

Complexity of Büchi automata minimization

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Short overview

- ▶ Proof by Sven Schewe in 2010

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- ▶ Minimization of deterministic Büchi automata (MIN) is NP-complete

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- ▶ Reduction from vertex cover problem to MIN

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Roadmap

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 - ▶ Deterministic Büchi automata

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 - ▶ NP-completeness

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 - ▶ Deterministic Büchi automata
 - ▶ NP-completeness
 - ▶ The vertex cover problem
- ▶ Definitions & Constructions
 - ▶ 'Nice graph G_{v_0} '
 - ▶ Language of the nice graph $L(G_{v_0})$
 - ▶ DBA that recognises $L(G_{v_0})$

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 - ▶ Deterministic Büchi automata
 - ▶ NP-completeness
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- ▶ Definitions & Constructions
 - ▶ 'Nice graph G_{v_0} '
 - ▶ Language of the nice graph $L(G_{v_0})$
 - ▶ DBA that recognises $L(G_{v_0})$
- ▶ The proof

Deterministic Büchi automata (DBA)

Deterministic Büchi automaton $\mathcal{B} := (\Sigma, Q, q_0, \delta, F)$, where

Σ = finite set of symbols

Q = finite set of states

$Q_+ = Q \cup \{\perp, \top\}$

$q_0 \in Q_+$ is initial state

$\delta : Q_+ \times \Sigma \rightarrow Q_+$, $\delta(\perp, a) = \perp \wedge \delta(\top, a) = \top, a \in \Sigma$

$F \subseteq Q_+$, finite set of final states.

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$F \subseteq Q_+$, finite set of final states.

$\rho = q_0 q_1 q_2 \dots$, where $i \in \mathbb{N}_0 \wedge q_i \in Q_+$, a run.

\mathcal{B} **accepts** exactly those runs in which at least one of the infinitely often occurring states is in F

Deterministic Büchi automata (DBA)

Σ^* is infinite set of **finite** words.

Contains all possible finite combinations of symbols in Σ

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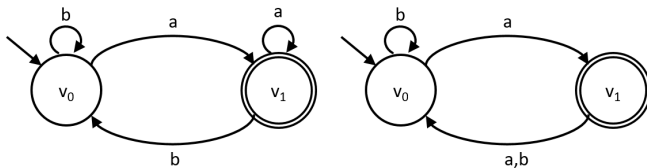
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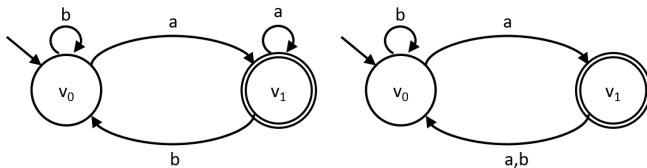
Σ^ω is infinite set of **infinite** words.

Contains all possible infinite combinations of symbols in Σ

Deterministic Büchi automata (DBA)

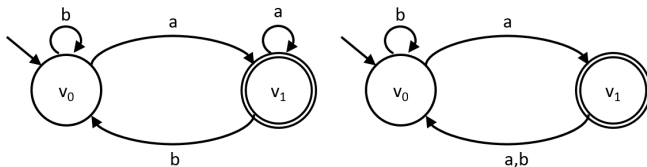


Deterministic Büchi automata (DBA)



