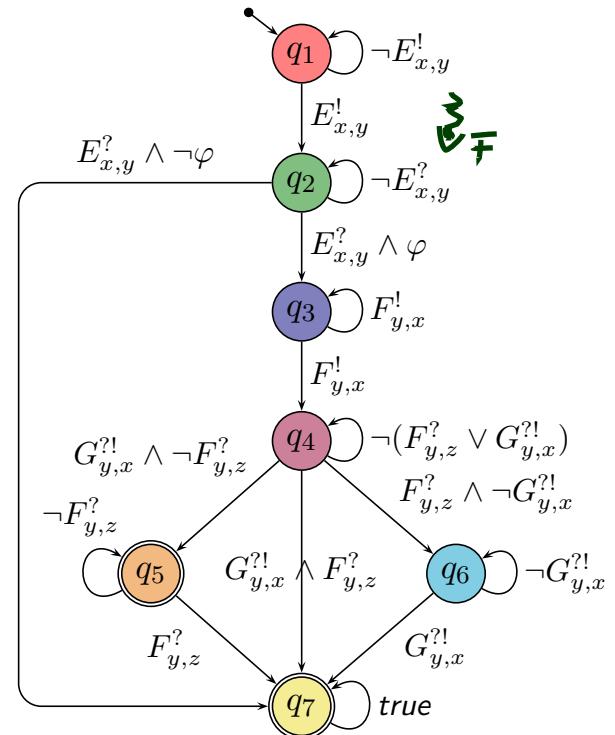
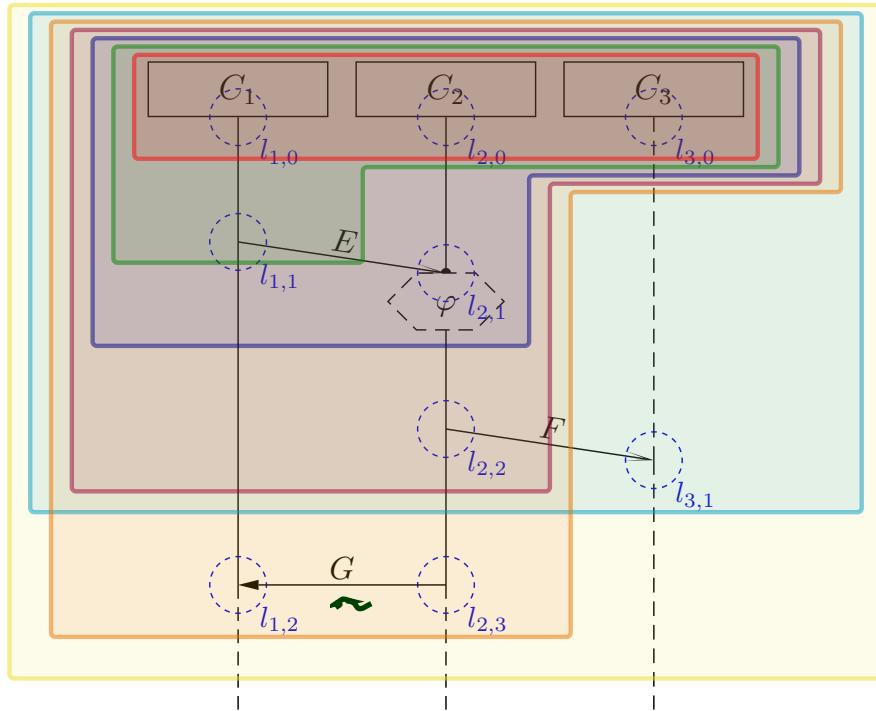


# Successor Cut Example

$C \cap F = \emptyset$  —  $C \cup F$  is a cut — only direct  $\prec$ -successors — same instance line on front pairwise unordered — sending of asynchronous reception already in



# Language of LSC Body: Example



The TBA  $\mathcal{B}_L$  of LSC  $L$  over  $\Phi$  and  $\mathcal{E}$  is  $(Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \rightarrow, Q_F)$  with

- $Q$  is **the set of cuts** of  $L$ ,  $q_{ini}$  is the **instance heads** cut,
- $Expr_{\mathcal{B}}(X) = Expr_{\mathcal{S}}(\mathcal{E}, X)$  (for considered signature  $\mathcal{S}$ ),
- $\rightarrow$  consists of **loops**, **progress transitions** (by  $\rightsquigarrow_F$ ), and **legal exits** (cold cond./local inv.),
- $Q_F = \{C \in Q \mid \Theta(C) = \text{cold} \vee C = \mathcal{L}\}$  is the set of cold cuts and the maximal cut.

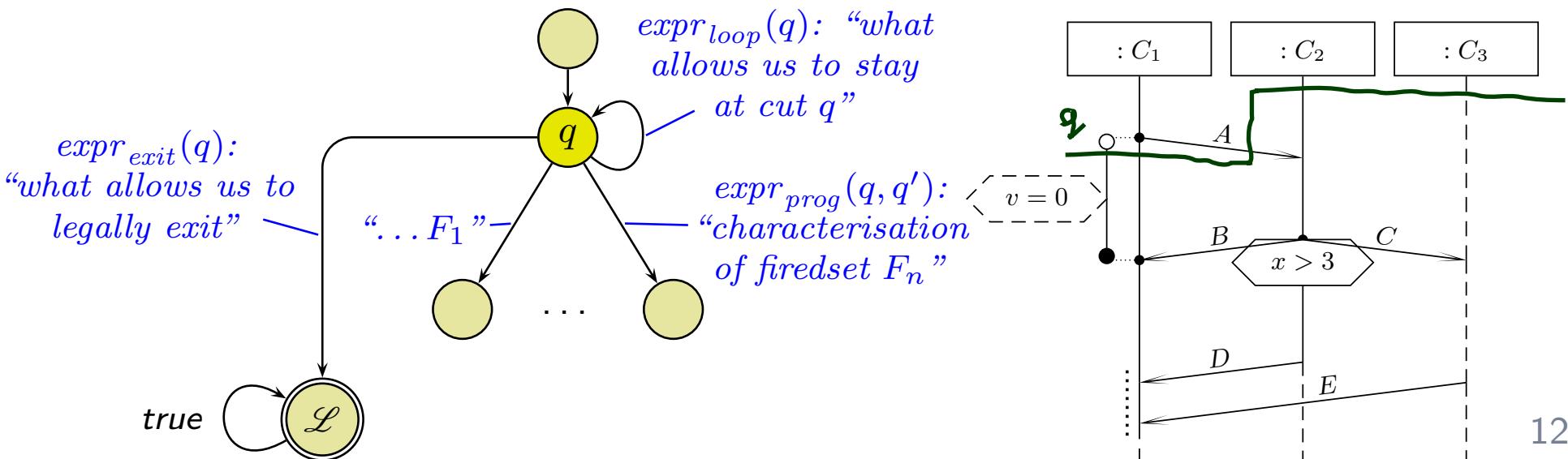
# TBA Construction Principle

**Recall:** The TBA  $\mathcal{B}(L)$  of LSC  $L$  is  $(Expr_{\mathcal{B}}(X), X, Q, q_{ini}, \rightarrow, Q_F)$  with

- $Q$  is **the set of cuts** of  $L$ ,  $q_{ini}$  is the **instance heads** cut,
- $Expr_{\mathcal{B}}(X) = Expr_{\mathcal{S}}(\mathcal{E}, X)$  (for considered signature  $\mathcal{S}$ ),
- $\rightarrow \subseteq Q \times Expr_{\mathcal{S}}(\mathcal{E}, X) \times Q$  consists of  
**loops, progress transitions** (by  $\rightsquigarrow_F$ ), and **legal exits** (cold conditions / cold local invariants),
- $F = \{C \in Q \mid \Theta(C) = \text{cold} \vee C = \mathcal{L}\}$  is the set of cold cuts.

