

# Formal Methods for Java

## Lecture 23: Excursion: Explicit State Model Checking and JVM

Jochen Hoenicke



Software Engineering  
Albert-Ludwigs-University Freiburg

Jan 25, 2012

# What Have We Seen?

- JML Tools: Runtime assertion checking
  - ESC/Java: Static checking of JML annotations and runtime constraints
  - KeY: Formal proof of JML annotations
  - Jahob: Data structure verification
- ➔ Symbolic state representation and reasoning

## Explicit State Model Checking

## Now: Explicit State

- Concrete representation of states, e.g.,  $x = 4, y = 3$
- Transitions produce new concrete states, e.g.,

$$\boxed{x = 4, y = 3} \xrightarrow{x=x+1} \boxed{x = 5, y = 3}$$

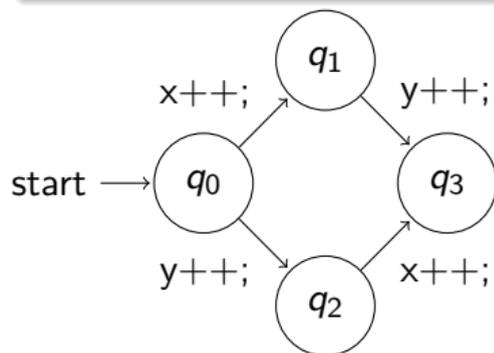
- System model: Transition System (TS)
- Graph search algorithms used to search for property violations

# Transition Systems (TS)

## Definition (Transition System)

A transition system ( $TS$ ) is a structure  $TS = (Q, Act, \rightarrow)$ , where

- $Q$  is a set of states,
- $Act$  a set of actions,
- $\rightarrow \subseteq Q \times Act \times Q$  the transition relation.



$$\begin{aligned} Q &= \{q_0, q_1, q_2, q_3\} \\ I &= \{q_0\} \\ \rightarrow &= \{(q_0, x++, q_1), \\ &\quad (q_1, y++, q_3), \\ &\quad (q_0, y++, q_2), \\ &\quad (q_2, x++, q_3)\} \end{aligned}$$

# Exploring Transition Systems

- Treat transition system as graph
  - Use graph search algorithm to explore states
  - Different search strategies:
    - Depth-First-Search (DFS)
    - Breath-First-Search (BFS)
    - Greedy Search
- ➡ Goal: Find error fast (“before running out of memory”)
- ➡ More **debugging** than **verification**

Searching

- Explore states in a graph.
- Unify states.
- Keep “pending list” of nodes yet to explore.
- Keep “closed list” of already explored states.

## Theory

Explore all possible states.

## Practice

Heuristic cutoff:

- bounded number of states
- bounded path length
- ...

# Abstract Searching

- 1 Choose and remove next state  $s$ .
- 2 If  $s$  is already closed, goto Step 1
- 3 Evaluate  $s$ .
- 4 Add all successors of  $s$  onto the pending list
- 5 Move  $s$  to closed list

## Main Operations

- State evaluation
- Creation of successor states
- State unification

## Uninformed Searches

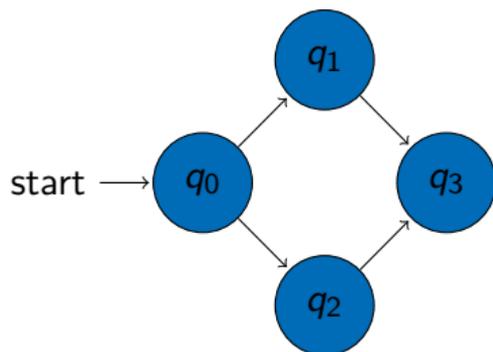
- Exploration order determined by graph structure.
- Not goal-directed.

## Informed Searches

- Exploration order guided by heuristics and/or path length.
- “Prefer short paths.”
- Heuristic value = estimate of distance to goal.

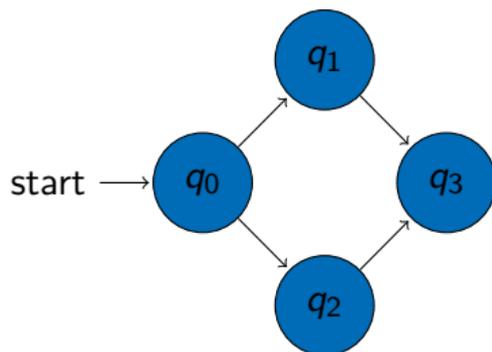
# Depth-First-Search (DFS)

- uninformed search
- first explore the successor nodes, then the siblings
- **Pending list:** LIFO (e.g., stack)



# Breath-First-Search (BFS)

- uninformed search
- first explore the siblings, then the successor nodes
- **Pending list:** FIFO (e.g., Queue)



# Greedy Search

- informed search
- heuristic estimate of the minimal distance of a state to a goal
- expand state with minimal value of the heuristic
- Pending list: Ordered list (e.g., priority queue or Heap)

## Problems

- Highly sensitive to heuristic
- Plateaus
- Found error path might still be long

... but highly efficient in practice

- informed search
- use heuristic,
- but also consider the cost of the path to the current state
- expand state with minimal sum of heuristic value and path cost
- Pending list: Ordered list (e.g., priority queue or Heap)

## Admissible heuristics

Let  $n$  be a node and  $d(n)$  be the exact distance of node  $n$  to the goal. Heuristic  $h$  is admissible if and only if

$$\forall v. h(v) \leq d(v)$$

A\* search with admissible heuristic ensures shortest path to goal!