$$L = \{w \in \Sigma^\omega \mid w \text{ contains infinitely many } a's\}$$

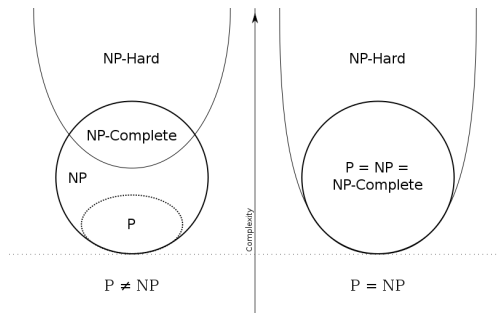
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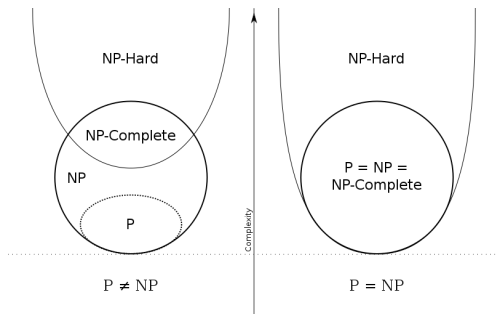
\Rightarrow Minimal, equivalent, but non-isomorphic

NP-completeness



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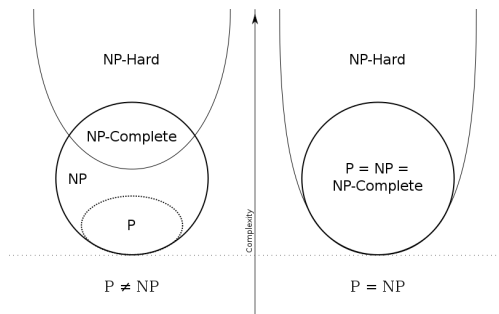
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NP is the set of problems that can be solved in non-deterministic polynomial time.

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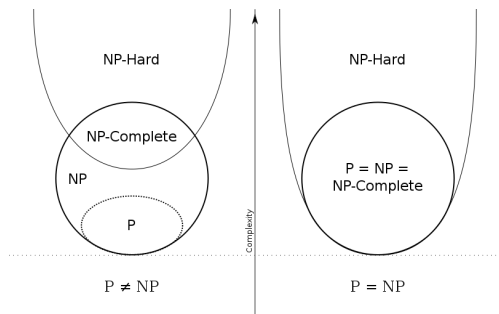


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A problem H is **NP-hard** if every problem $L \in \text{NP}$ can be reduced in polynomial time to H .

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A problem H is **NP-hard** if every problem $L \in NP$ can be reduced in polynomial time to H .

A problem is **NP-complete** if it belongs to NP and NP-hard.

Vertex cover problem

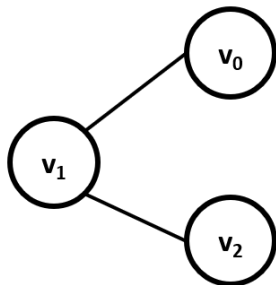
Let $G = (E, V)$ be an undirected graph.

$S \subseteq V$ is called a **vertex cover** if

$(u, v) \in E \Rightarrow u \in S \vee v \in S$.

Vertex cover problem

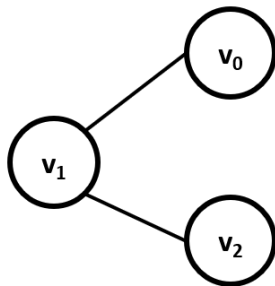
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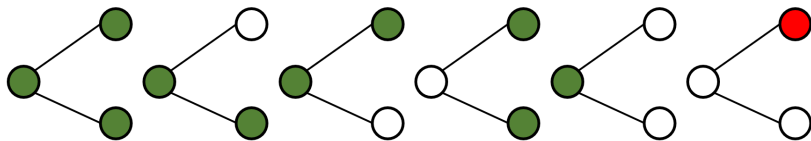
A **minimal vertex cover** (MCOVER) is a vertex cover of minimal size.

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- ▶ Construction DBA that recognises $L(G_{v_0})$

Definition of a nice graph

We call a non-trivial ($|V| > 1$) simple connected graph $G_{v_0} = (V, E)$ with a distinguished initial vertex $v_0 \in V$ **nice**.