So in the following, we “only” need to construct the transitions’ labels:

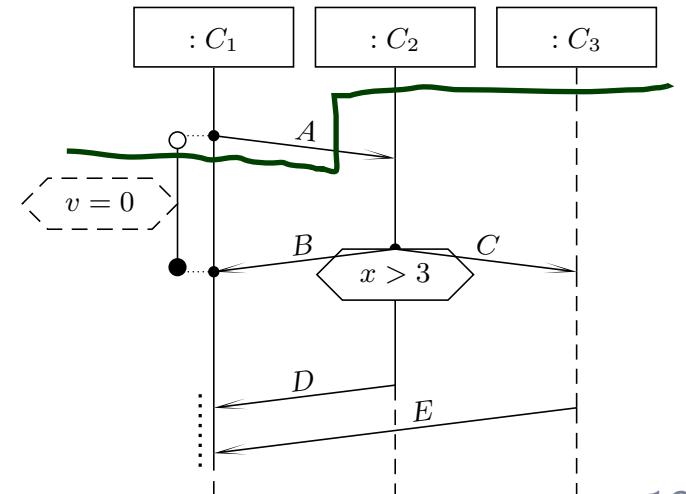
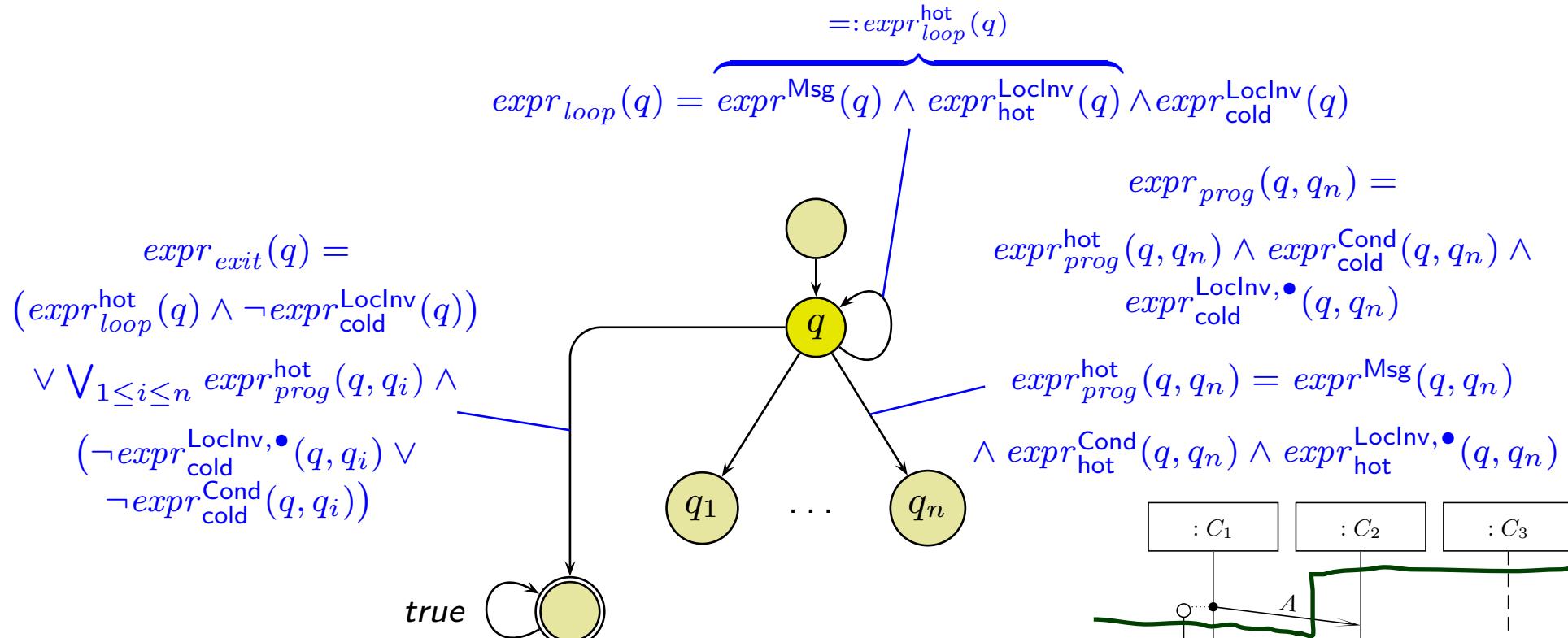
$$\rightarrow = \{(q, expr_{loop}(q), q) \mid q \in Q\} \cup \{(q, expr_{prog}(q, q'), q') \mid q \rightsquigarrow_F q'\} \cup \{(q, expr_{exit}(q), \mathcal{L}) \mid q \in Q\}$$



# TBA Construction Principle

So in the following, we “only” need to construct the transitions’ labels:

$$\rightarrow = \{(q, \text{expr}_{loop}(q), q) \mid q \in Q\} \cup \{(q, \text{expr}_{prog}(q, q'), q') \mid q \rightsquigarrow_F q'\} \cup \{(q, \text{expr}_{exit}(q), \mathcal{L}) \mid q \in Q\}$$



# Loop Condition

none of any firedset messages is observed



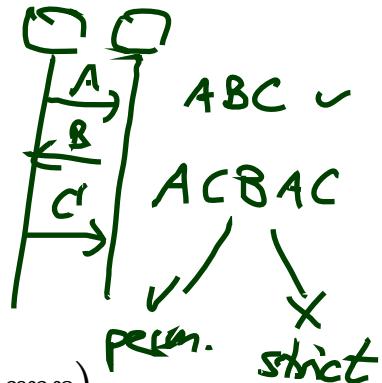
$$\text{expr}_{\text{loop}}(q) = \text{expr}^{\text{Msg}}(q) \wedge \text{expr}_{\text{hot}}^{\text{LocInv}}(q) \wedge \text{expr}_{\text{cold}}^{\text{LocInv}}(q)$$

- $\text{expr}^{\text{Msg}}(q) = \neg \bigvee_{1 \leq i \leq n} \text{expr}^{\text{Msg}}(q, q_i) \wedge (\text{strict} \implies \bigwedge_{\text{expr} \in \mathcal{E}_{!?} \cap \text{Msg}(\mathcal{L})} \neg \text{expr})$
- $\text{expr}_{\theta}^{\text{LocInv}}(q) = \bigwedge_{\ell=(l, \iota, \phi, l', \iota') \in \text{LocInv}, \Theta(\ell)=\theta, \ell \text{ active at } q} \phi$

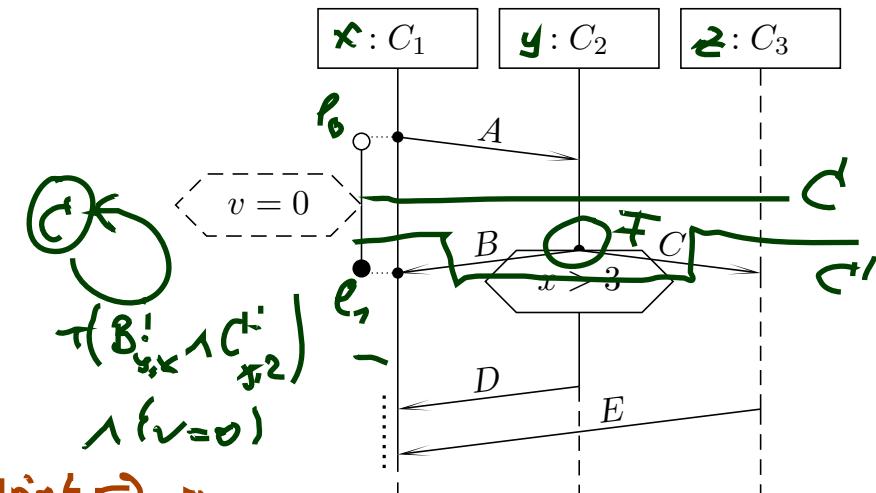
A location  $l$  is called **front location** of cut  $C$  if and only if  $\nexists l' \in \mathcal{L} \bullet l \prec l'$ .

