Decision Procedures

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Craig Interpolation

Given an unsatisfiable formula of the form:

 $F \wedge G$

Can we find a "smaller" formula that explains the conflict?

I.e., a formula implied by F that is inconsistent with G?

Under certain conditions, there is an interpolant *I* with

- $F \Rightarrow I$.
- $I \wedge G$ is unsatisfiable.
- I contains only symbols common to F and G.

Craig Interpolation

A craig interpolant I for an unsatisfiable formula $F \land G$ is

- $F \Rightarrow I$.
- $I \wedge G$ is unsatisfiable.
- I contains only symbols common to F and G.

Craig interpolants exists in many theories and fragments:

- First-order logic.
- Quantifier-free FOL.
- Quantifier-free fragment of T_{E} .
- Quantifier-free fragment of $T_{\mathbb{Q}}$.
- Quantifier-free fragment of $\widehat{\mathcal{T}}_{\mathbb{Z}}$ (augmented with divisibility).

However, QF fragment of $T_{\mathbb{Z}}$ does not allow Craig interpolation.

Program correctness

Consider this path through LINEARSEARCH:

Single Static Assingment (SSA) replaces assignments by assumes:

$$\begin{array}{l} \texttt{Opre } \texttt{0} \leq \ell \wedge u < |a| \\ i := \ell \\ \texttt{assume } i \leq u \\ \texttt{assume } a[i] \neq e \\ i := i + 1 \\ \texttt{assume } i \leq u \\ \texttt{O} \texttt{0} \leq i \wedge i < |a| \end{array}$$

 $\begin{array}{l} \texttt{Opre } \texttt{0} \leq \ell \wedge u < |\textbf{a}| \\ \texttt{assume } i_1 = \ell \\ \texttt{assume } i_1 \leq u \\ \texttt{assume } a[i_1] \neq e \\ \texttt{assume } i_2 = i_1 + 1 \\ \texttt{assume } i_2 \leq u \\ \texttt{O} \texttt{0} \leq i_2 \wedge i_2 < |\textbf{a}| \end{array}$

UNI FREIBURG If program contains only assumes, the VC looks like

$$VC : P \rightarrow (F_1 \rightarrow (F_2 \rightarrow (F_3 \rightarrow \dots (F_n \rightarrow Q) \dots)))$$

Using $\neg(F \rightarrow G) \Leftrightarrow F \land \neg G$ compute negation:

$$\neg VC : P \land F_1 \land F_2 \land F_3 \land \cdots \land F_n \land \neg Q$$

If verification condition is valid $\neg VC$ is unsatisfiable. We can compute interpolants for any program point, e.g. for

$$P \wedge F_1 \wedge F_2 \wedge F_3 \wedge \cdots \wedge F_n \wedge \neg Q$$

Verification Condition and Interpolants

Consider the path through LINEARSEARCH:

$$\label{eq:pre_0} \mathbb{Q} ext{pre 0} \leq \ell \wedge u < |a|$$

assume $i_1 = \ell$
assume $i_1 \leq u$
assume $a[i_1] \neq e$
assume $i_2 = i_1 + 1$
assume $i_2 \leq u$
 $\mathbb{Q} \ 0 \leq i_2 \wedge i_2 < |a|$

The negated VC is unsatisfiable:

 $0 \leq \ell \wedge u < |a| \wedge i_1 = \ell$ $\wedge i_1 \leq u \wedge a[i_1] \neq e \wedge i_2 = i_1 + 1$ $\wedge i_2 \leq u \wedge (0 > i_2 \lor i_2 \geq |a|)$

The interpolant I for the red and blue part is

 $i_1 \geq 0 \wedge u < |a|$

This is actually the loop invariant needed to prove the assertion.

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Suppose $F_1 \wedge F_n \wedge G_1 \wedge G_n$

How can we compute an interpolant?

- The algorithm is dependent on the theory and the fragment.
- We will show an algorithm for
 - Quantifier-free conjunctive fragment of T_E .
 - Quantifier-free conjunctive fragment of $T_{\mathbb{Q}}$.

Computing Interpolants for $\, {\cal T}_{\sf E} \,$



 $F_1 \wedge \cdots \wedge F_n \wedge G_1 \wedge \cdots \wedge G_n$ is unsat

Let us first consider the case without function symbols. The congruence closure algorithm returns unsat. Hence,

- there is a disequality $v \neq w$ and
- *v*,*w* have the same representative.