Lemma (1)

*The problem of checking whether a nice graph G_{v_0} has a **vertex cover** of size k is NP-complete.*

Definition of the characteristic language of the nice graph

We define the *characteristic language* $L(G_{v_0})$ of a nice graph $G_{v_0} = (V, E)$ as the ω -language over $V_{\#} = V \uplus \{\#\}$.

$\#$ indicates a stop

Definition of the characteristic language of the nice graph

$L(G_{v_0})$ consists of:

▶ trace words:

all ω -words of the form $v_0^* v_1^+ v_2^+ v_3^+ v_4^+ \cdots \in V^\omega$ with
 $\{v_{i-1}, v_i\} \in E$ for all $i \in \mathbb{N}$

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- ▶ #-words ('stop'-words):

all words **starting** with $v_0^* v_1^+ v_2^+ \dots v_n^+ \# v_n \in V_\#^*$ with $n \in \mathbb{N}_0$ and $\{v_{i-1}, v_i\} \in E$ for all $i \in \mathbb{N}$.

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Trace words are in V^ω and #-words are in $V_\#^\omega \setminus V^\omega$

Definition of DBA that recognises $L(G_{V_0})$

DBA $\mathcal{B} = (V, Q, q_0, \delta, F)$, nice graph $G_{V_0} = (V, E)$.

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The states of \mathcal{B} are called

- ▶ *v-state* if it can be reached upon an input word $v_0^* v_1^+ v_2^+ \dots v_n^+ \in V^*$, with $n \in \mathbb{N}_0$ and $\{v_{i-1}, v_i\} \in E$ for all $i \in \mathbb{N}$, that ends in $v = v_n$.

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- ▶ *v#-state* if it can be reached from a *v-state* upon reading a # sign.

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vertex-states = set of *v-states*.

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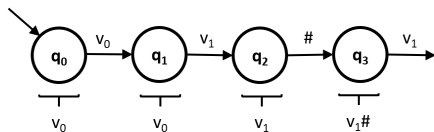
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Definition of DBA, that recognises $L(G_{v_0})$

Lemma (2)

\mathcal{B} has the following properties:

1. The vertex- and #-states of \mathcal{B} are disjoint.

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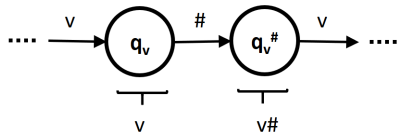
Proof.

Let $q_v^\#$ be a $v^\#$ -state and q a vertex-state.

As \mathcal{B} recognises $L(G_{V_0})$, $\mathcal{B}_{q_v^\#}$ must accept v^ω , while \mathcal{B}_q must reject it. □

trace-words:

$$v_0^* v_1^+ v_2^+ v_3^+ v_4^+ \dots \in V^\omega$$



Definition of DBA, that recognises $L(G_{V_0})$

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\mathcal{B} has the following properties:

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2. $\forall v, w \in V$ with $v \neq w$ the v -states and w -states are disjoint.

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3. *$\forall v, w \in V$ with $v \neq w$ the $v\#$ -states and $w\#$ -states are disjoint.*
4. *For each vertex $v \in V$, there is a $v\#$ -state.*

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4. *For each vertex $v \in V$, there is a $v\#$ -state.*
5. *For each vertex $v \in V$, there is a rejecting v -state.*

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3. *$\forall v, w \in V$ with $v \neq w$ the $v\#$ -states and $w\#$ -states are disjoint.*
4. *For each vertex $v \in V$, there is a $v\#$ -state.*
5. *For each vertex $v \in V$, there is a rejecting v -state.*
6. *For every edge $\{v, w\} \in E$, there is an accepting v -state or an accepting w -state.*

Definition of DBA, that recognises $L(G_{V_0})$

6. For every edge $\{v, w\} \in E$, there is an accepting v -state or an accepting w -state.

\Rightarrow

The set C of vertices with an accepting vertex-state is a **vertex cover** of $G = (V, E)$.