Local invariant  $(l_0, \iota_0, \phi, l_1, \iota_1)$  is **active** at cut (!)  $q$  if and only if  $l_0 \preceq l \preceq l_1$  for some front location  $l$  of cut (!)  $q$ .

- $\text{Msg}(F) = \{E_{i_l, i_{l'}}^! \mid (l, E, l') \in \text{Msg}, l \in F\} \cup \{E_{i_l, i_{l'}}^? \mid (l, E, l') \in \text{Msg}, l' \in F\}$
- $\text{Msg}(F_1, \dots, F_n) = \bigcup_{1 \leq i \leq n} \text{Msg}(F_i)$



peren. strict

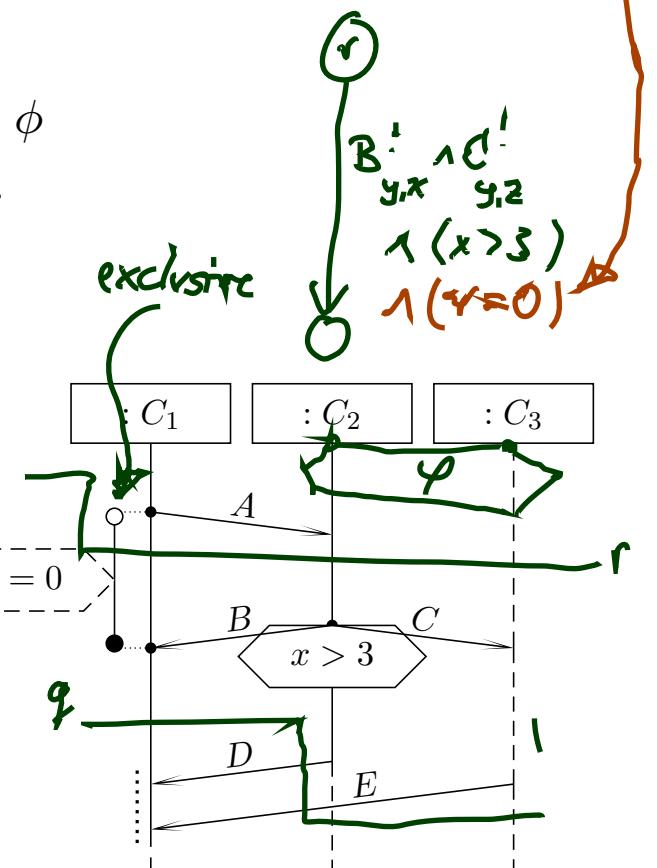


# Progress Condition

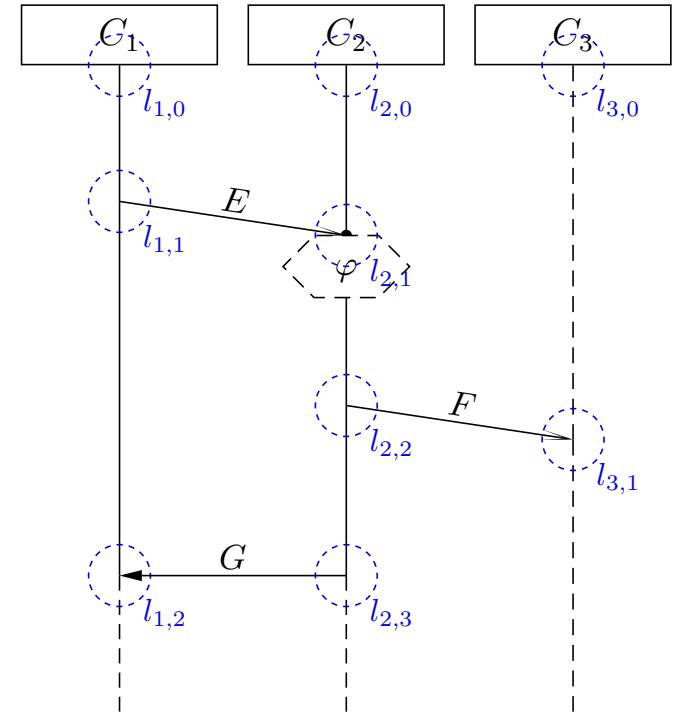
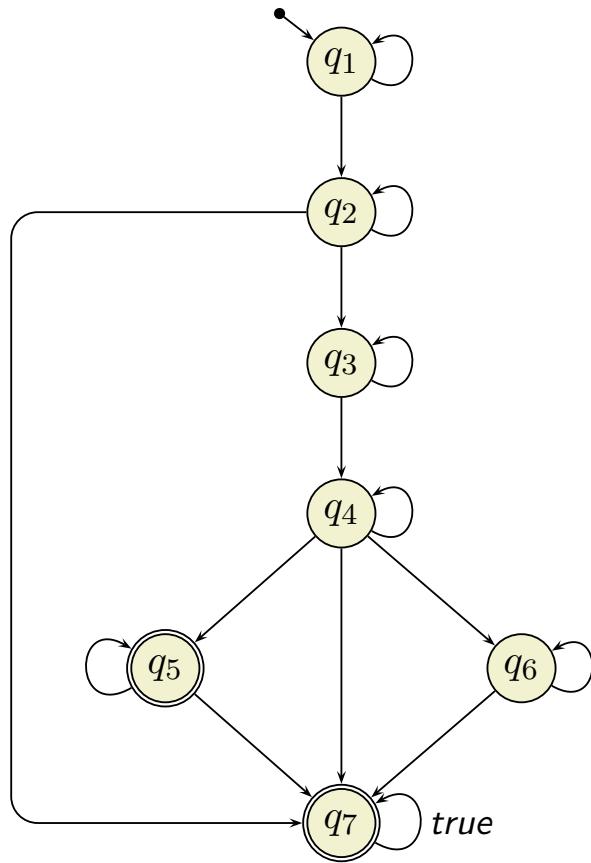
$$\begin{aligned} \text{expr}_{\text{prog}}^{\text{hot}}(q, q_i) = & \text{expr}^{\text{Msg}}(q, q_n) \wedge \text{expr}_{\text{hot}}^{\text{Cond}}(q, q_n) \wedge \text{expr}_{\text{hot}}^{\text{LocInv}, \bullet}(q_n) \\ & \wedge \text{expr}_{\text{cold}}^{\text{Cond}}(q, q_n) \wedge \text{expr}_{\text{cold}}^{\text{LocInv}, \bullet}(q_n) \end{aligned}$$

- $\text{expr}^{\text{Msg}}(q, q_i) = \bigwedge_{\text{expr} \in \text{Msg}(q_i \setminus q)} \text{expr} \wedge \bigwedge_{j \neq i} \bigwedge_{\text{expr} \in (\text{Msg}(q_j \setminus q) \setminus \text{Msg}(q_i \setminus q))} \neg \text{expr}$   
 $\wedge (\text{strict} \implies \bigwedge_{\text{expr} \in (\mathcal{E}_! \cap \text{Msg}(\mathcal{L})) \setminus \text{Msg}(F_i)} \neg \text{expr})$
  - $\text{expr}_{\theta}^{\text{Cond}}(q, q_i) = \bigwedge_{\gamma=(L, \phi) \in \text{Cond}, \Theta(\gamma)=\theta, L \cap (q_i \setminus q) \neq \emptyset} \phi$
  - $\text{expr}_{\theta}^{\text{LocInv}, \bullet}(q, q_i) = \bigwedge_{\lambda=(l, \iota, \phi, l', \iota') \in \text{LocInv}, \Theta(\lambda)=\theta, \lambda \text{ } \bullet\text{-active at } q_i} \phi$
- Local invariant  $(l_0, \iota_0, \phi, l_1, \iota_1)$  is **•-active** at  $q$  if and only if
- $l_0 \prec l \prec l_1$ , or
  - $l = l_0 \wedge \iota_0 = \bullet$ , or
  - $l = l_1 \wedge \iota_1 = \bullet$
- for some front location  $l$  of cut (!)  $q$ .