Example:

 $v \neq w \land x = y \land y = z \land z = u \land w = s \land t = z \land s = t \land v = x$



The Interpolant "summarizes" the red edges: $I: v \neq s \land x = t$

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Edges in Congruence Closure Graph

Problem: Congruence closure graph draws edges between representatives instead of the equal terms. This makes finding the paths harder.

Solution: Change merge algorithm:

- Make one of the terms the representative by inverting edges to root
- Draw outgoing edge from the new representative to the equal term Every term still has only one outgoing equality edge.



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Given conjunctive formula:

$F_1 \wedge \cdots \wedge F_n \wedge G_1 \wedge \cdots \wedge G_m$

The following algorithm can be used unless there is a congruence edge:

- Build the congruence closure graph. Edges *F_i* are colored red, Edges *G_j* are colored blue.
- Add (colored) disequality edge. Find circle and remove all other edges.
- Combine maximal red paths, remove blue paths.
- The *F* paths start and end at shared symbols. Interpolant is the conjunction of the corresponding equalities.

Handling Congruence Edges (Case 1)

Both side of the congruence edge belong to G.



Interpolant:

 $i_2 = i_3 \wedge x \neq y$

Handling Congruence Edges (Case 2)

Both side of the congruence edge belong to different formulas.

 $f(i_1) = x \wedge i_2 = i_1 \wedge i_3 = i_2 \wedge f(i_3) \neq x$



• Function symbol *a* must be shared.

- Follow the path that connects the arguments.
- Find first change from red to blue.
- Lift function application on that term.
- Summarize $x = f(i_1) \wedge i_1 = i_2$ by $x = f(i_2)$.
- Compute remaining interpolant as usual.

Handling Congruence Edges (Case 3)

Both side of the congruence edge belong to F.

 $f(i_1) = x \wedge f(i_4) = y \wedge i_1 = i_2 \wedge i_3 = i_4 \wedge i_3 = i_2 \wedge x \neq y$

- Follow the path that connects the arguments.
- Find the first and last terms i_2 , i_3 where color changes.
- Treat congruence edge as red edge and summarize path.
- The summary only holds under $i_2 = i_3$, i.e., add $i_2 = i_3 \rightarrow x = y$ to interpolants.
- Summarize remaining path segments as usual.

 $i_2 = i_3 \rightarrow x = y$





Computing Interpolants for $T_{\mathbb{Q}}$

First apply Dutertre/de Moura algorithm.

- Non-basic variables x_1, \ldots, x_n .
- Basic variables y_1, \ldots, y_m .
- $y_i = \sum a_{ij} x_j$
- Conjunctive formula

 $y_1 \leq b_1 \dots y_{m'} \leq b_{m'} \wedge y_{m'+1} \leq b_{m'+1} \dots y_m \leq b_m.$

The algorithm returns unsatisfiable if and only if there is a line:

Computing Interpolants for $T_{\mathbb{Q}}$

The conflict is:

$$b_i \geq y_i = \sum -a'_k y_k \geq \sum -a'_k b_k > b_i$$

or

$$0 = y_i + \sum a'_k y_k \leq b_i + \sum a'_k b_k < 0$$

We split the y variables into blue and red ones:

$$0 = \sum_{k=1}^{m'} a_{ik} y_k + \sum_{k=m'+1}^{m} a_{ik} y_k \le \sum_{k=1}^{m'} a_{ik} b_k + \sum_{k=m'+1}^{m} a_{ik} b_k < 0$$

where $a'_k \ge 0, (a'_i = 1)$. The interpolant *I* is the red part:

$$\sum_{k=1}^{m'} a_{ik} y_k \leq \sum_{k=1}^{m'} a_{ik} b_k$$

where the basic variables y_k are replaced by their definition.