Definition of DBA, that recognises $L(G_{v_0})$

Corollary (1)

*For a DBA \mathcal{B} that recognises the characteristic language of a nice graph $G_{v_0} = (V, E)$ with initial vertex v_0 , the set $C = \{v \in V \mid \text{there is an accepting } v\text{-state}\}$ is a **vertex cover** of G_{v_0} , and \mathcal{B} has at least $2|V| + |C|$ states.*

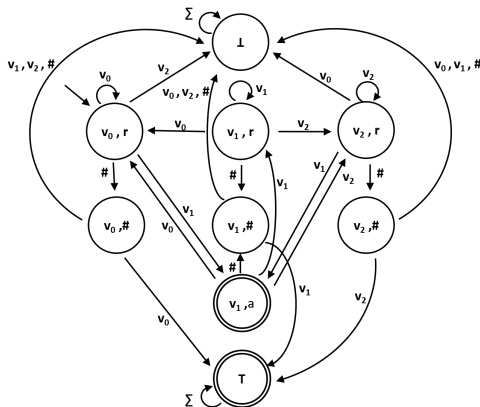
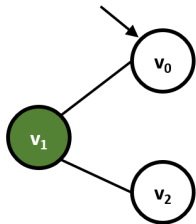
Definition of DBA, that recognises $L(G_{v_0})$

$$\mathcal{B}' = (V_{\#}, (V \times \{r, \#\}) \uplus (C \times \{a\}), (v_0, r), \delta, (C \times \{a\}) \uplus \{\top\}).$$

- ▶ $\delta((v, r), v') = (v', a)$ if $\{v, v'\} \in E$ and $v' \in C$,
- $\delta((v, r), v') = (v', r)$ if $\{v, v'\} \in E$ and $v' \in C$,
- $\delta((v, r), v') = (v, r)$ if $v = v'$,
- $\delta((v, r), v') = (v, \#)$ if $v = \#$,
- $\delta((v, r), v') = \perp$ otherwise;
- ▶ $\delta((v, a), v') = \delta((v, r), v\#)$, and
- ▶ $\delta((v, \#), v) = \top$ and $\delta((v, \#), v') = \perp$ for $v\# \neq v$.

Example of DBA, that recognises $L(G_{v_0})$

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Definition of DBA, that recognises $L(G_{v_0})$

Lemma (3)

For a nice graph $G_{v_0} = (V, E)$ with initial vertex v_0 and vertex cover C , \mathcal{B}' recognises the characteristic language of G_{v_0} .

Definition of DBA, that recognises $L(G_{v_0})$

Corollary (1)

For a DBA \mathcal{B} that recognises the characteristic language of a nice graph $G_{v_0} = (V, E)$ with initial vertex v_0 , the set $C = \{v \in V \mid \text{there is an accepting } v\text{-state}\}$ is a **vertex cover** of G_{v_0} , and \mathcal{B} has at least $2|V| + |C|$ states.

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\Rightarrow

Corollary (2)

Let C be a MCOVER of a nice graph $G_{v_0} = (V, E)$. Then \mathcal{B}' is a minimal DBA that recognises the characteristic language of G_{v_0} .

Proof of Theorem

Theorem

The problem of whether there is, for a given DBA, a language equivalent Büchi automaton with at most k states is NP-complete.

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The problem of whether there is, for a given DBA, a language equivalent Büchi automaton with at most k states is NP-complete.

Proof: Containment in NP.

For containment in NP, we can simply use non-determinism to guess such an automaton. Because the equivalence test can be done in polynomial time, the problem must be in NP. □

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\mathcal{B}' has $2|V| + |C| = 2m + m = 3m$ states

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Corollary 2:

If C is MCOVER,
 then \mathcal{B} is minimal.

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Sources

Thank you for listening!

Schewe, Sven. 2010. „Minimisation of Deterministic Parity and Buchi Automata and Relative Minimisation of Deterministic Finite Automata“. arXiv:1007.1333 [cs], Juli.
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