for other in the lecture



# Example

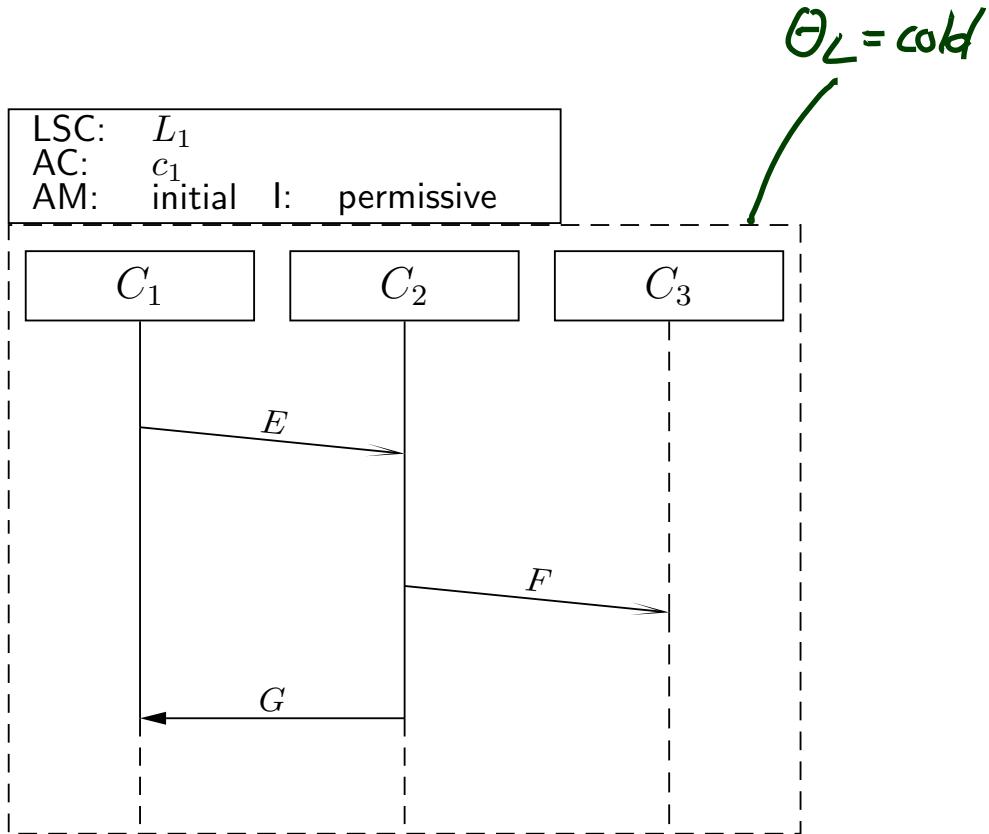


# Finally: The LSC Semantics

A **full LSC**  $L = ((I, (\mathcal{L}, \preceq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv}), ac_0, am, \Theta_L)$  consist of

- **body**  $(I, (\mathcal{L}, \preceq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv})$ ,
- **activation condition**  $ac_0 : \text{Bool} \in \text{Expr}_{\mathcal{S}}$ , **strictness flag**  $\text{strict}$  (otherwise called **permissive**)
- **activation mode**  $am \in \{\text{initial, invariant}\}$ ,
- **chart mode existential** ( $\Theta_L = \text{cold}$ ) or **universal** ( $\Theta_L = \text{hot}$ ).

**Concrete syntax:**



# Finally: The LSC Semantics

A **full LSC**  $L = ((I, (\mathcal{L}, \preceq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv}), ac_0, am, \Theta_L)$  consist of

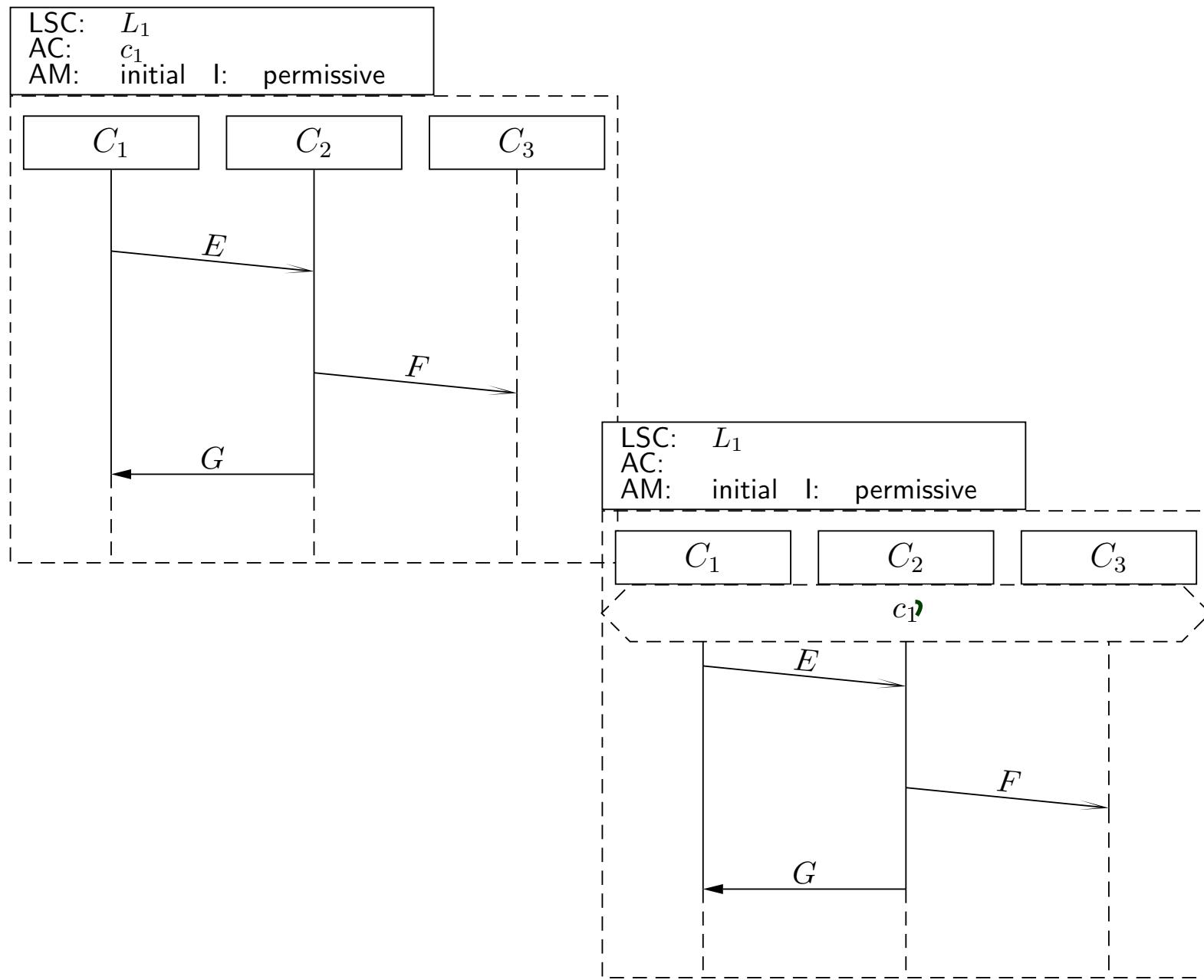
- **body**  $(I, (\mathcal{L}, \preceq), \sim, \mathcal{S}, \text{Msg}, \text{Cond}, \text{LocInv})$ ,
- **activation condition**  $ac_0 : \text{Bool} \in \text{Expr}_{\mathcal{S}}$ , **strictness flag**  $\text{strict}$  (otherwise called **permissive**)
- **activation mode**  $am \in \{\text{initial}, \text{invariant}\}$ ,
- **chart mode existential** ( $\Theta_L = \text{cold}$ ) or **universal** ( $\Theta_L = \text{hot}$ ).