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Example

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 $x_1 + x_2 \le 3 \land x_1 - x_2 \le 1 \land x_3 - x_1 \le 1 \land x_3 \ge 4$

 $y_1 := x_1 + x_2$ $b_1 := 3$ $y_3 := -x_1 + x_3$ $b_3 := 1$ $y_2 := x_1 - x_2$ $b_1 := 1$ $y_4 := -x_3$ $b_4 := -4$

Algorithm ends with the tableaux

Conflict is $0 = y_1 + y_2 + 2y_3 + 2y_4 \le 3 + 1 + 2 - 8 = -2$. Interpolant is: $y_1 + y_2 \le 3 + 1$ or (substituting non-basic vars): $2x_1 \le 4$.

Correctness

$$F_{k} : y_{k} := \sum_{j=0}^{n} a_{kj} x_{j} \leq b_{k}, (k=1,...,m) \qquad G_{k} : y_{k} := \sum_{j=0}^{n} a_{kj} x_{j} \leq b_{k}, (k=m',...,m)$$

Conflict is $0 = \sum_{k=1}^{m'} a'_{k} y_{k} + \sum_{k=m'+1}^{m} a'_{k} y_{k} \leq \sum_{k=1}^{m'} a'_{k} b_{k} + \sum_{k=m'+1}^{m} a'_{k} b_{k} < 0$
After substitution the red part $\sum_{k=1}^{m'} a'_{k} y_{k} \leq \sum_{k=1}^{m'} a'_{k} b_{k}$ becomes

$$I : \sum_{j=1}^{n} \left(\sum_{k=1}^{m'} a'_k a_{kj} \right) x_j \le \sum_{k=1}^{m'} a'_k b_k.$$

• $F \Rightarrow I$ (sum up the inequalities in F with factors a'_k).

• $I \wedge G \Rightarrow \bot$ (sum up I and G with factors a'_k to get $0 \leq \sum_{k=1}^m a'_k b_k < 0$).

• Only shared symbols in I: $0 = \sum_{k=1}^{m'} a_{kj}a'_kx_j + \sum_{k=m'+1}^{m} a_{kj}a'_kx_j$. If the left sum is not zero, the right sum is not zero and x_i appears in F and G.

Computing Interpolants for DPLL(T)



where each node is generated by the rule

$$\frac{\ell \vee C_1 \quad \overline{\ell} \vee C_2}{C_1 \vee C_2}$$

- The leaves are (trivial) consequences of $F \wedge G$.
- Therefore, every node is a consequence.
- Therefore, the root node \perp is a consequence.

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Interpolants for Conflict Clauses

Key Idea: Compute Interpolants for conflict clauses: Split C into C_F and C_G (if literal appear in F and G put it in C_G).

The conflict clause follows from the original formula:

 $F \land G \Rightarrow C_F \lor C_G$

Hence, the following formula is unsatisfiable.

 $F \wedge \neg C_F \wedge G \wedge \neg C_G$

An interpolant I_C for C is the interpolant of the above formula. I_C contains only symbols shared between F and G.

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McMillan's algorithm



Compute interpolants for the leaves.

Then, for every resolution step compute interpolant as

$$\frac{\overline{\ell}_{F} \land \overline{C_{1}} : I_{1} \qquad \ell_{F} \land \overline{C_{2}} : I_{2}}{\overline{C_{1}} \land \overline{C_{2}} : I_{1} \lor I_{2}} \qquad \frac{\overline{\ell}_{G} \land \overline{C_{1}} : I_{1} \qquad \ell_{G} \land \overline{C_{2}} : I_{2}}{\overline{C_{1}} \land \overline{C_{2}} : I_{1} \land I_{2}}$$

Computing Interpolants for Conflict Clauses

There are several points where conflict clauses are returned:

- Conflict clauses is returned by TCHECK. Then theory must give an interpolant.
- Conflict clauses comes from F.

Then $F \Rightarrow C_F \lor C_G$. Hence, $(F \land \neg C_F) \Rightarrow C_G$. Also, $C_G \land G \land \neg C_G$ is unsatisfiable Interpolant is C_G .

- Conflict clauses comes from G. Then $C_G = C$, $G \Rightarrow C_G$. Hence, $(G \land \neg C_G)$ is unsatisfiable. Interpolant is \top .
- Conflict clause comes from resolution on ℓ . Then there is a unit clause $U = \ell \vee U'$ with interpolant I_U and conflict clause $C = \neg \ell \vee C'$ with interpolant I_C .