# A Unified Search Framework

## Observation

Search procedures only differ in the order in which they explore the state space.

We can express all these search methods using two functions over states  $s$  (and a bound on the length of paths):

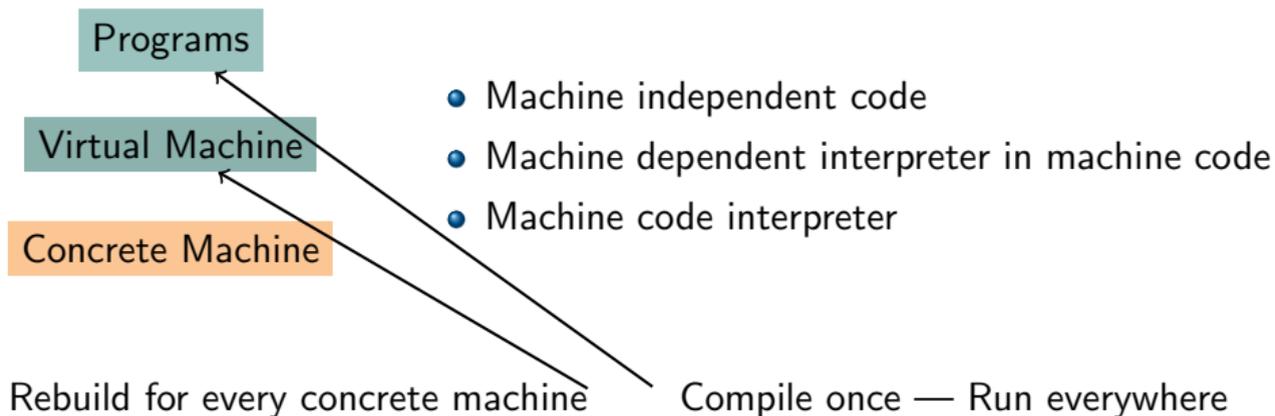
- $d(s)$  - a distance function
- $h(s)$  - a heuristic function

Choose  $s$  that minimizes  $d(s) + h(s)$ .

	$d(s)$	$h(s)$
DFS	$-pathlength(s)$	0
BFS	$pathlength(s)$	0
Greedy Search	0	$heuristic(s)$
A*	$pathlength(s)$	$heuristic(s)$

# Java Virtual Machine

# Virtual vs. Concrete Machine



- JVM interprets .class files
- .class files contain
  - a description of classes (name, fields, methods, inheritance relationships, referenced classes, ...)
  - a description of fields (name, type, attributes (visibility, `volatile`, `transient`, ...))
  - bytecode for the methods
- Stack machine
- Typed instructions
- `Bytecode verifier` to ensure type safety

# Different Memory Areas

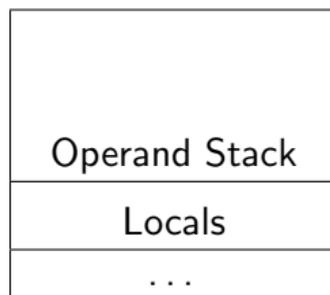
Java separates between

- a **Java stack**
  - Used for method calls and expression evaluation
  - One per thread
  - Checked for overflows
- a **native stack**
  - Used for native calls using **JNI**
  - Not directly usable by the bytecode
  - Not checked for overflows
- a **heap**
  - Used for dynamic allocation
  - Managed by garbage collectors
  - Shared between all threads
  - Size limited by JVM configuration

# Calling Methods

Activation Frame contains:

- Variables local to the called method
- Stack space for instruction execution (**Operand Stack**)



One activation frame per method call:  $x.foo()$

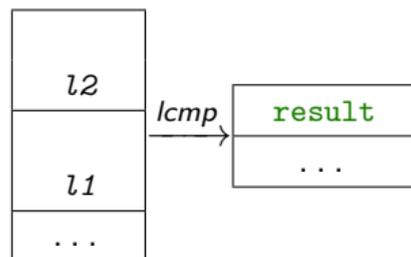
- 1 pushes new activation frame
- 2 calls the method *foo*
- 3 pops the activation frame

- Arguments are on the operand stack
  - ➔ Some instructions move local variables or constants to the stack
- Most instructions pop topmost arguments from the stack and push result onto the stack

## Example: lcmp

Compare two `long` values  $l1$  and  $l2$ .

```
long l2 = popLong();  
long l1 = popLong();  
if (l1 < l2)  
    push(-1);  
if (l1 == l2)  
    push(0);  
if (l1 > l2)  
    push(1);
```



# Java Native Interface (JNI)

- foreign function interface
- execution jumps to non-Java code
- runs outside of VM
- uses native stack
- but can access JVM through *JNIEnv* structure
  - ↳ *JNIEnv* needed to translate between native stack and heap
- useful to access native OS libraries or optimize certain computation tasks
  - ↳ **Assumption: Native code is faster than Java code**
  - ↳ **Note: Native code breaks platform independence**