A **set of words**  $W \subseteq (\Sigma_{\mathcal{S}}^{\mathcal{D}} \times \tilde{A})^{\omega}$  is **accepted** by  $L$  if and only if

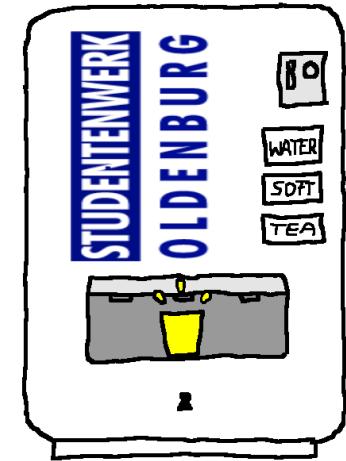
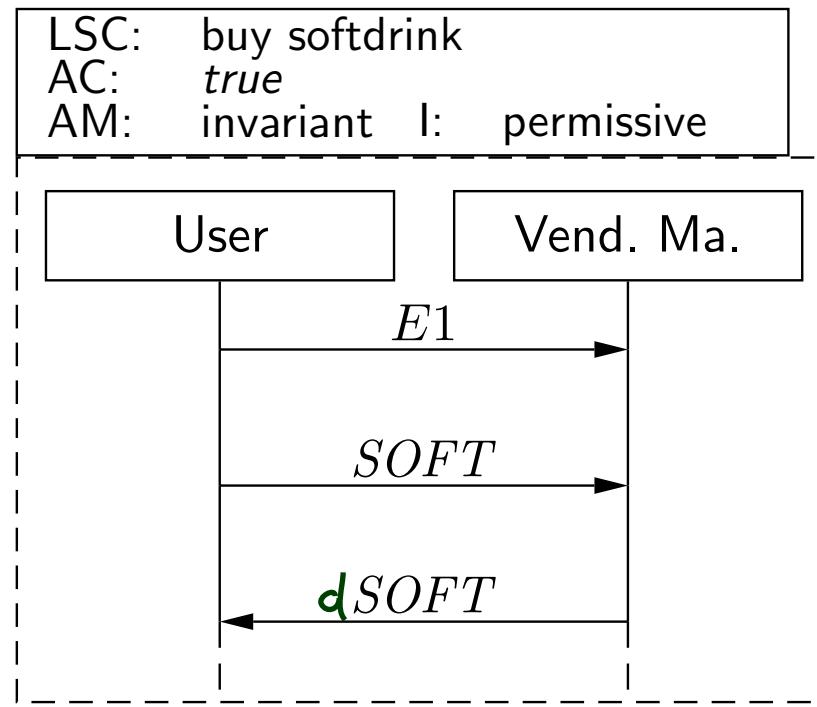
$\Theta_L$	0-th letter $am = \text{initial}$	suffix starting with $w^1$	$am = \text{invariant}$
cold	$\exists w \in W \exists \beta \bullet w^0 \models_{\beta} ac \wedge w^0 \models_{\beta} \text{expr}_{\text{hot}}^{\text{Cond}}(\emptyset, C_0) \wedge w/1 \in \mathcal{L}(\mathcal{B}(L))$		$\exists w \in W \exists \beta \exists k \in \mathbb{N}_0 \bullet w^k \models_{\beta} ac \wedge w^k \models_{\beta} \text{expr}_{\text{hot}}^{\text{Cond}}(\emptyset, C_0) \wedge w/k+1 \in \mathcal{L}(\mathcal{B}(L))$
hot	$\forall w \in W \forall \beta \bullet w^0 \models_{\beta} ac \implies w^0 \models_{\beta} \text{expr}_{\text{hot}}^{\text{Cond}}(\emptyset, C_0) \wedge w/1 \in \mathcal{L}(\mathcal{B}(L))$		$\forall w \in W \forall \beta \forall k \in \mathbb{N}_0 \bullet w^k \models_{\beta} ac \implies w^k \models_{\beta} \text{expr}_{\text{hot}}^{\text{Cond}}(\emptyset, C_0) \wedge w/k+1 \in \mathcal{L}(\mathcal{B}(L))$

where  $ac = ac_0 \wedge \text{expr}_{\text{cold}}^{\text{Cond}}(\emptyset, C_0) \wedge \text{expr}^{\text{Msg}}(\emptyset, C_0)$ ;  $C_0$  is the minimal (or **instance heads**) cut.

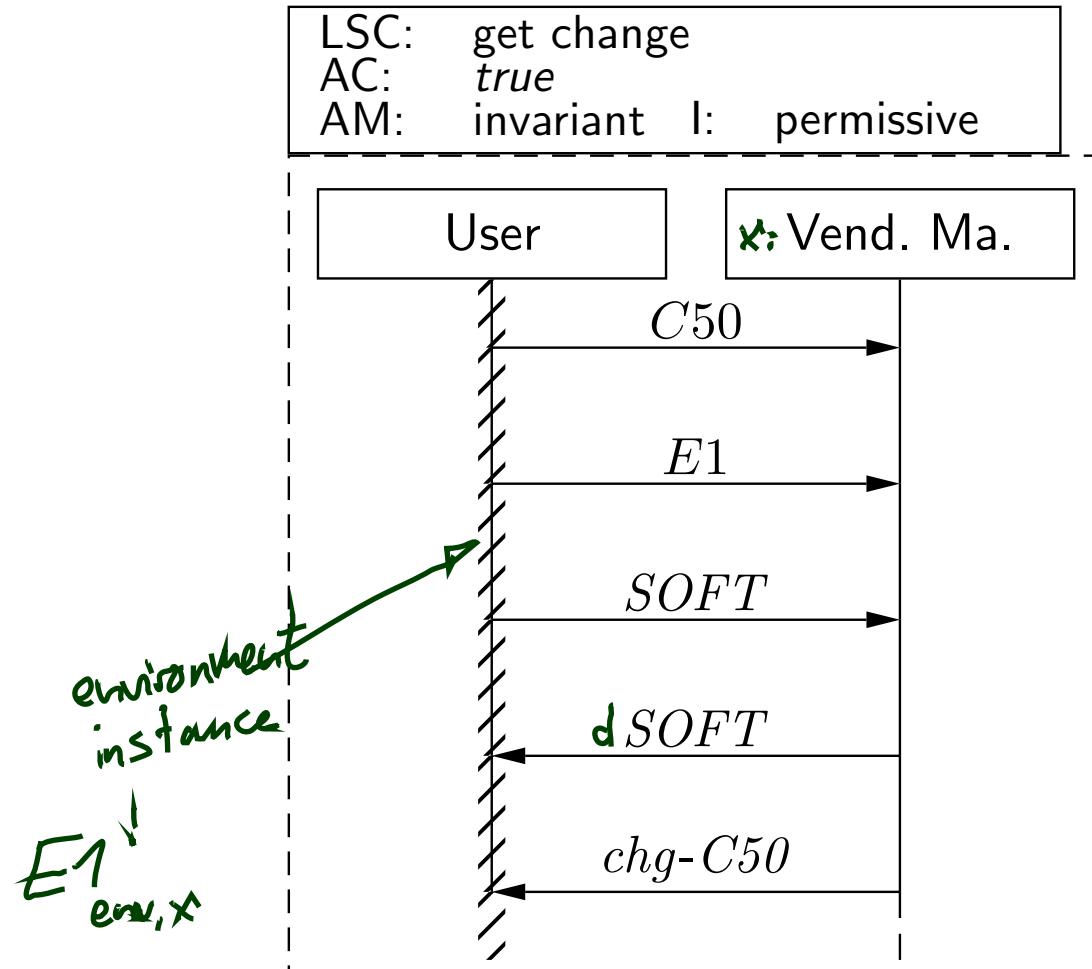
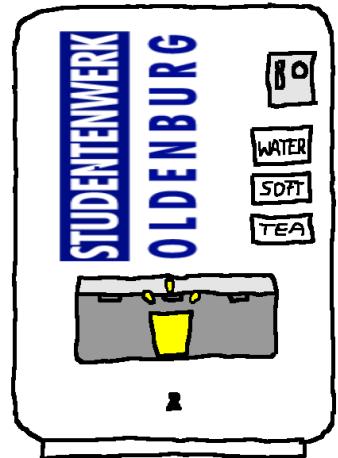
# Activation Condition