If
$$\ell \in F$$
, set $I_{U' \vee C'} = I_U \vee I_C$
If $\ell \in G$, set $I_{U' \vee C'} = I_U \wedge I_C$

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The previous algorithm can compute interpolant for each conflict clause. The final conflict clause returned is $\perp.$

 I_{\perp} is an interpolant of $F \wedge G$.



Unfortunately, it is not that easy...

... because equalities shared by Nelson-Oppen can contain red and blue symbols simultaneously.

Example:

 $F: t \le 2a \land 2a \le s \land f(a) = q$ $G: s \le 2b \land 2b \le t \land f(b) \neq q$

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Purifying the example gives:

$$\Gamma_E : f(a) = q \wedge f(b) \neq q \Gamma_{\mathbb{Q}} : t \leq 2a \wedge 2a \leq s \wedge s \leq 2b \wedge 2b \leq t$$

Shared variables $V = \{a, b\}$ Nelson-Oppen proceeds as follows

- $\Gamma_{\mathbb{Q}}$ propagates a = b.
- **2** $\Gamma_E \cup a = b$ is unsatisfiable.

Conflicts



$$\Gamma_E : f(a) = q \wedge f(b) \neq q \Gamma_{\mathbb{Q}} : t \leq 2a \wedge 2a \leq s \wedge s \leq 2b \wedge 2b \leq t$$

N-O introduces three literals: a = b, $a \le b$, $a \ge b$. Theory conflicts:

$$2b \le t \land t \le 2a \land \neg (b \le a)$$

$$2a \le s \land s \le 2b \land \neg (a \le b)$$

$$a \le b \land b \le a \land a \ne b$$

$$a = b \land f(a) = q \land f(b) \ne q$$

How can we compute interpolants for the conflicts?

Interpolant with a = b

What is an interpolant of $a = b \wedge f(a) = q \wedge f(b) \neq q$?

Key Idea: Split

$$a = b$$

into

$$a = x_1 \wedge x_1 = b$$
 where x_1 shared





Interpolant with $a \neq b$

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What is an interpolant of $a \neq b \land a = s \land b = s$?

Key Idea: Split

 $a \neq b$

into

 $eq(x_1, a) \land \neg eq(x_1, b)$ where x_1 shared, eq a predicate



 $eq(x_1, a) = \bullet \land a = s \land$ $eq(x_1, b) \neq \bullet \land b = s$

Interpolant: $eq(x_1, s)$

Resolving on a = b

Consider the resolution step

 $\frac{a = b \lor a \neq s \lor b \neq s}{f(a) \neq q \lor f(b) = q} \xrightarrow{a \neq b \lor f(a) \neq q \lor f(b) = q}$

 $f(a) = q \land a = s \land$ $f(b) \neq q \land s = b$

How to combine the interpolants $eq(x_1, s)$ and $f(x_1) = q$?



 $eq(x_1, s)$ indicates that x_1 should be replaced by s.

The interpolation rule is

$$\frac{a = b \lor C_1 : l_1[eq(x, s_1)] \dots [eq(x, s_n)]}{C_1 \lor C_2 : l_1[l_2(s_1)] \dots [l_2(s_n)]} \xrightarrow{a \neq b \lor C_2 : l_2(x)}{a \neq b \lor C_2 : l_2(x)}$$

In our example

$$\neg(a \neq b \land a = s \land b = s) : eq(x_1, s)$$

$$\neg(a = b \land f(a) = q \land f(b) \neq q) : q = f(x_1)$$

$$\neg(f(a) = q \land f(b) \neq q \land a = s \land b = s) : q = f(s)$$

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 $a = f(f(a)) \land a = x \land p(f(a)) \land b = x \land f(b) = f(f(b)) \land \neg p(b)$





 $a = f(f(a)) \land a = x \land p(f(a)) \land b = x \land f(b) = f(f(b)) \land \neg p(b)$

Prove using the following lemmas:

$$\begin{array}{ll} F_1: & a = x \land x = b \to f(a) =_{x_1} f(b) : eq(x_1, f(x)) \\ F_2: & f(a) =_{x_1} f(b) \to f(f(a)) =_{x_2} f(f(b)) : eq(x_2, f(x_1)) \\ F_3: & f(a) =_{x_1} f(b) = f(f(b)) =_{x_2} \\ & f(f(a)) = a = x = b \to f(a) =_{x_3} b : eq(x_3, x_1) \land x_2 = x \\ F_4: & f(a) =_{x_3} b \land p(f(a)) \to p(b) : p(x_3) \end{array}$$