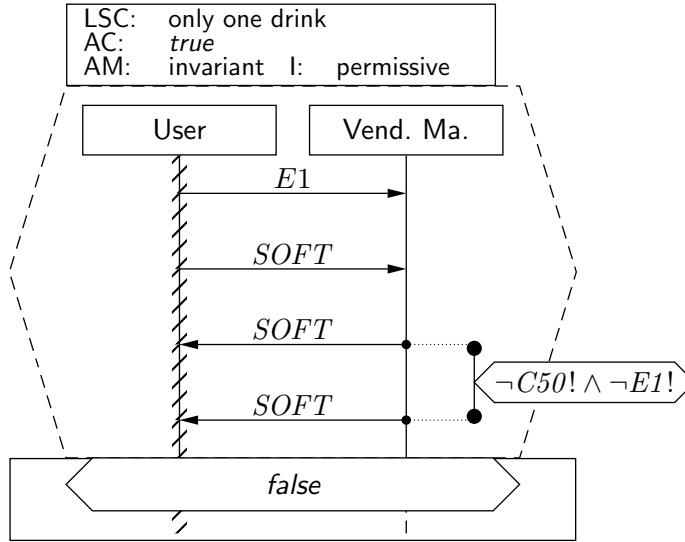
## *Existential LSC Example: Buy A Softdrink*



# Existential LSC Example: Get Change



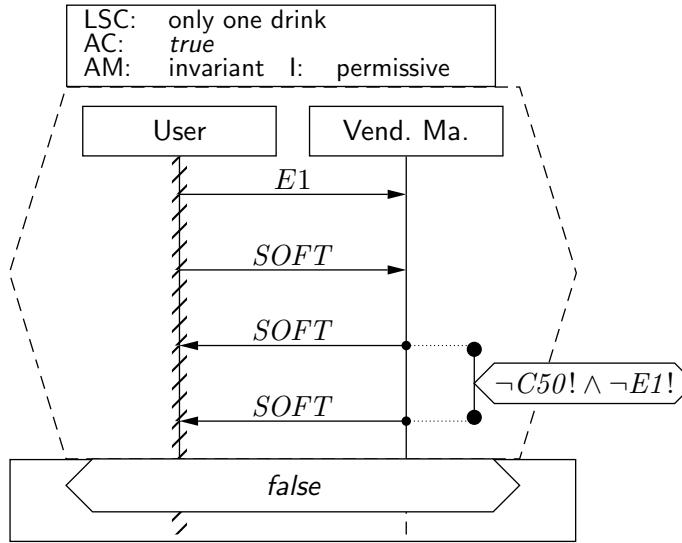
## *Live Sequence Charts — Precharts*



A **full LSC**  $L = (PC, MC, ac_0, am, \Theta_L)$  **actually** consist of

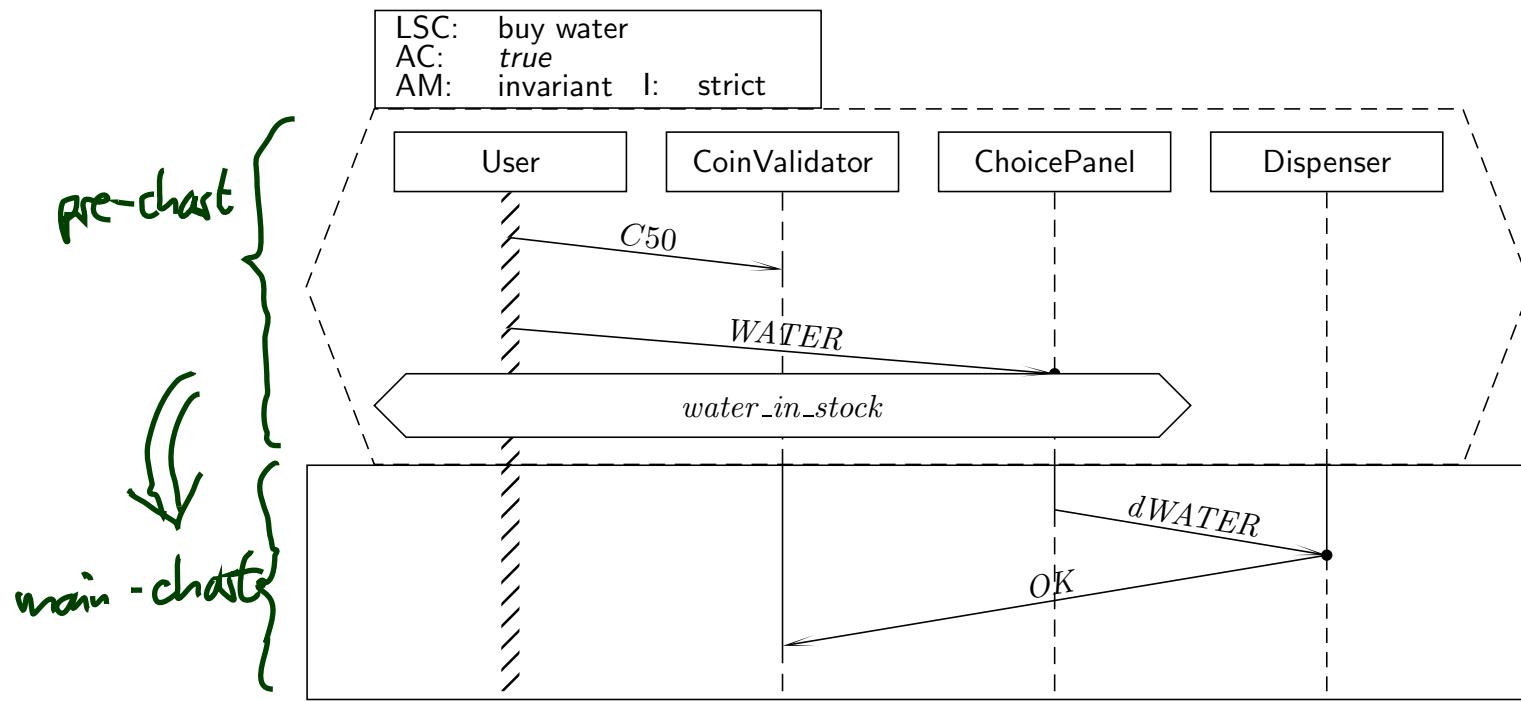
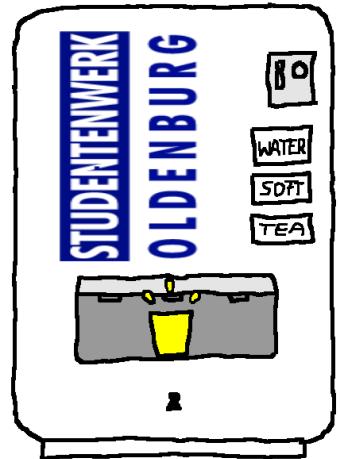
- **pre-chart**  $PC = (I_P, (\mathcal{L}_P, \preceq_P), \sim_P, \mathcal{S}, \text{Msg}_P, \text{Cond}_P, \text{LocInv}_P)$  (possibly empty),
- **main-chart**  $MC = (I_M, (\mathcal{L}_M, \preceq_M), \sim_M, \mathcal{S}, \text{Msg}_M, \text{Cond}_M, \text{LocInv}_M)$  (non-empty),
- **activation condition**  $ac_0 : \text{Bool} \in \text{Expr}_{\mathcal{S}}$ , **strictness flag** **strict** (otherwise called **permissive**)
- **activation mode**  $am \in \{\text{initial}, \text{invariant}\}$ ,
- **chart mode existential** ( $\Theta_L = \text{cold}$ ) or **universal** ( $\Theta_L = \text{hot}$ ).

# Pre-Charts Semantics

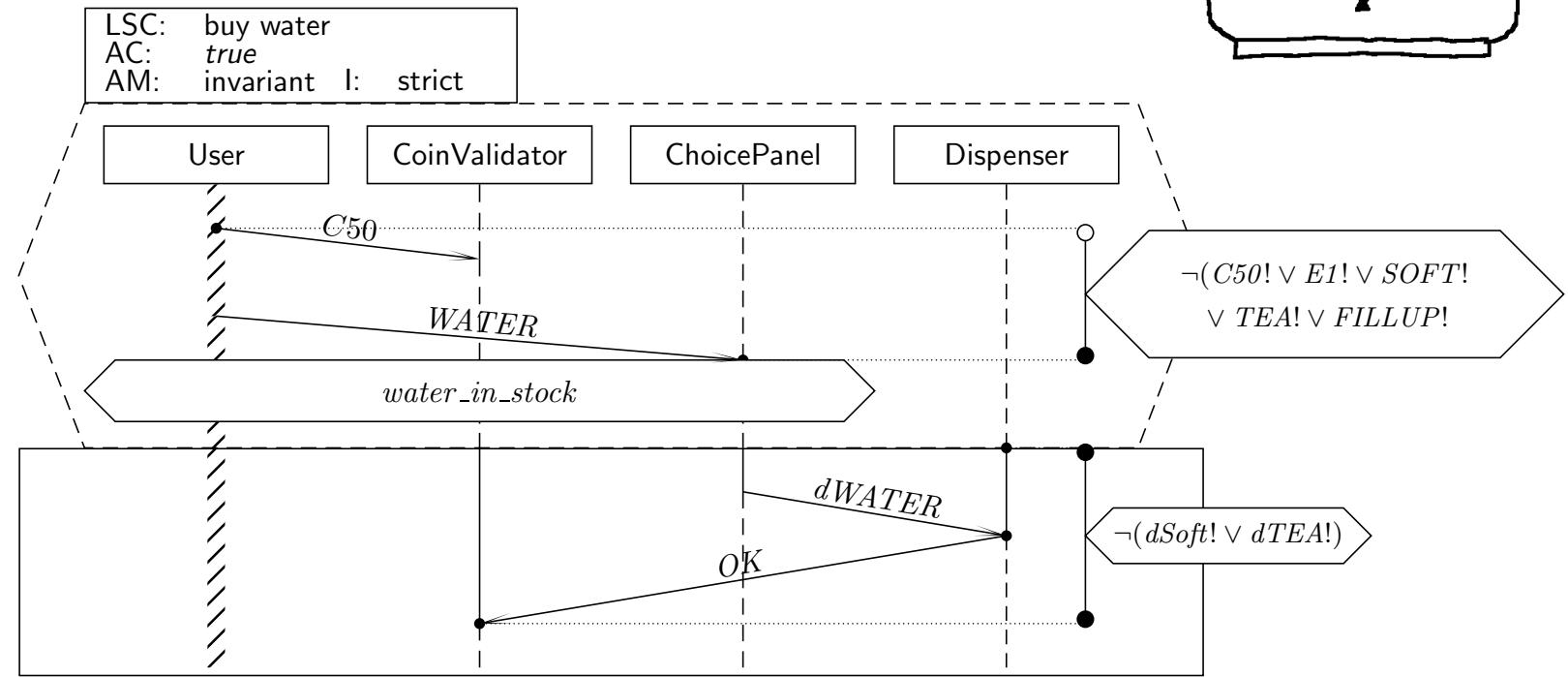
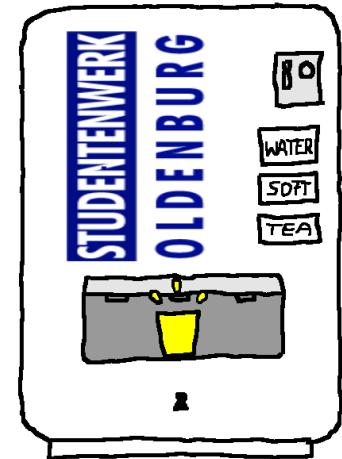


$\Theta_L$	$am = \text{initial}$	$am = \text{invariant}$
cold	$\exists w \in W \exists \beta \exists m \in \mathbb{N}_0 \bullet w^0 \models_{\beta} ac$ $\wedge w^0 \models_{\beta} expr_{\text{hot}}^{\text{Cond}}(\emptyset, C_0^P)$ $\wedge w/1, \dots, w/m \in \mathcal{L}(\mathcal{B}(PC))$ $\wedge w^{m+1} \models_{\beta} expr_{\text{hot}}^{\text{Cond}}(\emptyset, C_0^M)$ $\wedge w/m + 1 \in \mathcal{L}(\mathcal{B}(MC))$	$\exists w \in W \exists \beta \exists k < m \in \mathbb{N}_0 \bullet w^k \models_{\beta} ac$ $\wedge w^k \models_{\beta} expr_{\text{hot}}^{\text{Cond}}(\emptyset, C_0^P)$ $\wedge w/k + 1, \dots, w/m \in \mathcal{L}(\mathcal{B}(PC))$ $\wedge w^{m+1} \models_{\beta} expr_{\text{hot}}^{\text{Cond}}(\emptyset, C_0^M)$ $\wedge w/m + 1 \in \mathcal{L}(\mathcal{B}(MC))$
hot	$\forall w \in W \forall \beta \bullet w^0 \models_{\beta} ac$ $\wedge w^0 \models_{\beta} expr_{\text{hot}}^{\text{Cond}}(\emptyset, C_0^P)$ $\wedge w/1, \dots, w/m \in \mathcal{L}(\mathcal{B}(PC))$ $\wedge w^{m+1} \models_{\beta} expr_{\text{cold}}^{\text{Cond}}(\emptyset, C_0^M)$ $\implies w^{m+1} \models_{\beta} expr_{\text{cold}}^{\text{Cond}}(\emptyset, C_0^M)$ $\wedge w/m + 1 \in \mathcal{L}(\mathcal{B}(MC))$	$\forall w \in W \forall \beta \forall k \leq m \in \mathbb{N}_0 \bullet w^k \models_{\beta} ac$ $\wedge w^k \models_{\beta} expr_{\text{hot}}^{\text{Cond}}(\emptyset, C_0^P)$ $\wedge w/k + 1, \dots, w/m \in \mathcal{L}(\mathcal{B}(PC))$ $\wedge w^{m+1} \models_{\beta} expr_{\text{cold}}^{\text{Cond}}(\emptyset, C_0^M)$ $\implies w^{m+1} \models_{\beta} expr_{\text{cold}}^{\text{Cond}}(\emptyset, C_0^M)$ $\wedge w/m + 1 \in \mathcal{L}(\mathcal{B}(MC))$