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Example: Annotating Proof with Interpolants

$$\begin{array}{lll} F_1 : & a = x \land x = b \to f(a) =_{x_1} f(b): \ eq(x_1, f(x)) \\ F_2 : & f(a) =_{x_1} f(b) \to f(f(a)) =_{x_2} f(f(b)): \ eq(x_2, f(x_1)) \\ F_3 : & f(a) =_{x_1} f(b) = f(f(b)) =_{x_2} \\ & f(f(a)) = a = x = b \to f(a) =_{x_3} b: \ eq(x_3, x_1) \land x_2 = x \\ F_4 : & f(a) =_{x_3} b \land p(f(a)) \to p(b): \ p(x_3) \end{array}$$

$$F_{2} : eq(x_{2}, f(x_{1})) \qquad F_{3} : eq(x_{3}, x_{1}) \land x_{2} = x$$

$$F_{1} : eq(x_{1}, f(x)) \qquad eq(x_{3}, x_{1}) \land f(x_{1}) = x$$

$$eq(x_{3}, f(x)) \land f(f(x)) = x \qquad F_{4} : p(x_{3})$$

$$p(f(x)) \land f(f(x)) = x$$

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 $a = f(f(a)) \land a = x \land p(f(a)) \land b = x \land f(b) = f(f(b)) \land \neg p(b)$

Interpolant: $p(f(x)) \wedge f(f(x)) = x$

- $F \rightarrow I$: Substitute a = x into other atoms.
- $I \wedge G \rightarrow \bot$: $b = x \wedge f(f(x)) = x \wedge \neg p(b)$ implies $\neg p(f(f(x)))$. With b = x, f(b) = f(f(b)) this implies $\neg p(f(x))$. This contradicts p(f(x)).
- Symbol condition: *p*, *f*, *x* are shared.

Back to the Nelson–Oppen Example



$$\Gamma_E : f(a) = q \wedge f(b) \neq q$$

$$\Gamma_{\mathbb{Q}} : t \leq 2a \wedge 2a \leq s \wedge s \leq 2b \wedge 2b \leq t$$

Theory conflicts:

$$2b \le t \land t \le 2a \land \neg (b \le a)$$

$$2a \le s \land s \le 2b \land \neg (a \le b)$$

$$a \le b \land b \le a \land a \ne b$$

$$a = b \land f(a) = q \land f(b) \ne q$$

How can we compute interpolants for the conflicts?

Interpolant with a > b

What is an interpolant of $2a \le s \land s \le 2b \land a > b$ Split

a > b

into

 $a \geq x_1 \land x_1 > a$ where x_1 shared

$$\begin{array}{cccccc} 2a-s \leq 0 & \cdot 1 \\ s-2b \leq 0 & \cdot 1 \\ x_1-a \leq 0 & \cdot 2 \\ b-x_1 < 0 & \cdot 2 \\ \hline 0 < 0 \end{array} \qquad \begin{array}{c} 2a-s \leq 0 & \cdot 1 \\ x_1-a \leq 0 & \cdot 2 \\ \hline 2x_1-s \leq 0 \end{array}$$

Interpolant: $2x_1 - s \leq 0$.

We need the term $2x_1 - s$ later; we write interpolant as:

$$LA(2x_1 - s, 2x_1 - s \leq 0)$$



Interpolant with a < b

What is an interpolant of $t \le 2a \land 2b \le t \land a < b$ Split

a < b

into

 $a \leq x_2 \wedge x_2 < b$ where x_2 shared

$$\begin{array}{ccccc} t - 2a \leq 0 & \cdot 1 \\ 2b - t \leq 0 & \cdot 1 \\ a - x_2 \leq 0 & \cdot 2 \\ x_2 - b < 0 & \cdot 2 \\ \hline 0 < 0 \end{array} \qquad \begin{array}{c} t - 2a \leq 0 & \cdot 1 \\ a - x_2 \leq 0 & \cdot 2 \\ \hline t - 2x_2 \leq 0 \\ \hline t - 2x_2 \leq 0 \end{array}$$

Interpolant: $t - 2x_2 \leq 0$.