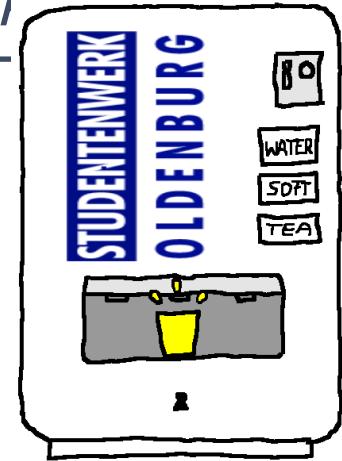
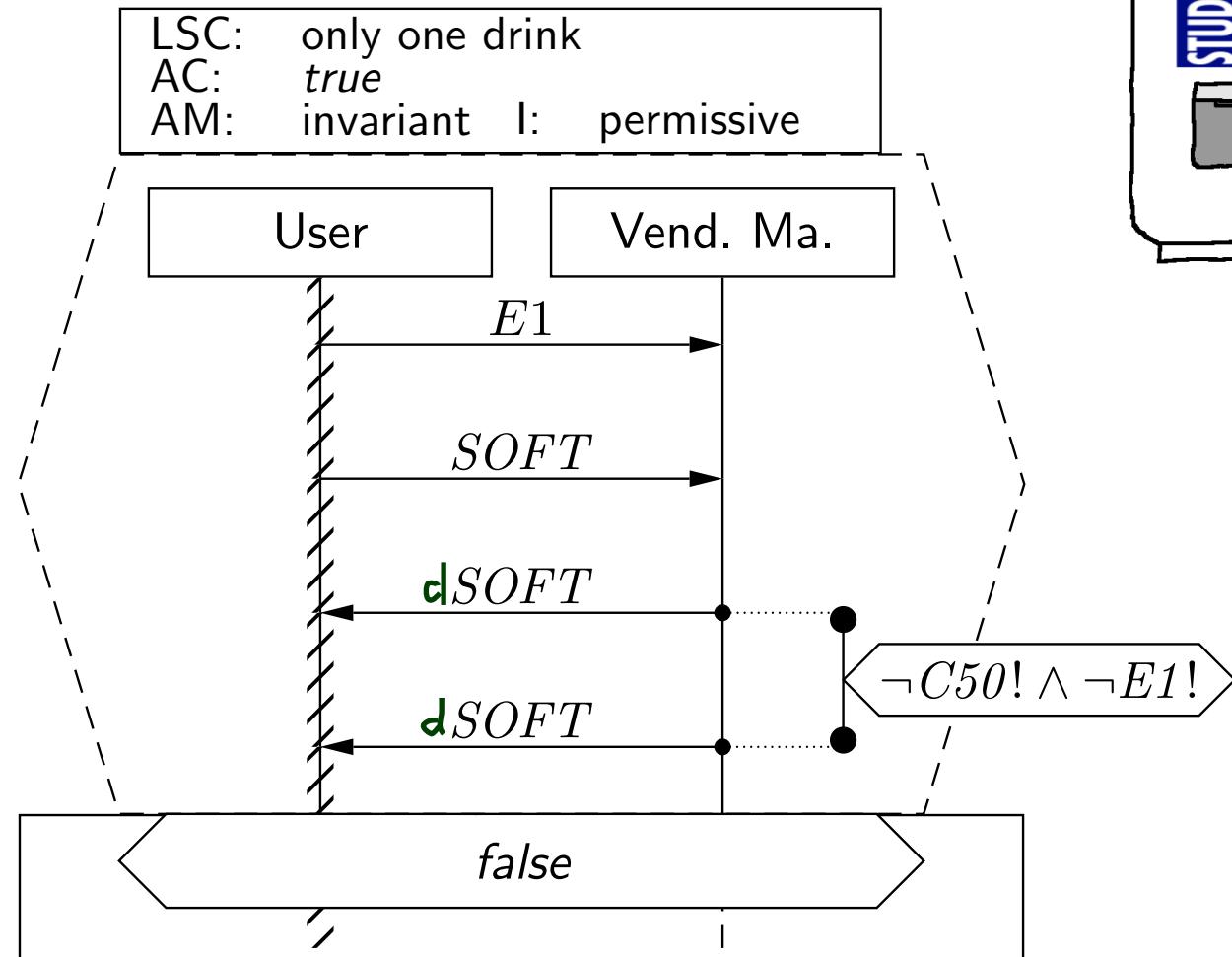
# Universal LSC: Example



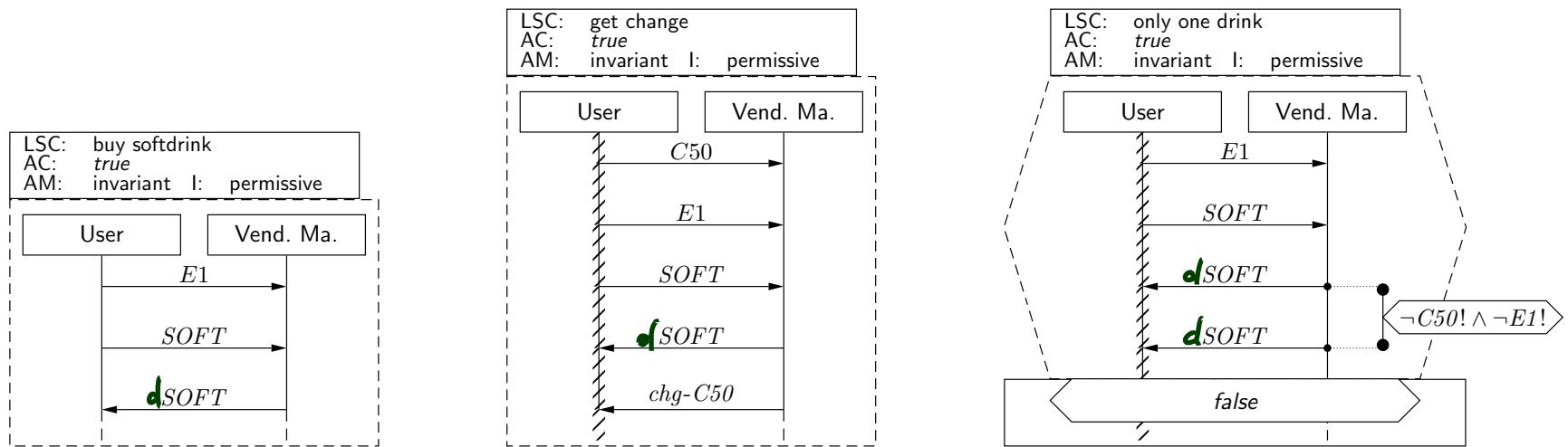
# Universal LSC: Example



# Forbidden Scenario Example: Don't Give Two Drinks



# Note: Scenarios and Acceptance Test



- **Existential LSCs\*** may hint at **test-cases** for the **acceptance test!**  
(\*: as well as (positive) scenarios in general, like use-cases)
- **Universal LSCs** (and negative/anti-scenarios) in general need **exhaustive analysis!**  
(Because they require that the software **never ever** exhibits the unwanted behaviour.)

## *References*

## *References*

---

OMG (2011a). Unified modeling language: Infrastructure, version 2.4.1. Technical Report formal/2011-08-05.

OMG (2011b). Unified modeling language: Superstructure, version 2.4.1. Technical Report formal/2011-08-06.