We need the term $t - 2x_2$ later; we write interpolant as:

$$LA(t-2x_2,t-2x_2\leq 0)$$



Interpolant of Trichotomy

What is an interpolant of $a \leq b \land b \leq a \land a \neq b$

 $a \leq x_1 \wedge x_2 \leq a \wedge eq(x_3, a) \wedge x_1 \leq b \wedge b \leq x_2 \wedge \neg eq(x_3, b)$

Manually we find the interpolant

$$x_2 - x_1 < 0 \lor (x_2 - x_1 \le 0 \land eq(x_3, x_2))$$

Here $x_2 - x_1$ is the "critical term"; Interpolant:

$$LA(x_2 - x_1, x_2 - x_1 < 0 \lor (x_2 - x_1 \le 0 \land eq(x_3, x_2)))$$

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Combining Interpolants

Magic rule:

 $\frac{a \leq b \lor C_1 : LA(s_1 + c_1x_1, F_1(x_1)) \quad a > b \lor C_2 : LA(s_2 - c_2x_1, F_2(x_2))}{C_1 \lor C_2 : LA(c_2s_1 + c_1s_2, c_2s_1 + c_1s_2 < 0 \lor (F_1(s_2/c_2) \land F_2(s_2/c_2)))}$

Example:

$$a \le b \lor 2a > s \lor s > 2b : LA(2x_1 - s, 2x_1 - s \le 0)$$

$$a > b \lor a < b \lor a = b : LA(x_2 - x_1, x_2 - x_1 < 0 \lor$$

$$(x_2 - x_1 \le 0 \land eq(x_3, x_2)))$$

$$a < b \lor a = b \lor 2a > s \lor s > 2b : I_3$$

 $I_3 : LA(2x_2 - s, 2x_2 - s < 0 \lor (2x_2 - s \le 0 \land eq(x_3, x_2)))$ (simplifying $x_2 < x_2$ to \bot and $x_2 \le x_2$ to \top).



Example continued

Magic rule:

$$\begin{array}{l} \underline{a \leq b \lor C_{1} : LA(s_{1}+c_{1}x_{1},F_{1}(x_{1})) \quad a > b \lor C_{2} : LA(s_{2}-c_{2}x_{1},F_{2}(x_{2}))}{C_{1}\lor C_{2} : LA(c_{2}s_{1}+c_{1}s_{2},c_{2}s_{1}+c_{1}s_{2} < 0 \lor (F_{1}(s_{2}/c_{2}) \land F_{2}(s_{2}/c_{2})))} \\ a < b \lor a = b \lor 2a > s \lor s > 2b : LA(2x_{2}-s,2x_{2}-s < 0 \lor (2x_{2}-s \leq 0 \land eq(x_{3},x_{2}))) \\ a \geq b \lor t < 2a \lor 2b < s : LA(t-2x_{1},t-2x_{1} \leq 0) \\ a = b \lor 2a > s \lor s > 2b \\ \lor t > 2a \lor t > 2b : I_{4} \end{array}$$

$$I_4$$
 : $LA(t - s, t - s < 0 \lor (t - s \le 0 \land eq(x_3, t/2)))$

The critical term t - s does not contain an auxiliary and can be removed.

$$I_4 : t - s < 0 \lor (t - s \le 0 \land eq(x_3, t/2))$$

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Example continued (with equality)

$$a = b \lor 2a > s \lor s > 2b \qquad t - s < 0 \lor \\ \lor t > 2a \lor t > 2b \qquad (t - s \le 0 \land eq(x_3, t/2)) \\ a \ne b \lor f(a) \ne q \lor f(b) = q \qquad (q = f(x_3)) \\ \hline 2a > s \lor s > 2b \\ \lor t > 2a \lor t > 2b \qquad (t - s < 0 \lor \\ (t - s \le 0 \land q = f(t/2)) \\ \lor f(a) \ne q \lor f(b) = q \qquad (t - s \le 0 \land q = f(t/2)) \\ \hline \end{cases}$$

The interpolant of

 $2a \leq s \wedge t \leq 2a \wedge f(a) = q \wedge s \leq 2b \wedge 2b \leq t \wedge f(b) \neq q$

is

$$t - s < 0 \lor (t - s \le 0 \land q = f(t/2))$$

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